

Periprosthetic fracture morphology of a femoral neck prosthesis: An in vitro study

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Abstract

Femoral neck prostheses have been developed for the treatment of osteoarthritis in young and active patients. The concept combines a bone-conserving and minimal invasive technique with proximal load transferring by metaphyseal anchoring, which results in a more physiological loading pattern. However, little is known about the morphology of periprosthetic fractures. Thus, the aim of this study was to describe fracture patterns and to determine patient-specific factors favoring periprosthetic fracture. This study was performed as a biomechanical experimental study using 10 fresh frozen femora and 10 Silent-Hip femoral neck implants (DePuy International Ltd., Leeds, UK). In order to simulate physiological loading, a static muscle reconstruction (abductor muscles and iliotibial band) and a dynamic simulation of the gait cycle were applied. During biomechanical testing (50% and 100% of normal weight-bearing), three periprosthetic fractures with two different morphologies occurred. The first pattern corresponds to an abrupt breakaway. The second type was of spiral configuration extending to the diaphyseal region and emerging from an initial fissure. Specimen-specific factors favoring periprosthetic fracture were body mass index and varus angle of the implant. Periprosthetic fractures may extend to the subtrochanteric/diaphyseal region and may be of spiral configuration. According to the finding of this study, body mass index and varus/valgus position of the implant are important factors influencing the risk of periprosthetic fractures. Furthermore, partial weight-bearing as part of the postoperative regimen may be favorable.

Keywords

Total hip arthroplasty, femoral neck prosthesis, ultra-short-stem, periprosthetic fracture morphology

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Introduction

Primary total hip arthroplasty (THA) is one of the most successful surgical procedures.¹ Estimations for Australia indicate an increase of 66% concerning the incidence rate (interventions per 100,000 inhabitants) of primary THA from 2013 to 2046.² Furthermore, the number of patients undergoing THA who are younger than 60 years is increasing and is assumed to be up to 20%.3 On account of the demographic change and, associated therewith, the forthcoming increase in revision rates, femoral neck prostheses have achieved importance concerning the treatment of young patients and were designed to close the gap between femoral resurfacing implants and calcar loading short-stem prostheses with partial diaphyseal anchoring.4-11 The concept combines a bone-conserving and minimal invasive technique with proximal load transferring by exclusive metaphyseal anchoring, which results in a

more physiological loading pattern.^{4,12–14} Thus, biomechanical-associated bone atrophy ("stress shielding") is reduced.^{4,13,15} As a result, there is a better and bigger bone stock for future and unavoidable revision available.^{4,14–16} On the contrary, there is a higher risk of periprosthetic fracture compared to the standard

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implants in THA.^{4,17} The anchoring principle itself influences the fracture risk. Press fit/cementless implanted prostheses show a higher risk than cemented implants.¹⁷

In the past, several follow-up studies have reported partially promising results regarding mid-term survival rates of different femoral neck prostheses.^{18,19} Considering the possible limitations of femoral neck prostheses, long-term (>10 years of follow-up) results and meta-analyses that report the outcome are necessary, still so far not existing. Especially, little is known about influencing parameters and the morphology of periprosthetic fractures after implantation of femoral neck prostheses. Therefore, the objective of this study was to analyze periprosthetic fractures in ultra-shortstem hip arthroplasties in a biomechanical in vitro study and to describe patient-specific factors favoring periprosthetic fracture.

Methods

This study was carried out as a biomechanical experimental study after approval by the local ethics committee of the Medical Faculty of the Ruprecht-Karls-University of Heidelberg (S-521/2010). During biomechanical testing, several periprosthetic fractures occurred. The main focus of this work was the simulation of physiological loading conditions and evaluation of periprosthetic fracture morphology. For fracture classification, the Vancouver classification system was applied.

Ten fresh frozen femora (Science Care Inc., Phoenix, AZ, USA) were used for implantation after authorization by the Institutional Review Board. The femora were obtained from three female and seven male donors. The mean age was 76.7 (SD = 12.8) years (51–96 years). The mean weight was 68.4 (SD = 21.6) kg (32.0–109.8 kg), and the mean body mass index (BMI) was 23.2 (SD = 6.4) kg/m² (15.2–39.1 kg/m²). The mean center-collum-diaphyseal (CCD) angle was 129.8° (SD = 8.3°) (121.0°–146.0°).

Exclusion criteria were the following:

- Fracture in the past;
- Any rheumatic diseases;
- Neoplasia or chemotherapy;
- Deformity of the proximal femur;
- CCD angle less than 120°;
- Former infection such as HIV, HBV or HCV.

Ten Silent-HipTM femoral neck implants (DePuy International Ltd., Leeds, UK) were used (Figure 1). The implantation was carried out according to the manufacturer's guidelines after determining the appropriate size by planning on preoperative X-rays by the same senior orthopedic surgeon, who is experienced with ultra-short-stem arthroplasty, in order to standardize



Figure 1. Silent-Hip implant (22×50) .

the implantation technique and to avoid any systematical errors. X-rays of the femur were done in anteriorposterior and axial direction before and after the surgery. Used implant sizes (diameter (mm) × length (mm) were 22×45 (1), 22×50 (2), 22×50 (3), 24×50 (2), 24×60 (1) and 26×60 (1). Postoperatively, varus and valgus position of the implant in relation to the CCD angle was measured using the relative implantation angle (relative implantation angle = implantation angle of the prosthesis-CCD). A negative relative implantation angle indicates a varus position of the implant.

Specimen fixation was realized using synthetic resin (RENCAST[®] FC53 A/B; Goeßl & Pfaff, Munich, Germany). The fixation depth of the specimen was one-sixth of femur length.

Moreover, in order to simulate a physiological loading pattern, a dynamic simulation of the gait cycle was achieved using a self-made hip kinemator (Figure 2) that reproduced the vector loop of the hip contact force, with cyclic application of forces in x, y and z axes relative to the hip joint, as described by Bergmann et al. and others.^{20–27} Cyclic application of forces was realized by the rocker (2 in Figure 2b), the swing (3 in Figure 2b) and the integrated loading cylinder (1 in Figure 2b). The rocker and swing moved the loading cylinder in all three dimensions controlled via angle sensors (e.g. 4 in Figure 2b). The loading cylinder then applied the hip contact force (controlled via an integrated force sensor (5 in Figure 2b)) via an integrated acetabular cup to the head of the prostheses. Raw data were taken from Bergmann's²⁸ HIP98 dataset. This dataset contains information on the acting forces of the hip joint during activities such as walking or stair climbing. Originally, data were generated using measurement devices which were integrated into the hip implants and using additional three-dimensional gait analysis. Obtained loads were adjusted to the weight of the different donors. Biomechanical testing was carried out at 50% (n = 6) and 100% (n = 4) load of individual body weight subsequently, which correlates to hip contact forces of 8% (minimum under partial weightbearing) up to 262% body weight (maximum under full weight-bearing; Figure 3) with a frequency of 1 Hz for



Figure 2. (a) Sketch of a prepared specimen with resin fixation and muscle reconstruction and applied coordinate system; (b) selfmade hip kinemator (1, hydraulic loading cylinder; 2, rocker; 3, swing; 4, angle sensor; 5, integrated force sensor).



Figure 3. Hip contact forces for dynamic simulation of the gait cycle.

1000 cycles. In total, absolute values for hip contact forces ranged from 256 N to 28.8 kN.

Furthermore, in order to complete physiological loading, a static reconstruction of the abductor muscles (Mm. glutei and M. tensor fascia lata) and the iliotibial band was realized. For this, a 2-mm cable wire was used (Figure 2a). The abductor muscles were attached to the greater trochanter at an angle of 20° to the femoral shaft axis in frontal plane.²⁹ Individualized and weight-adjusted muscle forces were determined according to the values outlined by Bergmann et al.^{20–22,28} The corresponding weights for the abductor muscles were applied to the cable wire. Corresponding and individual forces for the static reconstruction of the iliotibial band were applied via a manual mechanic clamping system and controlled by an integrated load cell (Figure 2a). Table 1 shows the donor-specific forces of the abductor

 Table 1. Donor specific forces for static muscle reconstruction.

Specimen	Weight (kg)	Loading (% of body weight)	F _{abd} (N)	F _{ITB} (N)	
1	90	50	346	84	
2	54	100	416	101	
3	72	100	553	134	
4	74	100	570	138	
5	86	50	332	80	
6	57	50	217	52	
7	59	50	225	54	
8	110	50	422	102	
9	49	100	374	91	
10	32	50	123	30	

FABD: forces of abductor muscles; FITB: forces of iliotibial band.



Figure 4. First periprosthetic fracture from (a) medial and (b) posterior direction; X-rays in (c) anterior-posterior and (d) mediolateral plane.



Figure 5. Second periprosthetic fracture from (a) anteromedial direction; X-rays in (b) anterior–posterior and (c) mediolateral plane.

muscle and iliotibial band reconstruction with absolute values.

For real-time imaging during biomechanical testing, the PONTOS System of Gesellschaft fuer Optische Messtechnik (GOM mbH, Braunschweig, Germany) was used.

Statistics

Raw data were structured using Microsoft Excel 2013 (Microsoft, Redmond, WA, USA) and analyzed using SPSS Version 21.0 (IBM, Chicago, IL, USA). For descriptive statistics, the mean and the standard deviation were calculated. For interferential statistics, the two-tailed Student's *t*-test and chi-square test were performed. The level of significance was set at p < 0.05.

Results

During biomechanical testing, three periprosthetic fractures occurred. According to the Vancouver classification, all the three periprosthetic fractures were type B2 fractures.³⁰

The first periprosthetic fracture occurred suddenly under 50% load of physiological weight-bearing at cycle 156 (Figure 4). The BMI of the donor was 39.07 kg/m^2 , bone mineral density (BMD) (femoral neck) was 0.765 g/cm^2 , CCD angle was 120.8° , relative implantation angle was -7.3° and used implant size was 22×45 .

The second periprosthetic fracture occurred under 100% of physiological weight-bearing at cycle 4 (Figure 5). The BMI of the donor was 24.13 kg/m^2 , BMD (femoral neck) was 0.575 g/cm^2 , CCD angle was 131.2° , relative implantation angle was -6.1° , and used implant size was 24×50 .

The third and last periprosthetic fracture occurred under 100% of physiological weight-bearing at cycle 192 (Figure 6). It emerged from an initial fissure in the medial femoral neck area (Figure 7). The BMI of the donor was 23.49 kg/m², BMD (femoral neck) was 0.604 g/cm^2 , CCD angle was 137.4°, relative implantation angle was -9° and used implant size was 22 × 60. Table 2 provides all analyzed donor-specific parameters.

Specimens that were affected by periprosthetic fracture showed a mean age of 71.3 ± 8.5 years, mean height of 172.7 ± 4.2 cm, mean weight of 85.4 ± 17.3 kg, mean BMI of 28.9 ± 7.2 kg/m², mean BMD of 0.65 ± 0.1 g/cm², mean CCD angle of $129.8 \pm 6.9^{\circ}$ and mean relative implantation angle of $-7.5 \pm 1.2^{\circ}$.

The non-fracture group was characterized by a mean age of 79.0 ± 13.6 years, mean height of 169.5 ± 15.3 cm, mean weight of 61.1 ± 19.1 kg, mean BMI of 20.8 ± 4.1 kg/m², mean BMD of 0.63 ± 0.1 g/cm², mean CCD angle of 129.3 ± 9.0 and mean relative implantation angle of $-3.0 \pm 6.5^{\circ}$.

There was no statistically significant difference (p > 0.05) between the fractured and non-fractured group regarding all analyzed donor-specific parameters

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Specimen	Sex	Age (years)	Height (cm)	Weight (kg)	BMI (kg/m ²)	BMD (g/cm ²)	CCD angle (°)	Relative implantation angle (°)	Loading (% of body weight)	Implant size: diameter $ imes$ length (mm)
Fractured										
3	М	76	173	72	24.1	0.58	131.2	6. I	100	24 imes 50
4	М	79	178	74	23.5	0.60	137.4	-9.0	100	22 imes 60
8	F	59	168	110	39.1	0.77	120.8	-7.3	50	22 imes 45
Mean		71.3	172.7	85.4	28.9	0.65	129.8	-7.5		
SD		13.5	4.2	17.3	7.2	0.1	6.9	1.2		
Non-fractured										
I	М	69	188	90	25.5	0.85	146.0	-11.0	50	24 imes 50
2	М	85	178	54	17.1	0.74	129.1	-6.0	100	24 imes 60
5	М	84	178	86	27.3	0.61	127.5	-5.5	50	26 imes 60
6	М	51	175	57	18.6	0.63	136.2	-8.6	50	22 imes 50
7	М	85	176	59	19.1	0.70	126.9	6.7	50	24 imes 50
9	F	96	147	49	22.4	0.52	124.7	-3.0	100	22 imes 50
10	F	83	145	32	15.2	0.37	114.9	6.4	50	22 imes 50
Mean		79.0	169.5	61.1	20.8	0.63	129.3	-3.0		
SD		13.6	15.3	19.1	4.1	0.1	9.0	6.5		
p value (fractured vs non-fractured)		0.4	0.7	0.15	0.08	0.84	0.94	0.2		

Table 2. Donor-specific parameters.

BMI: body mass index; BMD: bone mineral density; CCD: center-collum-diaphyseal.

Negative values for the relative implantation angle indicate varus position.



Figure 6. Third periprosthetic fracture from (a) anterio-medial and (b) anterior direction; X-rays in (c) anterior-posterior and (d) medio-lateral plane.



Figure 7. (a-d) Image series of emerging fracture from an initial fissure.

(Table 2). Chi-square test revealed no statistically significant difference regarding the incidence under 50% load of body weight versus 100% load of body weight (p = 0.26).

Discussion

Femoral neck prostheses have been developed for the treatment of osteoarthritis of younger and active patients.⁷ However, in the literature, there are only few biomechanical studies investigating femoral neck prostheses. In particular, little is known about the morphology of periprosthetic fractures after implantation of femoral neck prostheses and influencing parameters. The objective of this study was to describe possible fracture patterns and patient-specific factors favoring periprosthetic fractures using femoral neck prostheses.

The focus of the study was initially not limited to periprosthetic fractures, specifically their morphology. The purpose of this investigation was to evaluate the biomechanical behavior of a femoral neck prosthesis (Silent-Hip) under physiological (partial and full weight-bearing) loading conditions. In this context, a new biomechanical setup with emphasis on dynamic gait simulation and muscle reconstruction as a key aspect was used rather than applying uniaxial force until occurrence of periprosthetic fracture. Knowing that this biomechanical approach leads to a restricted number of periprosthetic fractures as a limitation, it allows direct comparison of fractured and nonfractured specimens under the same conditions and thus detecting patient-specific parameters that might have influence on fracture risk. However, in this study, the observed periprosthetic fractures and patientspecific parameters were analyzed in particular. A further limitation of this study is the limited sample size. The sample size in this study is limited as cadaver bones are difficult to obtain in large numbers. Furthermore, cadaver bones used were those of elderly persons since bones from young individuals are not generally obtainable. In order to closely mimic physiological conditions, cadaver bones were used rather than synthetic femora, knowing that the bones might be affected by age concerning bone quality and mechanical behavior. However, implantation of femoral neck prostheses is generally performed on younger patients, so the results obtained in this study are not entirely representative of the clinical situation.

Positive aspects of this study are nevertheless the use of fresh frozen femora than the use of synthetic femora as well as the simulation of physiological gait cycle and the application of simulated attached muscles to more closely mimic the clinical situation. To our knowledge, gait simulation and muscle reconstruction have not been realized to this extend in the past.

Surprisingly, despite sample sizes of 4–6 and lack of statistical significance, there was a high incidence of periprosthetic fractures seen that all would have led to

surgical revision. In total, 3 of the 10 specimens showed a periprosthetic fracture during biomechanical testing. The incidence of periprosthetic fractures was higher under 100% of physiological weight-bearing (n = 2, n)50% of all specimens under full weight-bearing) than under 50% of physiological weight-bearing (n = 1,17% of all specimens under 50% weight-bearing). This fracture incidence of 30% is considerably higher than the reported fracture incidence of 1.2% (66% of all revisions) by McMinn et al.¹⁰ during their clinical 3.5year-follow-up study of the Birmingham Mid Head Resection (BMHR) prosthesis. The observed periprosthetic fractures occurred under full weight-bearing postoperatively, leading to a change in postoperative regimen re-establishing 50% partial weight-bearing for 4 weeks after surgery, thus suggesting that partial weight-bearing should be favored.¹⁰ To our knowledge, other authors did not observe periprosthetic fractures.¹⁸ Main complication was aseptic loosening.^{31,32} In addition, Ries et al.³³ successfully applied a postoperative regime with partial weight-bearing (50% of body weight) for 4 weeks. After the first 4 weeks after surgery, they increased weight-bearing gradually.³³ Our findings suggest that even a postoperative regime with less than 50% of normal weight-bearing should be favored.

In this context, the anchoring principle itself influences the fracture risk. Press fit/cementless implanted prostheses show a higher risk than cemented implants.¹⁷

Furthermore, two fracture patterns could be detected from this case study. The first pattern was equivalent to an abrupt breakaway of the prosthesis affecting the medial cortex of the femoral neck (Figures 4 and 5). This pattern resulted when fracture occurred abruptly and would have led to surgical revision under clinical conditions due to instability and implant migration. The second fracture pattern is equivalent to an initial small fissure that emerged to a spiral fracture under further loading, reaching to the subtrochanteric dorsal region (Figures 6 and 7). Under clinical conditions, this emerging spiral fracture would have also caused implant loosening, making surgical revision necessary. Both fracture patterns would occur the first day after surgery as they occurred on cycles 4, 156 and 192 during biomechanical testing. The second pattern with a spiral configuration has been observed by Aqil et al.³⁴ In their case report, the fracture reached the subtrochanteric/diaphyseal region of the femur as well.³⁴ However, the implanted prosthesis was the BMHR prosthesis.³⁴ Aghayan et al. and Olsen et al. observed similar fracture patterns during their biomechanical investigations using the BMHR prosthesis.^{5,8,34,35}

The main distinctive factors of femoral neck (only) prostheses compared to conventional short-stem implants are the exclusive metaphyseal anchoring/ femoral neck fixation.^{4,11} In contrast to conventional short-stem implants, no fixation is achieved laterally.⁴ Primary stability is realized through compression of the cancellous bone.⁴ Due to this circumstance and the

naturally eccentric positioning compared to the hip contact force, femoral neck prostheses are subject to varus torque.

Ries et al.³³ described that a varus angle of a femoral neck prosthesis (Silent-Hip)-and thus the varus torque—can increase when there is a small CCD angle, leading to further varisation of the implant. Regarding the donor-specific factors (Table 2), it is noticeable that all specimens that were affected by a periprosthetic fracture show a varus angle of the implant. The mean varus angle in the fracture group (-7.5°) was twice as high as in the non-fracture group (-3.0°) . The specimen of the first periprosthetic fracture showed a varus angle of 113.5° (-7.3°), the second specimen a varus angle of 125.1° (-6.1°) and the third specimen a varus angle of 128.4° (-9°). In this context, Aqil et al. and Olsen et al. concluded that a valgus angle is protective against the occurrence of periprosthetic fractures.^{5,34} A valgus angle is beneficial on account of increased compressive forces and simultaneously reduced shear forces in the femoral neck.⁵ Thus, a valgus angle should be striven for. Our results suggest that at least a neutral relative implantation angle (avoiding varus positioning) is favorable to reduce periprosthetic fracture risk (Table 2).

Furthermore, BMD of the femoral neck has been described to highly correlate with fracture load.^{5,17} The authors therefore concluded that BMD of the femoral neck could be used as a prognostic factor concerning periprosthetic fracture.⁵ In contrast, our results show no statistical differences between the fractured and non-fractured specimens (Table 2); this might be caused due to the small sample size.

Regarding the implant size, Olsen et al.^{5,8} found a negative correlation of implant size and fracture load and concluded that using small implants is advantageous, whereas Aghayan et al.³⁶ found higher fracture loads using bigger implant sizes. Used implants during our biomechanical evaluation where of different sizes (Silent 22 × 45 (small); Silent 24 × 50 (medium); Silent 22 × 60 (big)). There was no difference regarding implant size between the fracture and non-fracture group. Our findings do not allow any recommendation regarding the implant size.

Considering the BMI, the first affected specimen showed a high BMI of the donor (39.1 kg/m^2) , leading to high forces during biomechanical testing. Furthermore, the mean BMI in the fracture group $(28.9 \pm 7.2 \text{ kg/m}^2)$ was considerably higher compared to the non-fracture group $(20.8 \pm 4.1 \text{ kg/m}^2)$. Jakubowitz et al.¹⁷ reported positive correlation between the BMI and fracture risk. The bone–implant interface is subject to higher loads.¹⁷ As the upper limit of the BMI in the non-fractured group (mean + SD) was 24.9 kg/m^2 (Table 2), a BMI of less than 25 kg/m^2 is reasonable. Even though due to the sample size no statistically significant difference could be found, both groups showed most noticeable differences regarding the BMI (Table 2). All further recorded donor-specific factors did not show a statistically significant difference to those of the intact specimens.

In conclusion, the morphology of periprosthetic fractures of an implanted femoral neck prosthesis seems to be diverse. Periprosthetic fractures may extend to the subtrochanteric/diaphyseal region and may be of spiral configuration. BMI and varus angle of the implant are factors that might influence the risk of periprosthetic fractures. Therefore, in order to minimize fracture risk, an implantation in valgus angle should be striven for. Furthermore, a postoperative regimen with partial weight-bearing seems to be favorable.

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Declaration of conflicting interests

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Ethical approval

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