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## The impact of vitamin A metabolites on natural killer cell functions

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# 1 INTRODUCTION

## 1.1 The immune system

The immune system is a defense system evolved to protect the host from foreign invaders (e.g. viruses, bacteria and other pathogens) and abnormal self-cells (e.g. stressed or transformed cells). The balance of immune responses is required to maintain the host's health. Hyperactive or overactive immune responses, such as in autoimmune disease or extreme allergic reactions, can attack normal and healthy tissues. On the other hand, immune deficiencies can cause failure of pathogen eliminations or abnormal self-cell eliminations. The immune system, which comprises various proteins, cells, and organs, is categorized in two major classes: innate immunity and adaptive immunity. Innate immune system provides the first line of protection (e.g. mucus barriers), and the immediate defense via phagocytes, natural killer cells, and complement. The adaptive immune system comprises specialized lymphocytes characterized by memory responses. One important feature of the immune system is to distinguish self- and non-self-molecules. Recognition of non-self-molecules by myeloid cells can trigger their immune responses, and further antigen presentation by myeloid cells to T cells can initiate adaptive immune responses, which are mediated by activated T cells and B cells.

### 1.1.1 Innate immunity

Innate immunity is the earliest defense mechanism to protect the host from daily exposure to foreign factors, including pathogenic microorganisms and their products. The first lines of defenses against infection are physical barriers between the internal and external territories, e.g. epithelia of the skin, eyes, nose, lung, and gastrointestinal tract. In addition to the physical aspect of defense, epithelia work as chemical barriers. For instance, mucus and mucins produced by goblet cells and enterocytes in the gastrointestinal tract can control bacteria interaction with the host (Pelaseyed et al., 2014). Small intestine epithelial cells produce antibacterial peptides, such as cryptdins and defensins (Eisenhauer, Harwig, & Lehrer, 1992). Furthermore, the commensal bacteria located in intestinal lumen can protect healthy individuals by competing with pathogenic microorganisms (Ivanov & Littman, 2011).

The humoral response of the innate immune system is mediated by the complement system, which is activated by the enzymatic cascades. Thus, a small number of activated complement proteins can lead to the amplified immune responses to opsonize and kill pathogens. Complement activation comprises three pathways: the classic pathway, the lectin pathway and the alternative pathway. The alternative pathway initiates the activation of the complement system. In response to the complement activation, fluid-phase complements form membrane attack

complex (MAC) on the surface of pathogens, which directly leads to osmotic lysis of pathogens. The activation of the alternative pathway can provide amplification loops to the other two pathways. The classical pathway is triggered by indirect pathogen recognition via the antigen-antibody-complex, and the lectin pathway is launched by direct recognition via binding of mannose-binding lectin (MBL) or ficolins with surface sugars or acetylated residues of pathogen. Both the classical and lectin pathways result in the formation of MAC and induce the elimination of pathogens (Taylor, Botto, & Walport, 1998).

The disruption of the physical barrier, such as wounds, can facilitate pathogen-infection of the host. In most cases, the innate immune system recognizes and destroys pathogens. For example, macrophages perform phagocytosis by binding to pathogens and internalize them in a vesicle fused with lysosomes (Aderem & Underhill, 1999). Upon the phagocytosis, macrophages produce toxic nitrogen oxides, antimicrobial peptides and cytokines, and create acidic environment, in order to destroy pathogens (Kinchen & Ravichandran, 2008; Lehrer, Selsted, Szklarek, & Fleischmann, 1983; Nathan & Hibbs, 1991). The cytokines produced by macrophages contribute to inflammation that can further attract other leukocytes, such as neutrophils, eosinophils, and lymphocytes, as well as assist monocyte to differentiate into dendritic cells (DCs) or macrophages (Arango Duque & Descoteaux, 2014).

Phagocytosis is induced by the recognition of pathogens or apoptotic cells. Despite the absence of antigen-specific memory responses, innate immune cells can still recognize the regular patterns of repetitive structure on pathogens, so-called pathogen-associated molecular patterns (PAMPs). In addition to PAMPs, damage-associated molecular patterns (DAMPs) released by damaged or dying self-cells can be recognized as well. As an evolutionary consequence of preserved recognition systems, phagocytes express pattern recognition receptors (PRRs). Recognition of PAMPs and DAMPs via PRRs induces phagocytosis and mediates immune responses. PRR family includes Toll-like receptors (TLRs), nucleotide oligomerization domain (NOD)-like receptors (NLRs), retinoic acid-inducible gene-I (RIG-I)-like receptors (RLRs) and C-type lectin receptors (CLRs) (D. Li & Wu, 2021). TLR family is an important PRR that recognizes repeated structure of carbohydrates or lipids on bacteria (e.g. lipopolysaccharide (LPS) and lipoteichoic acids), glucose units on fungi (e.g. Zymosan), virus-derived nucleic acids (e.g. dsRNA and ssRNA) or heat-shock proteins of the host (Akira & Takeda, 2004).

In addition to pro-inflammatory cytokine production, the activation of PRRs trigger the expression of co-stimulatory molecules on the surface of myeloid cells. For instance, DCs produce IL-12 upon the TLR2-activation, and macrophages upregulate expression of CD80 (B7.1), CD86 (B7.2) and MHC class II in a TLR4-MyD88-dependent manner upon LPS-exposure (Akira, Hoshino, & Kaisho, 2000; Hoshino et al., 1999; Thoma-Uszynski et al., 2000). Using these co-stimulatory molecules together with MHC class II molecules, antigen-presenting cells

(APCs), such as macrophages or DCs, can present digested peptides and activate naïve T cells, which can initiate the adaptive immunity (Janeway, 1989; Kruisbeek et al., 1985; Sharpe & Freeman, 2002).

### 1.1.2 Adaptive immunity

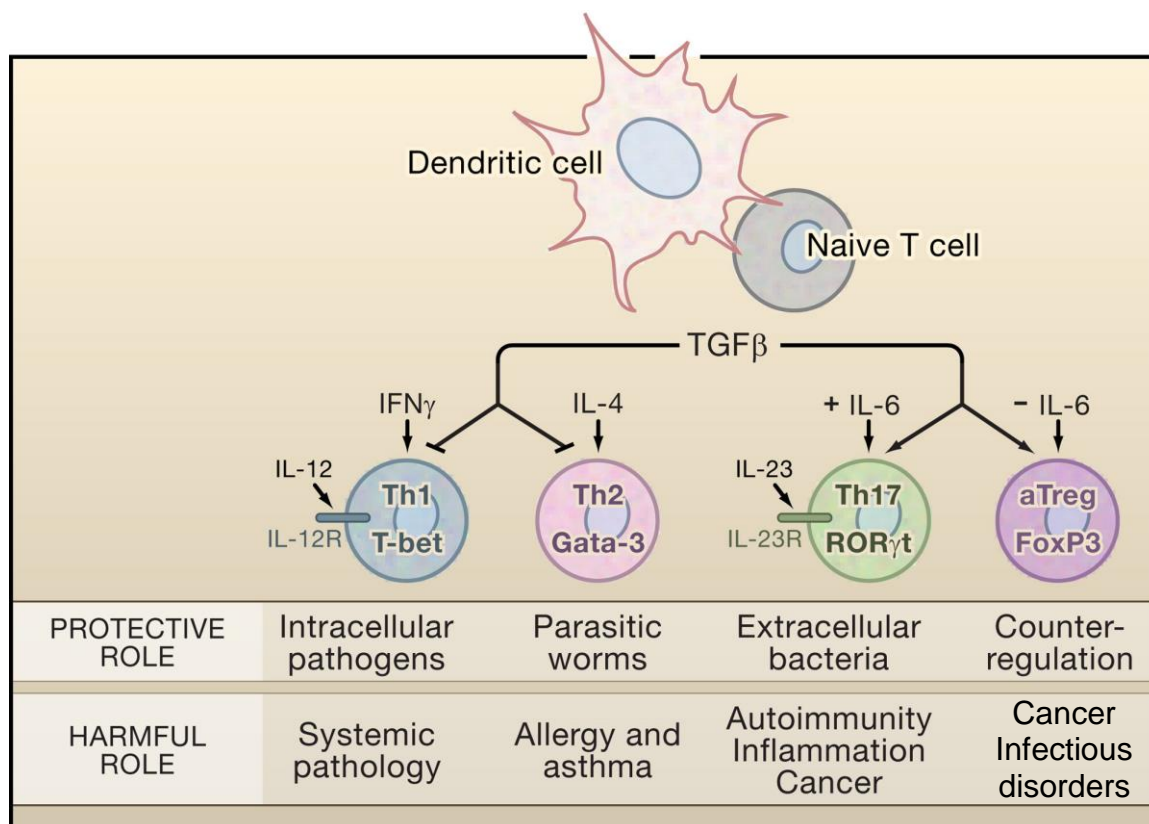
The adaptive immunity operates when pathogens circumvent the defense of innate immunity. Conventionally, specificity and memory responses were considered two unique features of adaptive immunity, in contrast to innate immunity. However, the recent studies demonstrated that innate immune cells, namely NK cells, are capable to exert antigen-specific memory responses upon the exposure to viral antigens and haptens (Paust et al., 2010; J. C. Sun, Beilke, & Lanier, 2009). Additionally, NK cells exposed to inflammatory cytokines were shown to respond rapidly upon subsequent activation, illustrating memory-like innate immune responses (Cooper et al., 2009).

Similar to the innate immunity, the adaptive immune system comprises cellular and humoral compartments. Cellular immune responses are carried out by T cells. T cell receptors (TCR) are expressed on the surface of T cells and the engagement of TCRs is required for T cell activation. CD4<sup>+</sup> T cells recognize antigen presented by APCs via MHC class II molecules, whereas CD8<sup>+</sup> T cells recognize antigen presented by APCs via MHC class I molecules (Mondino, Khoruts, & Jenkins, 1996). Additionally, CD28 expressed by T cells receives co-stimulatory signals through the interaction with CD80 (B7-1) or CD86 (B7-2) expressed on APCs. The TCR signal and CD28 activation initiate the activation of CD4<sup>+</sup> T cells and CD8<sup>+</sup> T cells, and the different cytokines drive the polarization of T cells (L. Zhou, Chong, & Littman, 2009) (Figure 1.1). For example, IL-12, a pro-inflammatory cytokine, drives the differentiation of type 1 helper T cells (T<sub>H</sub>1 cells), which express the transcription factor T-bet, and secrete type 1 cytokines, such as IFN- $\gamma$ . In the presence of IL-4, type 2 helper T cells (T<sub>H</sub>2 cells) are developed, expressing the transcription factor GATA3 and secreting type 2 cytokines, including IL-4, IL-5, and IL-13. TGF- $\beta$ , together with IL-6, drives the differentiation of type 17 helper T cells (T<sub>H</sub>17 cells), expressing the transcription factor Ror $\gamma$ t and producing type 3 cytokines e.g. IL-17 and IL-22. TGF- $\beta$ , together with IL-2, drives the development of regulatory T (Treg) cells, expressing the transcription factor FoxP3 and producing TGF- $\beta$ . In the presence of type 1 cytokines, TCR and co-stimulatory signals can launch the differentiation of CD8<sup>+</sup> T cells to cytotoxic T cells.

In humoral immune responses, the activation of B cells is mediated in a T cell-dependent or a T cell-independent manner (Y. J. Liu, Zhang, Lane, Chan, & MacLennan, 1991; Parker, 1993). The activated B cells differentiate into plasma cells that can produce soluble form of antibodies,



called immunoglobulins (Ig). Immunoglobulins comprise five classes, which are IgM, IgD, IgG, IgA and IgE. In addition to different classes of Ig, activated B cells express B cell receptors (BCR), a membrane-bound immunoglobulin with an intracellular signal transduction module.



**Figure 1.1. Heterogeneity in helper T cell fates.** Antigen recognition together with co-stimulatory signals and cytokines on naïve T cells initiates the differentiation to type 1 helper T cells (T<sub>H</sub>1 cells), type 2 helper T cells (T<sub>H</sub>2 cells), type 17 helper T cells (T<sub>H</sub>17 cells) or regulatory T cells (Treg). T<sub>H</sub>1 cells require IFN- $\gamma$  and IL-12 for development, and display a protective role against intracellular pathogens. T<sub>H</sub>2 cells, differentiated in the presence of IL-4, can support protection against parasitic infections. T<sub>H</sub>17 cells are developed in the presence of transforming growth factor  $\beta$  (TGF $\beta$ ), IL-6 and IL-23, and provide host defense against extracellular bacteria. In the absence of IL-6, TGF $\beta$  induces the differentiation of Treg cells, which regulate protective immune responses (The figure is adopted from (Reiner, 2007)).

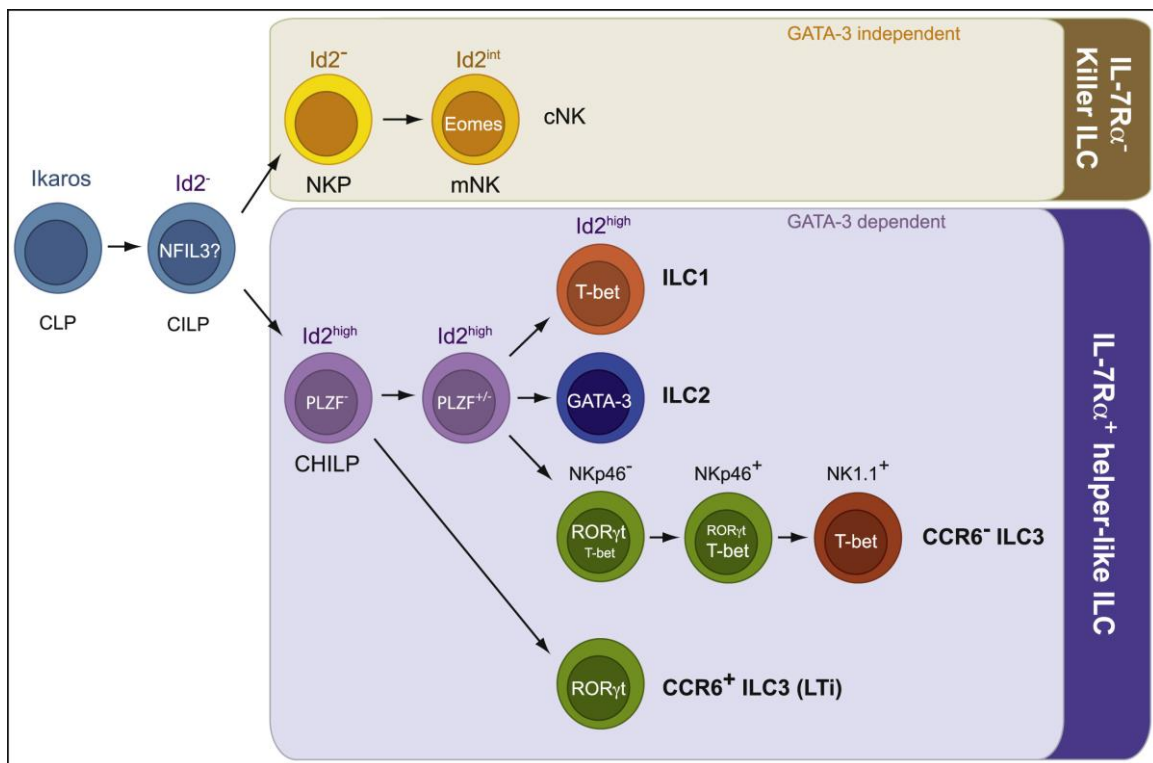
TCR or BCR repertoire determines the antigen specificity of T cells or B cells, respectively. The diversity of TCRs and BCRs is generated by somatic recombination of variable (V), diversity (D), and joining (J) gene segments, a process regulated by enzymes, namely recombination-activated genes (RAG1 and RAG2). Thus, the deficiency of RAG results in the absence of T cells and B cells in mice (Mombaerts et al., 1992; Shinkai et al., 1992). The combination of  $\alpha$  and  $\beta$  chains or  $\gamma$  and  $\delta$  chains increases further a variety of TCRs, while B cells form BCRs with two heavy chains and two light chains ( $\kappa$  and  $\lambda$  chains). B cells undergo three processes of Ig diversification, which are somatic hypermutation, class switch recombination, and gene conversion, and these processes maximize the potential antigen-binding specificities of TCRs and BCRs. Subsequently, self-reactive T cells or B cells are deleted upon the exposure

to self-antigens, which contributes to immune tolerance to self-molecules (Goodnow, Crosbie, Jorgensen, Brink, & Basten, 1989; C. A. Smith, Williams, Kingston, Jenkinson, & Owen, 1989).

Upon the first infection, naïve T cells differentiate into effector T cells, which can exert cytotoxicity and produce effector molecules. After the clearance of antigens, a part of effector T cells resides as long-lived memory T cells that comprise effector memory cells, central memory cells, and resident memory cells. Resident memory T cells continue to be situated in the inflamed tissue, whereas effector memory T cells and central memory T cells patrol in the circulation. Central memory T cells are also commonly found in secondary lymphoid organs due to the high expression of homing receptors. Upon the secondary infection, effector memory T cells can be recruited to infected tissues from circulation, and central memory T cells can be activated by antigen presentation of local APCs, followed by cell expansion and re-circulation to the infection site. On the other side, B cells are activated and develop to plasma cells, producing a large amount of antibodies, and memory B cells. Upon the secondary infection, memory B cells respond rapidly by producing high amounts of antibodies with higher affinity compared to naïve B cells. One of the applications of memory immune responses is vaccination, leading to a long-term protection against viruses or bacteria.

## 1.2 Innate lymphoid cells (ILCs)

Innate lymphoid cells (ILCs) are derived from common lymphoid progenitors (CLP) in fetal livers and adult bone marrow (Chea et al., 2016; Constantinides, McDonald, Verhoef, & Bendelac, 2014; Ishizuka et al., 2016; Klose et al., 2014). The development of ILCs initiates from common innate lymphoid progenitors (CILP), which is a downstream of CLP. CLIP segregates into two main lineages, NK progenitor (NKP) and common helper innate lymphoid precursor (CHILP). The transcription factor GATA3 is required for the development of helper-like ILCs, which are progenies of CHILP, while NK cells, derived from NKP, are independent of the GATA3 expression and require the presence of the transcription factor Eomes (Daussy et al., 2014; Serafini et al., 2014; Yagi et al., 2014) (Figure 1.2).



**Figure 1.2. The lineage map for killer ILC and helper-like ILC development.** CLP, common lymphoid progenitors; CILP, common innate lymphoid progenitor; NKP, NK progenitor; mNK, mature NK cells; cNK, conventional NK cells; CHILP, common helper innate lymphoid precursor; LTi, lymphoid-tissue inducer cells; Id2, inhibitor of DNA binding 2; NFIL3, nuclear Factor, interleukin 3 regulated (The figure is adopted from (Diefenbach, Colonna, & Koyasu, 2014)).

### 1.2.1 Classification of ILCs

ILCs are the first line defenders in innate immunity and considered innate counterparts of T cells. Similar to T cells, consisting of T helper cells and cytotoxic T cells, ILCs are classified into two groups. The first group comprises helper-like ILCs, including type 1 ILCs (ILC1s), type 2 ILCs (ILC2s), type 3 ILCs (ILC3s) and lymphoid-tissue inducer (LTI) cells, and the second group comprises killer ILCs, namely natural killer (NK) cells (Figure 1.3).

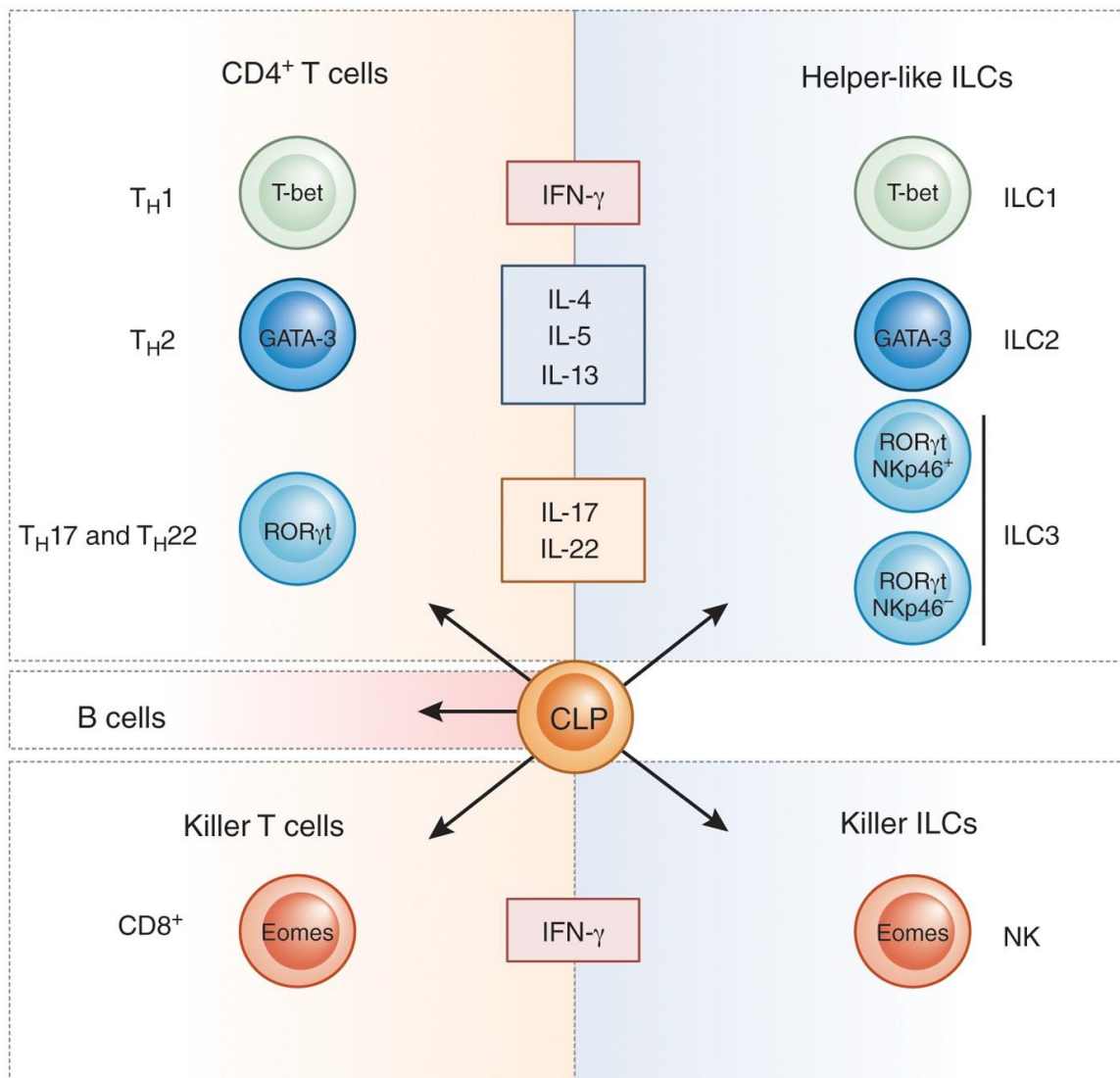
Tissue-resident ILC1s and conventional NK cells belong to group 1 ILCs. Although NK cells and ILC1s are derived from the separate developmental pathways (Figure 1.2), they present common features. For instance, NK cells and ILC1s express the transcription factor T-bet and they can produce inflammatory cytokines, such as IFN- $\gamma$  and TNF- $\alpha$ , upon activation. T-bet regulates the development and maturation of NK cells and ILC1s, as well as IFN- $\gamma$  production of NK cells (Daussy et al., 2014; Gordon et al., 2012; Townsend et al., 2004). In addition to the expression of T-bet, NK cells express the transcription factor Eomes, which is essential for NK cell development (Klose et al., 2014). NK cells are similar to killer CD8<sup>+</sup> T cells, concerning Eomes expression and IFN- $\gamma$  secretion (Figure 1.3). Both NK cells and killer CD8<sup>+</sup> T cells can be recruited from blood to inflammatory sites and carry out cytotoxic functions. On the other hand, ILC1s are found in organs, e.g. liver, intestine, and adipose tissue, and share similarities with CD4<sup>+</sup> T<sub>H</sub>1 cells, such as T-bet expression and high IFN- $\gamma$  production (Figure 1.3).

Comparable to CD4<sup>+</sup> T<sub>H</sub>2 cells, ILC2s are identified as GATA3-expressing ILCs. ILC2s express IL-33R (ST2), KLRG1 and CRTH2 on the cell surface (Vivier et al., 2018) and upon stimulation, they can produce type 2 cytokines, including IL-4, IL-5 and IL-13 (Figure 1.3). ILC2s are tissue-resident cells, found abundantly in mucosal area of airways and lungs. They play an essential role to support the host's defense and maintain tissue homeostasis in lungs (Bouchery et al., 2015; Gasteiger, Fan, Dikiy, Lee, & Rudensky, 2015; Halim et al., 2014; Y. Huang et al., 2018; Silver et al., 2016).

As a counterpart of CD4<sup>+</sup> T<sub>H</sub>17 and T<sub>H</sub>22 cells, group 3 ILCs are characterized by the expression of Ror $\gamma$ t, a transcription factor. Despite the common expression of Ror $\gamma$ t, ILC3s display heterogeneous population, including NKp46-expressing cells, NKp46-non-expressing cells, and CCR6-expressing LTI cells. Upon activation, group 3 ILCs can produce IL-17A, IL-17F, IL-22 or lymphotoxins (Figure 1.3). The major source of IL-22 in guts is group 3 ILCs, together with  $\gamma\delta$ T cells. The signaling of IL-22 in the small intestine sustains tissue homeostasis and immune responses by regulating epithelial cells and supporting resistance of colonization (Aparicio-Domingo et al., 2015; Goto et al., 2014; Gronke et al., 2019; Huber et al., 2012; Pham et al., 2014; Satoh-Takayama et al., 2008; Sonnenberg, Fouser, & Artis, 2011). Moreover,

intestinal group 3 ILCs are involved in T cell-mediated intestinal immune tolerance. As examples, group 3 ILCs could induce selective death of activated T cells and support Treg cells in the intestine, by producing IL-2 (Hepworth et al., 2015; L. Zhou et al., 2019).

In summary, helper-like ILCs are tissue-resident, thus, they are early innate responders against viruses, bacteria, fungi or parasites at the host barrier surfaces as well as important contributors for tissue repairing; meanwhile, NK cells are circulating innate lymphocytes, which can be recruited to infected tissues or tumors. They can mediate immune protection and tissue homeostasis by performing cytotoxicity.



**Figure 1.3. The diversity of innate lymphoid cells (ILCs) as a counterpart of T cells.** ILC1, ILC2, ILC3, and NK cells share similarities with CD4<sup>+</sup> T<sub>H</sub>1 cells, CD4<sup>+</sup> T<sub>H</sub>2 cells, CD4<sup>+</sup> T<sub>H</sub>17 and T<sub>H</sub>22 cells, and CD8<sup>+</sup> T cells. Cytokines and transcription factors expressed by each cell type are displayed. (The figure is adopted from (Eberl, Di Santo, & Vivier, 2015)).

### 1.2.2 Group 1 ILC population in different organs

In murine livers, group 1 ILCs, defined as CD3<sup>-</sup> NK1.1<sup>+</sup> NKp46<sup>+</sup> cells, comprise approximately 10-20% of intrahepatic lymphocytes (Tian, Chen, & Gao, 2013). Conventional NK cells express CD49b (DX5), a type of integrin, on the cell surface, whereas hepatic ILC1s (tissue-resident NK cells) express CD49a on the cell surface. Initially, CD49a-expressing ILC1s were considered immature NK cells due to the low expression of CD11b and high expression of CD27 (Abel, Yang, Thakar, & Malarkannan, 2018). Later, it was discovered that ILC1s and NK cells are originated from the different progenitors. Hepatic ILC1s can be further characterized with the high expression of TRAIL, CD200R, and CXCR6 on the cell surface; whereas CD49b-expressing NK cells do not express those molecules, but instead express Eomes, CD62L and Ly49 receptors (Jiao, Huntington, Belz, & Seillet, 2016; Robinette et al., 2015). In addition to livers, CD49b<sup>-</sup> CD49a<sup>+</sup> ILC1s are found in other tissues, such as skin and uterus (Sojka et al., 2014).

In adipose tissues, group 1 ILCs consist of heterogeneous subpopulations, including CD49a<sup>+</sup> CD49b<sup>-</sup> cells, CD49a<sup>-</sup> CD49b<sup>+</sup> cells, and CD49a<sup>-</sup> CD49b<sup>-</sup> cells (Liou et al., 2014; O'Sullivan et al., 2016). Each subpopulation shows diverse surface expression of the inhibitory receptors KLRG1, and NK cell maturation markers, such as CD27 and CD11b, as well as differential effector functions (O'Sullivan et al., 2016).

Group 1 ILCs comprise NK1.1<sup>+</sup> NKp46<sup>+</sup> CD127<sup>-</sup> NK cells and NK1.1<sup>+</sup> NKp46<sup>+</sup> CD127<sup>+</sup> ILC1s in murine spleen. NK1.1<sup>+</sup> NKp46<sup>+</sup> CD127<sup>-</sup> NK cells are the major population of group 1 ILCs in spleens, comprising more than 90% of group 1 ILCs (Robinette et al., 2015; Sojka et al., 2014). Through the comparison of gene expression analyzed by Principal component analysis (PCA), splenic NK cells and hepatic NK cell clustered closely, among various types of ILC1s obtained from different organs (Robinette et al., 2015). This indicates that a large number of genes were commonly expressed in splenic and hepatic NK cells. Besides, NK cells in spleens and livers express Eomes and Ly49D at protein level (Sojka et al., 2014; H. Sun, Sun, Tian, & Xiao, 2013). Similar to spleens, group 1 ILCs are mainly composed of NK cells in lungs. Lung NK cells present similarities with hepatic NK cells and splenic NK cells, e.g. the expression of Eomes and Ly49 receptor family (H. Sun et al., 2013).

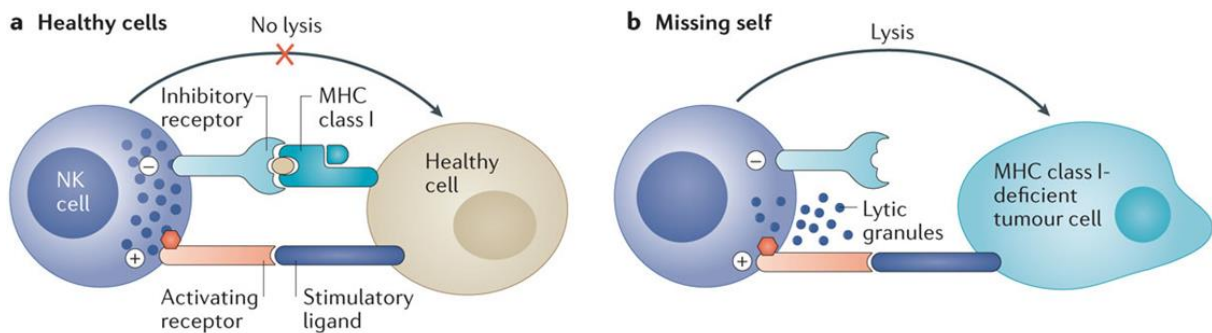
### 1.2.3 Group 1 ILC activation

In contrast to T cells or B cells, where antigen-specific receptors play important roles for the activation, the balance between signals from activating receptors and signals from inhibitory receptors is crucial for NK cells (Table 1.1). For instance, murine NK cells express activating receptors, such as NKp46 and NKG2D, and the cross-linking of these receptors can promote

activation, proliferation and IFN- $\gamma$  release by NK cells (Jamieson et al., 2002; Reichlin & Yokoyama, 1998; Smyth et al., 2004). Inhibitory receptors, such as Ly49 receptors on mouse NK cells and killer cell immunoglobulin-like receptors (KIRs) or CD94/NKG2A on human NK cells, are expressed on the surface (Table.1.1). When these receptors encounter MHC class I molecules on normal and healthy cells, NK cells do not conduct lysis of target cells due to inhibitory signals (Figure 1.4). Target cells, which downregulated or lack MHC class I expression, can be detected and eliminated by NK cells, proposed as “missing-self” recognition (Karlhofer, Ribaud, & Yokoyama, 1992; Ljunggren & Karre, 1990). The balance of numerous signals transmitted from activating and inhibitory receptors regulate the activation and effector functions of NK cells (Guia, Fenis, Vivier, & Narni-Mancinelli, 2018). Similar to NK cells, ILC1s express a lectin-like receptor NK1.1, and activating receptors, such as NKp46 and DNAM-1, and the engagement of these receptors initiates the activation and IFN- $\gamma$  secretion. However, inhibitory receptors, such as Ly49 receptors, are not expressed on ILC1s, suggesting that ILC1s do not perform “missing-self” recognition (Klose & Artis, 2020).

**Table 1.1. Receptors and ligands on NK cells (The table is adopted from (Paul & Lal, 2017)).**

Type	Receptors	Ligands	Species
Activating receptors	NKG2D	Rae-1a-e, MULT-1, H60 MIC-A/B, ULBP1-4	Mouse Human
	CD94-NKG2C	Qa1b HLA-E	Mouse Human
	Ly49D	H-2D <sup>d</sup>	Mouse
	Ly49H	m157 of MCMV	Mouse
	NKp30	B7H6, BAT3, pp65 of HCMV	Human
	NKp46	Heparin, viral hemagglutinin (HA) and he- magglutinin-neuraminidase (HN)	Mouse/Human
	NKp44	Viral HA and HN, proliferating cell nuclear antigen (PCNA), proteoglycans	Mouse/Human
	DNAM-1	CD112, CD155	Mouse/Human
Inhibitory receptors	Ly49A	H-2D <sup>b,d,k,p</sup> , H-2M3	Mouse
	Ly49C	H-2D <sup>b,d,k</sup> , H-2K <sup>b,d,k</sup> , m157 of MCMV	Mouse
	KIR2DL1-3	HLA-C1,2	Human
	KIR3DL1-2	HLA-Bw4, HLA-A3, -A11	Human
	CD94-NKG2A	Qa1b HLA-E	Mouse Human
	CD244 (2B4)	CD48	Mouse/Human



**Figure 1.4. Activating and inhibitory signals on NK cells.** (a) NK cell recognition of healthy cells. (b) “Missing-self” recognition (The figure is adopted from (Morvan & Lanier, 2016)).

Additionally, various cytokines can induce the activation of NK cells and ILC1s. Both NK cells and ILC1s express surface receptors of pro-inflammatory cytokines, such as IL-12, IL-15 and IL-18 (Robinette et al., 2015). The stimulation through these receptors triggers early immune responses of NK cells, e.g. production of IFN- $\gamma$ , TNF- $\alpha$ , or IL-2, during tumor growth/metastasis or parasite/viral infection (Ferlazzo et al., 2004; Gazzinelli et al., 1994; Hashimoto et al., 1999; Hyodo et al., 1999; Kodama et al., 1999; B. Liu et al., 2004; K. S. Wang, Frank, & Ritz, 2000). The secretion of IFN- $\gamma$ , TNF- $\alpha$ , and GM-CSF by ILC1s were mediated by the engagement of pro-inflammatory cytokines and their receptors (Mortha & Burrows, 2018; Vivier et al., 2018). Type I IFNs, such as IFN- $\alpha$  and IFN- $\beta$ , which are abundantly produced by myeloid cells in viral infections, was reported to regulate NK cell expansion and responses (Kwaa, Talana, & Blankson, 2019; Madera et al., 2016; Nguyen et al., 2002; Orange & Biron, 1996; Swann et al., 2007). IL-10, a pleiotropic molecule, was reported to enhance NK cell cytotoxicity against tumor cells (Mocellin et al., 2004; J. Y. Park et al., 2011) and effector functions via metabolic reprogramming of NK cells (Z. Wang et al., 2021). Furthermore, cytokine production and cytotoxicity of NK cells were effectively supported by IL-10, in the presence of inflammatory cytokine, such as IL-15 or IL-18 (Cai, Kastelein, & Hunter, 1999; J. Y. Park et al., 2011). However, other studies described the opposite impact of IL-10 on NK cells. IL-10 and TGF $\beta$  were demonstrated to support limited functionalities of NK cells, such as cytolytic activity and IFN- $\gamma$  production, upon stimulation with cytokine and in diseases (D'Andrea et al., 1993; Lassen, Lukens, Dolina, Brown, & Hahn, 2010; Rook et al., 1986; Stacey, Marsden, Wang, Wilkinson, & Humphreys, 2011; Viel et al., 2016).

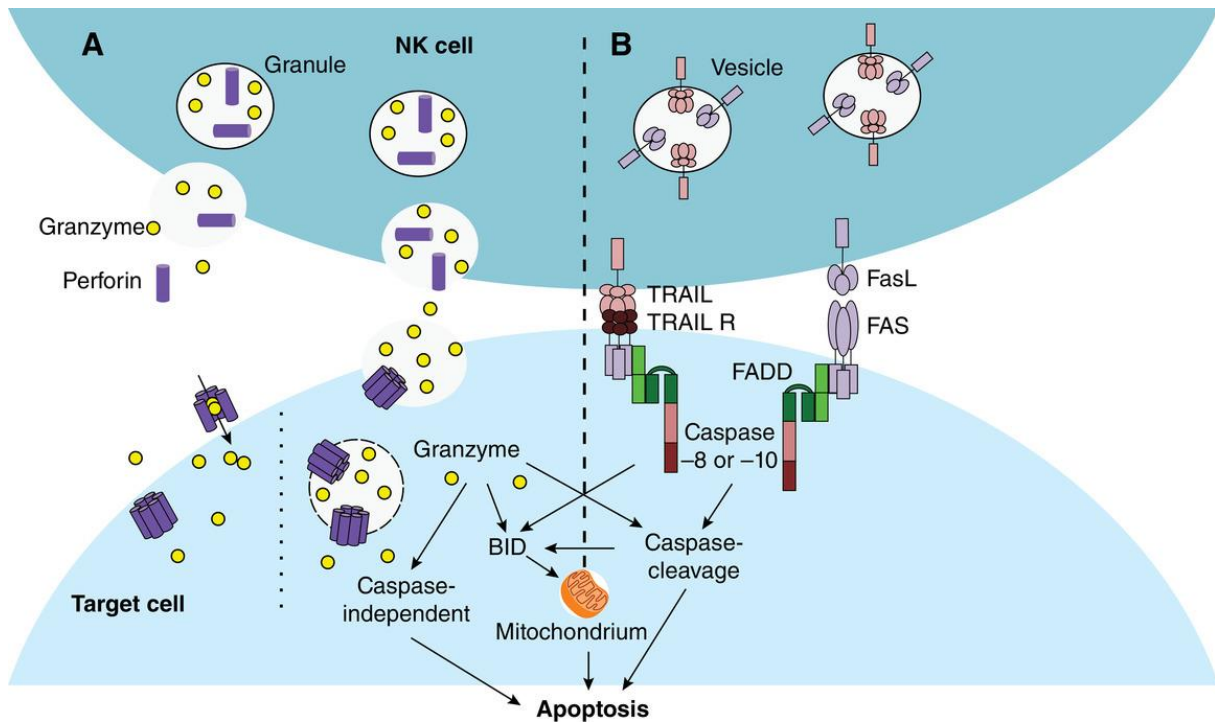
NK cells can be activated in response to stimulation with receptors and/or cytokines, and the activated NK cells perform cytotoxicity using several distinct mechanisms. For example, mechanisms include the lysis of target cells-mediated by perforin and granzymes, and the apoptosis of target cells induced via death receptor-ligand engagement (Prager & Watzl, 2019).



#### 1.2.4 NK cell cytotoxicity

The chemokines released from inflamed tissue or tumor attract circulatory NK cells to the sites (Bernardini, Gismondi, & Santoni, 2012; Maghazachi, 2010; Vitale, Cantoni, Pietra, Mingari, & Moretta, 2014). In contact with target cells, recruited NK cells can destroy susceptible target cells via perforin/granzyme-mediated lysis or death receptor-initiated apoptosis (Figure 1.5). Perforin and granzyme are stored in lytic granules that translocate to the contact site with target cells, and secrete their content toward target cells upon the fusion of granules to target cell membrane. This process is called “degranulation”. Upon degranulation, NK cells express lysosomal-associated membrane protein 1 (LAMP1, CD107a) and lysosomal-associated membrane protein 2 (LAMP2, CD107b) on the surface (Aktas, Kucuksezer, Bilgic, Erten, & Deniz, 2009); thus, CD107a and CD107b can be used as functional markers of NK cell activity (Alter, Malenfant, & Altfeld, 2004; Kannan et al., 1996). Perforin and granzymes play an important role in NK cell-mediated tumor killing to decrease susceptibility to virus (Andoniou et al., 2014; Mullbacher et al., 1999; Smyth et al., 1999; van den Broek, Kagi, Zinkernagel, & Hengartner, 1995). Perforin is a membrane-disrupting protein, which can form pores on target cell membrane and enable passive diffusion of granzymes into target cells (Lieberman, 2003). Granzymes are a family of 10 granule serine protease, including granzyme A, B, C, D, E, F, G, H, K, and M. Granzyme B is the most abundant type in mice and human, and it initiates mitochondrial damage-induced cell death via caspase-dependent and -independent pathways (Alimonti, Shi, Baijal, & Greenberg, 2001; V. K. Chiu, Walsh, Liu, Reed, & Clark, 1995; Metkar et al., 2003; Trapani et al., 1998; G. Q. Wang et al., 2001) (Figure 1.5A).

NK cells express ligands of tumor necrosis factor (TNF) family, such as Fas ligand (FasL) and TNF-related apoptosis inducing ligand (TRAIL) (Dostert, Grusdat, Letellier, & Brenner, 2019). FasL or TRAIL can bind to Fas or TRAIL receptor (TRAIL-R) on target cells respectively, resulting in apoptotic signal transduction via caspase pathway (Figure 1.5B). Several studies revealed that pro-inflammatory cytokines, such as IL-2 and IL-15, enhanced surface expression of TRAIL and FasL on murine NK cells, and both murine and human NK cells performed TRAIL- or FasL-induced cytotoxicity against tumor cells (Kashii, Giorda, Herberman, Whiteside, & Vujanovic, 1999; Kayagaki et al., 1999; Screpanti, Wallin, Grandien, & Ljunggren, 2005; Zamai et al., 1998). Compared to NK cells, ILC1s preferentially express death-receptor ligand TRAIL in both human and mice (Stegmann et al., 2016; Takeda et al., 2005), and execute TRAIL-mediated cytotoxicity against target cells (Sag, Ayyildiz, Gunalp, & Wingender, 2019). Furthermore, human ILC1s from healthy donors showed death receptor Fas (CD95) expression on the cell surface (Zhao et al., 2018). However, the role of Fas on ILC1s remains to be further investigated.



**Figure 1.5. Two mechanisms of NK cell-induced target cell killing.** (A) Perforin/granzyme-mediated cytotoxicity. (B) Death receptor-mediated cytotoxicity via TRAIL/TRAIL-R or FasL/Fas axis (The figure is adopted from (Prager & Watzl, 2019)).

Additionally, NK cells implement antibody-dependent cellular cytotoxicity (ADCC), a cytotoxicity mediated by immune cells with FcR3 (CD16A) (Leibson, 1997). IgG antibodies bind to antigens on the surface of target cells, and FcR3 recognizes Fc fragment of cell-bound antibodies. The engagement of FcR3 induces tyrosine kinase activities and transcriptional changes, resulting in lytic granule-induced cytotoxicity against target cells (Einspahr, Abraham, Binstadt, Uehara, & Leibson, 1991; Vivier et al., 1991). NK cell-mediated ADCC plays a crucial role in anti-tumor or anti-viral therapies. As examples, monoclonal antibodies binding to tumor-associated antigens (TAA), e.g. Rituximab (anti-CD20), trastuzumab (anti-HER2), Cetuximab (anti-EGFR), and Daratumumab (anti-CD38), are exploited as treatment of different types of cancers (Ochoa et al., 2017).

### 1.2.5 NK cell cytokine production

NK cells can produce a set of soluble molecules, including IFN- $\gamma$ , TNF- $\alpha$ , GM-CSF and IL-10 (Perussia, 1996). IFN- $\gamma$  was initially detected in human NK cells and CD3<sup>+</sup> T cells upon stimulation with IL-2 (Ortaldo et al., 1984; Trinchieri et al., 1984). IFN- $\gamma$  belongs to the type II interferon (IFN) family, and is a pleiotropic pro-inflammatory cytokine, exerting antiviral and anti-tumor functions (Jorgovanovic, Song, Wang, & Zhang, 2020). The absence of IFN- $\gamma$  signaling enhanced a susceptibility of host to infection (S. Huang et al., 1993; B. Lu et al., 1998). In the tumor microenvironment (TME), IFN- $\gamma$  was shown to trigger the apoptosis of tumor cells (Poggi, Massaro, Negrini, Contini, & Zocchi, 2005; Ross & Caligiuri, 1997; R. Wang, Jaw, Stutzman, Zou, & Sun, 2012). Along with the direct effect of IFN- $\gamma$  on target cells, IFN- $\gamma$  can shape immune responses through the education of immune cells. IFN- $\gamma$  supports transition of naïve T cells to T<sub>H</sub>1 cells via the upregulation of the transcription factor T bet (Muller et al., 2017; Szabo et al., 2000). Furthermore, IFN- $\gamma$  was reported to increase the expression of MHC molecules on myeloid cells, which could promote pathogen-derived antigen recognition (Pan et al., 2004; Russell, Dudani, Krishnan, & Sad, 2009; Weidinger, Henning, ter Meulen, & Niewiesk, 2001). In combination with IFN- $\gamma$ , TNF- $\alpha$  was displayed to play a protective role upon different bacterial infections (Doherty et al., 1992; Nakane, Okamoto, Asano, Kohanawa, & Minagawa, 1995; Nakano, Onozuka, Terada, Shinomiya, & Nakano, 1990), and to induce maturation of myeloid cells, such as DCs (Ferlazzo et al., 2002; Gerosa et al., 2002).

GM-CSF is a member of the colony-stimulating factor (CSF) family, along with M-CSF and G-CSF. The main sources of GM-CSF are epithelial cells, endothelial cells and fibroblasts (Becher, Tugues, & Greter, 2016). Upon stimulation of the receptors CD16 and NKp30, uterine decidual NK cells upregulated the expression of Csf2 (encoding GM-CSF), and NK cells isolated from peripheral blood release GM-CSF (Aste-Amezaga, D'Andrea, Kubin, & Trinchieri, 1994; Cuturi et al., 1989; El Costa et al., 2008; Jokhi, King, Sharkey, Smith, & Loke, 1994). Stimulatory cytokines, such as IL-12 and IL-18, were reported to induce GM-CSF production by NK cells (Aste-Amezaga et al., 1994; Brady et al., 2010). GM-CSF is considered an important cytokine that supports the homeostasis and differentiation of DCs upon inflammation or in *in vitro* culture (Caux et al., 1996; Greter et al., 2012; Inaba, Inaba, et al., 1992; Inaba, Steinman, et al., 1992). Furthermore, GM-CSF is reported to boost the progression of diseases, such as arthritis and multiple sclerosis (Campbell et al., 1998; Cook et al., 2012; McQualter et al., 2001). Louis et al. showed that GM-CSF released by synovial NK cells contributed to arthritis progression through the promotion of inflammatory cell infiltration (Louis et al., 2020). However, the roles of GM-CSF derived from NK cells in different diseases are not fully uncovered.

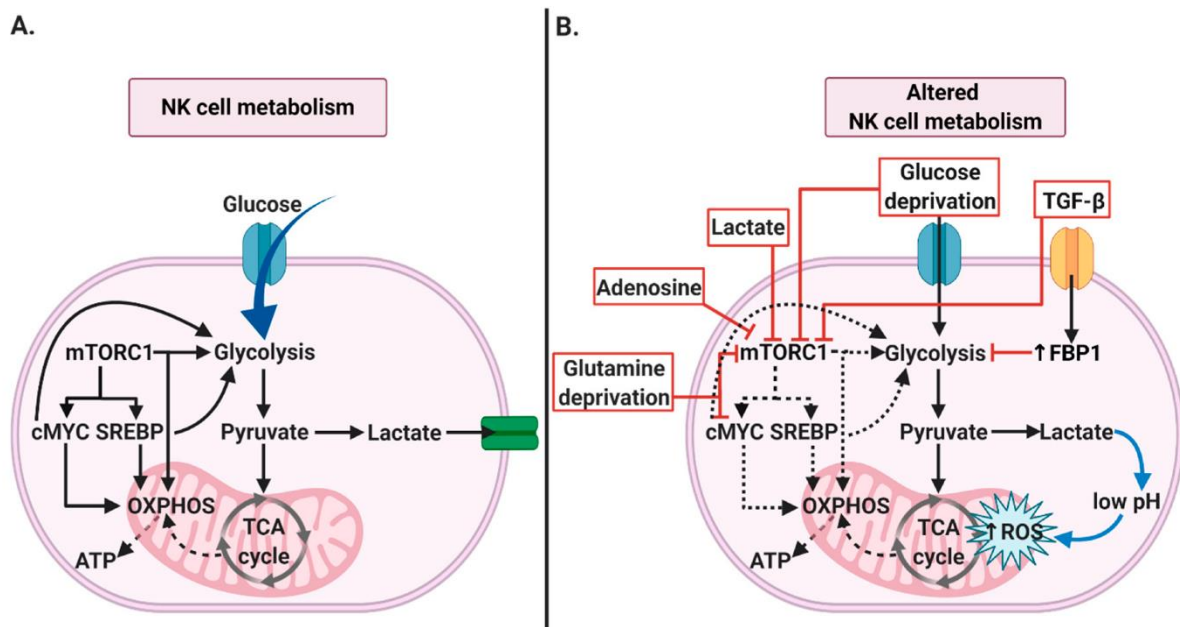
In the early stage of infection, NK cells were reported to produce pro-inflammatory cytokines, while, in the late phase, they produce immunosuppressive cytokine, such as IL-10 (Rojas, Avia, Martin, & Sevilla, 2017). Clark et al. showed the switch of cytokine production of NK cells, from IFN- $\gamma$  to IL-10, after infection with *Listeria monocytogenes* (Clark et al., 2016). Other studies reported the ability of NK cells to secrete IL-10 in several bacterial and viral infections (Ali, Komal, Almutairi, & Lee, 2019; Clark, Schmidt, Aguilera, & Lenz, 2020; Jensen et al., 2021; Perona-Wright et al., 2009; Wagage et al., 2014). As an anti-inflammatory molecule, IL-10 can regulate immune responses and protect the host from tissue damage. Jensen et al. demonstrated IL-10 produced by NK cells was beneficial to enhance host survival in sepsis models (Jensen et al., 2021). However, the regulatory mechanism mediated by IL-10 can increase the host susceptibility to infection through enabling pathogens to evade immunity. Research showed that NK cell-derived IL-10 restricted the activation of virus specific-T cells, resulting in a high risk of infection (Brockman et al., 2009; H. Li et al., 2018). The production of IL-10 by NK cells could be regulated by several mechanism, e.g. by aryl hydrocarbon receptor (AHR), Signal transducer and activator of transcription 3 (STAT3) or STAT4 (Clark, Burrack, Jameson, Hamilton, & Lenz, 2019; Grant et al., 2008; Wagage et al., 2014).

### 1.2.6 NK cell metabolism

To provide energy for the relevant effector functions, NK cells regulate their metabolism in steady-state and upon activation. Quiescent NK cells show a low rate of glycolysis combined with a low activity of mitochondrial oxidative phosphorylation (OXPHOS) (Loftus et al., 2018; Slattery et al., 2021), whereas activated NK cells increase glucose uptake, and enhance glycolysis and OXPHOS, in order to generate adenosine triphosphates (ATP) as energy sources (Gardiner & Finlay, 2017).

Upon cytokine-stimulation, several papers reported that the mTOR pathway is a key regulator of NK cell metabolism and cytotoxic functions (Donnelly et al., 2014; Mao et al., 2016; Marçais et al., 2014). For instance, mouse and human NK cells, which presented a low rate of metabolism *ex vivo*, were reported to increase glycolysis and glucose uptake in a mTOR-dependent manner, during the short-term stimulation (18 hours) with IL-2/IL-12 (mouse) or IL-2/IL-15 (human) (Donnelly et al., 2014; Keating et al., 2016). This metabolic transition to glycolysis from OXPHOS was crucial for the secretion of IFN- $\gamma$  and Granzyme B by NK cells (Donnelly et al., 2014). In contrast, Marçais et al. showed that NK cell metabolism was maintained upon short-term exposure to IL-15, while the stimulation with IL-15 for 5 days enhanced both glycolysis and OXPHOS of NK cells via the mTOR pathway (Marçais et al., 2014). In addition to glycolysis and OXPHOS, L-amino acid transport and de novo polyamine synthesis are required to maintain metabolic and functional responses in cytokine-activated NK cells (Loftus et al., 2018;

O'Brien et al., 2021). Activated NK cells displayed higher expression of CD71 (transferrin receptor) and CD98 (amino acid transporter), and enhanced glucose uptake, shown as a higher amount of 2-NBDG (an analog of glucose), compared to resting NK cells. In accordance to Marçais et al., Keppel et al. showed that the short-term stimulation with IL-12/IL-18 or via activating receptors (4 hours and 6 hours respectively) did not change metabolic activities of NK cells (Keppel, Saucier, Mah, Vogel, & Cooper, 2015). IFN- $\gamma$  production upon receptor triggering was regulated by mitochondrial respiration, but IFN- $\gamma$  production triggered by IL-12/IL-18 was shown to be independent of glycolysis and mitochondrial respiration. On the contrary, two studies illustrated that restricted glycolysis and mitochondrial respiration negatively regulated effector functions of NK cells that were previously exposed to cytokines (Assmann et al., 2017; Kedia-Mehta et al., 2021).



**Figure 1.6. NK cell metabolism upon activation and in disease.** (A) Upon activation, NK cells utilize glucose for glycolysis and oxidative phosphorylation (OXPHOS). Key regulators of glycolysis and OXPHOS, such as mTORC1, cMYC, and SREBP, are displayed. (B) NK cell metabolism is altered in disease, including cancer. Signaling via TGF $\beta$ , lactate, or adenosine, and deprivation of glucose or glutamine induce alteration in NK cell metabolism (The figure is adopted from (Domagala et al., 2020)).

NK cell metabolism is influenced by the microenvironment, which can be determined by the health status of individuals (Figure 1.6). For instance, tumor cells can cause glucose deprivation and lactate abundance, along with a low extracellular pH (Kato et al., 2013). Tumor cells engineered to produce less amount of lactates progressed slowly, and in this tumor microenvironment (TME), NK cells and T cells displayed enhanced IFN- $\gamma$  secretion (Brand et al., 2016). Additionally, NK cells obtained from breast cancer patients displayed a lower glycolytic capacity and respiration, as well as dysfunctional mitochondria, compared to NK cells obtained from

healthy individuals (Slattery et al., 2021). The blockade of TGF $\beta$ , a cytokine abundantly found in the TME, could restore the malfunction of cancer patient-derived NK cells (Slattery et al., 2021; Zaiatz-Bittencourt, Finlay, & Gardiner, 2018). Viral infections, where NK cells can perform cytotoxicity to restrict the spread of virus rapidly, create an environment that modulates mitochondrial morphology and metabolism of NK cells. For instance, after 7 days of viral infections, Ly49H-expressing NK cells showed a reduced membrane potential and increased production of reactive oxygen species (ROS), indicating potential metabolic changes in NK cells (O'Sullivan, Johnson, Kang, & Sun, 2015). Upon acute viral infection, activated NK cells, which could produce inflammatory cytokines, showed upregulated glycolysis and OXPHOS, along with increased CD98 and CD71 expression, and they required amino acid and iron availability for cytotoxicity and cytokine production (Littwitz-Salomon et al., 2021).

In obese individuals, NK cells are constantly exposed to a low-level inflammation, and high amounts of adipokines and fatty acids. It has been reported that individuals with obesity displayed impaired NK cell functions, such as reduced secretion of lytic molecules and cytotoxicity against tumor cell (Tobin et al., 2017). Michelet et al. showed that NK cells obtained from obese subjects accumulated lipid via a PPAR $\alpha$ - and PPAR $\delta$ -axis, resulting in a loss of effector functions (Michelet et al., 2018).

### 1.2.7 NK cells as regulators of adaptive immunity

NK cells can be recruited to inflamed tissue and exert cytotoxicity, as well as they can interact with other types of innate immune cells. Literature demonstrated that interaction between NK cells and dendritic cells (DCs) mediates innate immune responses and is important to develop T cell-mediated immune responses (Walzer, Dalod, Robbins, Zitvogel, & Vivier, 2005). *In vitro* and *in vivo* studies observed that the interaction between NK cells and DCs could empower activation and proliferation of NK cells, cytokine production from both DCs and NK cells, and maturation of DCs (Ferlazzo et al., 2002; Gerosa et al., 2002; Osada et al., 2001; Piccioli, Sbrana, Melandri, & Valiante, 2002; Yu et al., 2001). In detail, the engagement of NK cells and DCs induced the production of pro-inflammatory cytokines, such as IL-12 and IL-18 by DCs, and these cytokines were regulators of IFN- $\gamma$  production and lytic activity of NK cells (Borg et al., 2004; Gerosa et al., 2002; Yu et al., 2001). IFN- $\gamma$  or TNF- $\alpha$  produced by NK cells triggered maturation of immature DCs, resulting in the upregulation of CD83, CD86 and MHC I molecule (Piccioli et al., 2002; Vitale et al., 2005).

In contrast to supporting DC maturation, NK cells could restrict DC functions by killing immature dendritic cells (iDCs), when the ratio of NK cells to DCs is high (Carbone et al., 1999; Ferlazzo

et al., 2002; Piccioli et al., 2002; Wilson et al., 1999). Several pathways were reported to participate in NK cell-mediated DC elimination. For example, DC elimination could be triggered by NK cell activation via NKp30 engagement in human (Ferlazzo et al., 2002), and via DNAM-1/CD155 interaction (Seth et al., 2009), TRAIL-induced apoptosis (Hayakawa et al., 2004), and NK recognition of DCs expressing reduced MHC class I molecules (Carbone et al., 1999; Della Chiesa et al., 2003). On the other hand, TGF $\beta$ 1 downregulated activating receptor expression, such as NKp30 and NKG2D, resulting in the inefficient DC killing by NK cells (Castriconi et al., 2003).

Researches showed the importance of crosstalk between NK cells and DCs in a reciprocal manner to activate both cells upon viral infections, bacterial infection and tumors. As examples, NK cells expressing Ly49 receptors were required to maintain CD8 $\alpha^+$  DCs, and reciprocally these NK cells required the presence of CD8 $\alpha^+$  DCs for expansion (Andrews, Scalzo, Yokoyama, Smyth, & Degli-Esposti, 2003). Contact between NK cells and virus-infected DCs via activating receptors NKp46 and NKG2D was essential for increased CD69 expression and IFN- $\gamma$  production by NK cells (Draghi et al., 2007). NK cell interaction with bacteria-infected DCs could improve NK cell activation and the ability to remove immature DCs (Ferlazzo et al., 2003), implying improved immune responses against bacterial infection. In tumor-bearing mice, DCs triggered NK cell-mediated anti-tumor immunity, and *in vitro*, the contact between DCs and NK cells enhanced the cytotoxicity and IFN- $\gamma$  production of NK cells (Fernandez et al., 1999). Furthermore, Buentke et al. and Parolini et al. reported the close contact of NK cells and DCs in atopic dermatitis-like skin lesion and in inflamed dermal endothelium (Buentke et al., 2002; Parolini et al., 2007). In addition, NK cell-mediated killing was enhanced against DCs cultured with *Malassezia*, a yeast-allergen inducing atopic eczema and dermatitis (Buentke et al., 2002). Yet, NK cells obtained from hepatitis C virus-infected patients negatively regulated DC maturation via engagement of the inhibitory receptor CD94/NKG2A (Jinushi et al., 2004).

Maturation of DCs can be induced by interaction with NK cells, and mature DCs were reported to migrate to lymph nodes in a CCR7-dependent manner (Jang et al., 2006; Ohl et al., 2004). In lymph nodes, mature DCs can potentiate the development of naïve T cells (Lanzavecchia & Sallusto, 2001). Several researches examined that DCs conditioned by the interaction with NK cells could modulate T cell priming. NK cells activated with tumor cells could induce DC maturation and IL-12 release by DCs (Mailliard et al., 2003; Mocikat et al., 2003). IL-12 produced by DCs differentiated naïve T cells to T<sub>H</sub>1 cytokine-producing cells (Mailliard et al., 2003), and enhanced CD8 $^+$  T cell memory responses upon tumor challenges (Mocikat et al., 2003). In uveitis, IFN- $\gamma$ -producing NK cells were recruited to draining lymph nodes by DCs in a CXCR3-dependent manner (Chong et al., 2015). This interaction between NK cells and DCs

induced IL-27 production by DCs, and IL-27 triggered IL-10 release by T-bet-expressing CD4<sup>+</sup> T cells, which could alleviate disease.

In addition to the indirect effect of NK cells on T cell priming via the crosstalk with DCs, it is demonstrated that NK cells can directly regulate T cell responses. First, studies showed that IFN- $\gamma$  produced by NK cells was essential for T<sub>H</sub>1 polarization and type 1 cytokine production *in vitro* (Martin-Fontecha et al., 2004; Morandi, Bougras, Muller, Ferlazzo, & Munz, 2006) and during *L. major* infection (Laouar, Sutterwala, Gorelik, & Flavell, 2005). In contrast, it was reported that NK cells could negatively regulate T cell responses. As an example, in MCMV-infected animals, IL-10 released by NK cells limited CD8<sup>+</sup> T cell responses, leading to the protective effect against MCMV infection (S. H. Lee, Kim, Fodil-Cornu, Vidal, & Biron, 2009). NK cells eliminated CD4<sup>+</sup> T cells and CD8<sup>+</sup> T cells *in vitro* via NKG2D-NKG2D-L axis, resulting in the inhibition of T cell responses (Cerboni et al., 2007; Rabinovich et al., 2003). Additionally, NK cell-mediated T cell killing was observed in the virus-infected animals (Crouse et al., 2014; Lang et al., 2012; Peppas et al., 2013; Waggoner, Cornberg, Selin, & Welsh, 2011; Waggoner, Taniguchi, Mathew, Kumar, & Welsh, 2010; Xu et al., 2014). In detail, T cell killing was facilitated by the absence of receptor 2B4 on NK cells, the increased TRAIL-R expression on T cells, and the upregulated expression of NCR1 (NKp46) ligand (Crouse et al., 2014; Peppas et al., 2013; Waggoner et al., 2010). Furthermore, the interaction of Qa-1-NKG2A prohibited the lysis of activated T cells by NK cells, and the absence of Qa-1 on CD4<sup>+</sup> T cells could lead to T cell elimination in a NK cell-dependent manner (L. Lu et al., 2007; Xu et al., 2017). On the other hand, type I IFN signaling could protect T cells from lysis by NK cells (Crouse et al., 2014; Xu et al., 2014).



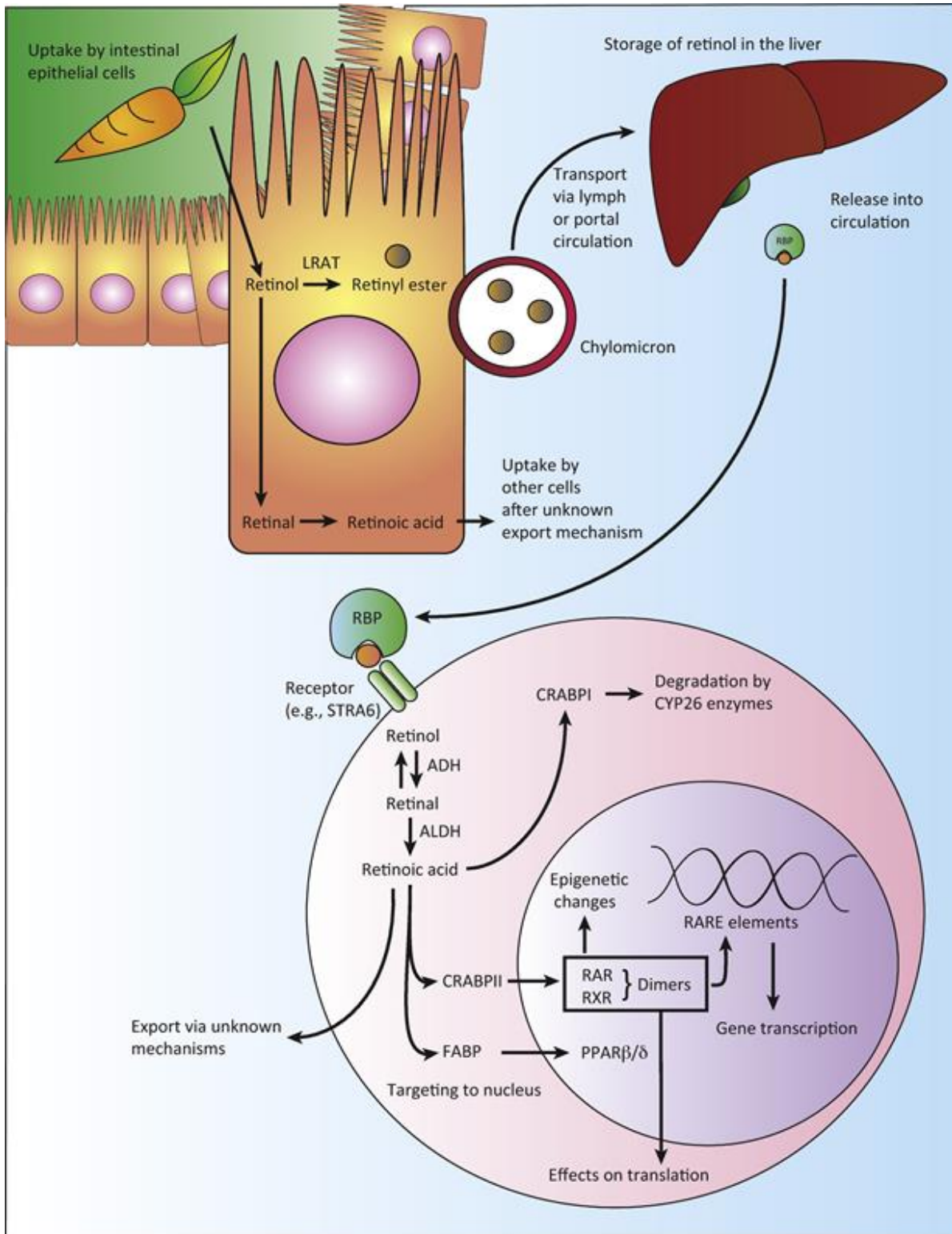
### 1.3 Vitamins

Vitamins are micronutrients found in plant-derived food and animal products in small amounts. They are not main sources of energy production, but still essential for well-being. Vitamins comprise water-soluble and lipid-soluble molecules. Water-soluble vitamins include the vitamin B family and vitamin C (ascorbic acid). Vitamins B are crucial cofactors for carbohydrate and protein metabolism, mitochondrial electron transport, and nucleic acid formation. Vitamin C is a potent antioxidant and needed for synthesis of carnitine. Vitamin A, D, E, and K are lipid-soluble and stored in adipose tissue. The main role of vitamin A and E is performing antioxidant activities. Vitamin D, which can be synthesized in skin during sunlight exposure, is responsible for calcium absorption and bone metabolism. Vitamin K regulates protein synthesis and is involved in blood clotting.

#### 1.3.1 Vitamin A metabolism

Vitamin A is a lipid-soluble micronutrient, found in diets containing carotenoids and retinyl esters. In intestinal lumen, retinyl esters and carotenoids are hydrolyzed to retinol and absorbed by intestinal epithelium cells, called enterocytes (Blomhoff, Green, Berg, & Norum, 1990). Absorbed retinol is partially oxidized to retinal by alcohol dehydrogenase (ADH), and retinal is further oxidized to retinoic acid (RA) by retinal dehydrogenase (RALDH) in CD103<sup>+</sup> DCs. Rest of retinol is esterified to retinyl esters by lecithin retinol acyltransferase (LRAT) or acyl-CoA retinol acyltransferase (ARAT). Retinyl esters are loaded to chylomicrons in order to enter blood circulation, and transported mainly to liver, but as well to bone marrow, lung and heart (Blomhoff, Helgerud, Rasmussen, Berg, & Norum, 1982; Hussain et al., 1989).

In liver, hepatocytes uptake and hydrolyze retinyl esters from chylomicrons to retinol. Retinol binding protein 4 (RBP4), produced by hepatocytes, binds to retinol (Muenzner et al., 2013). Retinol bound to RBP4 can be secreted back to the circulation, depending on the extracellular retinol concentration, and after the secretion, retinol is absorbed via the stimulated by retinoic acid 6 (STRA6) receptor, expressed on stromal cells and myeloid cells. The remaining retinol is transported and stored in hepatic stellate cells (HSCs), as retinyl esters, or converted to retinoic acid (RA) by ADH and RALDH (Blomhoff et al., 1990). Converted RA engages with retinoic acid receptor (RAR), retinoid X receptor (RXR) or peroxisome proliferator activated receptor (PPAR), and activates ligand-dependent transcription. Two RA-binding proteins, cellular retinoic acid binding protein II (CRABP II) and fatty acid-binding protein 5 (FABP5), direct this engagement (Saeed, Dullaart, Schreuder, Blokzijl, & Faber, 2017). A high ratio of CRABP II to FABP5 induces RA binding to RARs; meanwhile a high ratio of FABP5 to CRABP II induces RA binding to PPARs (Figure 1.7).



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**Figure 1.7. Vitamin A metabolism and signaling.** Vitamin A precursors are metabolized by enterocytes and esterified in retinyl esters by the enzyme lecithin retinol acyltransferase (LRAT). Retinyl esters packed in chylomicron are transported to liver for storage. Retinol complexed with retinol-binding protein (RBP) in the liver is released to circulation. Stimulated by retinoic acid 6 (STRA6), expressed on the cell surface, binds to the retinol-RBP complex. After uptake, retinol is oxidized to retinal by the enzyme alcohol dehydrogenase (ADH), and to retinoic acid (RA) by the enzyme retinal dehydrogenase (RALDH). RA bound to cellular retinoic acid-binding protein II (CRABP II) or fatty acid-binding protein (FABP) translocates to the nucleus and regulates gene transcription. The remaining RA can bind to CRABP I and is degraded by cytochrome P450 family 26 (CYP26) (The figure is adopted from (Erkelens & Mebius, 2017)).

**Table 1.2. Retinoid-binding proteins and receptors (The table is adopted from (Blomhoff et al., 1990; Bushue & Wan, 2009)).**

<b>Protein</b>	<b>Ligands</b>	<b>Suggested function</b>
<b>RBP</b>	Retinol	Blood plasma transport of retinol
<b>IRBP</b>	Retinol, retinal	Intercellular transport of retinol or retinal in visual cycle
<b>CRABP I CRABP II FABP5</b>	all-trans RA, 9-cis RA	Intracellular transport of retinol Regulate free retinoic acid concentration
<b>RAR<math>\alpha</math> RAR<math>\beta</math> RAR<math>\gamma</math></b>	all-trans RA, 9-cis RA	Ligand-dependent transcription factor
<b>RXR<math>\alpha</math> RXR<math>\beta</math> RXR<math>\gamma</math></b>	9-cis RA	Ligand-dependent transcription factor
<b>PPAR<math>\beta/\delta</math></b>	all-trans RA, 9-cis RA	Ligand-dependent transcription factor

### 1.3.2 Impact of vitamin A on immune cells

Researchers reported that vitamin A metabolites, such as retinol and RA, were present in lymphoid and non-lymphoid organs and circulation (Kane, Folias, & Napoli, 2008). Vitamin A metabolites play an important role in immune cell development and responses. In the intestine, where vitamin A precursor and retinyl esters are metabolized to RA, CD103<sup>+</sup> DCs expressing RALDH are the main source synthesizing RA in lamina propria, together with epithelial cells and stromal cells. RA produced by CD103<sup>+</sup> DCs was reported to induce the expression of gut-homing receptors, such as CCR9 and  $\alpha 4\beta 7$  on intestinal DCs, T cells and ILC1, resulting in cell migration to the intestine (T. Feng, Cong, Qin, Benveniste, & Elson, 2010; Iwata et al., 2004; Kim, Taparowsky, & Kim, 2015). CD103<sup>+</sup> DCs promote tolerogenic immune responses in the intestine via the generation of Treg cells (Coombes et al., 2007; Ruane & Lavelle, 2011; C. M. Sun et al., 2007). Upon inflammation, CD103<sup>+</sup> DCs migrate to draining mesenteric lymph nodes (mLN), and display efficient T cell stimulation, compared to CD103<sup>-</sup> DCs in lamina propria (Jaensson et al., 2008; Schulz et al., 2009). RA signals regulate homeostasis of intestinal DCs, differentiation of splenic DCs (Beijer et al., 2013; Duriancik & Hoag, 2010; Klebanoff et al., 2013), and phenotype of intestinal cDC1 and cDC2 (Zeng, Bscheider, Lahl, Lee, & Butcher, 2016). *atRA* supports anti-inflammatory characteristics of DCs by inducing FoxP3-expressing Treg cells (Coombes et al., 2007; C. M. Sun et al., 2007). Besides, vitamin A is required for the proper development of CD169<sup>+</sup> macrophages, found in the intestine and involved in antigen presentation to B cells (Hiemstra et al., 2014).

Vitamin A metabolites also influence tissue-resident lymphocytes, innate lymphoid cells (ILCs). Maternal retinoid intake and fetal RA signals control LT<sub>i</sub> cell maturation (van de Pavert et al., 2014). Spencer et al. described that vitamin A deficiency drove the reduction in ILC3 numbers, resulting in increased susceptibility to bacterial infection in the gut. On the other hand, vitamin A deficiency improved ILC2-mediated host survival (Spencer et al., 2014). RA enhanced IL-22 production by  $\gamma\delta$  T cells and ILCs in the gut during bacterial infection (Mielke et al., 2013). Altogether, RA regulates intestinal immune responses by promoting IL-22 production.

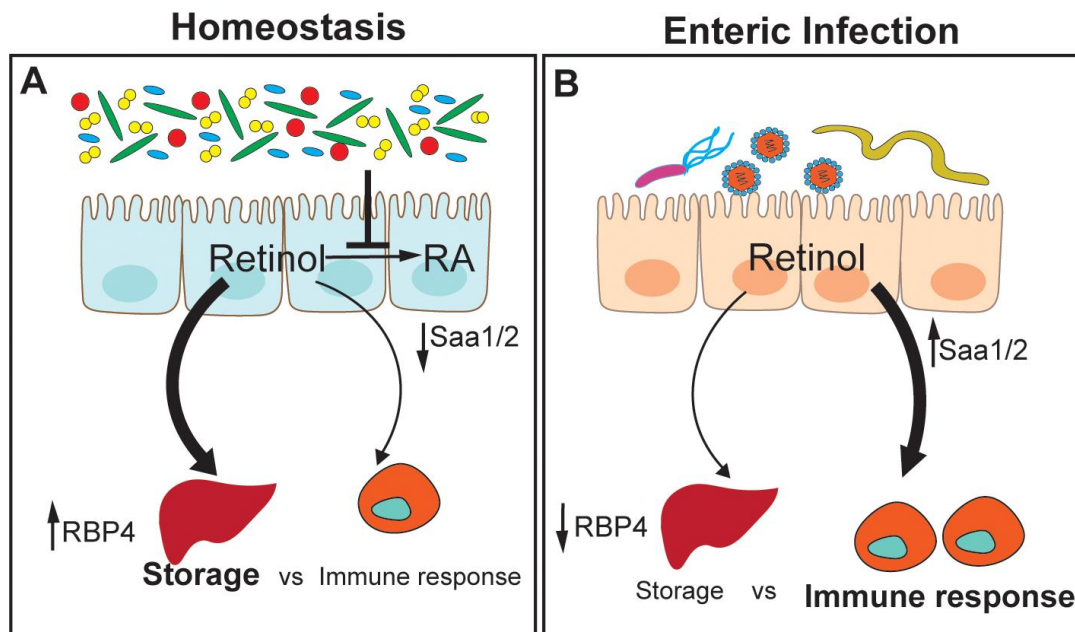
In addition to VA-induced T cell migration to intestine, vitamin A metabolites contribute to regulatory T cell responses. *atRA* inhibited IL-6-induced T<sub>H</sub>17 cells and promoted differentiation of Treg cells (Mucida et al., 2007). In the presence of TGF $\beta$ , *atRA* promoted FoxP3 expression and differentiation towards Treg cells (J. Ma et al., 2014; Schambach, Schupp, Lazar, & Reiner, 2007). Apart from the differentiation, *atRA* was reported to maintain the stability of Treg cells and their regulatory functions upon inflammation (Kwok et al., 2012; X. Zhou et al., 2010). Accordingly, the inhibition of RAR signal by pan-RAR antagonist LE540, reduced the number of FoxP3-expressing Treg cells in mucosa upon challenge with *Listeria monocytogenes* (Mucida et al., 2007). Likewise, mice fed with vitamin A-deficient (VAD) diet failed to suppress T cell responses upon LCMV infection (Liang et al., 2020). Additional treatment with *atRA* promoted the protective effect against LCMV infection and type I diabetes, via shaping the functionality of T cells in VAD diet-fed mice (Liang et al., 2020; Van et al., 2009).

### 1.3.3 Vitamin A in disease

During the early phase of infections, protein synthesis of RBP is noted to be downregulated (Birch & Schreiber, 1986), which results in increased RA turnover in the intestine, and decreased transport of retinol via the RBP complex. Animals challenged with LPS displayed hyporetinolemia due to reduced liver RBP synthesis (Iyer & Vaishnava, 2019). In comparison to downregulated RBP synthesis, serum amyloid A (SAA1), a protein that retinol can alternatively bind to with high affinity, is upregulated in the intestine and livers during bacterial infection (Derebe et al., 2014) (Figure 1.8).

Several studies demonstrated the high susceptibility against intestinal infection in VAD diet-fed mice. As examples, *Citrobacter rodentium*-infected animals showed exacerbated inflammation and epithelial hyperplasia upon feeding with VAD diet (McDaniel et al., 2015), mainly due to altered ILC3 and T<sub>H</sub>17 response. Another study showed that infection to *C. rodentium* itself decreased the amount of retinol in lung, but not in intestine and serum, as well as reduced retinyl ester amount in lung and liver (Restori, McDaniel, Wray, Cantorna, & Ross, 2014). After the bacterial clearance, downregulated retinol concentration in lung could not be rescued. In

contrast, when intestinal epithelial cells were genetically modified to block RA production, they exerted a protective role against *Salmonella Typhimurium* challenge, along with decreased IL-22 production in intestine (Grizotte-Lake et al., 2018). Infection with *Streptococcus pneumoniae* increased retinol amount in lung, and did not affect it in the liver (Restori et al., 2014). Similarly, epidemiological researches discovered that children with respiratory infection, gastroenteritis or worm-infection, displayed low capacity of vitamin A absorption and storage compared to healthy children (Sivakumar & Reddy, 1972, 1975), and tuberculosis patients had significantly lower concentrations of vitamin A in serum than healthy individuals (Mugusi, Rusizoka, Habib, & Fawzi, 2003; Qrafli et al., 2017; Ramachandran et al., 2004).



**Figure 1.8. Retinol conversion and transport during health and disease.** (A) During homeostasis, gut bacteria suppress the conversion of dietary retinol into RA and promote its storage. Retinol transporter RBP4 is the main transporter of retinol to and from the liver. (B) During infection, RBP4 amounts drop, reducing retinol transport and storage in the liver. Acute-phase RBPs, such as SAAs, increase in the intestinal tissue and upregulate the local immune response to infection. RA, retinoic acid; RBP, retinol-binding protein; SAA, serum amyloid A (The figure is adopted from (Iyer & Vaishnav, 2019)).

In liver diseases, such as inflammation, steatosis, fibrosis and hepatocellular carcinoma, hepatic stellate cells (HSCs) play a regulatory role. As an example, HSCs promoted regeneration of hepatocytes via hepatocyte growth factor (HGF), TGF- $\alpha$  and IL-6 (Friedman, 2008). HSCs in rat liver mitigated the capacity to store vitamin A after the partial hepatectomy (PHx) and upon the induction of cholangiofibrosis (Higashi et al., 2005; Imai et al., 2000). The absence of RA signal in hepatocytes induced hepatic steatosis, defined as excessive accumulation of triglyceride in liver and high-RA diet could alleviate the progress of steatosis (Yanagitani et al., 2004). In accordance, previous study showed that RA treatment activated genes involved in fatty acid oxidation, and inhibited lipid synthesis in livers (Amengual, Ribot, Bonet, & Palou, 2010). Furthermore, *in vitro* culture, HSCs promoted FoxP3 expression and abolished IL-17

production by OT-II TCR transgenic T cells primed by DCs in RA-dependent manner (Ichikawa, Mucida, Tyznik, Kronenberg, & Cheroutre, 2011). During hepatic fibrosis, quiescent HSCs experience morphological and functional changes, including loss of retinol, and become activated HSCs (Friedman, 2008). Despite retinol release from activated HSCs, additional *atRA*-treatment and vitamin A-coupled liposomes suppressed CCl<sub>4</sub>-induced hepatic fibrosis (Hisamori et al., 2008; Murakami et al., 2011; Y. Sato et al., 2008; Senoo & Wake, 1985). In accordance, upon *atRA*-treatment, activated HSCs reduced the production of TGF $\beta$ , IL-6, and collagen, which contributed to worsening of liver function (Hisamori et al., 2008). Cirrhotic and hepatocellular carcinoma patients displayed low amount of retinol compared to healthy individuals (Clemente et al., 2002).

Vitamin A metabolites are conventionally considered as anti-cancer agents due to their inhibitory effect on tumor cell growth and evasion (M. C. Chen, Hsu, Lin, & Yang, 2014). Many researches demonstrated the inhibitory effects of vitamin A metabolites on melanoma tumor cells. For example, cell growth of murine melanoma cells and colony formation of human melanoma were curbed by retinoids *in vitro* (Lotan, Giotta, Nork, & Nicolson, 1978; Meyskens & Salmon, 1979). This inhibition was mediated by the activation of cyclic AMP-dependent protein kinase and the modified basement membrane components in melanoma cells (Ludwig, Lowey, & Niles, 1980; Sengupta, Ray, Chattopadhyay, Biswas, & Chatterjee, 2000; Z. Wang, Cao, D'Urso, & Ferrone, 1992). Similar effects of RA were observed in human-originated prostatic cancer cells *in vitro* (Halgunset, Sunde, & Lundmo, 1987; Jutley, Reaney, Kelleher, & Whelan, 1990). Furthermore, anti-cancer effects of *atRA* via cell cycle inhibition and apoptosis induction were identified on gastric cancer cells (Naka et al., 1997; Patrad, Niapour, Farassati, & Amani, 2018; Ye et al., 2004). In animal studies, encapsulated *atRA* treatment suppressed colony formation of B16F10 melanoma cells in lung, and reduced tumor volume (Siddikuzzaman & Grace, 2012, 2014; Yao, Zhang, Zhou, Liu, & Zhang, 2013). *atRA* loaded in lipid nanoparticles displayed anti-cancer efficacy in gastric cancers (T. Li, Zhang, Meng, Bo, & Ke, 2017). Furthermore, *atRA*-treatment combined with a lipid immune activator, alpha-galactosylceramide ( $\alpha$ GalCer), reduced breast tumor growth and lung metastasis. These studies postulate the therapeutic impact of retinoids for cancer treatment.

In contrast to anti-cancer effects shown by retinoid-treatment, a recent study illustrated immunosuppressive influence of *atRA* metabolized by sarcoma cells (Devalaraja et al., 2020). It was reported that the different types of murine sarcoma cells could shape the *atRA*-enriched TME in *in vivo* studies, and tumor-derived *atRA* supported monocyte differentiation to tumor-associated macrophages (TAMs), resulting in immune suppressive effect against tumor cells.



## 2 THE AIM OF STUDY

The improved understanding of how nutrients affect the immune system has resulted in the prevention of diseases, the promotion of immunity, and the development of immunotherapy. In accordance, vitamin A, one of the essential micronutrients, is responsible for the homeostasis of barrier tissues and the host protection from infectious pathogens. NK cells, a group of innate lymphocytes, are reported to be recruited to inflamed tissues to perform cytotoxicity against abnormal cells, as well as to tune immune responses in the liver and adipose tissue, where vitamin A is abundant. Vitamin A was reported to induce regulatory phenotypes in lymphocytes, such as T cells and ILC3s. However, the comprehension of vitamin A impact on NK cells remains unclear. In this regards, we hypothesized that vitamin A could regulate the NK cell-mediated immune responses. Our aim is to investigate the effect of vitamin A on the phenotype and effector functions of NK cells

Here, we elucidated the following aspects:

1. Identification of the transcriptome, metabolomics, and functionality of NK cells exposed to vitamin A-enriched microenvironment
2. Investigation on the interaction of vitamin A-conditioned NK cells with other immune cells
3. Dissection of the molecular mechanism of vitamin A-mediated NK cell responses

To address these aspects, we used *in vitro* culture system and mouse disease models, and generated specific conditional gene-knockout mice. Together, we believe that the findings obtained in the project will broaden the knowledge of the essential micronutrient's effect on the immune system.





### 3 MATERIALS AND METHODS

#### 3.1 Materials

##### 3.1.1 Laboratory equipment

**Table 2.1. Laboratory equipment.**

Product	Company
200 Gel Imaging Workstation	Azure biosystem
C1000 Touch™ Thermal Cycler	Bio-Rad
FACS Aria™ Fusion Cell Sorter	BD Biosciences
GentleMACS™ Octo Dissociator	Miltenyi Biotec
LSR Fortessa™ Cell Analyzer	BD Biosciences
Plate reader Infinite 200 pro	Tecan
pH Meter Seven Compact	Zeiss
QuantStudio™ 5 Real-Time PCR System, 384-well	Applied Biosystems
Seahorse™ XF HS Mini Analyzer	Agilent
Incubator BD056	BINDER

##### 3.1.2 Chemicals

**Table 2.2. Chemicals and biological reagents.**

Product	Company	Catalog no.
7-AAD	BD Bioscience	15868458
Aqua Zombie™	Biolegend	423102
BODIPY™ FL C16	Invitrogen	10654623
CellROX™ Deep Red Reagent	Thermo Fischer	C10422
Collagenase IV	Worthington (Pan Biotech)	LS0004188
1 $\alpha$ ,25-Dihydroxyvitamin D3	Sigma-Aldrich	D1530-10UG
Deoxyribonuclease I Crude	Sigma-Aldrich	DN25_1G
GolgiPlug™ Protein Transport Inhibitor (containing Brefeldin A)	BD Bioscience	555029
GolgiStop™ Protein Transport Inhibitor (containing Monensin)	BD Bioscience	554724
Hyaluronidase Type V	Sigma-Aldrich	H6254-1G
Lipopolysaccharide E.coli O26:B6	Sigma-Alrich	L2654
Lympholyte®-M	Cedarlene	CL5035
Nuclease-free Water (not DEPC treated)	Ambion	AM9937

β-mercaptoethanol	VWR chemicals	0482-100ML
MitoTracker™ Green FM	Thermo Fischer	M7514
MitoProbe™ TMRM Assay Kit	Thermo Fischer	M20036
MM 11253	Sigma-Aldrich	SML2015
Percoll®	GE Health	17-0891-01
Poly-D-Lysine	Sigma	P6407
Recombinant Human IL-2	Hoffmann-La Roche	1104-0890
Recombinant Mouse IFN-γ	Peprotech	315-05
Recombinant Mouse IL-12	Peprotech	210-12
Recombinant Mouse IL-15	Peprotech	210-15
Recombinant Mouse IL-18	MBL	B002-5
Recombinant Mouse IL-1b	Peprotech	211-11b
Recombinant Mouse IL-23	Biologend	589002
Recombinant Mouse TGF-β1	Biologend	763102
Recombinant Mouse IL-6	Peprotech	216-16
Recombinant Mouse IL-4	Peprotech	214-14
Retinoic Acid	Sigma-Aldrich	R2625
Ro-415253	Sigma-Aldrich	SML0573
SCH 58261	Sigma-Aldrich	S4568
T 0070907	Tocris (R&D)	2301
Tween 20	Sigma-Aldrich	P9416
UltraPure™ 0,5M EDTA, pH 8	Invitrogen	15575020
ZM 241385	Sigma-Aldrich	Z0153

### 3.1.3 Cell culture media and solutions

**Table 2.3. Cell culture media and solutions.**

Product	Company	Catalog no.
β-mercaptoethanol	GIBCO-Invitrogen	31350010
Cell Dissociation Solution, non-enzymatic (1x)	Sigma-Aldrich	C5914
Dimethylsulphoxide Hybri Max™ (DMSO)	Sigma-Aldrich	D2650
Dulbecco's Modified Eagle's Medium (DMEM) with Glucose, L-glutamine, Sodium pyruvate, and Sodium bicarbonate	Sigma-Aldrich	D6459
Dulbecco's Phosphate Buffered Saline (PBS)	GIBCO-Invitrogen	14190
Fetal Bovine Serum, Origin: EU Approved 10270	GIBCO-Invitrogen	10270
GBSS	Panccoll-biotech	P04-48500

Gibco™ RPMI 1640 Medium	Fisher Scientific	11530586
L-Glutamine 200 mM (100x)	GIBCO-Invitrogen	25030
Non-essential Amino Acids (100x)	GIBCO-Invitrogen	11140035
Penicillin/Streptomycin Solution	GIBCO-Invitrogen	15140
Seahorse™ XF RPMI media & Calibrant	Agilent	103576-100
Seahorse™ XF 100 mM Pyruvate Solution	Agilent	103578-100
Seahorse™ XF 1.0 M Glucose Solution	Agilent	103577-100
Sodium Pyruvate MEM 100mM	GIBCO-Invitrogen	11360088
Trypsin-EDTA (1x) HBSS, without Ca <sup>2+</sup> /Mg <sup>2+</sup> with EDTA	GIBCO-Invitrogen	25300
GM-CSF produced by cell line X6310-GMCSF (DMEM-G)		

### 3.1.4 Cell isolation and culture products

**Table 2.4. Cell isolation and culture products.**

Product	Company	Catalogue no.
6.5 mm Transwell®, 0.4 µm Polyester Membrane Insert, Sterile	Corning	3470
Cell Lifter	Corning	3008
Cryovial, 2 mL sterile	Greiner Bio-one	122263
Falcon® 40 µm Cell Strainer, Blue, Sterile	Corning	352340
Falcon® 70 µm Cell Strainer, White, Sterile	Corning	352350
Falcon® 100µm Cell Strainer, Yellow, Sterile	Corning	352360
Falcon® 6-well Clear Flat Bottom, not Treated Cell Culture Plate, Sterile	Corning	351146
Falcon® 24-well Polystyrene Clear Flat Bottom, not Treated Cell Culture Plate, Sterile	Corning	351147
GentleMACS™ C Tubes	Miltenyi Biotec	130-093-237
MACS™ LS Columns	Miltenyi Biotec	130-042-401
RNase-free Microfuge Tubes (1.5 mL)	Thermofisher Ambion	AM12400
Serological pipette 5 ml, padded	Sarstedt AG & Co.	861253001
Serological pipette 10 ml, padded	Sarstedt AG & Co.	861254001
Serological pipette 25 ml, padded	Sarstedt AG & Co.	861685001
Seahorse™ XF HS Miniplate Kit	Agilent	103725-100
Tissue Culture Plate, Round Bottom	Sarstedt AG & Co.	83.3924.300
Tissue Culture Flask with Filter Screw Caps	Sarstedt AG & Co.	83.3912.302
TPP® tissue culture plates, 96 well plate, Round bottom, Polystyrene, Sterile	TPP	Z707899- 162EA

## 3.1.5 Kits

**Table 2.5. Kits.**

<b>Product</b>	<b>Company</b>	<b>Catalog no.</b>
Adenosine Assay Kit	BioCat	MET-5090-CB
Annexin V staining kit	Biologend	640912
Annexin V Binding buffer	Biologend	422201
BD Horizon™ Brilliant Stain buffer	BD	566349
CellTrace™ Violet Cell Proliferation Kit	Invitrogen	C34557
Cytofix/Cytoperm™ buffer	BD	554714
FITC BrdU Flow Kit	BD	557891
Fixation/Permeabilization Solution Kit	BD	554714
FoxP3 Transcription factor staining buffer	eBioscience	00-5523-00
GeneChip™ Mouse Genome 430 2.0 Array	Applied Biosystem	900499
Liver Dissociation Kit, mouse	Miltenyi Biotec	130-105-807
Mouse NK Cell Isolation Kit	Miltenyi Biotec	130-115-818
Mouse naïve CD4 <sup>+</sup> T Cell isolation kit	Miltenyi Biotec	130-104-453
Mouse IFN- $\gamma$ ELISA MAX™ Standard set	Biologend	430801
MyTaq™ Extract-PCR Kit	Meridian Bioscience	BIO-21127
ProtoScript® II First Strand cDNA Synthesis Kit	New England Biotechnology	E6560S
PowerUp™ SYBR™ Green Master Mix	Applied Biosystem	A25918
RNeasy® Mini Kit	Qiagen	74104
RNA Clean and Concentrator - 5	Zymo Research	R1013
RT <sup>2</sup> First Strand Kit	Qiagen	330404
RT <sup>2</sup> SYBR Green qPCR Mastermix	Qiagen	330501
RT <sup>2</sup> Profiler™ PCR Array Mouse Cytokines & Chemokines	Qiagen	PAMM-150Z
RT <sup>2</sup> Profiler™ PCR Array Mouse Retinoic Acid Signaling	Qiagen	PAMM-180Z
Seahorse™ XF Cell Mito Stress Test Kit	Agilent	103010-100
TURBO DNA-free™ kit	Ambion	AM1907

3.1.6 Buffers and solutions

**Table 2.6. Buffers and solutions.**

<b>Solution</b>	<b>Ingredients</b>
1.5M Sodium Chloride (NaCl) solution	87.66g of sodium chloride in 1L water
40% Percoll®, freshly prepared	4mL isotonic Percoll® solution 6mL PBS
70% Percoll®, freshly prepared	7mL isotonic Percoll® solution 3mL PBS
ACK lysis buffer	0.605 g Tris base 4.01g Ammoniochloride Fill up to 500 mL with ddH <sub>2</sub> O Adjust pH to 7.2
BM-DC media	DMEM with high glucose 10% FCS 10% GM-CSF 1% L-glutamine 1% Non-essential amino acid 1% Sodium Pyruvate 1% Penicillin/Streptomycin 0.1% β-mercaptoethanol (for cell culture)
Cell Lysis buffer for RNA Isolation	RLT buffer from Qiagen 1% β-mercaptoethanol
Cell-Freezing media	FCS 10% DMSO
Complete DMEM	DMEM with high glucose 10% FCS 1% Penicillin/Streptomycin
Complete RPMI	RPMI 1640 with high glucose 10% FCS 1% Penicillin/Streptomycin
ELISA wash buffer	1x PBS 0.05% Tween-20
ELISA stop solution	2N H <sub>2</sub> SO <sub>4</sub>
FACS buffer	PBS 1% FCS 0.02% NaN <sub>3</sub> 2 mM EDTA
Isotonic Percoll® solution	9 parts stock Percoll® 1 part 1.5M NaCl solution

Liver and lung digestion media for gentleMACS™ dissociation	DMEM 1% L-glutamine
MACS buffer	PBS 0.1% BSA 2 mM EDTA
Perm buffer	1 part 10x Permeabilization buffer concentrate 9 parts distilled water
Primary cell culture media (PCCM)	RPMI 1640 with high glucose 10% FCS 1% L-glutamine 1% Non-essential amino acid 1% Sodium Pyruvate 1% Penicillin/Streptomycin 0.1% β-mercaptoethanol (for cell culture use)
Sort buffer	PBS 1% FCS 2 mM EDTA

### 3.1.7 Primary antibodies for flow cytometry

**Table 2.7. Primary antibodies for flow cytometry.**

Specificity	Fluorochromes	Clone	Company	Catalog no.
IL-17A	FITC	TC11-18H10.1	Biologend	506907
KLRG1	FITC	2F1	Southern Biotech	1807-02
CD38	FITC	T10	Biologend	102705
CD200R	FITC	OX-2R	Biologend	123910
DNAM-1	FITC	10E5	Biologend	128803
Ly6C	FITC	RB6-8C5	BD Pharmingen	553126
CD71	FITC	RI7217	Biologend	113086
CD8	FITC	Ly-2	BD Bioscience	553031
CD86	FITC	GL-1	Biologend	105006
CD107a	FITC	1D4B	Biologend	121606
Ki67	FITC	16A8	Biologend	652409
CD11c	PerCP-Cy5.5	N418	Biologend	117328
CD49a	PerCP-Cy5.5	HA31/8	BD Bioscience	564862
CD11c	PerCP-Cy5.5	NF18	Biologend	117327
T-bet	PerCP-Cy5.5	4B10	Biologend	644806
CD44	PerCP-Cy5.5	IM7	Biologend	103031
NK1.1	PerCP/Cy5.5	PK136	Biologend	108728

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CD45.1	PerCP-Cy5.5	A20	Biolegend	110728
IκBζ	PerCP-eFluor 710	LK2NAP	Invitrogen	46-6801-82
CD73	PE	TY/11.	Biolegend	127205
TRAIL	PE	N2B2	Biolegend	109305
CD254 (RANKL)	PE	IK22/5	Biolegend	510005
IRF8	PE	V3GYWCH	Invitrogen	12-9852-82
CD80	PE	16-10A1	Biolegend	104708
CCL5 (RANTES)	PE	2E9/CCL5	Biolegend	149103
CD62L	PE	MEL-14	Biolegend	104407
CD200R	PE	OX-110	Biolegend	123908
TNFα	PE	MP6-XT22	Biolegend	506305
Eomes	PE Texas Red	X4-83	BD Bioscience	567167
FoxP3	PE-CF-594	FJK-16s	eBiosciences	61-5773-80
CD38	PE-Dazzle	90	Biolegend	102729
CD11b	PE-Dazzle	M1/70	Biolegend	101255
CXCR3	PE-Cy7	S18001A	Biolegend	155909
CD127	PE-Cy7	A7R34	Biolegend	135014
TIGIT	PE-Cy7	1G9	Biolegend	142107
CD69	PE-Cy7	H1.2F3	BD Pharmingen	552879
CD49d	PE-Cy7	A7R34	Biolegend	103705
NKp46	PE-Cy7	29A1.4	Biolegend	137618
CD62L	PE-Cy7	MEL-14	Biolegend	104453
CD19	PE-Cy7	6D5	Biolegend	115520
Eomes	PE-Cy7	Dan-11mag	eBioscience	25-4875-82
CD36	APC	HM36	Biolegend	102610
IFN-γ	APC	XMG1.2	Biolegend	505810
GM-CSF	APC	MP1-22E9	Biolegend	505413
RANK	APC	REA961	Miltenyi	130-116-068
IL-18Rα	APC	BG/IL18Ra	Biolegend	132903
CD39	APC	Duha59	Biolegend	143809
CD96	APC	3.3	Biolegend	131712
CCR9	Alexa Fluor 647	9B1	Biolegend	129709
CXCR6	Alexa Fluor 647	SA051D1	Biolegend	151115
NKG2D	APC	CX5	Biolegend	130212
PD-1	APC	29F.1A12	Biolegend	135209
I-A/I-E	Alexa Fluor 647	M5/114.15.2	Biolegend	107618



Materials and Methods

CD44	APC	IM7	eBioscience	17-0441-82
NK1.1	APC	PK136	Biolegend	108710
NKp46	APC	29A1.4	Biolegend	137608
GATA-3	APC	16E10A23	Biolegend	653809
Rogt	APC	Q31-378	BD Bioscience	562682
CD155	APC	TX56	Biolegend	131510
Ly6G	APC Cy7	1A8	Biolegend	127624
F4/80	APC Cy7	BM8	Biolegend	123118
SiglecF	APC Cy7	E50-2440	BD Bioscience	565527
FcεRI	APC Cy7	MAR-1	Biolegend	134325
Ter119	APC Cy7	TER-119	Biolegend	116223
TCRγδ	APC Cy7	H57-597	Biolegend	109219
CD19	APC Cy7	6D5	Biolegend	115530
CD4	APC-Cy7	GK1.5	Biolegend	100414
CD45.2	APC-Cy7	104	Biolegend	109824
CD3ε	APC-Cy7	145-2C11	Biolegend	100329
DNAM-1	APC-Cy7	10E5	Biolegend	128816
CD3ε	BV421	145-2C11	Biolegend	100335
NKp46	BV421	29A1.4	Biolegend	137612
TCRβ	BV421	H57-597	Biolegend	109230
NK1.1	BV650	PK136	Biolegend	108735
IFN-γ	BV650	XMG1.2	Biolegend	563854
CD62L	BV650	MEL-14	Biolegend	104453
CD160	BV650	CNX46-3	BD Bioscience	740637
CD11b	BV650	M1/70	Biolegend	101259
CD4	BV650	RM4-5	Biolegend	100555
TCRγδ	BV711	GL3	Biolegend	563994
CD45.1	BV711	A20	Biolegend	110739
NK1.1	BV711	PK136	Biolegend	108745
CD3ε	BV711	145-2C11	Biolegend	100349
IL-10	BV711	JES5-16E3	BD Bioscience	564081
Tbet	BV785	4B10	Biolegend	644835
NK1.1	BV785	PK136	Biolegend	108749
CD4	BV785	GK1.5	Biolegend	100453
CD45	BV785	30-F11	Biolegend	103149
CD3ε	BV785	145-2C11	Biolegend	100355
CD3ε	BUV395	145-2C11	BD Bioscience	563565
CD45.2	BUV395	104	BD Bioscience	564616

KLRG1	BUV563	2F1	BD Bioscience	741343
CD4	BUV563	GK1.5	BD Bioscience	612923
CD45	BUV737	30-F11	BD Bioscience	748371
CD3ε	BUV805	500A2	BD Bioscience	741928
TCRβ	BUV805	H57-597	BD Bioscience	748405
CD45.2	BUV805	104	BD Bioscience	741957
Phospho-Stat4	PE	D2E4	Cell signaling	13223
Phospho-NF-κB p65 (Ser536)	PE	93H1	Cell signaling	5733
Phospho-IKKα/β (Ser176/180)	PE	16A6	Cell signaling	14938

### 3.1.8 Antibodies for functional assays

**Table 2.8. Antibodies for functional assays.**

Antibody	Clone	Company	Catalog no.
Ultra-LEAF™ Purified anti-mouse CD16/CD32	93	Biolegend	101329
LEAF™ Purified anti-mouse NK-1.1	PK136	Biozol	108712
anti-mouse NKp46/NCR1	polyclonal	R&D	AF2225
anti-mouse NKG2D/CD314	A10	Biolegend	115602
Ultra-LEAF™ Purified anti-mouse IL-4	11B11	Biolegend	504122
Ultra-LEAF™ Purified anti-mouse IFN-γ	R4-6A2	Biolegend	505709
Ultra-LEAF™ Purified anti-mouse IL-2	JES6-1A12	Biolegend	503706
Ultra-LEAF™ Purified anti-mouse CD3ε	145-2C11	Biolegend	100340
Ultra-LEAF™ Purified anti-mouse CD28	37.51	Biolegend	102116
anti-mouse IL-10	JES5-2A5	Bio X Cell	BE0049
anti-mouse CCL1/I-309/TCA-3	148113	R&D	MAB845
anti-mouse CCL5/RANTES	53405	R&D	MAB478
anti-mouse TGFβ	1D11	R&D	MAB1835

### 3.1.9 Purified fusion-proteins for functional assays

**Table 2.9. Purified fusion-proteins for functional assays.**

Recombinant protein	Linker	C-terminus	Company	Catalog no.
Recombinant mouse Ephrin-A2 Fc Chimera	IEGRMDP	Mouse IgG 2a	R&D	8415-A2-200
Recombinant mouse CD155/PVR Fc Chimera	IEGRMDP	Mouse IgG 2a	R&D	9670-CD-050

### 3.1.10 Isotype controls

**Table 2.10. Isotype controls.**

Specificity	Fluorochrome	Clone	Company	Catalog no.
Rat IgG2b	Alexa Fluor 647	RTK4530	Biologend	400626
Rat IgG1	APC	RTK2071	Biologend	400412
Rat IgG2a	APC	54447	R&D	IC006A
Rat IgG2b	APC	RTK4530	Biologend	400612
Rat IgG2b	APC/Fire	RTK4530	Biologend	400670
Rat IgG2a	BV421	RTK2758	Biologend	400549
Rat IgG1	FITC	RTK2071	Biologend	400406
Rat IgG2a	FITC	RTK2758	Biologend	400506
Rat IgG2b	FITC	RTK4530	Biologend	400606
Arm Hamster	PE	A19-3	BD	553972
Mouse IgG2a	PE	MOPC-173	Biologend	400212
Mouse IgG2b	PE	MPC-11	Biologend	400312
Rat IgG2a	PE	RTK2758	Biologend	400508
Rat IgG2b	PE	RTK4530	Biologend	400608

### 3.1.11 Oligonucleotide primers

**Table 2.11. Oligonucleotide primers.**

Target	Forward sequence (5' → 3')	Reverse sequence (5' → 3')
mTGFβ1	GCTGAACCAAGGAGACGGAA	GGGGCTGATCCCGTTGATTT
mTGFβ2	TAAAATCGACATGCCGTCCCA	CTGGGACTGTCTGGAGCAAA
mTGFβ3	GGCCCTGGACACCAATTACT	AGGTTCTGTGGACCCATTTC
mRara	CCTCATCTGTGGAGACCGAC	CCGTTTCCGGACGTAGACTT
mRarb	GGGCATGTCCAAAGAGTCTGT	CGTCTAGCTCCGCTGTCATC
mRarg	CCAAGGAAGCTGTAAGGAACG	TGGTGATGAGTTCCTCTAACTGTG

mPpara	TCTGGGCAAGAGAATCCACG	CAAAGGCGGGTTGTTGCTG
mPpard	GCACATCTACAACGCCTACCT	GTGGATGACAAAGGGTGCGT
mPparg	TGTCTCACAATGCCATCAGGT	GATCAGCAGACTCTGGGTTCA
mActb	CAGATGTGGATCAGCAAGCA	GGGTGTAAAACGCAGCTCAGTA
mB2m	TGCTATCCAGAAAACCCCTCA	GGCGGGTGGAAGTGTGTTA
mAldh1a1	GGTGAGGAGGACTAGTTGTGAC	TCACAACACCTGGGGAACAG
mAldh1a2	TGGACAGATCATCCCGTGGAA	CTCAGCGGGTTTGATGACCA
mAldh1a3	TGGCACGAATCCAAGAGTGG	CCTTGTCCACATCGGGCTTAT
mAdora1	TACTACGGGAAGGAGCTCAAG	AAGAGGGTGATGCAGTTCAAGA
mAdora2a	CTTTGTCCTGGTCCTCACGC	ACCAAGCCATTGTACCGGAG
mAdora2b	GCGTCCCGCTCAGGTATAAAG	AACGGAGTCAATCCAATGCCA
mAdora3	GTGCTGCTGATCTTCACCCA	GTGGTAACCGTTCTATATCTGACTG

### 3.1.12 Tumor cell lines

**Table 2.12. Tumor cell lines.**

Cell line	Cell type	Medium
RMA-S	Mouse T cell lymphoma	Complete RPMI
YAC-1	Mouse lymphoma	Complete RPMI
B16	Mouse melanoma	Complete DMEM
MCA 1956	Mouse fibrosarcoma	Complete RPMI
LLC	Mouse lung carcinoma	Complete DMEM

MCA 1956 (MCA-induced fibrosarcoma) was kindly provided by Prof. Robert Schreiber.

### 3.1.13 Mouse lines

**Table 2.13. mouse lines.**

Mouse line	Source and housing
B6.(MF;129)-Rag2tm1Fwa, Rag2 ko	Haus 111, UMM
B6.Rag2tm1Fwa Ptprca, Rag2 x Ly5.1	Haus 111, UMM
C57BL/6NRj	Janvier Labs
NKp46-cre, Tg(Ncr1-icre)265Sxl	Haus 111, UMM,
PPAR $\gamma$ loxP, B6.129-Ppargtm2Rev/J	Provided by Dr. Elke Burgermeister Haus 111, UMM

In this thesis, we called Ncr1<sup>iCreTg</sup> PPAR $\gamma$ <sup>ff</sup> mouse as PPAR $\gamma$  cKO, and PPAR $\gamma$ <sup>ff</sup> mice as PPAR $\gamma$  flox.

## 3.2 Methods

### 3.2.1 Preparation of single-cell suspension

#### **Blood**

Mice were sacrificed under the CO<sub>2</sub>-asphyxiation. 0.5 - 1.0 mL of blood from ocular vein was collected into a tube, containing heparin. Blood was treated with 20 mL of buffered ammonium chloride potassium phosphate solution (ACK lysis buffer) for 10 min to lyse red blood cells. Cells were washed with PBS (1600 rpm for 10 min at 4°C), and re-suspended in an appropriate buffer.

#### **Lymph nodes**

Lymph nodes were excised and kept in ice-cold PBS. Lymph nodes were minced through a 70 µm-pore cell strainer, and washed with PBS (1500 rpm for 10 min at 4°C). Cells were filtered through a 40 µm-pore cell strainer, washed with PBS (1500 rpm for 10 min at 4°C), and re-suspended in an appropriate buffer.

#### **Spleen**

Spleens were excised and kept in ice-cold PBS. Spleens were minced through a 40 µm-pore cell strainer and washed with PBS (1500 rpm for 10 min at 4°C). Cells were incubated with 4 mL of ACK lysis buffer per spleen for 5 min to lyse red blood cells. Cells were washed through a 40 µm-pore cell strainer with PBS (1500 rpm for 10 min at 4°C) and re-suspended in an appropriate buffer.

#### **Liver (Manual dissociation)**

Livers were excised and kept in ice-cold PBS. Gall bladders and connective tissues were removed, and liver was cut into small pieces using scalpels. Liver tissue was treated with 0.7 mg/mL of Hyaluronidase and 0.5 mg/mL of DNase in 10 mL of PBS, and incubated in water bath at 37°C for 50 min with shaking. After the incubation, the digested liver tissue was centrifuged briefly and minced through a 100 µm-pore cell strainer, followed by washing with PBS (1500 rpm for 10 min at room temperature). Liver suspension was further filtered through a 70 µm-pore cell strainer and washed with PBS (1500 rpm for 10 min at room temperature). Cells were then re-suspended in 6 mL of PBS and layered on the top of 6 mL of mouse Lympholyte®-M solution. The gradient was centrifuged at 1500 g (acceleration rate: 1 and deceleration rate: 1) for 10 min at room temperature. Interphase enriched with liver mononuclear cells was collected, filtered through a 40 µm-pore cell strainer, and washed with PBS (1500 rpm for 10 min at 4°C). Cells were re-suspended in an appropriate buffer.

### **Liver (Liver Dissociation Kit)**

Livers were excised and kept in ice-cold PBS. Gall bladders and connective tissues were removed and livers were cut into several pieces using scissors. Liver pieces were digested in DMEM media supplemented with 1% L-glutamine, using Liver Dissociation Kit, according to the manufacturer's protocol. The digested tissue was centrifuged shortly, and minced through a 100 µm-pore cell strainer, followed by washing with PBS (1500 rpm for 10 min at room temperature). 4 mL of ACK buffer per liver was added and cells were incubated for 5 min to lyse red blood cells. Liver suspension was filtered through a 70 µm-pore cell strainer, washed with PBS (1500 rpm for 10 min at room temperature), and re-suspended in 3 mL of PBS. Isotonic Percoll® was prepared (nine parts of stock Percoll® and one part of 1.5M NaCl solution). 70% Percoll® and 40% Percoll® were prepared by diluting isotonic Percoll® with PBS. 70% Percoll®, 40% Percoll® and 3 mL of liver suspensions were layered and centrifuged at 1500 g (acceleration rate: 1 and deceleration rate: 1) for 10 min at room temperature. Interphase between 70% Percoll® and 40% Percoll®, enriched with liver mononuclear cells, was collected, filtered through a 40 µm-pore cell strainer, and washed with PBS (1500 rpm for 10 min at 4°C). Cells were re-suspended in an appropriate buffer.

### **Lung**

Lungs were excised and kept in ice-cold PBS. Lungs were cut into pieces and digested in DMEM media supplemented with 1% L-glutamine, using Lung Dissociation Kit, according to the manufacturer's protocol. The digested tissue was centrifuged shortly, and minced through a 70 µm-pore cell strainer, followed by washing with PBS (1500 rpm for 10 min, at room temperature). 4 mL of ACK buffer per lung was added and cells were incubated for 5 min to lyse red blood cells. Cells were filtered through a 40 µm-pore cell strainer, washed with PBS (1500 rpm for 10 min at 4°C), and re-suspended in an appropriate buffer.

### **Bone Marrow**

Hind legs were dissected and kept in ice-cold PBS. Muscle tissues were removed under the sterile condition. Tibia and femur were separated by disconnecting knee and heel. Tibia and femur were sterilized with 100% ethanol shortly and transferred to PBS. Both ends of tibia and femur were removed, and bone marrow was flushed and rinsed with PBS using a syringe with a 27G needle. Isolated bone marrow was minced through a 70 µm-pore cell strainer followed by washing with PBS (1500 rpm for 10 min at room temperature). 4 mL of ACK buffer per bone marrow was added, and cells were incubated for 5 min to lyse red blood cells. Cells were filtered through a 40 µm-pore cell strainer, washed with PBS (1500 rpm for 10 min at room temperature), and re-suspended in BM-DC media.

### 3.2.2 Magnetic cell sorting (MACS)

#### **NK cells**

Spleen single-cell suspension was washed with MACS buffer and re-suspended at a density of  $1 \times 10^7$  cells in 40  $\mu$ L of MACS buffer. NK cells were isolated using mouse NK cell isolation kit, according to manufacturer's protocol.

#### **Naïve CD4<sup>+</sup> T cells**

Spleen single-cell suspension was washed with MACS buffer and re-suspended at a density of  $1 \times 10^7$  cells in 40  $\mu$ L of MACS buffer. Naïve CD62L<sup>+</sup>CD44<sup>-</sup>CD4<sup>+</sup> T cells were isolated by using mouse Naïve T cell isolation kit, according to manufacturer's protocol.

### 3.2.3 Fluorescence activated cell sorting (FACS™)

#### **NK cell sorting**

Spleen single-cell suspension was washed and re-suspended in ice-cold Sort buffer at a density of  $1 \times 10^7$  cells/mL. 10  $\mu$ g/mL of anti-mouse CD16/CD32 was added and cells were incubated for 10 min at 4°C to block antibody binding to Fc receptors. Antibody cocktail, including anti-mouse CD3 $\epsilon$ -APC-Cy7, anti-mouse NK1.1-BV785, anti-mouse NKp46-BV421, anti-mouse TRAIL-PE, and anti-mouse CD200R-FITC, was added and incubated for 20 min at 4°C. After the incubation, cells were washed with Sort buffer (1500 rpm for 10 min at 4°C) and re-suspended at density of  $5 \times 10^6$  cells/mL. Cell suspension was filtered through a 35  $\mu$ m-pore cell strainer, and 7AAD was added before sorting to distinguish live and dead cells. Splenic NK cells were sorted as live CD3 $\epsilon$ <sup>-</sup> NK1.1<sup>+</sup> NKp46<sup>+</sup> CD200R<sup>-</sup> TRAIL<sup>-</sup> cells. The purity was confirmed to be higher than 98% after each sorting. Sorted cells were collected in PCCM, centrifuged (1500 rpm for 10 min at 4°C) and re-suspended in pre-warmed PCCM.

### 3.2.4 Cell counting

Cell suspension was mixed with 0.05% Trypan blue solution (w/v) in a ratio of 1:1. Viable cells were counted using a Neubauer counting chamber (0.1mm depth). Number of viable cells per solution (mL) was calculated as:

$$\text{Total cell number} = \frac{\text{cell count}}{\text{counted squares}} \times \text{dilution factor} \times 10^4 \times \text{volume (mL)}$$

### 3.2.5 Primary cell culture

#### Splenocyte culture

Spleen single-cell suspension from Rag2<sup>-/-</sup> or Rag2<sup>-/-</sup>Ly5.1 mice was re-suspended in 30 mL of fresh PCCM and incubated in T175 flask for 2 hours at 37°C in order to remove adherent cells. Suspension cells were collected, centrifuged (1500 rpm for 10 min at room temperature), and re-suspended in pre-warmed PCCM at a density of 1 X 10<sup>6</sup> cells/mL with 1500 U/mL of rhIL-2. Fresh PCCM with rhIL-2 was added every 2-3 days. After 5-7 days of culture, the purity of CD3εNK1.1<sup>+</sup> NK cells were higher than 90%. 1 μM of *atRA* diluted in DMSO or the equivalent volume of DMSO (0.01%, v/v) was added to the culture as a solvent control. Freshly prepared *atRA* or DMSO were added every 2-3 days. In some experiments (mentioned in figure legends), 10 μM of Ro-415253, MM-11253, T0070907, or 10 μg/mL of anti-TGFβ antibody were added every 2-3 days.

#### NK cell culture

Isolated NK cells were cultured in PCCM at a density of 1 X 10<sup>6</sup> cells/mL with 1500 U/mL of rhIL-2. Fresh PCCM with rhIL-2 was added every 2-3 days. 1 μM of *atRA* diluted in DMSO or the equivalent volume of DMSO (0.01%, v/v) was added to the culture as a solvent control. Freshly prepared *atRA* or DMSO were added every 2-3 days.

#### Naïve CD4<sup>+</sup> T cell culture and polarizing conditions

Isolated naïve CD4<sup>+</sup> T cells were re-suspended in PCCM at a density of 1 X 10<sup>6</sup> cells/mL and cultured in flat-bottomed well plate pre-coated with 2 μg/mL of anti-mouse CD3ε antibody (overnight at 4°C). 0.5 μg/mL of soluble anti-mouse CD28 antibody was added to the culture. Type 1 helper T (T<sub>H</sub>1) cell-, type 2 helper T (T<sub>H</sub>2) cell-, type 17 helper (T<sub>H</sub>17) cell- and regulatory T cell (Treg)-polarizing conditions are described in table 2.14.

**Table 2.14. CD4<sup>+</sup> T cells polarizing conditions.**

T <sub>H</sub> 1	T <sub>H</sub> 2	T <sub>H</sub> 17	Treg
2 μg/mL anti-CD3ε 0.5 μg/mL anti-CD28 1 μg/mL anti-IL-4 30 U/mL rhIL-2 10 ng/mL IL-12	2 μg/mL anti-CD3ε 0.5 μg/mL anti-CD28 1 μg/mL anti-IFN-γ 30 U/mL rhIL-2 10 ng/mL IL-4	2 μg/mL anti-CD3ε 0.5 μg/mL anti-CD28 1 μg/mL anti-IFN-γ 1 μg/mL anti-IL2 1 μg/mL anti-IL4 20ng/mL IL-6 1 ng/mL TGFβ1 10 ng/mL IL-23 20 ng/mL IL-1b	2 μg/mL anti-CD3ε 0.5 μg/mL anti-CD28 1 μg/mL anti-IFN-γ 1 μg/mL anti-IL4 30 U/mL rhIL-2 2 ng/mL TGFβ1



### **NK cells co-culture with naïve CD4<sup>+</sup> T cells**

Naïve CD4<sup>+</sup> T cells were re-suspended in PCCM at a density of  $1 \times 10^6$  cells/mL and seeded as described above. NK cells pre-cultured with *at*RA (or DMSO) for 5 days were collected and washed with PBS twice (1500 rpm for 10 min at room temperature). NK cells were re-suspended in PCCM at a density of  $1 \times 10^6$  cells/mL and added to naïve CD4<sup>+</sup> cells in a ratio of 1:1. Co-culture was conducted for two days. In some experiments (mentioned in figure legends), 5  $\mu$ M of ZM 241385, 5  $\mu$ M of SCH 58261, 7.5  $\mu$ g/mL of anti-IL-10 antibody, 4  $\mu$ g/mL of anti-CCL5 antibody, or 4  $\mu$ g/mL of anti-CCL1 antibody were added upon two days of co-culture. In some experiments (mentioned in figure legends), transwell inserts were applied to separate naïve CD4<sup>+</sup> T cells and NK cells. Naïve CD4<sup>+</sup> T cells were re-suspended in PCCM at a density of  $0.33 \times 10^6$  cells/mL, and 600  $\mu$ L of cell suspension was seeded in the lower well. NK cells cultured with *at*RA (or DMSO) for 5 days were re-suspended in PCCM at a density of  $2 \times 10^6$  cells/mL and 100  $\mu$ L of cell suspension was seeded into the upper well. Co-culture was conducted for two days.

### **Bone marrow-derived dendritic cell (BM-DC) culture**

Bone marrow single-cell suspensions were re-suspended in 30 mL of BM-DC media and incubated in T175 flask overnight to deplete adherent cells. On day 1, suspension cells were collected and distributed into flat-bottomed 6-well plate (1 mL/well). Pre-warmed BM-DC media was added into each well (2 mL/well). 2mL of fresh BM-DC media was added every 2-3 days.

### **NK cells co-cultured with BM-DCs**

After the aspiration of media, BM-DC layer was rinsed with pre-warmed PBS. 1mL of non-enzymatic cell-dissociation buffer was added per well and incubated for 10 min at 37°C. BM-DCs were gently scrapped using a cell lifter and collected. 1mL of pre-warmed BM-DC media was used to wash out the well. BM-DCs were washed with BM-DC media (1500 rpm for 10 min at room temperature). Cells were re-suspended in PCCM at a density of  $1 \times 10^6$  cells/mL and seeded in non-treated cell culture 24-well plate. NK cells pre-cultured with *at*RA (or DMSO) for 5 days were collected and washed with PBS twice (1500 rpm for 10 min at room temperature). NK cells were re-suspended in PCCM at a density of  $1 \times 10^6$  cells/mL and added to BM-DC in ratios of 1:1, 1:2, and 1:4. 5 hours of co-culture was conducted for DC apoptosis assay, and 14-16 hours of co-culture was conducted for DC maturation assay. In some experiments (mentioned in figure legends), 1  $\mu$ g/mL of anti-IFN- $\gamma$  was added during 14-16 hours of co-culture.

### 3.2.6 Tumor cell culture

#### **Cell thawing**

Cryovial was thawed with gentle agitation in 37°C water bath and taken out as soon as 90% of content was thawed. The content was transferred to pre-warmed media and centrifuged (1500 rpm for 10 min at room temperature). Cell pellets were re-suspended in an appropriate media.

#### **Suspension tumor cell culture**

The density of suspension cells was maintained by splitting cells and adding fresh media every 2-3 days.

#### **Adherent tumor cell culture**

Media was aspirated and cell layers were rinsed with PBS. Pre-warmed Trypsin-EDTA was added and incubated at 37°C until all cells were detached. Pre-warmed media was added to stop the reaction. Cells were centrifuged (1500 rpm for 10 min at room temperature), and re-suspended at an appropriate density.

#### **Cell freezing**

Cells were harvested and centrifuged (1500 rpm for 10 min at room temperature). Cell pellets were re-suspended in Cell-Freezing Media at a density of  $3 \times 10^6$  cells/mL, and 1 mL of cell suspension was aliquoted in cryovial. Cryovials were placed in freezing container and transferred at -80°C freezer. For the long-term storage, vials were transferred into liquid nitrogen tank.

### 3.2.7 Functional assays of NK cells

#### **Stimulation with pro-inflammatory cytokines**

NK cells pre-cultured with *α*TR (or DMSO) for 6-7 days were collected and washed with PBS (1500 rpm for 10 min at room temperature). Cells were re-suspended in PCCM at a density of  $1 \times 10^6$  cells/mL, and seeded in round-bottomed 96-well plate. NK cells were stimulated with 1 ng/mL of mIL-12 and/or 20 ng/mL of mIL-18 for 5 hours. After 1 hours of stimulation, 1  $\mu$ L of GolgiPlug™ containing Brefeldin A was added to stop intracellular protein transport processes. After the stimulation, cells were harvested and stained for detection of intracellular cytokines.

### **NK cell receptor triggering**

10 µg/mL of anti-NK1.1 antibody, anti-NKG2D antibody, anti-NKp46 antibody, or 2 µg/mL of CD155 fusion protein were prepared in PBS. 50 µL of each solution was distributed to flat-bottomed 96-well plate and incubated overnight at 4°C. NK cells cultured with *atRA* (or DMSO) for 6-7 days were collected and washed with PBS (1500 rpm for 10 min at room temperature). Cells were re-suspended in PCCM at a density of  $1 \times 10^6$  cells/mL, and seeded in pre-coated 96-well plate. 1 µL of FITC-CD107a antibody (or equal amount of Rat IgG2a as isotype control) was added into each well. After 1 hour of stimulation, GolgiPlug™ containing Brefeldin A was added to stop intracellular protein transport processes. After the stimulation, cells were harvested and stained for detection of intracellular cytokines.

### **Co-culture with tumor cells**

Tumor cells were re-suspended in PCCM at a density of  $1 \times 10^6$  cells/mL, and seeded in 96-well plate. NK cells cultured with *atRA* (or DMSO) for 6-7 days were collected and washed with PBS twice (1500 rpm for 10 min at room temperature). NK cells were re-suspended in PCCM at a density of  $1 \times 10^6$  cells/mL, and added to tumor cells in a ratio of 1:1. Co-culture was conducted for 5 hours.

## 3.2.8 Flow cytometry

### **Analysis of surface molecules**

Cells from single-cell suspension or from culture were re-suspended in PBS and distributed into 96-well plate at an appropriate density ( $0.1 \times 10^6$  -  $0.2 \times 10^6$  cells/mL). Cells were treated with 30 µL of PBS containing 0.2 µL of Aqua Zombie™ and incubated for 20 min at room temperature in the dark. 20 µL of Fc blocking buffer was added to each well and incubated for 15 min at 4°C in the dark. Antibody cocktail, containing antibodies against surface molecule, diluted in 50 µL of FACS buffer (BD Horizon™ Brilliant Stain buffer was used for staining with antibodies conjugated with BVV- fluorochromes) was added and incubated for 20 min at 4°C in the dark. Cells were washed with FACS buffer twice (2100 rpm for 4 min at 4°C). In some experiments, if cell fixation is not required, instead of Aqua Zombie™, 5 µL of 7AAD was added before flow cytometry analysis, to distinguish live/dead cells.

### **Analysis of intracellular molecules**

After surface staining, cells were fixed with using Fixation/Permeabilization Solution Kit. Cells were centrifuged (2100 rpm for 4 min at 4°C), and washed with Perm buffer. 25 µL of Perm buffer and 25 µL of Fc blocking buffer were added to each well and incubated for 20 min at 4°C. Antibody cocktail, containing antibodies against intracellular molecule, diluted in 50 µL of

Perm buffer, was added and incubated for 20 min at 4°C in the dark. Cells were washed with Perm buffer (2100 rpm for 4 min at 4°C), and re-suspended in FACS buffer for flow cytometry analysis.

### **Analysis of cell apoptosis**

Cultured cells were harvested and treated with 20 µL of Fc blocking buffer for 15 min at 4°C. Antibody cocktail, containing antibodies against surface molecule, diluted in 50 µL of PBS was added and cells were incubated for 20 min at 4°C in the dark. Cells were washed with PBS (2100 rpm for 4 min at 4°C) and stained with master mix, containing Annexin V and 7ADD, diluted in Annexin V binding buffer, for 20 min at room temperature in the dark. 150 µL of Annexin V binding buffer was added for flow cytometry analysis.

### **Analysis of phosphorylated proteins**

BD Cytofix/Cytoperm™ buffer was pre-warmed to 37°C, and BD phosflow™ perm buffer III was pre-cooled at -20°C. Cells were collected and stimulated with cytokines in 100 µL of PCCM. After the stimulation, cells were fixed immediately by adding an equal volume of 100 µL pre-warmed BD cytofix/cytoperm™ buffer and incubated for 10 min at 37°C. Cells were centrifuged (2100 rpm for 4 min at 4°C). 100 µL of pre-cooled BD phosflow™ perm-buffer III was added and incubated for 30 min on ice. Cells were washed with FACS buffer twice (2100 rpm for 4 min at 4°C), and treated with 20 µL of Fc-blocking buffer for 10 min on the ice in the dark. Antibody cocktail, containing antibodies against surface molecule or phospho-protein, diluted in FACS buffer was added and cells were incubated for 60 min at room temperature in the dark. Cells were washed with FACS buffer twice (2100 rpm for 4 min at 4°C) and re-suspended in FACS buffer for the flow cytometric analysis.

### **Analysis of cell division**

Up to  $1 \times 10^6$  cells were re-suspended in 1 mL of PBS and 5mM of CellTrace™ Violet stock solution was added to cell suspension in a ratio of 1:1000. Cells were incubated for 20 min at 37°C in the dark. Five times of staining volume of complete media was added to the cells, and cells were incubated for 5 min at 37°C in the dark. Cells were washed (1500 rpm for 4 min at room temperature) and re-suspended in pre-warmed PCCM. Cells were incubated for 10 min before co-culture or stimulation.

### **Analysis of mitochondria fitness or lipid uptake**

Cultured cells were re-suspended in pre-warmed PCCM and distributed into 96-well plate at a density of  $1 \times 10^6$  cells. 200nM of MitoTracker™ Green FM dye (for measurement of mitochondrial mass), 20 nM of MitoProbe™ TMRM dye (for of mitochondrial membrane potential),

500  $\mu$ M of CellROX™ Deep Red dye (for measurement of reactive oxygen species production), or 5 nM of BODIPY™ FL C16 (for measurement of lipid uptake) was added. Cells were incubated for 30 min at 37°C in the dark and washed with PBS 3 times (2100 rpm for 4 min at room temperature). Surface molecules were stained for further analyses.

### 3.2.9 Flow cytometric analysis

Samples were measured with LSR Fortessa™ or FACSAria™ fusion. Data were analyzed with FlowJo™\_v10.7.1. Gating strategy was as follow:

**Table 2.15. Gating strategy of cells using flow cytometry.**

Cells	Gating strategy
Cultured NK cells	CD3 $\epsilon$ <sup>-</sup> NK1.1 <sup>+</sup> NKp46 <sup>+</sup> or CD3 <sup>-</sup> Eomes <sup>+</sup>
Cultured BM-DCs (upon co-culture with NK cells)	NK1.1 <sup>-</sup> CD11b <sup>+</sup> CD11c <sup>+</sup>
Naïve T cells	CD3 $\epsilon$ <sup>+</sup> CD62L <sup>+</sup> CD44 <sup>-</sup>
Cultured CD4 <sup>+</sup> T cells (upon co-culture with NK cells)	CD45.2 <sup>+</sup> CD4 <sup>+</sup> or CD45.2 <sup>+</sup> TCR $\beta$ <sup>+</sup>
Cultured NK cells (upon co-culture with CD4 <sup>+</sup> T cells)	CD45.1 <sup>+</sup> Eomes <sup>+</sup> or CD45.1 <sup>+</sup> NK1.1 <sup>+</sup>
ILC1s in liver	CD45 <sup>+</sup> CD3 $\epsilon$ <sup>-</sup> CD19 <sup>-</sup> Ly6G <sup>-</sup> Ter119 <sup>-</sup> NK1.1 <sup>+</sup> NKp46 <sup>+</sup> Eomes <sup>-</sup> CD49a <sup>+</sup>
NK cells in liver, lung, spleen	CD45 <sup>+</sup> CD3 $\epsilon$ <sup>-</sup> CD19 <sup>-</sup> Ly6G <sup>-</sup> Ter119 <sup>-</sup> NK1.1 <sup>+</sup> NKp46 <sup>+</sup> Eomes <sup>+</sup>
ILC1s in gut	CD45 <sup>+</sup> CD3 $\epsilon$ <sup>-</sup> CD19 <sup>-</sup> Ly6G <sup>-</sup> Ter119 <sup>-</sup> TCR $\beta$ <sup>-</sup> TCR $\gamma$ $\delta$ <sup>-</sup> CD127 <sup>+</sup> T-bet <sup>+</sup>
ILC2s in gut	CD45 <sup>+</sup> CD3 $\epsilon$ <sup>-</sup> CD19 <sup>-</sup> Ly6G <sup>-</sup> Ter119 <sup>-</sup> TCR $\beta$ <sup>-</sup> TCR $\gamma$ $\delta$ <sup>-</sup> CD127 <sup>+</sup> GATA3 <sup>+</sup>
ILC3s in gut	CD45 <sup>+</sup> CD3 $\epsilon$ <sup>-</sup> CD19 <sup>-</sup> Ly6G <sup>-</sup> Ter119 <sup>-</sup> TCR $\beta$ <sup>-</sup> TCR $\gamma$ $\delta$ <sup>-</sup> CD127 <sup>+</sup> Roryt <sup>+</sup>
NK cells in gut	CD45 <sup>+</sup> CD3 $\epsilon$ <sup>-</sup> CD19 <sup>-</sup> TCR $\beta$ <sup>-</sup> TCR $\gamma$ $\delta$ <sup>-</sup> CD127 <sup>-</sup> NK1.1 <sup>+</sup> NKp46 <sup>+</sup> Eomes <sup>+</sup>
CD127 <sup>-</sup> ILCs in gut	CD45 <sup>+</sup> CD3 $\epsilon$ <sup>-</sup> CD19 <sup>-</sup> TCR $\beta$ <sup>-</sup> TCR $\gamma$ $\delta$ <sup>-</sup> CD127 <sup>-</sup> NK1.1 <sup>+</sup> NKp46 <sup>+</sup> Eomes <sup>-</sup>
NK cells in tumor	CD45.1 <sup>+</sup> CD3 $\epsilon$ <sup>-</sup> CD19 <sup>-</sup> Ly6G <sup>-</sup> F4/80 <sup>-</sup> SiglecF <sup>-</sup> FceRI <sup>-</sup> Ter111 <sup>-</sup> NK1.1 <sup>+</sup> NKp46 <sup>+</sup> Eomes <sup>+</sup>
ILC1s in tumor	CD45.1 <sup>+</sup> CD3 $\epsilon$ <sup>-</sup> CD19 <sup>-</sup> Ly6G <sup>-</sup> F4/80 <sup>-</sup> SiglecF <sup>-</sup> FceRI <sup>-</sup> Ter111 <sup>-</sup> NK1.1 <sup>+</sup> NKp46 <sup>+</sup> Eomes <sup>-</sup> CD49a <sup>+</sup>

### 3.2.10 Measurement of cytokine amount

NK cells were incubated for 5 hours upon stimulation with cytokine and for 24 hours upon co-culture with dendritic cells. At the end of the incubation, supernatants were collected and centrifuged (2100 rpm for 4 min at 4°C). Supernatant were stored at -20°C until the assay. The amount of released IFN- $\gamma$  was measured using Mouse IFN- $\gamma$  ELISA MAX™ Standard set (Biolegend), according to the manufacturer's instruction.

### 3.2.11 RNA extraction

Cultured cells or single-cell suspensions from tissues were collected in 1.5mL-tube and washed with sterile PBS (5000 rpm for 5 min at room temperature). After the aspiration of PBS, cell pellet was lysed with RLT buffer containing 1% of  $\beta$ -mercaptoethanol, and vortexed for 2 min. Lysates were stored at -20°C until the isolation. RNA extracting process was performed using RNeasy® Mini Kit according to manufacturer's instructions. To rule out contamination with genomic DNA, obtained RNA was treated with TURBO DNA-free® Kit according to manufacturer's instructions. RNA concentration was measured using TECAN Plate reader Infinite 200 pro.

### 3.2.12 cDNA Synthesis and quantitative real-time-PCR

First strand cDNA was synthesized from total RNA using ProtoScript® II First Strand cDNA Synthesis Kit, according to manufacturer's instructions. Master mix, containing appropriate primers, was added to cDNA, using PowerUp™ SYBR™ Green Mastermix, according to manufacturer's instructions. qRT-PCR was performed using in a QuantStudio™ 5 Real-Time PCR System with the following program: hold stage with 50°C for 20min and 95°C for 2 min, 40 cycles of amplification at 95°C for 1s and 60 °C for 30s, and melt curve stage at 95°C for 15s, 60°C for 1 min and 95°C for 15s. The gene expression was quantified using the  $\Delta\Delta$ CT method and Actb or B2m was used as a reference gene.

For RT<sup>2</sup> Profiler™ PCR Array Mouse Cytokines & Chemokines or RT<sup>2</sup> Profiler™ PCR Array Mouse Retinoic Acid Signaling, first strand cDNA was synthesized 400 ng of total RNA using RT<sup>2</sup> First Strand Kit according to manufacturer's instructions. Achieved cDNA was mixed with RT<sup>2</sup> SYBR™ Green qPCR Mastermix, and ROX as a reference dye according to manufacturer's instructions. qRT-PCR array was performed using in a QuantStudio™ 5 Real-Time PCR System with the program provided in RT<sup>2</sup> Profiler™ PCR Array.

### 3.2.13 Gene expression analysis

Gene expression analysis, including bioinformatics, was processed by the bioinformatics core facility of Medical faculty Mannheim (ZMF).

#### **Gene expression**

RNA quality test was performed using capillary electrophoresis on an Agilent 2100 bioanalyzer. The high-quality RNA samples (RIN = 10) were used. Gene-expression profiling was performed using Affymetrix GeneChip™ Mouse Gene 2.0 ST Arrays. Biotinylated antisense cDNA was prepared according to Affymetrix standard labelling protocol with the GeneChip™ Hybridization oven 640, dyed in the GeneChip™ Fluidics Station 450 and thereafter scanned with a GeneChip™ Scanner 3000.

#### **Bioinformatics**

A Custom CDF Version 21 with ENTREZ based gene definitions was used to annotate the arrays (Dai et. al, 2005). The Raw fluorescence intensity values were normalized applying quantile-normalization and RMA background correction. OneWay-ANOVA™ was performed to identify differentially expressed genes using a commercial software package SAS JMP10 Genomics, version 6, from SAS (SAS Institute, Cary, NC, USA). A false positive rate of  $\alpha=0.05$  with FDR correction was taken as the level of significance.

#### **Gene Set Enrichment Analysis (GSEA)**

GSEA was used to determine whether ranked-gene lists exhibit a statistically significant bias in their distribution using the software R v3.4.0 (R Core Team 2017), RStudio®: Integrated development environment for R (RStudio® Boston, MA, USA) and the fgsea package (Sergushichev, 2016). Annotations were pathways obtained from public external databases (KEGG, <http://www.genome.jp/kegg>).

### 3.2.14 Real-time metabolic analysis

Prior to the assay, Seahorse™ sensor cartridge was hydrated in an incubator overnight in the absence of CO<sub>2</sub>. On the day of assay, the miniplate was coated with 100 µg/mL poly-D-lysine for 20 min. After the aspiration of Poly-D-lysine, miniplate was washed with water twice, followed by air-drying for 30 min. Harvested cells were washed with PBS (1500 rpm for 10 min at room temperature), and re-suspended at density of  $1 \times 10^5$  cells in 30 µL of XF RPMI media supplemented with glucose and pyruvate. 30 µL of cell suspension was added in the miniplate and loaded to Seahorse™ XF HS Mini Analyzer (Agilent). Oxygen consumption rate

(OCR) and extracellular acidification rate (ECAR) were measured in intervals of every 6 minutes. After the third, sixth, and ninth acquisition, 1.5  $\mu\text{M}$  of oligomycin (ATP synthase inhibitor), 1  $\mu\text{M}$  of FCCP (depolarizer of mitochondrial membrane), and 0.5  $\mu\text{M}$  of Rotenon/Antimycin A (complex III and I inhibitor) were added, respectively. OCR was quantified in “pmol/min” and ECAR was quantified in “mpH/min”.

### 3.2.15 Mouse genotyping

Collected piece from murine tail or ear were lysed using MyTaq™ Extract-PCR Kit according to the manufacturer’s protocol. PCR was performed in C1000 Touch™ Thermal Cycler using lysate, optimized primers and MyTaq™ HS Red Mix, as described in Table 2.16. PCR products were separated on 2% of agarose Gel. Separated bands on the gel was detected using 200 Gel Imaging Workstation. The protocol of genotyping PCR for B6.129-Ppargtm2Rev/J was kindly provided by Dr. Elke Burgermeister.

**Table 2.16. Genotyping PCR steps.**

Step		1	2	3	4	5	6	7
NKp46-cre, Tg(Ncr1-icre) 265Sxl	Temp (°C)	94	94	60	72	Repeat 37x (step 2-4)	72	12
	Time	3 min	45 sec	45 sec	1 min		7 min	hold
PPAR $\gamma$ loxP, B6.129- Ppargtm2Rev/J	Temp (°C)	94	94	60	68	Repeat 34x (step 2-4)	72	4
	Time	3 min	30 sec	30 sec	1 min		5 min	hold

### 3.2.16 Mouse tumor models

#### Preparation of tumor cells for injection

Adeherent tumor cells were harvested in the exponential growth phase after 5-7 days of culture using non-enzymatic cell-dissociation buffer, and centrifuged (1200 rpm for 5 min at room temperature). Cells were washed with media without FCS (1200 rpm for 5 min at room temperature), and washed with fresh PBS twice (1200 rpm for 5 min at room temperature).

#### Subcutaneous inoculation of tumor cells

Cells were re-suspended at density of  $1 \times 10^7$  cells in 1 mL of PBS. Mice were injected with 100  $\mu\text{L}$  of tumor cell suspension subcutaneously in the left flank. Tumor growth was assessed every 2-3 days with a caliper measuring the height, width and depth of the tumors and tumor volume was calculated. Mice were sacrificed to obtain tumor tissue, when the size of tumor reached ~1.5cm.



### **Metastases model**

Cells were re-suspended at density of  $1 \times 10^6$  cells in 1 mL of PBS. Mice were injected with 100  $\mu$ L of tumor cell suspension through tail vein. Mice were sacrificed three weeks post-injection and lungs were excised.

#### 3.2.17 Statistical analysis

Statistical analysis was performed using paired or unpaired two-tailed student's t-test, or one-way ANOVA with Tukey's multiple comparisons test. Data were considered to be significant, if p-value < 0.05 (\*), p < 0.01 (\*\*), p < 0.001 (\*\*\*), p < 0.0001 (\*\*\*\*) and to be not significant (n.s), if p-value > 0.05.

## 4 RESULTS

### 4.1 Effect of vitamin A on NK cell phenotype *in vitro*

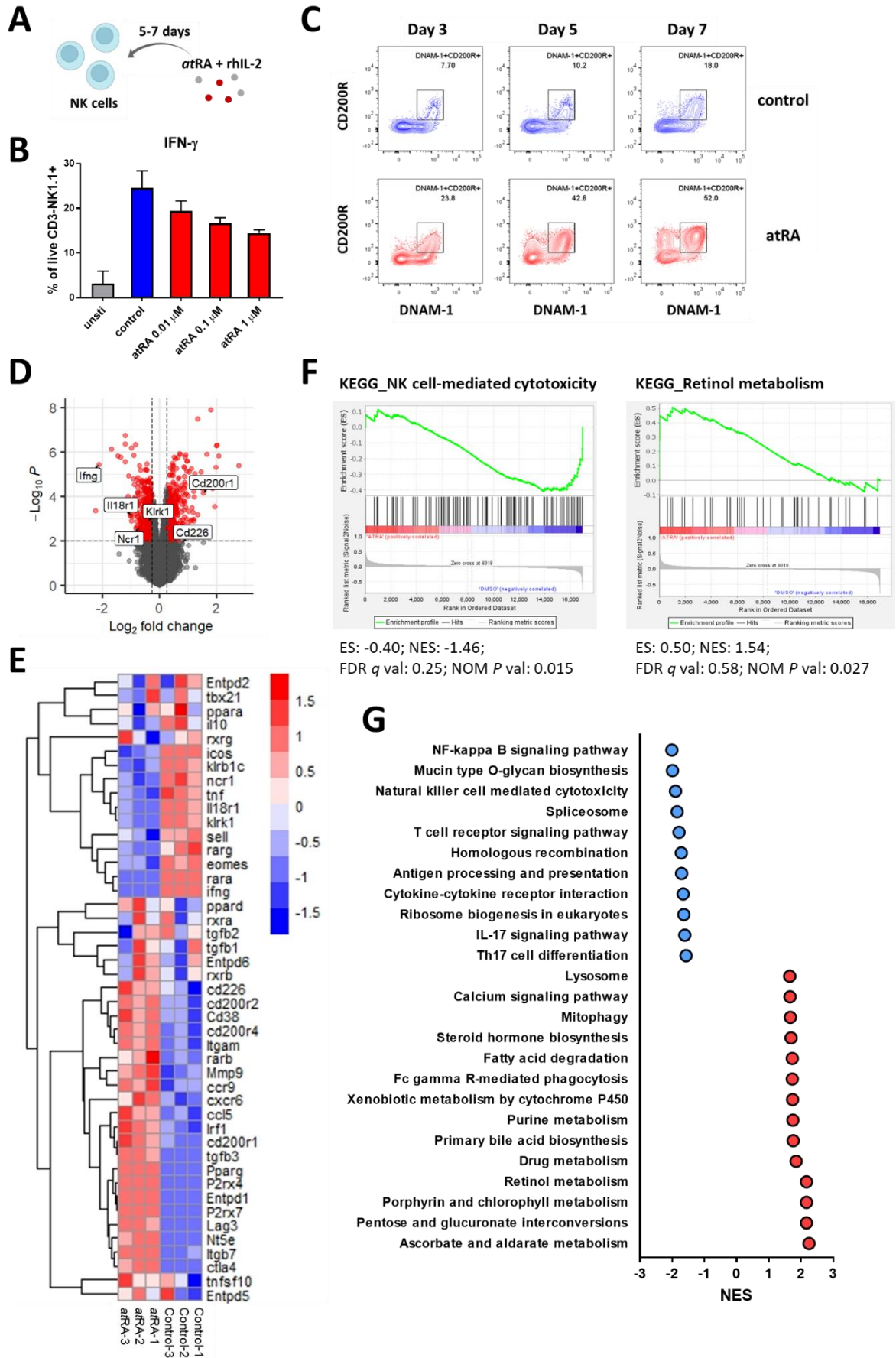
NK cells are found in organs enriched with lipid-soluble vitamins, such as liver and adipose tissue (Kane et al., 2008; Peng & Tian, 2017), and can be further recruited during tissue inflammation. The impact of the lipid-soluble micronutrient, vitamin A, on NK cells remains unclear. Here, we investigated whether vitamin A metabolites can regulate NK cell phenotype and effector functions.

#### 4.1.1 Transcriptomic reprogramming of NK cells induced by *atRA*

We treated NK cells with *all-trans* retinoic acid (*atRA*), a potent metabolite of vitamin A (Erkelens & Mebius, 2017) (Figure 3.1A). Based on the literature (Bidad, Salehi, Oraei, Saboor-Yaraghi, & Nicknam, 2011; Candia et al., 2017; Morita et al., 2019), we treated NK cells with three doses (0.01  $\mu\text{M}$ , 0.1  $\mu\text{M}$ , or 1  $\mu\text{M}$ ) of *atRA* for 7 days in the presence of IL-2, and afterwards re-stimulated cells with IL-12 and IL-18. The production of IFN- $\gamma$ , a pro-inflammatory cytokine, was dose-dependently downregulated by *atRA*-treatment (Figure 3.1B). Furthermore, to optimize the duration of treatment, NK cells were exposed to 1  $\mu\text{M}$  of *atRA* for 3 days, 5 days, or 7 days, and analyzed for the phenotype. We observed DNAM-1 and CD200R-expressing NK cells after 5 days of *atRA*-treatment (Figure 3.1C). Therefore, NK cells were treated with 1  $\mu\text{M}$  of *atRA* for 5-7 days for subsequent experiments.

In order to elucidate a global impact of *atRA* on NK cell transcriptome, we performed transcriptome analysis of *atRA*-treated NK cells and control NK cells. The volcano-plot and heatmap shown in Figure 3.1D and E illustrate the distinct transcriptional phenotype of *atRA*-treated NK cells compared to control NK cells. Gene pathway analysis, based on Kyoto Encyclopedia of Genes and Genomes (KEGG), revealed that *atRA*-treated NK cells reduced the expression of genes involved in NF $\kappa$ B signaling pathway and NK cell-mediated cytotoxicity (Figure 3.1F and G). These results indicate that effector functions of NK cells might be attenuated by *atRA*-treatment. On the other hand, *atRA*-treated NK cells increased the expression of genes involved in retinol metabolism (Figure 3.1F and G). In a line with gene pathway analysis, *atRA*-treated NK cells showed reduction in *Ifng* (transcript encoding Interferon gamma, IFN- $\gamma$ ), *Tnf* (transcript encoding tumor necrosis factor alpha, TNF- $\alpha$ ), *Il18ra* (transcript encoding interleukin-18 receptor alpha, IL-18R $\alpha$ ), *Klrk1* (transcript encoding NKG2D), and *Klrk1c* (transcript encoding NK1.1) expression (Figure 3.1E).

# Results

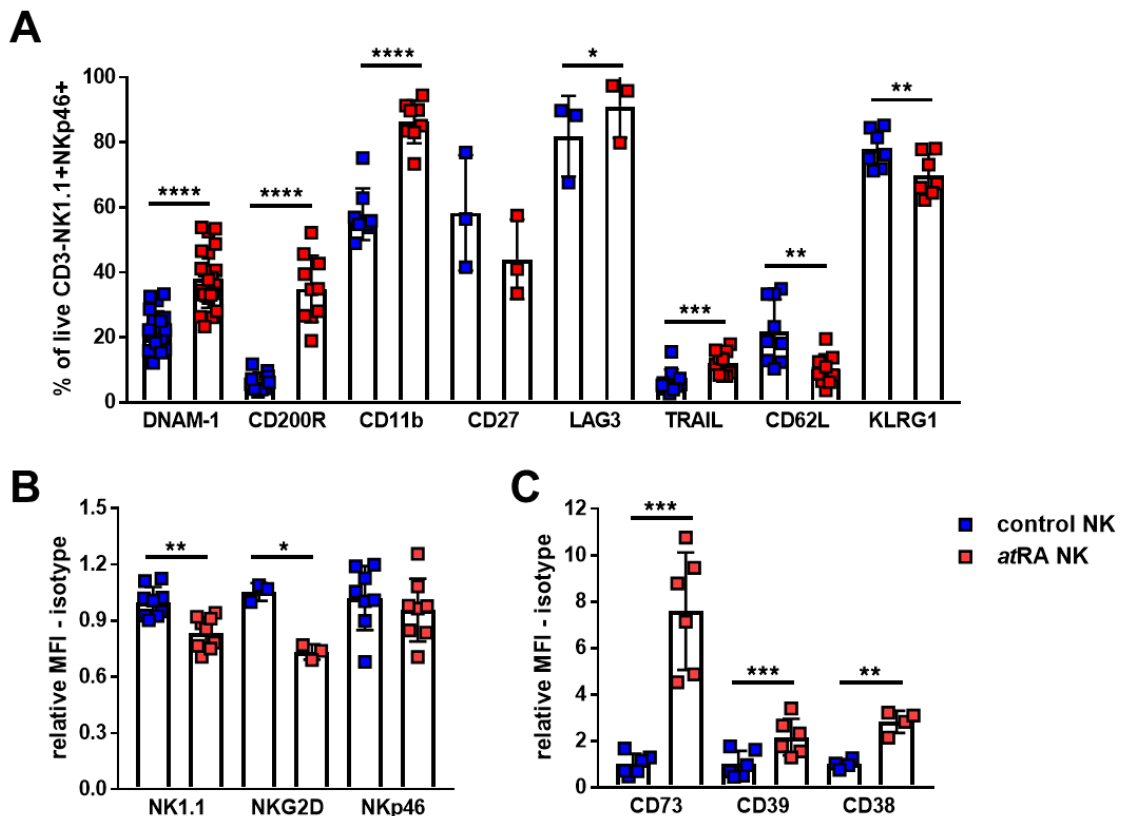


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**Figure 3.1 *atRA* induces transcriptomic reprogramming of NK cells.** (A) Schematic illustration of *atRA*-treatment of NK cells (Created with BioRender.com). (B) Splenic NK cells were cultured with 0.01, 0.1 or 1  $\mu\text{M}$  of *atRA* in the presence of IL-2 for 7 days (the equivalent volume of DMSO was used as a solvent control), and re-stimulated with IL-12 and IL-18 for 5 hours. Production of IFN- $\gamma$  was analyzed by flow cytometry (n=2). (C) Splenic NK cells were cultured with 1  $\mu\text{M}$  of *atRA* in the presence of IL-2 for 3 days, 5 days, or 7 days (the equivalent volume of DMSO was used as a solvent control). Representative contour-plots of DNAM-1 and CD200R expression on *atRA*-treated and control NK cells. (D-G) Splenic NK cells were isolated as live, CD3 $\epsilon$ <sup>+</sup>, NKp46<sup>+</sup>, CD200R<sup>-</sup>, and TRAIL<sup>-</sup> cells, and cultured with 1  $\mu\text{M}$  of *atRA* in the presence of IL-2 for 7 days (The equivalent volume of DMSO was used as a solvent control) (n=3). RNA was extracted to perform transcriptome analysis. (D) Differential transcript expression of *atRA*-treated NK cells compared to control NK cells shown in volcano-plot and (E) Heatmap. (F) Enrichment-plots of NK cell mediated cytotoxicity (left) and retinol metabolism (right) pathways in *atRA*-treated NK cells compared to control NK cells, generated with GSEA (www.gsea-msigdb.org). (G) Pathway enrichment analysis based on k for *atRA*-treated NK cells compared to control NK cells. Unsti, unstimulated cells; NES, normalized enrichment score; ES, enrichment score; FDR, false discovery rate; NOM P val, nominal p-value. Graphs indicate mean  $\pm$  SEM.

#### 4.1.2 Effect of all-*trans* retinoic acid on NK cell phenotype

Based on transcriptional alterations in NK cells upon *atRA*-treatment, we selected several molecules, including NK cell activating and inhibitory receptors, maturation markers, death-receptor ligands, and adenosine metabolizing enzymes, in order to evaluate the expression on NK cells. The exposure to *atRA* increased expression of DNAM-1, CD200R, CD11b, LAG3 and TRAIL at protein level, and decreased expression of CD62L and KLRG1 on the surface of NK cells (Figure 3.2A). Upon *atRA*-treatment, expression of activating receptors, such as NK1.1 and NKG2D, was significantly downregulated (Figure 3.2B), and expression of CD73, CD39 and CD38 was significantly upregulated (Figure 3.2C).

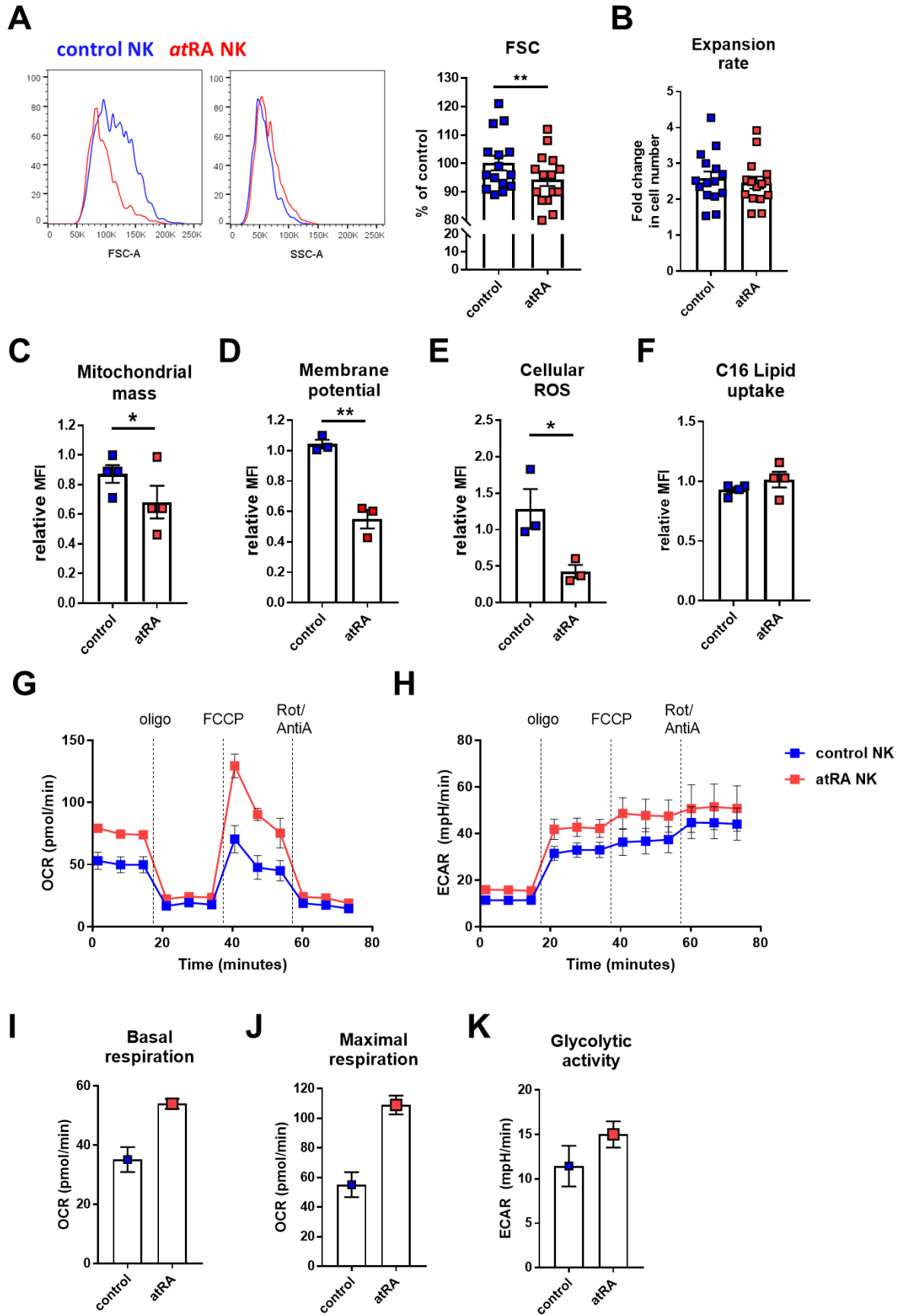


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**Figure 3.2. *atfRA* induces phenotypic changes on NK cells.** NK cells were cultured with 1  $\mu$ M of *atfRA* in the presence of IL-2 for 7 days (the equivalent volume of DMSO was used as a solvent control), and analyzed by flow cytometry. NK cells were gated as live, CD3 $\epsilon$ <sup>-</sup>, NK1.1<sup>+</sup> and NKp46<sup>+</sup> cells. (A) Quantification of NK cells expressing DNAM-1, CD200R, CD11b, CD27, LAG3, TRAIL, CD62L, and KLRG1 upon *atfRA*- or control-treatment (n $\geq$ 3). (B-C) Geometric mean fluorescence intensity (MFI) of (B) activating receptor expression on NK cells (n $\geq$ 3) and (C) adenosine deaminase expression on NK cells (n=4-6) upon *atfRA*- or control-treatment. Graphs indicate mean  $\pm$  SEM. \*, p<0.05; \*\*, p<0.01; \*\*\*, p<0.001; \*\*\*\*, p<0.0001 by paired Student's t-test.

#### 4.1.3 Metabolic changes induced by *atfRA* in NK cells

The pathway enrichment analysis from transcriptomic data revealed that *atfRA* modified transcription of genes related to metabolism, for example, pentose interconversion and cytochrome P450 pathway (Figure 3.1A). To study the impact of *atfRA* on NK cell metabolism, we measured the mitochondrial fitness and the metabolic profile of *atfRA*-treated NK cells. We observed that *atfRA*-treatment significantly diminished the cell size and the mitochondrial mass of NK cells, measured by the reduction on the forward scatter and fluorescence intensity of staining with Mitotracker<sup>TM</sup> dye (Figure 3.3A and C). Despite the reduced cell size and mitochondrial mass, *atfRA*-treated NK cells proliferated at a comparable level as control NK cells (Figure 3.3B). *atfRA*-treatment also resulted in reduced mitochondrial membrane potential and production of reactive oxygen species (ROS) by NK cells (Figure 3.3D and E). As transcripts encoding proteins involved in fatty acid degradation, were enriched in *atfRA*-treated NK cells (Figure 3.1A), we expected that *atfRA*-treated NK cells might uptake higher amount of lipids compared to control NK cells. However, lipid uptake by *atfRA*-treated NK cells was comparable to lipid uptake by control NK cells (Figure 3.3F). *atfRA*-treatment induced higher basal oxygen consumption rate (OCR) and increased maximum OCR of NK cells (Figure 3.3G, I and J). These results indicate improved oxidative phosphorylation (OXPHOS). Glycolytic activity, measured by extracellular acidification rate (ECAR), was comparable between *atfRA*-treated NK cells and control NK cells (Figure 3.3H and K).

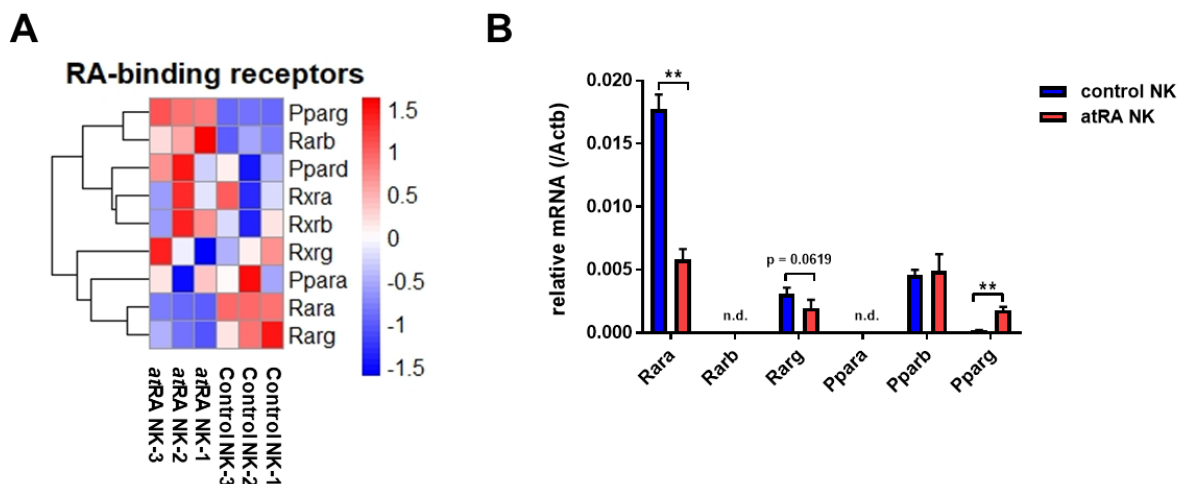


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**Figure 3.3 *atRA*-treated NK cells show differential mitochondrial phenotype and improved oxidative phosphorylation (OXPHOS).** (A-F) NK cells were cultured with 1 $\mu$ M of *atRA* in the presence of IL-2 for 7 days (The equivalent volume of DMSO was used as a solvent control). Mitotracker™ dye, MitoProbe™ TMRM dye, CellRox™ dye, or Bodipy™ FL C16 were used for measuring mitochondrial mass, mitochondrial membrane potential, production of reactive oxygen species (ROS), or lipid uptake, respectively. NK cells were gated as live, CD3 $\epsilon$ <sup>-</sup>, NK1.1<sup>+</sup> and NKp46<sup>+</sup> cells. (A) Representative histogram (left) and quantification (right) of cell size depicted with forward scatter area (FSC-A), and cellular granularity depicted with side scatter area (SSC-A) (n=15). (B) Quantification of cell expansion rate calculated as final cell numbers divided by starting cell numbers (day 0). (C-F) Geometric mean fluorescence intensity (MFI) of (C) Mitotracker™, (D) TMRM, (E) CellRox™, and (F) Bodipy™ FI C16 stainings. (n=3-4). (G-K) Oxygen consumption rate (OCR) and extracellular acidification rate (ECAR) of *atRA*-treated NK cells and control NK cells were measured upon oligomycin, FCCP, and Rotenone/Antimycin A-treatment. Quantification of (G) OCR, (H) ECAR, (I) basal respiration, (J) maximal respiration, and (K) baseline glycolytic activity (n=3 for control NK cells and n=2 for *atRA*-treated NK cells). Graphs indicate mean  $\pm$  SEM. \*, p<0.05; \*\*, p<0.01 by paired Student's t-test.

#### 4.1.4 Expression of RA-binding receptors on *atRA*-treated NK cells

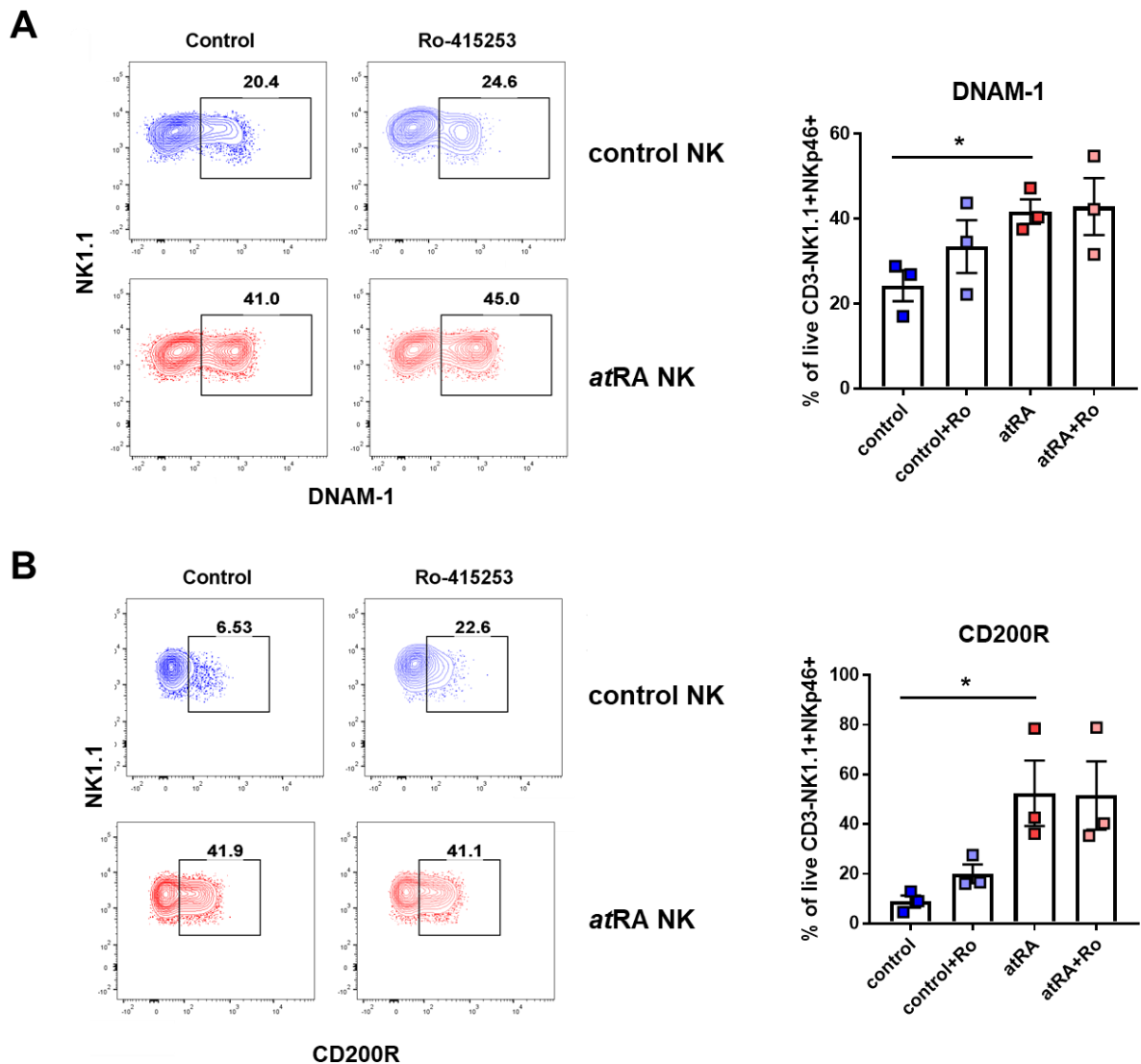
Previous studies demonstrated that *atRA*-treatment mediated immune cell development and responses via retinoic acid (RA)-binding receptors. The blockade of RA receptors could prevent RA-induced effects (Dawson, Collins, Pyle, Key, & Taub, 2008; T. Feng et al., 2010; Wansley, Yin, & Prussin, 2013). Therefore, we assessed RA-binding receptors, e.g. retinoic acid receptors (RARs), retinoic X receptors (RXRs) and peroxisome proliferator-activated receptors (PPARs) expression. The transcriptome analysis illustrated higher expression of *Pparg* and *Rarb*, and lower expression of *Rara* and *Rarg* by NK cells upon *atRA*-treatment (Figure 3.4A). *Ppard*, *Ppara*, *Rxra*, *Rxb* and *Rxrg* expression was comparable upon *atRA*-treatment (Figure 3.4A). In control NK cells, relative mRNA expression of *Rara* was highest among *Rar* and *Ppar* isoforms. *atRA*-treatment downregulated *Rara* and *Rarg* mRNA expression, and upregulated *Pparg* mRNA expression in NK cells (Figure 3.4B).



**Figure 3.4. *atRA* downregulates expression of *Rara* and *Rarg*, and upregulates *Pparg* expression on NK cells.** Data was acquired and analyzed by the transcriptome analysis as in Figure 3.1. (A) Heatmap displays gene expression of RA-binding receptors in *atRA*-treated NK cells and control NK cells. Data are scaled per row. (B) Relative mRNA expression of RA-binding receptors analyzed by qRT-PCR (n=3). Graphs indicate mean  $\pm$  SEM. \*\*, p<0.01 by paired Student's t-test. n.d. not detected.

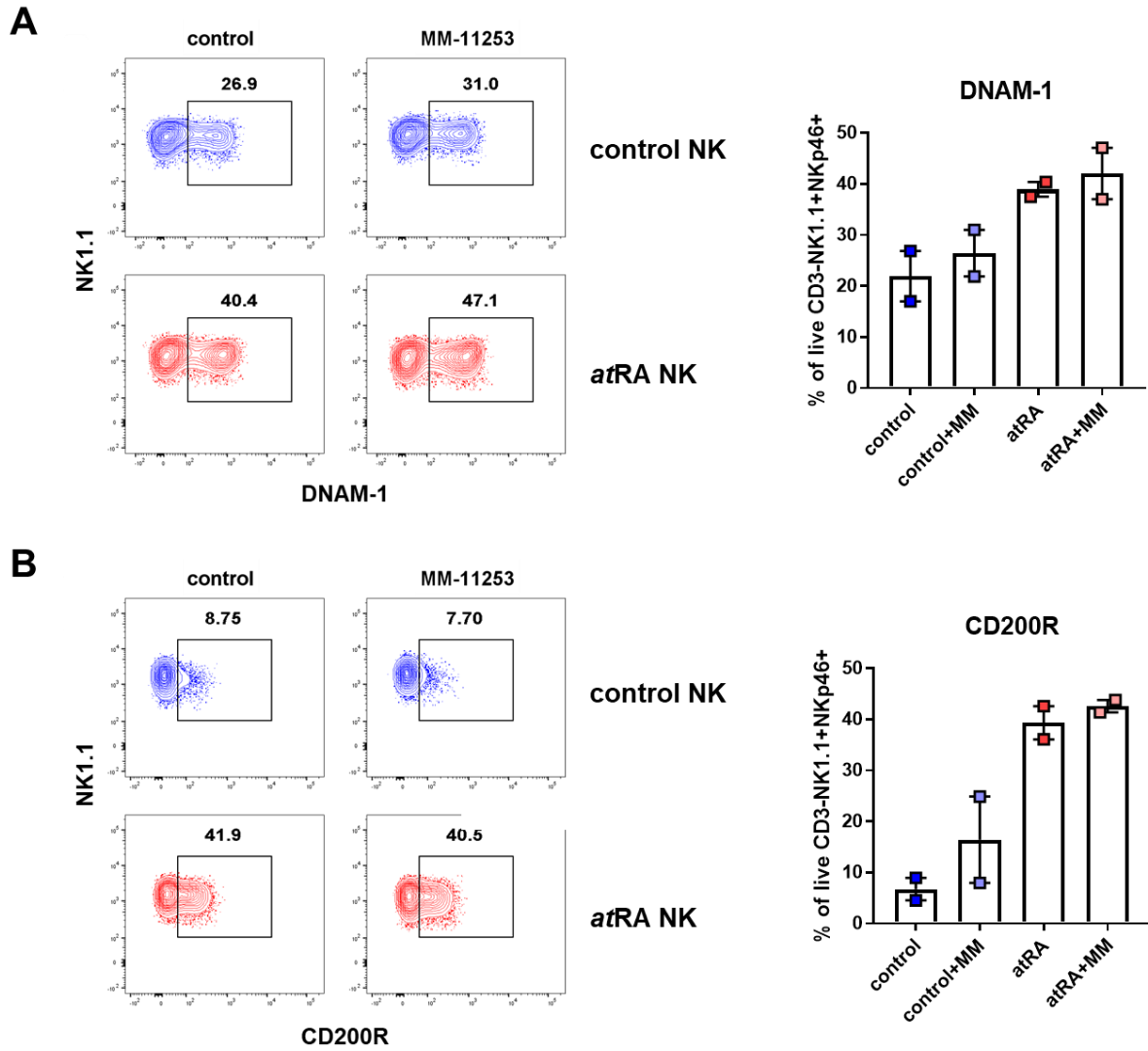
4.1.5 Blockade of RA-binding receptor in *atRA*-induced NK cells

To investigate whether blockade of RAR $\alpha$  or RAR $\gamma$  can prevent *atRA*-induced reprogramming on NK cells, we treated NK cells with selective RAR $\alpha$  antagonist (Ro-415253) or RAR $\gamma$  antagonist (MM-11253) in the presence of *atRA*. Representative markers of the *atRA*-induced phenotype, DNAM-1 and CD200R, were measured on NK cells. In accordance with the previous results, *atRA*-treated NK cells expressed higher amounts of DNAM-1 and CD200R compared to control NK cells; however, these phenotypes were not changed by neither blocking RAR $\alpha$  (Figure 3.5A and B), nor by blocking RAR $\gamma$  (Figure 3.6A and B) with selective antagonists.



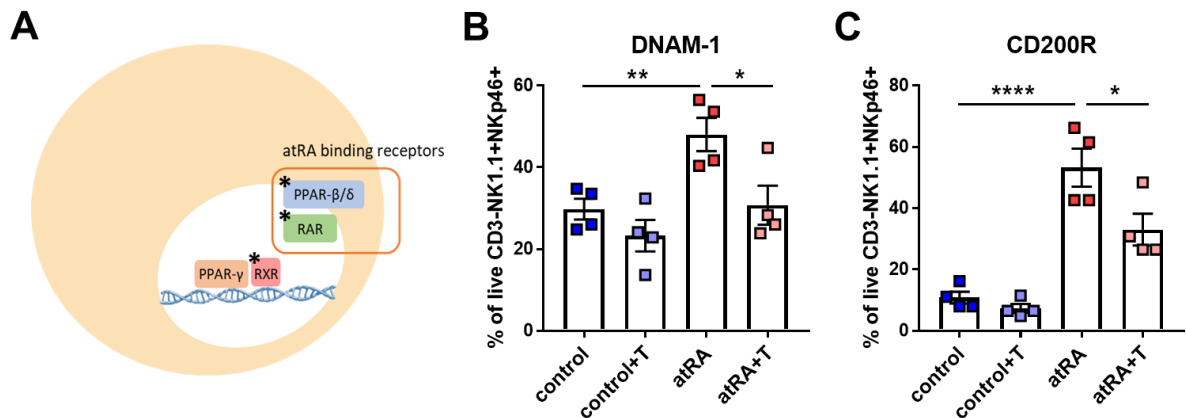
**Figure 3.5. RAR $\alpha$  inhibition does not regulate DNAM-1 and CD200R expression on *atRA*-treated NK cells.** NK cells were cultured with 10  $\mu$ M of selective RAR $\alpha$  antagonist (Ro-415253) in the presence of 1  $\mu$ M of *atRA* and IL-2 for 7 days. Cells were analyzed by flow cytometry for DNAM-1 and CD200R expression, and gated as live, CD3 $\epsilon$ <sup>-</sup>, NK1.1<sup>+</sup>, and NKp46<sup>+</sup> cells. Representative contour-plots (left) and quantification (right) of NK cells expressing DNAM-1 (A) and CD200R (B) upon *atRA*- or control-treatment with or without Ro-415253 (n=3). Graphs indicate mean  $\pm$  SEM. \*, p<0.05 by one-way ANOVA (Tukey's multiple comparisons test). Ro, Ro-415253.





**Figure 3.6. RAR $\gamma$  inhibition does not regulate DNAM-1 and CD200R expression on atRA-treated NK cells.** NK cells were cultured with 10  $\mu$ M of selective RAR $\gamma$  antagonist (MM-11253) in the presence of 1  $\mu$ M of atRA and IL-2 for 7 days. Cells were analyzed by flow cytometry for DNAM-1 and CD200R expression, and gated as live<sup>-</sup>, CD3 $\epsilon$ <sup>-</sup>, NK1.1<sup>+</sup>, and NKp46<sup>+</sup> cells. Representative contour-plots (left) and quantification (right) of DNAM-1 (A) and CD200R (B) expression on atRA-treated NK cells and control NK cells with or without MM-11253 (n=2). Graphs indicate mean  $\pm$  SEM. MM, MM-11253.

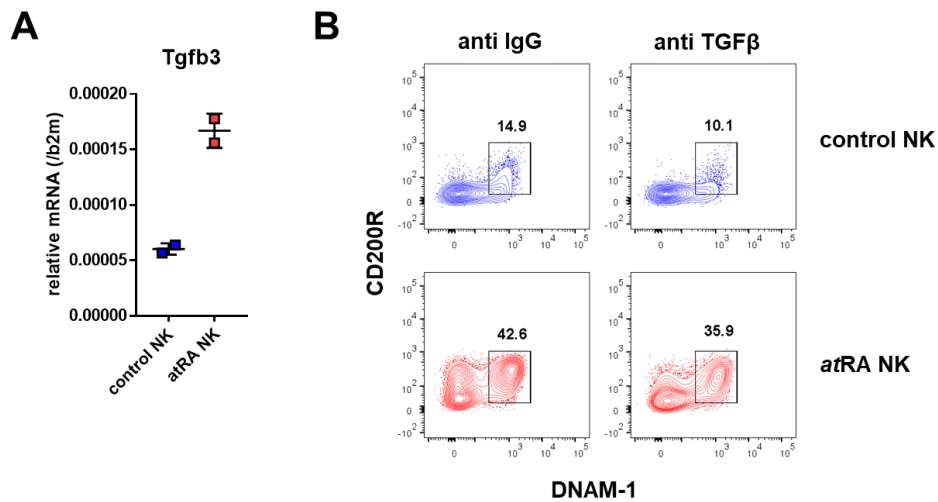
Nuclear receptors PPAR $\beta/\delta$  were reported to bind RA (Shaw, Elholm, & Noy, 2003), and their mRNA expression was highest among PPAR isoforms in cultured NK cells (Fig3.4B). Treatment with *atRA* did not change Ppard mRNA expression, while Pparg expression was upregulated upon *atRA*-treatment (Fig3.4B). Although PPAR $\gamma$  does not directly bind to RA, it heterodimerizes with retinoic X receptor (RXR) that can bind to RA, and can modulate PPAR $\gamma$ -mediated transcription (DiRenzo et al., 1997). We treated NK cells with selective PPAR $\gamma$  antagonist (T0070907) in the presence of *atRA*. Inhibition of PPAR $\gamma$  signaling prevented the elevated DNAM-1 and CD200R expression on *atRA*-treated NK cells, and did not have an effect on control NK cells (Figure 3.7B and C).



**Figure 3.7. PPAR $\gamma$  inhibition reduces expression of DNAM-1 and CD200R on *atRA*-treated NK cells.** (A) Graphic scheme of RA-binding receptors (marked with \* in the scheme). (B-C) NK cells were cultured with 10  $\mu$ M of selective PPAR $\gamma$  antagonist (T0070907) in the presence of 1  $\mu$ M of *atRA* and IL-2 for 7 days. Cells were analyzed by flow cytometry for DNAM-1 and CD200R expression, and gated as live, CD3 $\epsilon^-$ , NK1.1 $^+$ , and NKp46 $^+$  cells. Quantification of DNAM-1 (B) and CD200R (C) expression on *atRA*-treated NK cells and control NK cells, treated or not with T0070907 (+T). Graphs indicate mean  $\pm$  SEM. p < 0.05; \*\*, p < 0.01; \*\*\*\*, p < 0.0001 by paired Student's t-test.

4.1.6 Role of TGF $\beta$  in *atRA*-induced reprogramming of NK cells

Previous study demonstrated that TGF $\beta$  signaling in tumor microenvironment could induce NK cell conversion to an intermediate ILC1-like phenotype, which shared similarities with *atRA*-treated NK cells, e.g. increased DNAM-1 and TRAIL expression, and decreased CD62L and Eomes expression (Gao et al., 2017). Our transcriptome analysis showed enhanced *Tgfb3* transcription by *atRA*-treated NK cells (Figure 3.1C). Relative mRNA expression analysis showed that *atRA*-treatment enhanced *Tgfb3* expression in NK cells (Figure 3.8A). To examine whether TGF $\beta$  modulates *atRA*-induced phenotype in an autocrine manner, we cultured NK cells with anti-TGF $\beta$  antibody in the presence of *atRA*. NK cells enhanced DNAM-1 and CD200R expression in the presence of *atRA*, and TGF $\beta$  neutralization did not affect this phenotype (Figure 3.8B).



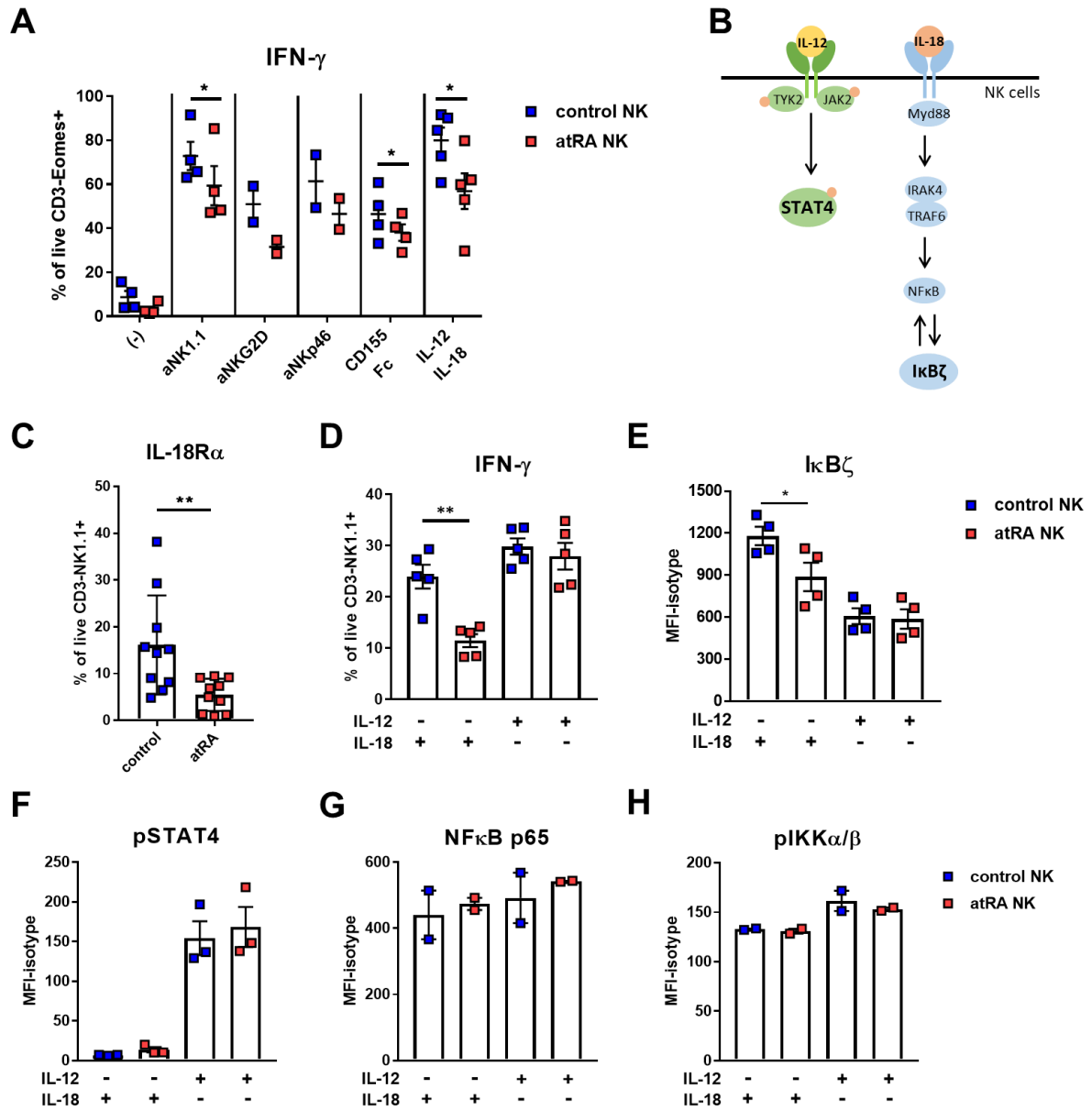
**Figure 3.8. TGF $\beta$  neutralization does not affect the expression of DNAM-1 and CD200R on *atRA*-treated NK cells.** (A) NK cells were cultured with 1  $\mu$ M of *atRA* for 7 days in the presence of IL-2, and RNA was isolated to analyze *Tgfb3* mRNA expression. Graph shows the quantification of *Tgfb3* transcript in *atRA*-treated NK cells and control NK cells. (B) NK cells were cultured with 10  $\mu$ g/mL of anti-TGF $\beta$  antibody in the presence of 1  $\mu$ M of *atRA* and IL-2 for 7 days. NK cells were analyzed by flow cytometry for DNAM-1 and CD200R expression, and gated as live, CD3 $\epsilon$ <sup>-</sup>, NK1.1<sup>+</sup>, and NKp46<sup>+</sup> cells. Representative contour-plots display DNAM-1 and CD200R expression. Graph indicates mean  $\pm$  SD (n=2).

## 4.2 Effect of all-*trans* retinoic acid on NK cell effector functions

### 4.2.1 IFN- $\gamma$ production of NK cells is reduced by *atRA*

Gene pathway analysis indicated downregulation of transcripts involved in NF $\kappa$ B pathway and NK cell mediated-cytotoxicity, in NK cells upon *atRA*-treatment (Figure 3.1A). Additionally, surface molecules, which play important roles in NK cell activation and cytotoxicity, such as NK1.1, NKG2D, CD226 (DNAM-1), TRAIL and IL-18R $\alpha$ , were differentially expressed in NK cells exposed to *atRA* (Figure 3.2). Thus, we hypothesized that *atRA* might influence not only phenotype, but also effector functions of NK cells.

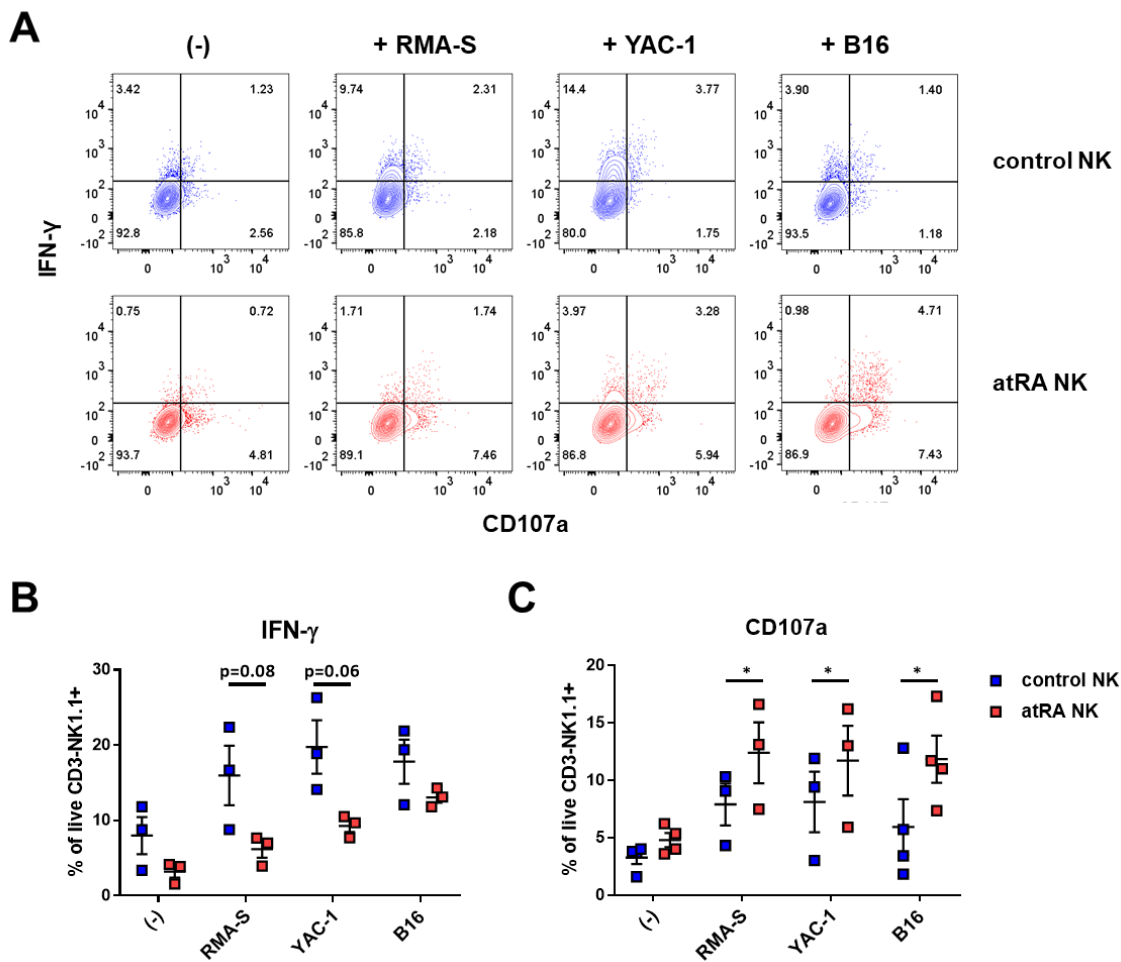
Upon NK cell stimulation with anti-NK1.1 antibody, anti-NKG2D antibody, anti-NKp46 antibody, or with CD155 fusion-protein (which stimulates the receptor DNAM-1), or with recombinant mouse IL-12 and IL-18, *atRA*-treated NK cells downregulated production of IFN- $\gamma$ , compared to control NK cells (Figure 3.9A). The surface expression of the IL-18 receptor alpha (IL-18R $\alpha$ ) was diminished on NK cells upon *atRA*-treatment (Figure 3.9C). In accordance with the reduced IL-18R $\alpha$  expression, *atRA*-treated NK cells decreased IFN- $\gamma$  production in response to IL-18, but not in the response to IL-12 (Figure 3.9D). The expression of I $\kappa$ B $\zeta$ , the transcriptional regulator of IFN- $\gamma$  in the NF $\kappa$ B signaling pathway, was induced in control NK cells upon stimulation with IL-18, and I $\kappa$ B $\zeta$  expression was lower in *atRA*-treated NK cells (Figure 3.9E). However, upon stimulation with IL-12, I $\kappa$ B $\zeta$  expression was not induced, and not changed upon *atRA*-treatment. STAT4, a regulator of IL-12-induced IFN- $\gamma$  production, was phosphorylated in control NK cells upon stimulation with IL-12, and the phosphorylation of STAT4 was equivalent between NK cells treated with *atRA* or control solvent (Figure 3.9F). Expression of NF $\kappa$ B p65 and pIKK $\alpha$ / $\beta$ , molecules involved in the NF $\kappa$ B signaling pathway, did not change neither in control NK cells, nor in *atRA*-treated NK cells upon stimulation with IL-12 or IL-18 (Figure 3.9G and H). These results indicate that *atRA* reduced IL-18R $\alpha$  expression, along with the downstream of NF $\kappa$ B signaling pathway, and I $\kappa$ B $\zeta$  expression, which resulted in diminished IFN- $\gamma$  production upon NK cell activation.



**Figure 3.9. *atRA* reduces IFN- $\gamma$  production by NK cells.** (A) NK cells were cultured with 1  $\mu$ M of *atRA* in the presence of IL-2 for 7 days, and then re-stimulated with anti-NK1.1 antibody, anti-NKG2D antibody, anti-NKp46 antibody, CD155 fusion-protein (CD155 Fc), or mouse IL-12 and/or IL-18 for 5 hours. NK cells were gated as live, CD3 $\epsilon$ <sup>+</sup>, NK1.1<sup>+</sup>, and NKp46<sup>+</sup> cells, and analyzed by flow cytometry. (A) Quantification of IFN- $\gamma$ -producing NK cells upon different stimuli (n=2-5). (B) Graphical scheme of signaling induced by IL-12 or IL-18 in NK cells. (C) Quantification of IL-18R $\alpha$  expression on NK cells (n=11). (D-H) *atRA*-treated NK cells and control NK cells were stimulated with IL-12 or IL-18, and analyzed by flow cytometry. Quantification of (D) IFN- $\gamma$ , (E) I $\kappa$ B $\zeta$ , (F) phosphorylated (p)-STAT4, (G) NF $\kappa$ B p65, and (H) pIKK $\alpha/\beta$  expression in NK cells (n=2-5). Graphs indicate mean  $\pm$  SEM. \*, p<0.05; \*\*, p<0.01 by paired Student's t-test; MFI, mean fluorescence intensity.

### 4.2.2 Reduced IFN- $\gamma$ production and enhanced degranulation of *atRA*-treated NK cell in response to tumor cells

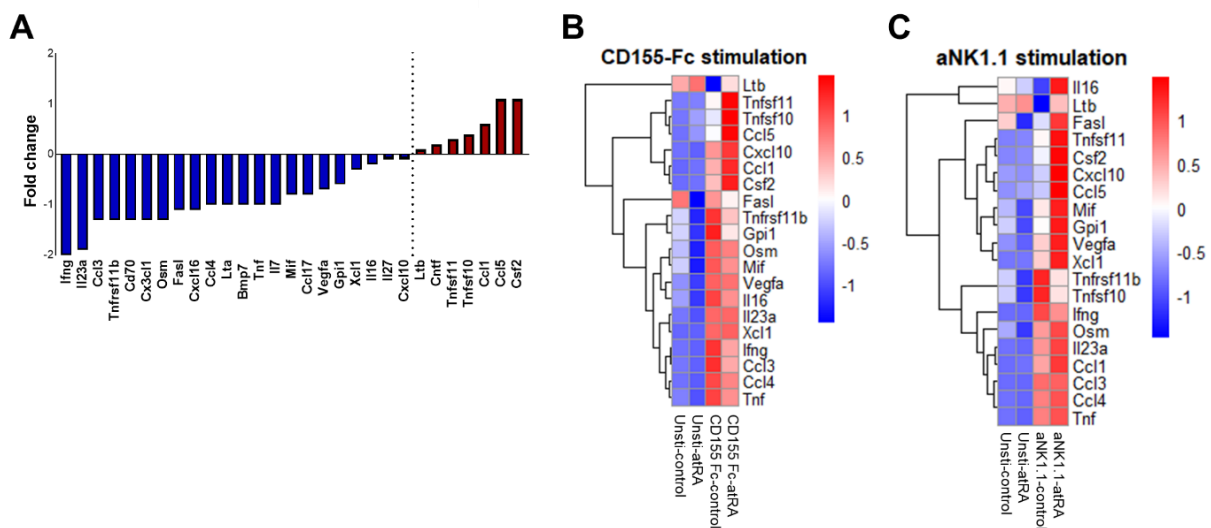
Tumor cells, such as YAC-1 lymphoma and B16 melanoma cells, were reported to express ligands of NK cell receptors (Chan et al., 2010; Ogawa et al., 2011). The engagement of ligands on tumor cells by activating receptors of NK cells can induce activation, cytokine production, and cytotoxicity against tumor cells. To elucidate the impact of *atRA* on effector functions of NK cells in response to tumor cells, we co-cultured *atRA*-treated NK cells with RMA-S lymphoma, YAC-1 lymphoma or B16 melanoma cells. *atRA*-treated NK cells displayed the reduced IFN- $\gamma$  production upon co-culture with RMA-S or YAC-1, compared to control NK cells (Figure 3.10A and B). *atRA*-treated NK cells increased degranulation compared to control NK cells, during co-culture with RMA-S, YAC-1 or B16 tumor cells. (Figure 3.10A and C).



**Figure 3.10. *atRA* reduces IFN- $\gamma$  production and enhances degranulation of NK cells in response to tumor cells.** (A-C) NK cells were cultured with 1  $\mu$ M of *atRA* in the presence of IL-2 for 7 days and then co-cultured with RMA-S lymphoma, YAC-1 lymphoma, and B16 melanoma cells for 6 hours. FITC anti-CD107a was added into the co-culture. NK cells were gated as live, CD3<sup>-</sup> and NK1.1<sup>+</sup> cells, and analyzed by flow cytometry for IFN- $\gamma$  and CD107a expression. (A) Representative dot-plots of IFN- $\gamma$  and CD107a expression by *atRA*-treated NK cells and control NK cells upon co-culture with tumor cells. Quantification of (B) IFN- $\gamma$  and (C) CD107a-expressing NK cells upon co-culture with tumor cells (n=3). Graphs indicate mean  $\pm$  SEM. \*, p<0.05 by paired Student's t-test.

### 4.2.3 Cytokine and chemokine expression of *atRA*-treated NK cells

To uncover which molecules might be secreted by NK cells upon *atRA*-treatment, we measured mRNA expression of various cytokines and chemokines in control NK cells and in *atRA*-treated NK cell upon stimulation. Control NK cells and *atRA*-treated NK cell were stimulated via receptor DNAM-1 (stimulation with CD155 fusion-protein) or receptor NK1.1 (stimulation with anti-NK1.1 antibody), which were differentially regulated by *atRA* in NK cells (Figure 3.2). Unstimulated NK cells exposed to *atRA* upregulated mRNA expression of *Csf2*, *Ccl5*, *Ccl1*, *Tnfsf10* and *Tnfsf11*, and downregulated mRNA expression of *Ifng*, *Il23a*, *Ccl3*, *Tnfsf11b* and *Osm*, compared to control NK cells (Figure 3.11A). Upon DNAM-1 triggering, *atRA*-treated NK cells further elevated expression of *Tnfsf11*, *Tnfsf10*, *Ccl5*, *Cxcl10*, *Ccl1* and *Csf2* compared to control NK cells (Figure 3.11B). Upon NK1.1 triggering, *atRA*-treated NK cells elevated expression of *Fasl*, *Tnfsf11*, *Csf2*, *Cxcl10*, *Ccl5*, *Mif*, *Gpi1*, *Vegfa*, and *Xcl1* compared to control NK cells (Figure 3.11C).



**Figure 3.11. *atRA* elevates *Csf2*, *Ccl5*, *Ccl1*, *Tnfsf10* and *Tnfsf11* expression of NK cells upon stimulation.** NK cells were cultured with 1  $\mu$ M of *atRA* in the presence of IL-2 for 7 days, and then stimulated with plate-bound CD155 fusion-protein (CD155-Fc) or anti-NK1.1 antibody for 5 hours. qPCR was performed with RNA isolated from unstimulated and re-stimulated NK cells, to screen expression of 84 different transcripts encoding chemokines and cytokines. Genes, which displayed cycle threshold (CT) value higher than 35, were removed, and twenty genes were selected, based on expression levels. (A) Quantification of relative mRNA expression by unstimulated *atRA*-treated NK cells normalized to expression of unstimulated control NK cells. (B-C) Heatmap of relative mRNA expression by *atRA*-treated NK cells and control NK cells, which were unstimulated (Unsti-) or with (B) CD155 Fc-triggering or (C) anti-NK1.1 triggering.

### 4.3 Interaction of *atRA*-treated NK cells and immune cells

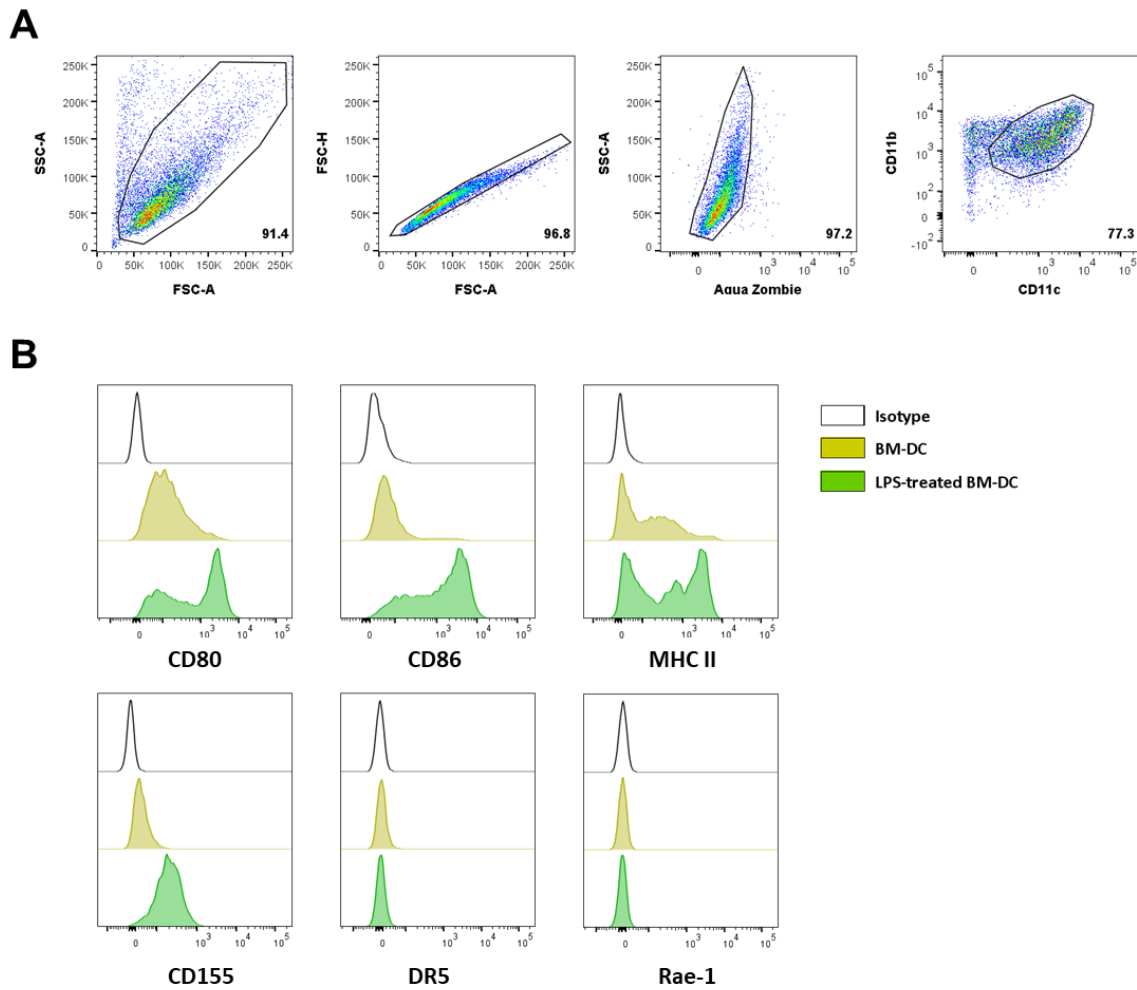
#### 4.3.1 Crosstalk of *atRA*-treated NK cells with dendritic cells

Previous studies evidenced the importance of NK cells in regulating adaptive immunity via the interaction with dendritic cells (DCs) (Ferlazzo & Morandi, 2014). The crosstalk of NK cells with immature dendritic cells (iDCs) can induce iDC maturation or elimination. Several molecules, which play important roles in the interaction of NK cells and DCs, were differentially regulated in NK cells upon *atRA*-treatment. For instance, the expression of receptor DNAM-1 and death-receptor ligand TRAIL, which are reported to mediate DC elimination, were upregulated on the surface of *atRA*-treated NK cells (Figure 3.2A). *atRA*-treated NK cells produced lower amount of IFN- $\gamma$ , an inflammatory cytokine that can induce maturation of DCs. Therefore, we hypothesized that *atRA* could affect the crosstalk of NK cells with DCs.

##### 4.3.1.1 Phenotype of bone marrow-derived dendritic cells

Bone marrow-derived dendritic cells (BM-DCs) were generated from bone-marrow precursors in the presence of GM-CSF, and expressed integrins, CD11b and CD11c (Figure 3.12A). Expression of surface molecules, which are used as maturation markers of DCs, such as CD80, CD86, and major histocompatibility complex II (MHC II), were analyzed in BM-DCs upon treatment with LPS. The expression of these molecules was increased in BM-DCs treated with LPS (Figure 3.12B), indicating their maturation. DR5 and Rae-1 were not detected on BM-DCs before or after LPS-treatment, while expression of CD155 was upregulated upon LPS-treatment (Figure 3.12B). These results indicate that the maturation of BM-DCs can be detected by analyzing the expression of CD80, CD86, MHC II, and CD155.

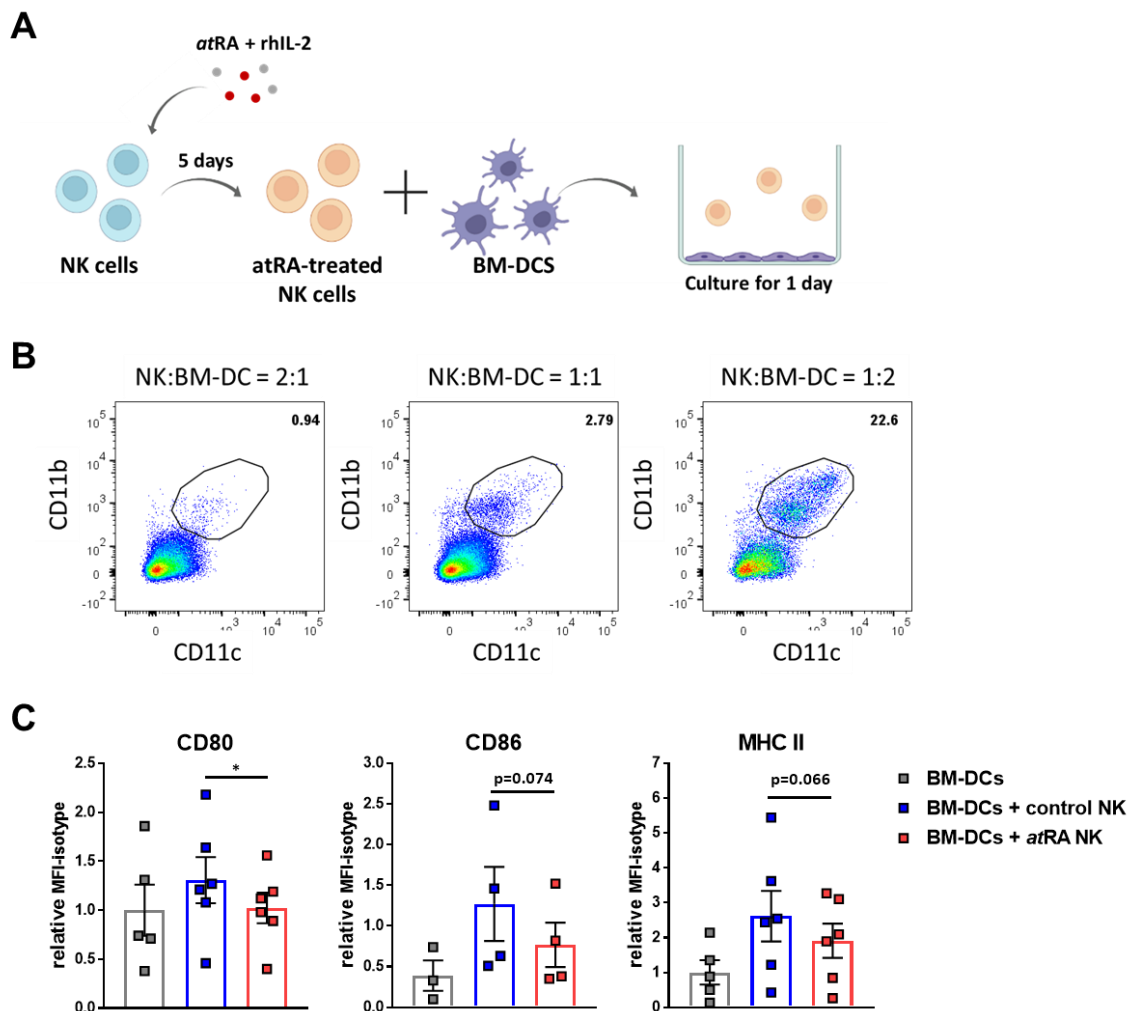




**Figure 3.12. Gating strategy and phenotype of bone marrow-derived dendritic cells (BM-DCs).** Non-adherent cells derived from bone marrow of C57BL/6N mice were cultured in media containing GM-CSF for 7-8 days. BM-DCs were analyzed by flow cytometry, and gated as live, CD11b<sup>+</sup> and CD11c<sup>+</sup> cells. (A) Representative dot-plots of BM-DCs gating strategy. (B) Representative histograms of CD80, CD86, MHC II, CD155, DR5, and Rae-1 expression on BM-DCs and LPS-treated BM-DCs. DR5, death receptor 5; Rae-1, Retinoic acid early inducible 1.

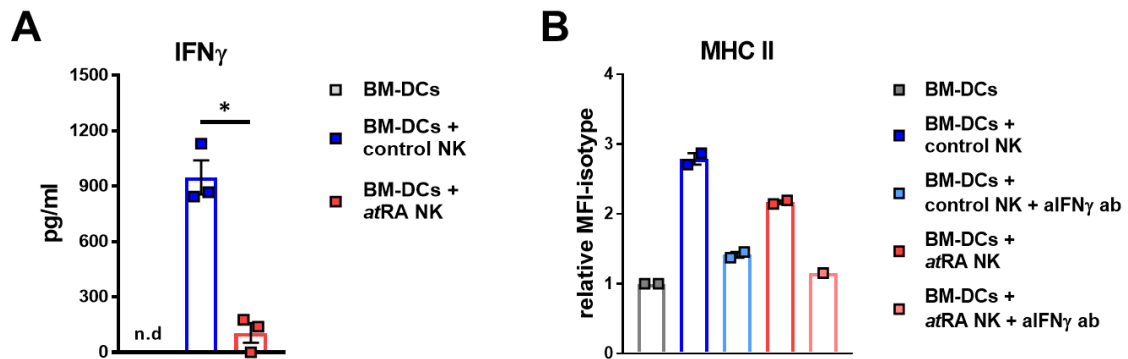
### 4.3.1.2 Reduced ability of *at*RA-treated NK cells to induce BM-DC maturation and apoptosis

To investigate the effect of *at*RA on NK cell ability to induce DC maturation, we co-cultured NK cells pre-treated with *at*RA, and BM-DCs at the different ratios (2:1, 1:1, and 1:2) (Figure 3.13A). Flow cytometric analysis showed that co-culture at ratios of 2:1 and 1:1 was not suitable for the analysis of BM-DC maturation, as the percentage of BM-DCs was very low (less than 5% among live cells) (Figure 3.13B). Thus, the co-culture in a 1:2 ratio of NK cells to BM-DCs was performed. CD80, CD86, and MHC II expression was increased in BM-DCs in the presence of control NK cells, compared to BM-DCs alone (Figure 3.13C). The ability of *at*RA-treated NK cells to induce the expression of these molecules was lower, compared to the ability of control NK cells (Figure 3.13C).



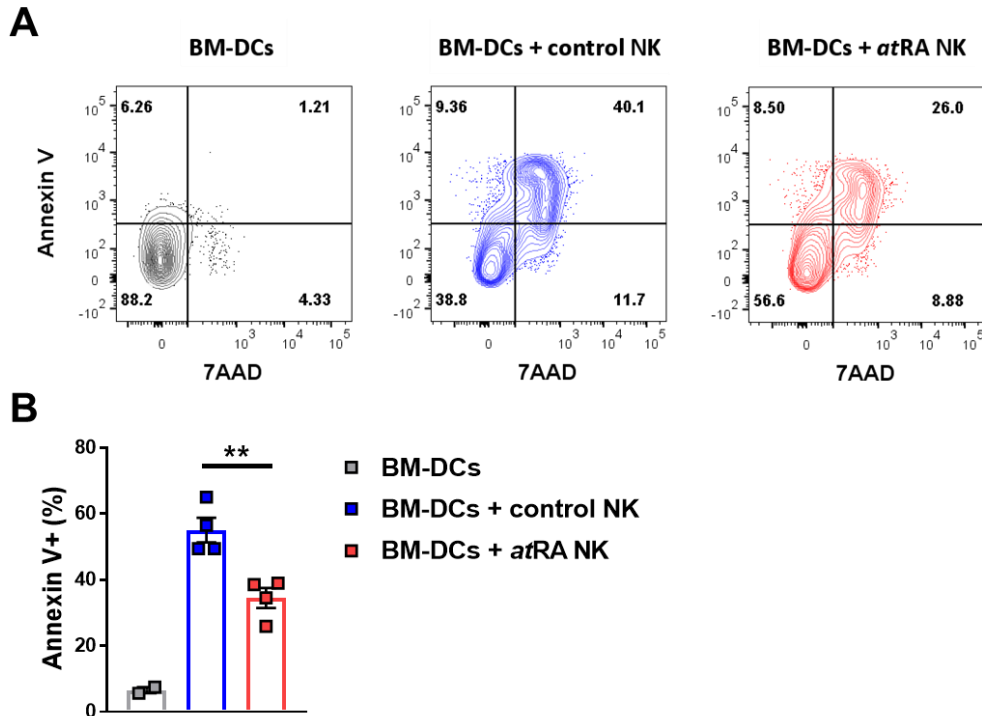
**Figure 3.13. Reduced ability of *at*RA-treated NK cells to induce maturation of BM-DCs.** BM-DCs were co-cultured with *at*RA-treated NK cells or control NK cells for 24 hours. BM-DCs were analyzed by flow cytometry for CD80, CD86 and MHC II expression, and gated as live, NK1.1<sup>-</sup>, CD11b<sup>+</sup> and CD11c<sup>+</sup> cells. (A) Schematic illustration depicting the co-culture of BM-DCs and NK cells (Created with BioRender.com). (B) Representative dot-plots showing the percentage of CD11b<sup>+</sup>CD11c<sup>+</sup> BM-DCs upon co-culture with NK cells at different ratios (2:1, 1:1, and 1:2 of NK cells to DCs). (C) Quantification of CD80, CD86, and MHC II expression on BM-DCs upon co-culture with *at*RA-treated NK cells or control NK cells (n=4-6). Graphs indicate mean  $\pm$  SEM. \*, p<0.05 by paired Student's t-test; MFI, mean fluorescence intensity.

One of the possible explanations for the reduced ability to induce maturation of BM-DCs is the lower capacity of *at*RA-treated NK cells to produce IFN- $\gamma$  (Figure 3.9). IFN- $\gamma$  is reported to support DC maturation (Martin-Fontecha et al., 2004; Vitale et al., 2005). Thus, we measured IFN- $\gamma$  production by *at*RA-treated or control NK cells upon co-culture with BM-DCs. We observed that BM-DCs alone did not produce IFN- $\gamma$ , and NK cells produced IFN- $\gamma$ , in the presence of BM-DCs. *at*RA-treated NK cells produced significantly lower amounts of IFN- $\gamma$  compared to control NK cells (Figure 3.14A). BM-DCs displayed the highest expression of MHC II in the presence of control NK cells, which was diminished in the presence of IFN- $\gamma$ -neutralizing antibodies (Figure 3.14B). MHC II expression induced by *at*RA-treated NK cells was also decreased on BM-DCs with IFN- $\gamma$  neutralization (Figure 3.14B). These results demonstrate that IFN- $\gamma$  is a mediator of increased MHC II expression on BM-DCs, and due to reduced amount of IFN- $\gamma$  secreted by *at*RA-treated NK cells, BM-DCs displayed lower maturation upon co-culture with *at*RA-treated NK cells.



**Figure 3.14. IFN- $\gamma$  produced by NK cells affects MHC II expression on BM-DCs.** (A) BM-DCs were cultured alone, with *at*RA-treated NK cells, or with control NK cells for 24 hours. Supernatant was collected to measure the amount of IFN- $\gamma$  using ELISA. Quantification of IFN- $\gamma$  upon co-culture ( $n=3$ ). (B) 1  $\mu$ g/mL of anti-IFN- $\gamma$  antibody (+aIFN $\gamma$  ab) was added into the co-culture of BM-DCs and NK cells. BM-DCs were analyzed by flow cytometry for MHC II expression, and gated as live, NK1.1 $^{-}$ , CD11b $^{+}$  and CD11c $^{+}$  cells. Quantification of MHC II expression on BM-DCs upon co-culture with *at*RA-treated NK cells or control NK cells ( $n=2$ ). Graphs indicate mean  $\pm$  SEM. \*,  $p<0.05$  by paired Student's t-test.

Next, we assessed the apoptosis of DCs in the presence of NK cells. Compared to BM-DCs alone, the amount of apoptotic BM-DCs, measured as Annexin V<sup>+</sup> cells, were higher in the presence of control NK cells (Figure 3.15A), indicating the ability of control NK cells to eliminate immature BM-DCs. Upon co-culture with *atRA*-treated NK cells, the amount of apoptotic BM-DCs was significantly lower, compared to co-culture with control NK cells (Figure 3.15B). These results implies that the NK cell ability to induce apoptosis of immature BM-DCs is impaired by *atRA*.



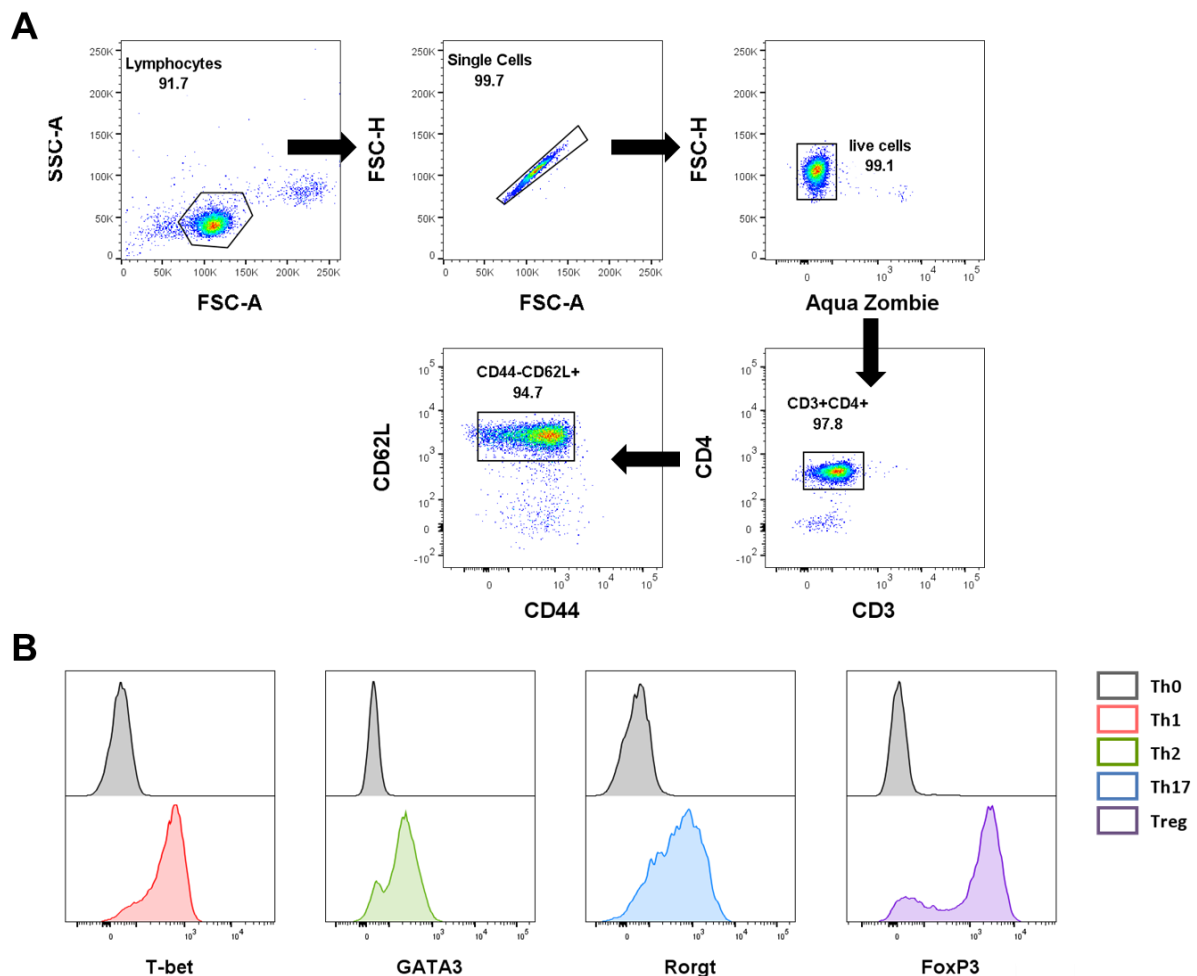
**Figure 3.15. Reduced ability of *atRA*-treated NK cells to induce apoptosis of BM-DCs.** Co-culture of NK cells and *atRA*-treated NK cells or control NK cells was performed at a 1:2 ratio for 6 hours. BM-DCs were analyzed by flow cytometry, and gated as NK1.1<sup>-</sup>; CD11b<sup>+</sup> and CD11c<sup>+</sup> cells. (A) Representative contour-plots of apoptotic BM-DCs in the absence of NK cells, in the presence of control NK cells or *atRA*-treated NK cells. (B) Quantification of Annexin V-expressing BM-DCs (n=2-4). Graphs indicate mean ± SEM. \*\*, p<0.01 by paired Student's t-test.

### 4.3.2 Influence of *atRA*-treated NK cells on CD4<sup>+</sup> T cell polarization

NK cells are reported to regulate T cell differentiation, activation and elimination via direct interaction with T cells or through DCs conditioned by crosstalk with NK cells (Ferlazzo & Morandi, 2014; Pallmer & Oxenius, 2016). Here, we investigated the impact of *atRA* on the role of NK cells to regulate differentiation of CD4<sup>+</sup> T cells.

#### 4.3.2.1 Polarization of CD4<sup>+</sup> T cells

Naïve CD4<sup>+</sup> T cells were isolated (purity higher than 90%) (Figure 3.16A) and cultured in four different polarizing conditions; type 1 T helper (T<sub>H</sub>1)-, type 2 T helper (T<sub>H</sub>2)-, type 17 T helper (T<sub>H</sub>17)- and regulatory T (Treg)-polarizing conditions. We analyzed the expression of transcription factors in T cells, in order to validate the polarization of CD4<sup>+</sup> T cells. CD4<sup>+</sup> T cells upregulated expression of T-bet in T<sub>H</sub>1-polarizing condition, GATA-3 in T<sub>H</sub>2-polarizing condition, RORγt in T<sub>H</sub>17-polarizing condition, and FoxP3 in Treg-polarizing condition, compared to non-polarized T cells (T<sub>H</sub>0) (Figure 3.16B).

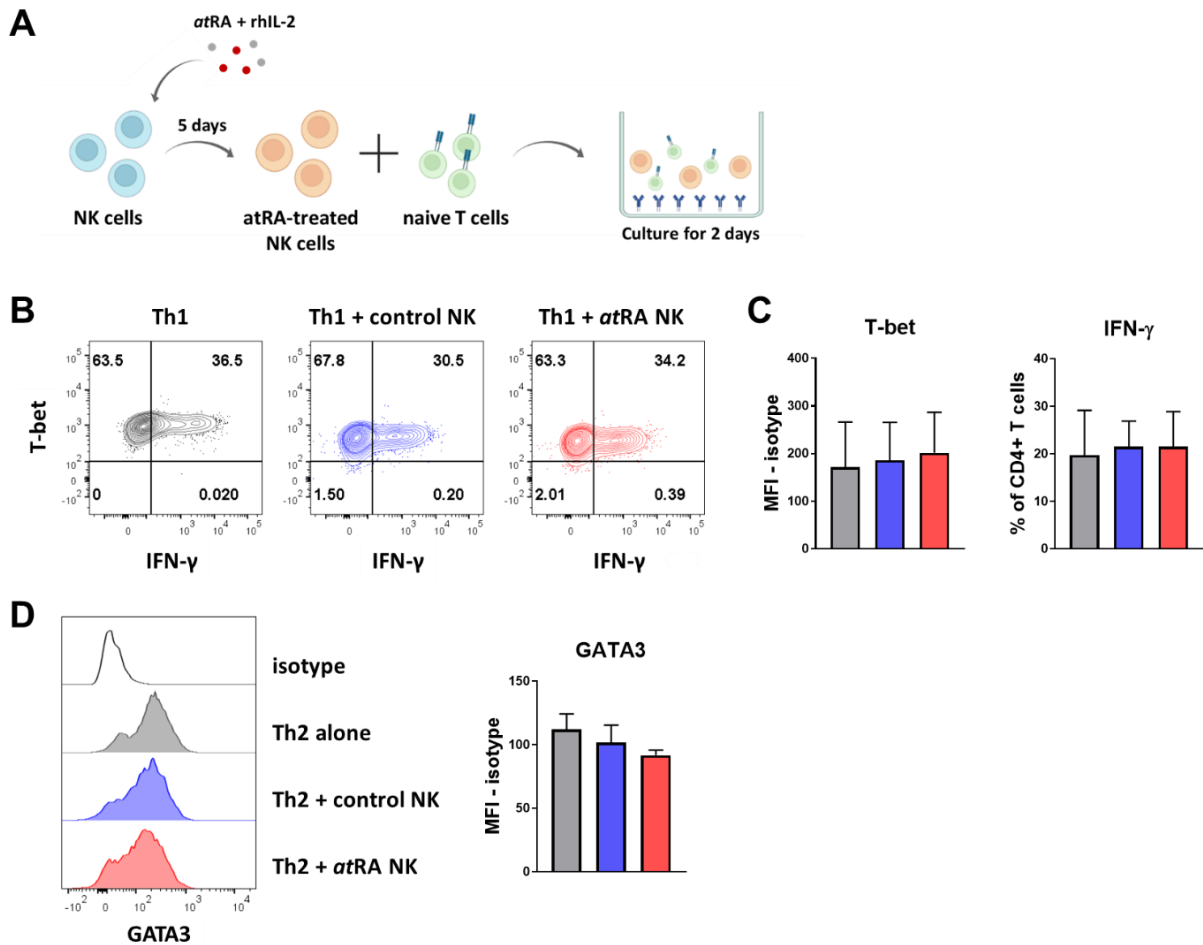


**Figure 3.16. Gating strategy for naïve CD4<sup>+</sup> T cells and expression of transcription factors upon T cell polarization.** Naïve CD4<sup>+</sup> T cells were isolated from spleen and lymph nodes of C57BL/6N-Ly5.2 mice, and cultured in different polarizing conditions in the presence of plate-bound anti-CD3ε antibody and soluble anti-CD28 antibody

for 2 days; type 1 T helper (T<sub>H1</sub>)-, type 2 T helper (T<sub>H2</sub>)-, type 17 T helper (T<sub>H17</sub>)- and regulatory T (T<sub>reg</sub>)-polarizing conditions. (A) Representative dot-plots showing the gating strategy of naïve CD4<sup>+</sup> T cells. Cells were analyzed by flow cytometry, and gated as live, CD3<sup>+</sup>, CD4<sup>+</sup>, CD44<sup>-</sup> and CD62L<sup>+</sup> cells. (B) Representative histograms of T-bet, GATA-3, ROR $\gamma$ t, and FoxP3 expression in CD4<sup>+</sup> T cells upon polarization. Cells were analyzed by flow cytometry, and gated as live, CD45.2<sup>+</sup> and CD4<sup>+</sup> cells (CD45.2 is an alloantigen of CD45, expressed by Ly5.2 congenic mouse strain).

#### 4.3.2.2 Enhanced FoxP3 expression in CD4<sup>+</sup> T cells in the presence of *at*RA-treated NK cells

To further investigate the interaction between *at*RA-treated NK cells and T cells, we performed a 1:1 ratio co-culture of NK cells and CD4<sup>+</sup> T cells in different polarizing conditions (Figure 3.17A). CD4<sup>+</sup> T cells displayed T-bet expression and IFN- $\gamma$  secretion in the presence of T<sub>H1</sub>-polarizing cytokines, such as IL-2 and IL-12 (Figure 3.17B). Co-culture with control- or *at*RA-treated NK cells did not change this phenotype of CD4<sup>+</sup> T cells (Figure 3.17B and C). CD4<sup>+</sup> T cells expressed GATA3 in the presence of T<sub>H2</sub>-polarizing cytokines, such as IL-2 and IL-4, and the presence of control- or *at*RA-treated NK cells did not change GATA3 expression of CD4<sup>+</sup> T cells (Figure 3.17D).

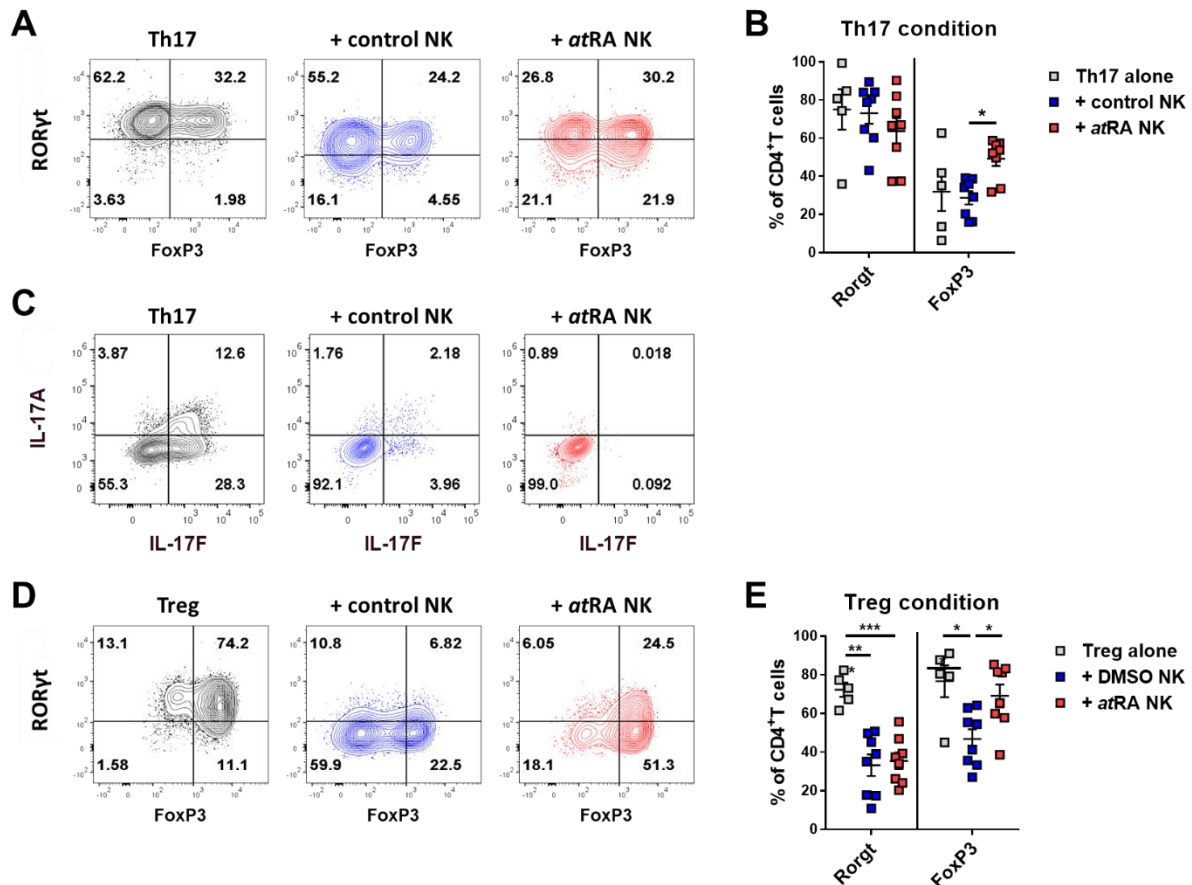


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**Figure 3.17. T<sub>H</sub>1 and T<sub>H</sub>2 cell polarization in the presence of control- or *atfRA*-treated NK cells.** CD45.1<sup>+</sup> NK cells pre-treated with *atfRA* or control solvent were co-cultured with freshly-isolated naïve CD45.2<sup>+</sup>CD4<sup>+</sup> T cells in T<sub>H</sub>1- or T<sub>H</sub>2-polarizing conditions in the presence of plate-bound anti-CD3 $\epsilon$  antibody and soluble anti-CD28 antibody for 2 days. 50 ng/mL of PMA and 1  $\mu$ M of Ionomycin were added to the last 4 hours of co-culture. Cells were analyzed by flow cytometry, and T cells were gated as live, CD45.1<sup>-</sup>, CD45.2<sup>+</sup>, and CD4<sup>+</sup> cells. (A) Schematic illustration depicting the co-culture of naïve CD4<sup>+</sup> T cells and NK cells (Created with BioRender.com). (B) Representative contour-plots and (C) quantification of T-bet and IFN- $\gamma$  expression of CD4<sup>+</sup> T cells in T<sub>H</sub>1-polarizing condition (n=3). (D) Representative histogram and quantification of GATA3 expression of CD4<sup>+</sup> T cells in T<sub>H</sub>2-polarizing conditions (n=3). Graphs indicate mean  $\pm$  SEM.

CD4<sup>+</sup> cells exposed to cytokines IL-1b, IL-6, IL-23, and TGF $\beta$  in T<sub>H</sub>17-polarizing condition, displayed ROR $\gamma$ t expression (Figure 3.18A). The frequency of ROR $\gamma$ t-expressing T cells was not changed in the presence of control- or *atfRA*-treated NK cells (Figure 3.18B). The percentage of FoxP3-expressing cells was comparable in CD4<sup>+</sup> T cells cultured alone or co-cultured with control NK cells (Figure 3.18B). In the presence of *atfRA*-treated NK cells, the percentage of FoxP3-expressing CD4<sup>+</sup> T cells was significantly increased (Figure 3.18B). Furthermore, CD4<sup>+</sup> T cells released pro-inflammatory cytokines IL-17A and IL-17F, when polarized to T<sub>H</sub>17 phenotype, and in the presence of control- or *atfRA*-treated NK cells, the production of IL-17A and IL-17F was not detected in CD4<sup>+</sup> T cells (Figure 3.18C).

In Treg-polarizing condition, CD4<sup>+</sup> cells were cultured in the presence of IL-2 and TGF- $\beta$ . The proportion of T cells expressing FoxP3 was higher in Treg-polarizing condition, compared to T<sub>H</sub>17-polarizing condition (Figure 3.18A and D). The frequency of FoxP3-expressing T cells was decreased in the presence of control NK cells (Figure 3.18D). However, the frequency of FoxP3-expressing T cells was significantly upregulated in the presence of *atfRA*-treated NK cells (Figure 3.18D and E). FoxP3-expressing T cells co-expressed ROR $\gamma$ t in Treg-polarizing condition and the expression of ROR $\gamma$ t was decreased in the presence of control or *atfRA*-treated NK cells (Figure 3.18D and E). Altogether, these results indicate that *atfRA*-treated NK cells can support or maintain regulatory phenotype of CD4<sup>+</sup> T cells.



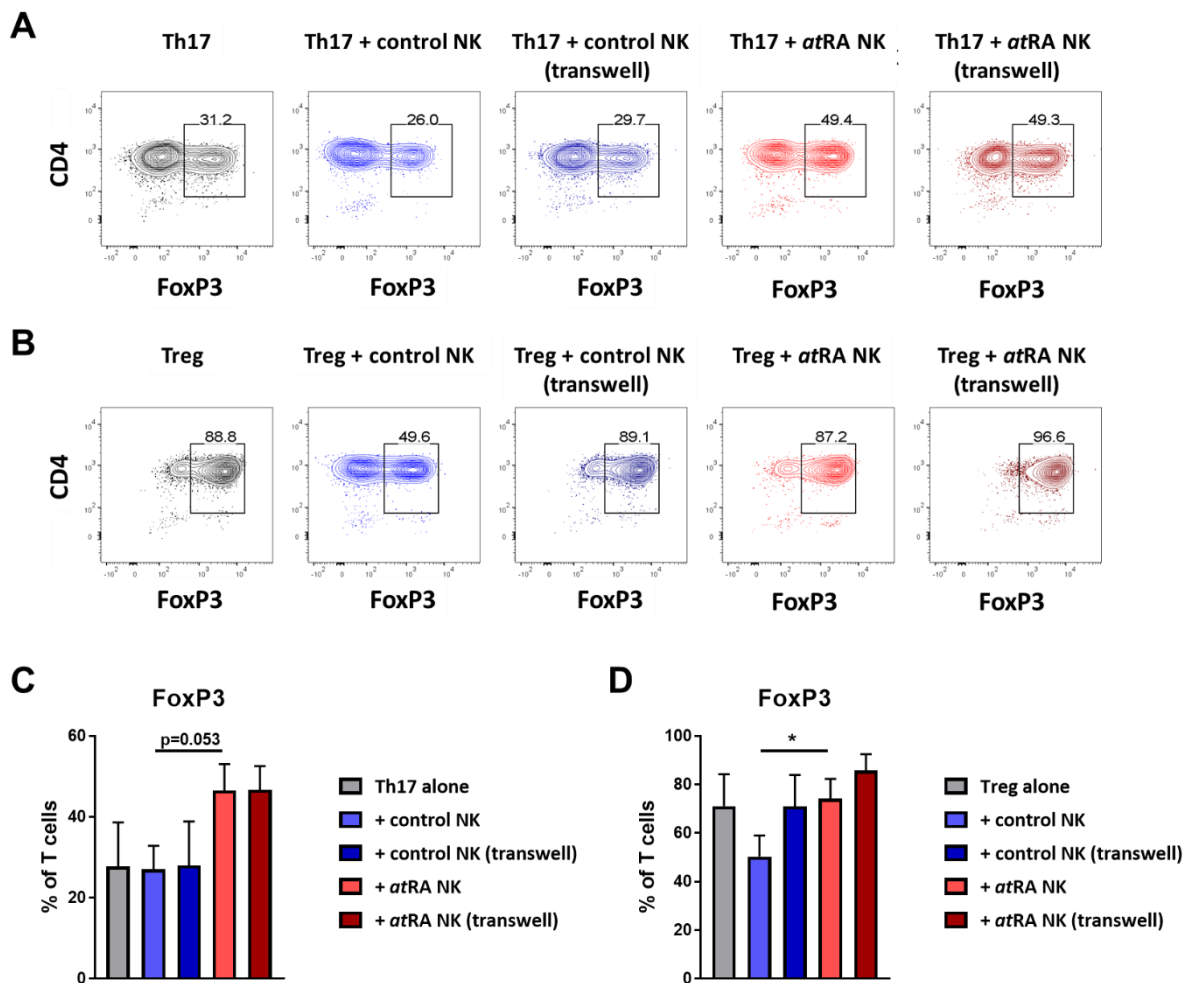
**Figure 3.18. ROR $\gamma$ t and FoxP3 expression in cells polarized in T<sub>H</sub>17- or Treg- conditions in the presence of control- or atRA-treated NK cells.** (A-E) CD45.1<sup>+</sup> NK cells pre-treated with atRA or control solvent were co-cultured with freshly-isolated naïve CD45.2<sup>+</sup>CD4<sup>+</sup> T cells in T<sub>H</sub>17- or Treg-polarizing conditions in the presence of plate-bound anti-CD3 $\epsilon$  antibody and soluble anti-CD28 antibody for 2 days. For the analysis of cytokine production, 50 ng/mL of PMA and 1  $\mu$ M of Ionomycin were added to the last 4 hours of co-culture. Cells were analyzed by flow cytometry and gated as live, CD45.1<sup>+</sup>, CD45.2<sup>+</sup>, and CD4<sup>+</sup> cells. (A) Representative contour-plots and (B) quantification of ROR $\gamma$ t<sup>+</sup>- or FoxP3<sup>+</sup>-expressing CD4<sup>+</sup> T cells in T<sub>H</sub>17-polarizing conditions (n=5-8). (C) Representative contour-plots of IL-17A- and IL-17F-producing CD4<sup>+</sup> T cells in T<sub>H</sub>17-polarizing conditions. (D) Representative contour-plots and (E) quantification of ROR $\gamma$ t<sup>+</sup>- or FoxP3<sup>+</sup>-expressing CD4<sup>+</sup> T cells in Treg-polarizing conditions (n=5-8). Graphs indicate mean  $\pm$  SEM. \*, p<0.05; \*\*\*, p<0.001 by one-way ANOVA (Tukey's multiple comparisons test).

#### 4.3.2.3 Mechanism of enhanced FoxP3 expression in CD4<sup>+</sup> T cells in the presence of atRA-treated NK cells

To dissect how atRA-treated NK cells could support FoxP3-expressing CD4<sup>+</sup> T cells, we first performed co-culture of NK cells and CD4<sup>+</sup> T cells using a transwell system, which could block direct cell-to-cell contacts. In T<sub>H</sub>17-polarizing condition, the percentage of FoxP3-expressing T cells was increased in the presence of atRA-treated NK cells, compared to co-culture with control NK cells (Figure 3.19A). Prevention of cell-to-cell contacts did not affect the percentage of FoxP3-expressing T cells (Figure 3.19A and C). These data indicate that the effect of atRA-treated NK cells was contact-independently regulated.

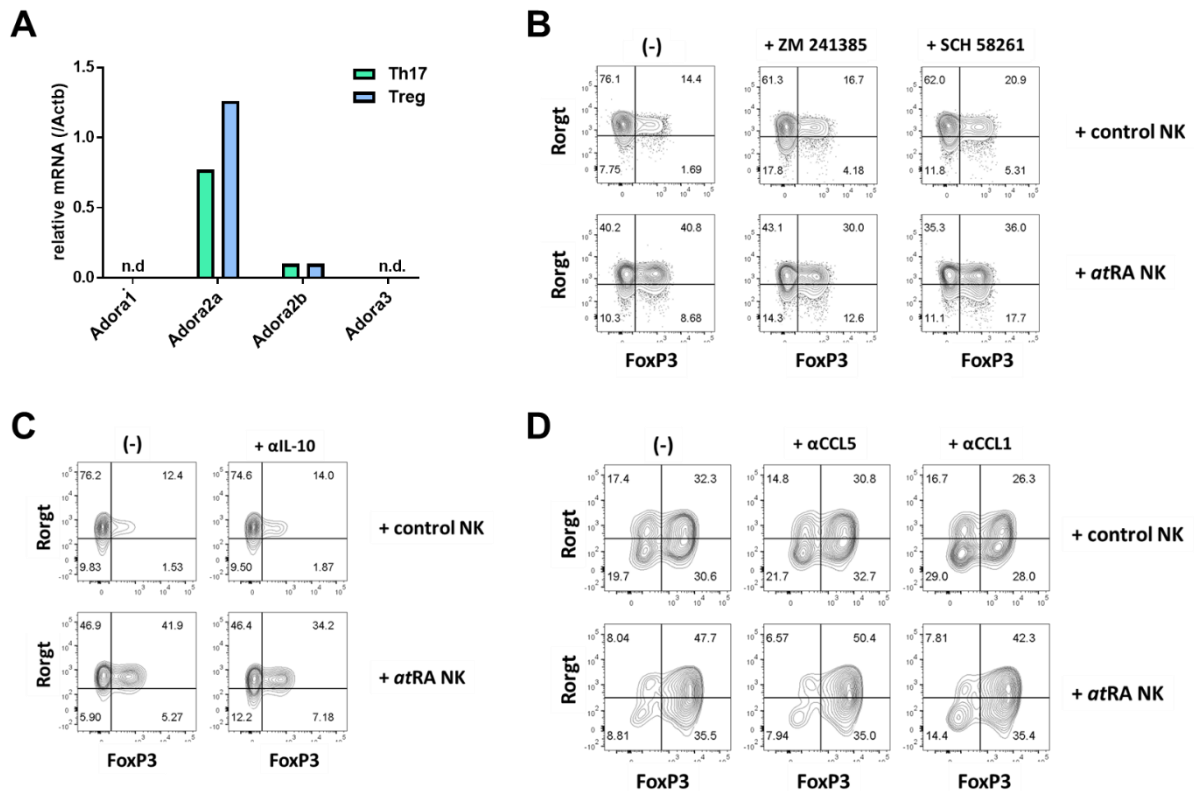


In Treg-polarizing condition, the percentage of FoxP3-expressing CD4<sup>+</sup> T cells was lower in the presence of control NK cells, compared to T cells cultured alone (Figure 3.19B). When cell-to-cell contacts were prevented, the frequency of FoxP3-expressing CD4<sup>+</sup> T cells in the presence of control NK cells was increased (Figure 3.19B and D), which indicates that the effect of control NK cells required direct contacts between cells. In the presence of *at*RA-treated NK cells, the frequency of FoxP3-expressing T cells was significantly increased, compared to co-culture with control NK cells (Figure 3.19D). Prevention of cell-to-cell contacts did not change this frequency. These data imply that *at*RA-treated NK cells could support FoxP3 expression in T cells in a contact-independent manner.



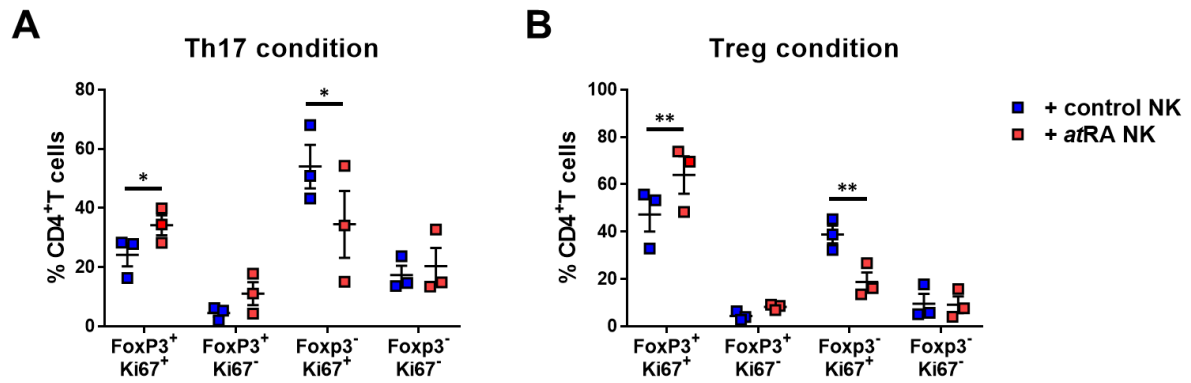
**Figure 3.19. *at*RA-treated NK cells support Treg cell differentiation in a contact-independent manner.** CD45.1<sup>+</sup> NK cells pre-treated with *at*RA or control solvent were co-cultured with freshly-isolated naïve CD45.2<sup>+</sup>CD4<sup>+</sup> T cells in Th17- or Treg-polarizing conditions in the presence of plate-bound anti-CD3 $\epsilon$  antibody and soluble anti-CD28 antibody for 2 days. In certain conditions (transwell), transwell inserts were applied to separate naïve CD4<sup>+</sup> T cells and NK cells. NK cells were seeded into the upper chamber of transwell inserts. Cells were analyzed by flow cytometry and T cells were gated as live, CD45.1<sup>-</sup>, CD45.2<sup>+</sup>, and CD4<sup>+</sup> cells (A-B) Representative contour-plots of FoxP3 expression by CD4<sup>+</sup> T cells in (A) Th17-polarizing and (B) Treg-polarizing conditions co-cultured with NK cells. (C-D) Quantification of FoxP3-expressing CD4<sup>+</sup> T cells in (C) Th17-polarizing and (D) Treg-polarizing conditions upon culture alone, or co-culture with control or *at*RA-treated NK cells (n=3). Graphs indicate mean  $\pm$  SEM. \*, *p* < 0.05 by one-way ANOVA (Tukey's multiple comparisons test).

To uncover which molecules secreted by *atRA*-treated NK cells support FoxP3 expression in CD4<sup>+</sup> T cells, we examined several candidates that were reported to regulate FoxP3 expression. One candidate was adenosine, which was reported to support Treg polarization and proliferation (Ohta & Sitkovsky, 2014). The expression of adenosine ectoenzymes, CD73, CD39 and CD38, was enhanced by *atRA*-treatment in NK cells (Figure 3.2C), demonstrating the potential production of adenosine by *atRA*-treated NK cells. Among four isoforms of adenosine receptors, mRNA expression of adenosine A<sub>2A</sub> receptor (A2AR) was highest in T<sub>H</sub>17- and Treg-polarized CD4<sup>+</sup> T cells (Figure 3.20A). To block the binding of A2AR and adenosine, we used two A2AR antagonists (ZM 241385 and SCH 58261) that were added to co-culture of CD4<sup>+</sup> T cells and NK cells. The presence of A2AR antagonists did not affect FoxP3 expression in CD4<sup>+</sup> T cells co-cultured with *atRA*-treated NK cells (Figure 3.20B). The next candidate was IL-10, which was reported to promote FoxP3 expression in T cells (Hsu et al., 2015). In addition, human ILC2s treated with *atRA*-treatment *in vitro* produced IL-10 (Morita et al., 2019). Thus, we hypothesized that IL-10 could be secreted by *atRA*-treated NK cells. IL-10 neutralization using anti-IL-10 antibody did not influence FoxP3 expression in CD4<sup>+</sup> T cells (Figure 3.20C). Additionally, results obtained from mRNA-expression analysis revealed that *atRA* increased Ccl1 and Ccl5 expression by NK cells (Figure 3.11A), implying the potential CCL1 or CCL5 production by *atRA*-treated NK cells. Chemokines CCL1 and CCL5 found in the gut and tumor microenvironment were shown to attract Treg cells (Kuehnemuth et al., 2018; Tan et al., 2009; X. Wang et al., 2017), and CCL1 neutralization during Treg-polarization reduced FoxP3 expression (Hoelzinger et al., 2010). Therefore, we added anti-CCL1 and anti-CCL5 antibodies during the co-culture of CD4<sup>+</sup> T cells and NK cells. Neutralization of CCL5 and CCL1 did not change FoxP3 expression by CD4<sup>+</sup> T cells (Figure 3.20D).



**Figure 3.20. Inhibition of adenosine receptor, IL-10, CCL1, and CCL5 did not change FoxP3 expression by CD4<sup>+</sup> T cells co-cultured with control NK cells or *atRA*-treated NK cells.** (A) Naïve CD4<sup>+</sup> T cells were cultured in TH17- or Treg-polarizing conditions, and mRNA expression of Adora1, Adora2a, Adora2b, and Adora3 in TH17- or Treg-polarized CD4<sup>+</sup> T cells was analyzed by qRT-PCR. (B-D) CD45.1<sup>+</sup> NK cells pre-treated with *atRA* or control solvent were co-cultured with freshly-isolated naïve CD45.2<sup>+</sup>CD4<sup>+</sup> T cells in TH17-polarizing condition in the presence of plate-bound anti-CD3ε antibody and soluble anti-CD28 antibody for 2 days. 5 μM of adenosine receptor antagonists (ZM 241385 and SCH 58261), 7.5 μg/mL of anti-IL-10 antibody, 4 μg/mL of anti-CCL5 antibody, or 4 μg/mL of anti-CCL1 antibody were added during co-culture. Cells were analyzed by flow cytometry, and T cells were gated as live, CD45.1<sup>+</sup>, CD45.2<sup>+</sup>, and CD4<sup>+</sup> cells. Representative contour-plots showing the expression of FoxP3 and RORγt in TH17 cells co-cultured with control- or *atRA*-treated NK cells in the presence of (B) adenosine receptor antagonists, (C) anti-IL-10 antibody, (D) anti-CCL5 antibody or anti-CCL1 antibody.

We could not identify the soluble factor produced by *atRA*-treated NK cells that could regulate FoxP3 expression in CD4<sup>+</sup> T cells; however, we hypothesized that *atRA*-treated NK cells could create microenvironment, which supported the proliferation of FoxP3-expressing T cells. Thus, we stained Ki67, a protein expressed during active phases of cell cycles, but not in resting cells, in order to analyze proliferation of CD4<sup>+</sup> T cells. In both TH17- and Treg-polarizing conditions, the frequency of FoxP3<sup>+</sup>Ki67<sup>+</sup> population was significantly increased, and the frequency of FoxP3<sup>+</sup>Ki67<sup>+</sup> population was significantly reduced in co-culture with *atRA*-treated NK cells, compared to co-culture with control NK cells (Figure 3.21A and B). The amounts of FoxP3<sup>+</sup>Ki67<sup>-</sup> T cells and FoxP3<sup>-</sup>Ki67<sup>-</sup> T cells were comparable in the presence of control- or *atRA*-treated NK cells (Figure 3.21A).



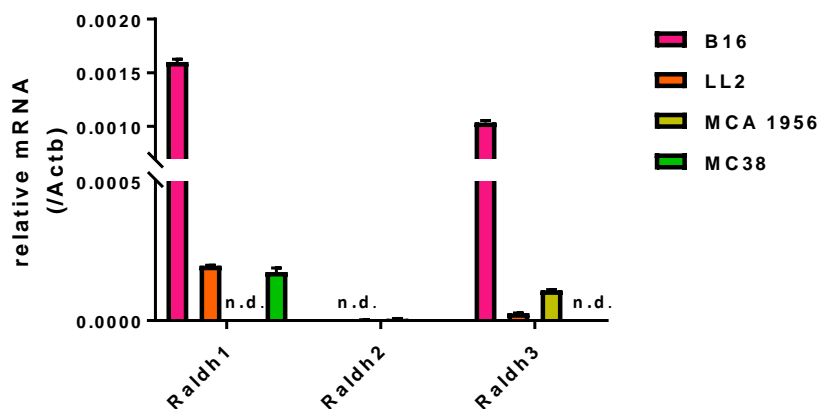
**Figure 3.21. Increased proliferation of FoxP3<sup>+</sup> T cells supported by atRA-treated NK cells.** CD45.1<sup>+</sup> NK cells pre-treated with atRA or control solvent were co-cultured with naïve CD45.2<sup>+</sup>CD4<sup>+</sup> T cells in Th17- or Treg-polarizing conditions in the presence of plate-bound anti-CD3 $\epsilon$  antibody and soluble anti-CD28 antibody for 2 days. Cells were analyzed by flow cytometry, and T cells were gated as live, CD45.1<sup>-</sup>, CD45.2<sup>+</sup>, and CD4<sup>+</sup> cells. (A-B) Frequency of FoxP3<sup>+</sup>Ki67<sup>+</sup> and FoxP3<sup>+</sup>Ki67<sup>-</sup> CD4<sup>+</sup> T cells upon co-culture with control- or atRA-treated NK cells in (A) Th17-polarizing condition (n=3) and (B) Treg-polarizing condition (n=3). Graphs indicate mean  $\pm$  SEM. \*, p<0.05; \*\*, p<0.01 by paired Student's t-test.

#### 4.4 Tumor microenvironment enriched with vitamin A metabolites

In addition to the digestive system, which is enriched with vitamin A metabolites (Kane et al., 2008), murine sarcoma tissues were reported to show high expression of Raldh (encoding retinaldehyde dehydrogenase, RALDH), compared to other tissues, and to promote RA-abundant tumor microenvironment (TME), which resulted in immune suppression through impairing tumor-associated macrophages (Devalaraja et al., 2020). NK cells can be recruited to tumor tissues, where they can recognize and eliminate tumor cells via receptor-ligand engagement (Vivier, Tomasello, Baratin, Walzer, & Ugolini, 2008). Thus, we hypothesized that a vitamin A-enriched TME could regulate the phenotype of NK cells.

##### 4.4.1 Expression of RA-metabolizing enzymes by tumor cells

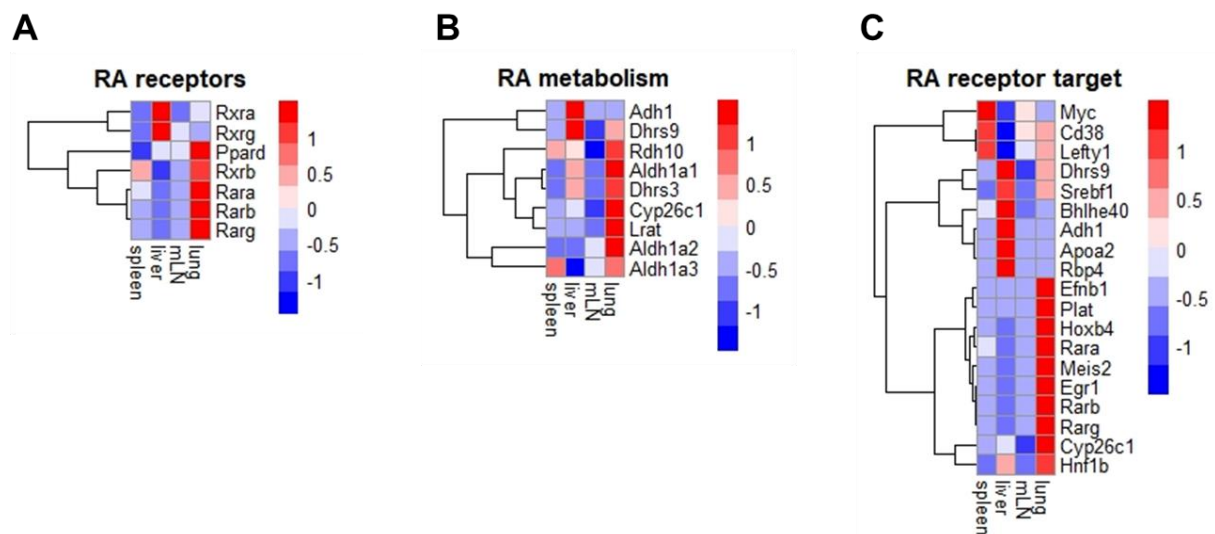
It was reported that mRNA expression of Raldh correlated with concentration of RA in tumor tissues (Devalaraja et al., 2020). Thus, we analyzed relative mRNA expression of RA-metabolizing enzymes in tumor cells, including B16 melanoma, Lewis lung carcinoma (LL2), MCA-induced fibrosarcoma (MCA 1956), and MC38 colon carcinoma. Relative expression of Raldh1 and Raldh3 was higher in B16 melanoma cells, compared to other tumor cells, while Raldh2 expression was not detected (Figure 3.22). These results suggest a potential RA-production by B16 melanoma cells.



**Figure 3.22. B16 melanoma cells express higher amounts of Raldh transcript, compared to other tumor cells.** (A-B) Tumor cells were cultured in medium for one week (*in vitro*). RNA isolated from tumor cells was used to perform qRT-PCR analysis. Quantification of Raldh1, Raldh2 and Raldh3 relative expression of *in vitro* cultured tumor cells. Graph indicates mean  $\pm$  SEM. Raldh, retinaldehyde dehydrogenase. B16, B16 melanoma; LL2, Lewis lung carcinoma; MCA 1956, MCA-induced fibrosarcoma; MC38, MC38 colon adenocarcinoma.

#### 4.4.2 RA-signatures in liver, lung, lymph node and spleen

Next, we analyzed the “RA-signatures” in different organs in steady-state, such as liver, lung, mesenteric lymph node (mLN) and spleen, by analyzing mRNA expression of RA-receptors, genes involved in RA-metabolism, and target genes of retinoic acid receptors (RARs). We noted that transcription of RA-receptors and genes involved in RA-metabolism was enriched in the lung, compared to other organs (Figure 3.23A and B). Among target genes of RARs, relative expression of *Efnb1*, *Plat*, *Hoxb4*, *Rara*, *Meis2*, *Egr1*, *Rarb*, *Rarg*, *Cyp26c1*, and *Hnf1b*, was highest in the lung (Figure 3.23C). Relative expression of *Dhrs9*, *Srebf1*, *Adh1*, *Apoa2* and *Rbp4* was highest in the liver (Figure 3.23C), which is considered a main storage of vitamin A metabolites (Kane et al., 2008)

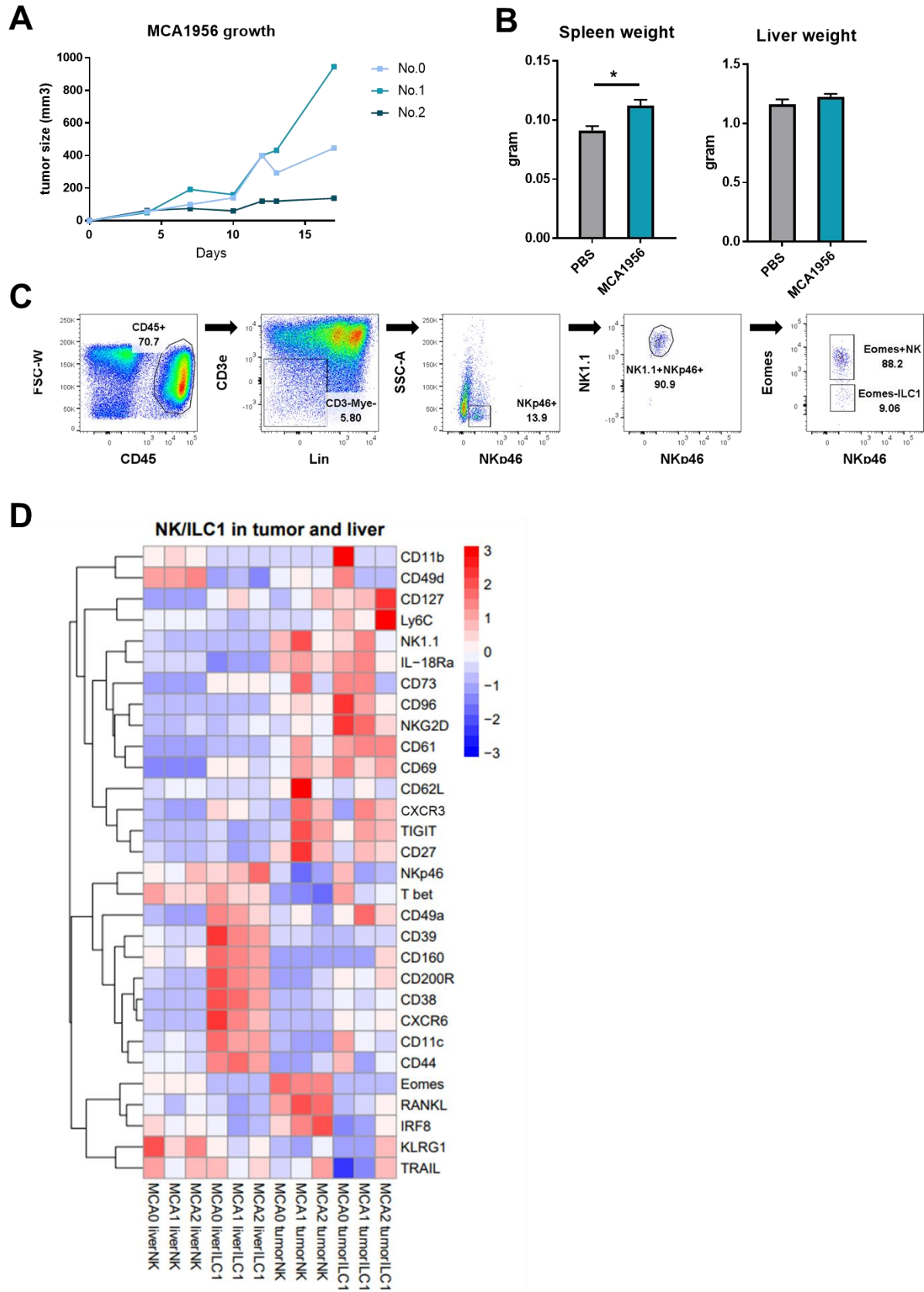


**Figure 3.23. Relative expression of RA-receptors, genes involved in RA-metabolism, and target genes of RARs is enriched in the lung.** (A-C) RNA isolated from the spleen, liver, mesenteric lymph node (mLN), and lung, was used for qRT-PCR analysis. Heatmaps illustrate the relative mRNA expression of (A) RA-receptors, (B) genes involved in RA-metabolism, and (C) target genes of RARs. Data are scaled per row. RA, retinoic acid; RAR, retinoic acid receptors; mLN, mesenteric lymph nodes.

#### 4.4.3 Group 1 ILC phenotype in MCA-induced fibrosarcoma

A recent study reported that several types of sarcoma cells, including synovial sarcoma, undifferentiated pleomorphic sarcoma and fibrosarcoma cells, could release *atRA* in TME, leading to immunosuppressive effects of immune cells (Devalaraja et al., 2020). In addition, it was reported that NK cells in fibrosarcoma tumor tissues displayed an intermediate ILC1-like phenotype, characterized by the increased expression of TRAIL, CD49a, and CD69, and the decreased expression of CD62L and Eomes (Gao et al., 2017). Hence, we aimed to investigate whether MCA-induced fibrosarcoma could induce an intermediate ILC1-like phenotype of NK cells, in a vitamin A-dependent manner.

As described in Gao et al. (Gao et al., 2017), MCA-induced fibrosarcoma (MCA1956) was subcutaneously injected to C57BL/6N mice. Compared to PBS-injected mice, MCA1956-bearing mice displayed comparable weights of liver, and higher weights of spleens (Figure 3.24B). Group 1 ILCs were gated as CD45<sup>+</sup>CD3 $\epsilon$ <sup>-</sup>NK1.1<sup>+</sup>NKp46<sup>+</sup> cells. Two subpopulation of group 1 ILCs were identified, Eomes<sup>+</sup> NK cells (NK cells) and Eomes<sup>-</sup> ILC1s (ILC1s) (Figure 3.24C). Based on the flow cytometric results shown in Figure 3.2, we selected candidate proteins and assessed their expression in NK cells and ILC1s in the TME. As an internal control, group 1 ILCs in liver were analyzed. The expression of CD49a, CD39, CD160, CD38, CD200R, and CXCR6 was higher in liver ILC1s, compared to liver NK cells, tumor NK cells and tumor ILC1s (Figure 3.24D). T-bet expression was comparable in both liver NK cells and ILC1s, which was higher than the expression in tumor NK cells and ILC1s (Figure 3.24D). Tumor NK cells displayed higher expression of Eomes, RANKL and IRF8 than other analyzed cell populations (Figure 3.24D). NK cells and ILC1s in tumor tissues shared similarities in protein expression, for instance the higher expression of NKG2D, CD96, NK1.1, CD61, CD69, CD27, and CXCR3, compared to NK cells and ILC1s in livers. However, based on our analysis, NK cells did not display an intermediate ILC1-like phenotype in fibrosarcoma tumor tissues.



**Figure 3.24. The growth of MCA1956 tumor and the phenotype of group 1 ILCs in tumor-tissue and liver.** MCA-induced fibrosarcoma (MCA1956,  $1 \times 10^6$  cells/100  $\mu$ L) was subcutaneously injected into C57BL/6N mice (female, 8 weeks old,  $n=3$ ), and the equivalent volume of PBS was injected as control solvent to mice (female, 8 weeks old,  $n=3$ ). (A) Quantification of tumor growth. (B) Spleen and liver weight ( $n=3$ ). (C) Single-cell suspensions were prepared from the collected tumor tissues and livers. Group 1 ILCs were analyzed by flow cytometry, and

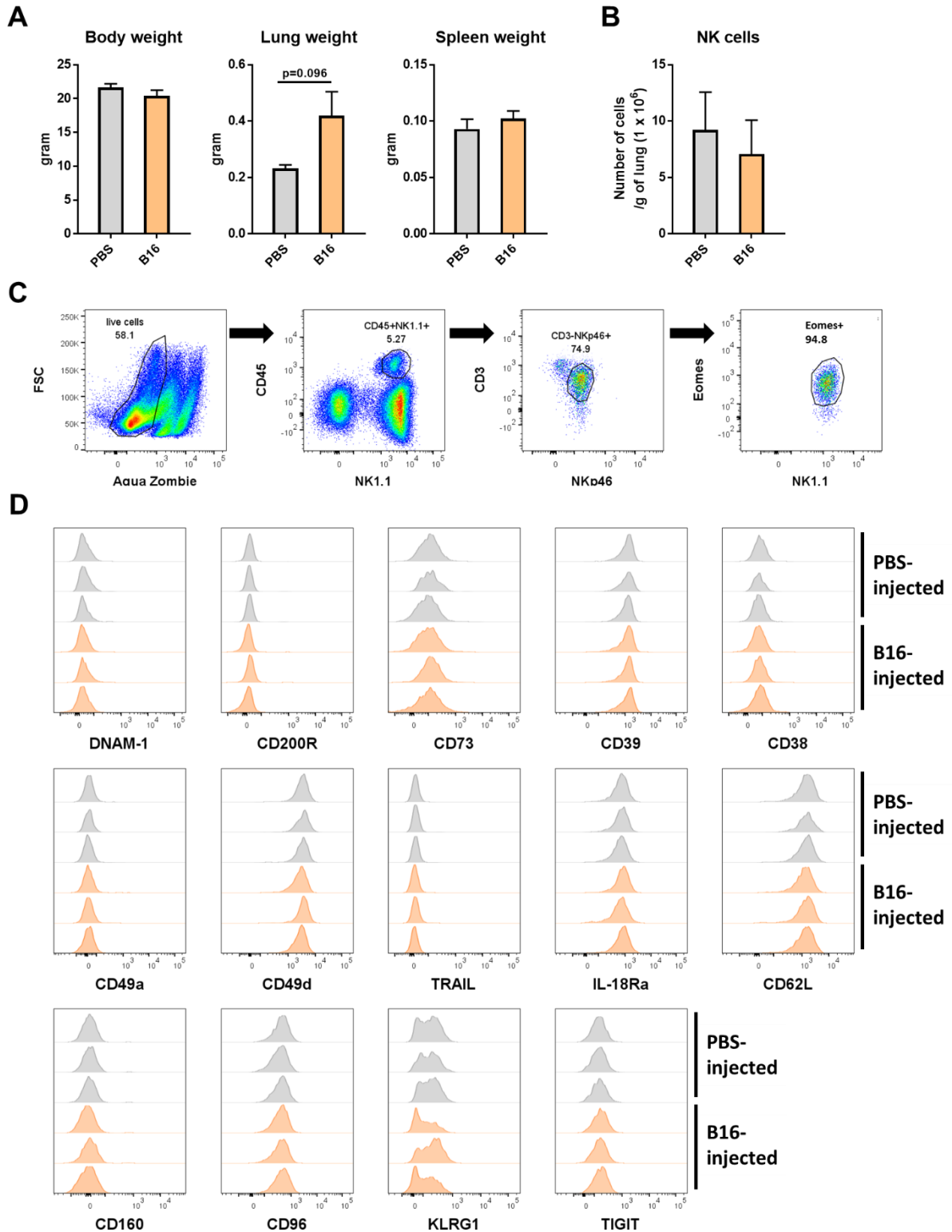


gated as live, CD45<sup>+</sup>, CD3ε<sup>-</sup>, lineage<sup>-</sup>, NK1.1<sup>+</sup>, and NKp46<sup>+</sup> cells (For the lineage markers, Ly6G, F4/80, SiglecF, FcεRI, Ter119 and CD19 were used). Representative plots show the gating strategy of Eomes<sup>+</sup> NK cells and Eomes<sup>-</sup> ILC1s in tumor. (D) Heatmap depicts the geometric mean fluorescence intensity (MFI) of CD11b, CD49d, CD127, Ly6C, NK1.1, IL-18Rα, CD73, CD96, NKG2D, CD61, CD69, CD62L, CXCR3, TIGIT, CD27, NKp46, T-bet, CD49a, CD39, CD160, CD200R, CD38, CXCR6, CD11c, CD44, Eomes, RANKL, IRF8, KLRG1, and TRAIL in liver NK cells, liver ILC1s, tumor NK cells and tumor ILC1s of MCA1956-injected mice. Data are scaled per row. Graphs indicate mean ± SEM. \*, p<0.05 by non-paired Student's t-test.

#### 4.4.4 NK cell phenotype in B16 melanoma tumors

We observed enriched “RA-signatures” in lung (Figure 3.23), and the highest expression of Raldh1 in B16 melanoma cells (Figure 3.22), which might correlate with their ability to produce RA. To create a vitamin A-enriched TME, we utilized animal model of lung metastasis, and investigated how this microenvironment could affect tumor-infiltrating NK cell phenotype. Body weight, lung weight, and spleen weight were comparable in PBS-injected and B16 lung metastases-bearing mice (Figure 3.25A). Group 1 ILCs (CD45<sup>+</sup> CD3ε<sup>-</sup> NK1.1<sup>+</sup> NKp46<sup>+</sup> cells) comprised mainly Eomes<sup>+</sup> NK cells in lungs (Figure 3.25C), and the absolute number of NK cells in the lung from tumor-bearing mice was comparable to PBS-injected mice (Figure 3.25B).

Based on the flow cytometric analysis in Figure 3.2 and the intermediate ILC1-like phenotype demonstrated in Gao et al. (Gao et al., 2017), we chose several proteins and examined their expression in lung NK cells of B16-bearing and PBS-injected mice. DNAM-1, CD200R, CD38, CD49a, TRAIL, CD160, and TIGIT expression was not detected, and the expression of CD73, CD39, CD49d, IL-18Rα, CD62L, and CD96 was similar in NK cells from control lungs and tumor-bearing lungs (Figure 3.25D). Compared to lung NK cells from PBS-injected mice, the expression of KLRG1, an inhibitory receptor, was downregulated in NK cells from tumor-bearing mice (Figure 3.25D). These data indicate that the TME of B16 melanoma metastases did not induce an ILC1-like phenotype in lung NK cells.



**Figure 3.25. Number and phenotype of NK cells in B16 melanoma metastases-bearing lungs.** B16 melanoma cells ( $1 \times 10^5/100 \mu\text{L}$ ) or the equivalent volume of PBS (as a control) were intravenously injected into C57BL/6N mice (female, 10 weeks old,  $n=3$  for each group). After 21 days of tumor-injection, single-cell suspensions were prepared from lungs and analyzed by flow cytometry. NK cells were gated as live,  $\text{CD45}^+$ ,  $\text{CD3}^-$ , lineage $^-$ ,  $\text{NK1.1}^+$ ,  $\text{NKp46}^+$ , and  $\text{Eomes}^+$  cells (For the lineage markers, Ly6G, F4/80, SiglecF, Fc $\epsilon$ RI, Ter119 and CD19 were used). (A) Quantification of body, lung and spleen weights. (B) Absolute number of NK cells in lungs. (C) Representative plots of gating strategy of NK cells in lungs. (D) Histogram plots depict the expression of DNAM-1, CD200R, CD73, CD39, CD38, CD49a, CD49d, TRAIL, IL-18Ra, CD62L, CD160, CD96, KLRG1 and TIGIT in lung NK cells from PBS-injected mice (in gray color,  $n=3$ ) and B16-bearing mice (in orange color,  $n=3$ ). Graphs indicate mean  $\pm$  SEM. Statistical analysis was performed by non-paired Student's t-test.

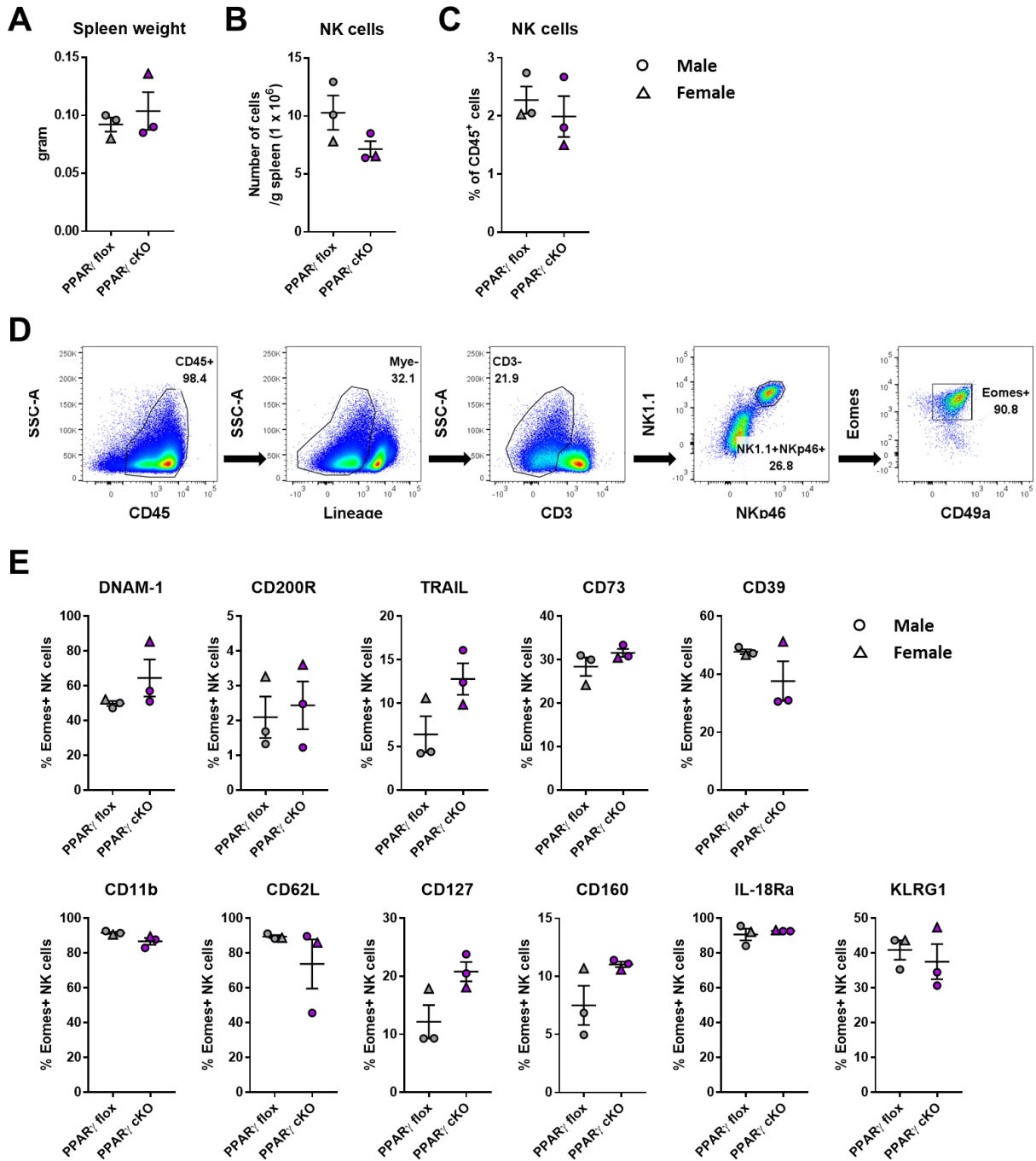
#### 4.5 PPAR $\gamma$ as regulators of *atRA*-induced NK cell reprogramming

We observed that *Pparg* expression was increased in NK cells upon *atRA*-treatment (Figure 3.4A and B), and the enhanced DNAM-1 and CD200R expression in *atRA*-treated NK cells was partially prevented by the inhibition of PPAR $\gamma$  (Figure 3.7B and C). Thus, we hypothesized that PPAR $\gamma$  might be a key regulator of *atRA*-induced reprogramming in NK cells. Thus, we generated mice with a conditional deletion of PPAR $\gamma$  in NKp46-expressing cells, by crossing *Ncr1<sup>Cre</sup>* mice with PPAR $\gamma^{ff}$  mice. In this thesis, we will call *Ncr1<sup>CreTg</sup> PPAR $\gamma^{ff}$*  mice as PPAR $\gamma$  cKO, and PPAR $\gamma^{ff}$  mice as PPAR $\gamma$  flox.

##### 4.5.1 Phenotype of PPAR $\gamma$ -deficient ILCs

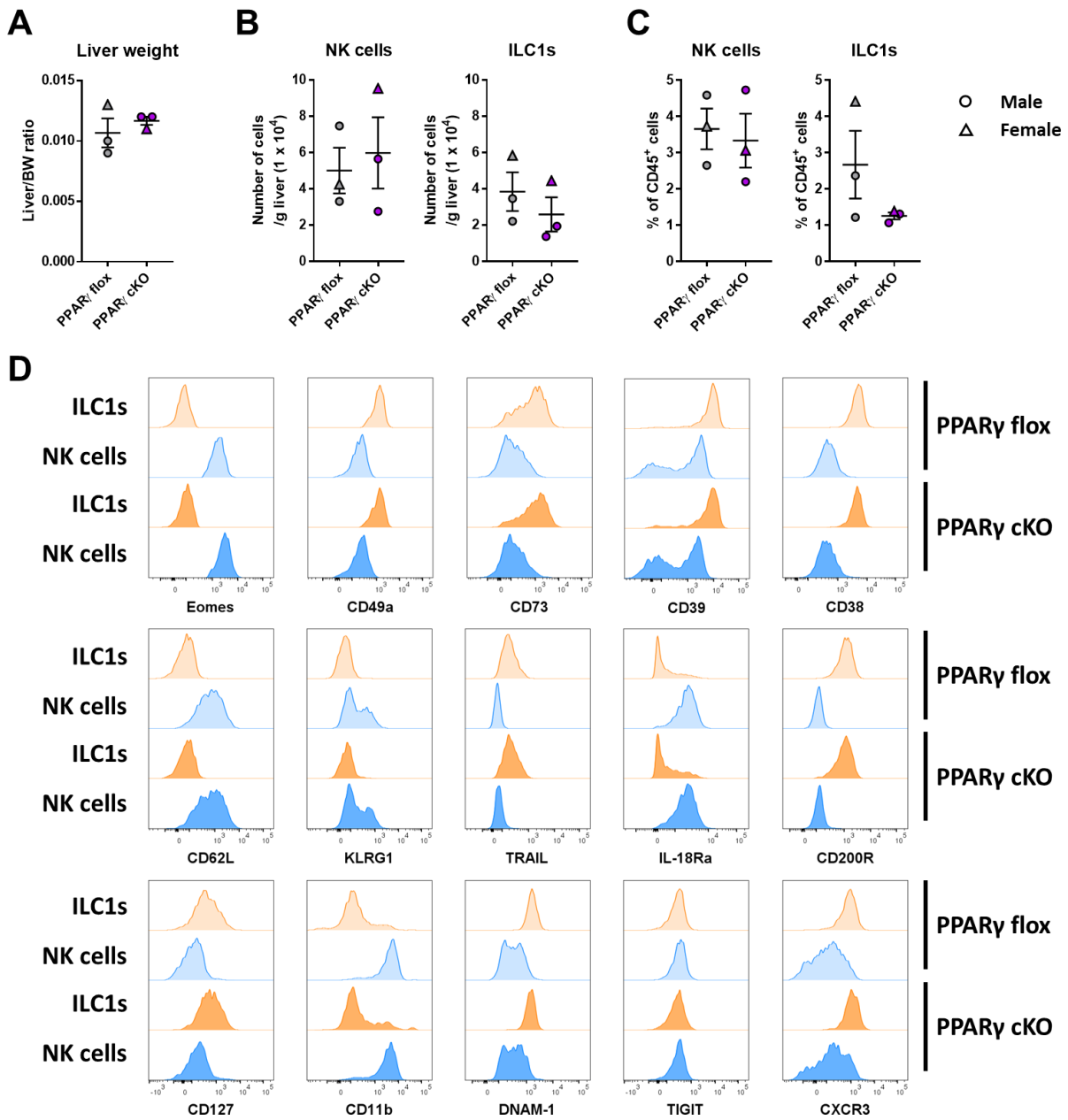
NKp46-expressing cells, mainly NK cells, ILC1s and ILC3s, are found in the lung, liver and gut, where “RA-signatures” are enriched (Figure 3.23), vitamin A metabolites are stored (Kane et al., 2008), and vitamin A is metabolized (Erkelens & Mebius, 2017). To investigate the impact of PPAR $\gamma$  deletion in NKp46-expressing cells, we analyzed the numbers and phenotype of these cells in the lung, liver and gut, as well as spleen of PPAR $\gamma$  cKO and PPAR $\gamma$  flox mice. Two males and one female mouse in each group were taken for analysis.

First, we examined spleens and showed that the weights of spleens were comparable between PPAR $\gamma$  flox mice and PPAR $\gamma$  cKO mice (Figure 3.26A). In the spleen, *Eomes<sup>+</sup>* NK cells were identified as a major population of group 1 ILCs, and comprised 2% of CD45-expressing immune cells (Figure 3.26C and D). PPAR $\gamma$  deletion did not affect the number and percentage of splenic NK cells among immune cells (Figure 3.26B and C). Based on the flow cytometric analysis shown in Figure 3.2, several molecules were selected and measured in splenic NK cells from PPAR $\gamma$  flox and PPAR $\gamma$  cKO mice. The expression of DNAM-1, CD200R, TRAIL, CD73, CD39, CD11b, CD62L, CD127, CD160, IL-18R $\alpha$ , and KLRG1, was similar in the two groups (Figure 3.26D), suggesting that PPAR $\gamma$  deletion did not cause differences in the phenotype of splenic NK cells.



**Figure 3.26. Splenic NK cell number and phenotype in PPAR $\gamma$  flox mice and PPAR $\gamma$  cKO mice.** Spleens were collected from PPAR $\gamma$  flox mice (PPAR $\gamma^{flox}$  mice) and PPAR $\gamma$  cKO mice (Ncr1<sup>iCreTg</sup> PPAR $\gamma^{flox}$  mice) (Male mice, depicted as a circle mark in the graphs, and female mice, depicted as a triangle mark in the graphs, 11-13 weeks old, n=3 for each group). Single-cell suspension was prepared from spleens of PPAR $\gamma$  flox and PPAR $\gamma$  cKO mice, and analyzed by flow cytometry. NK cells were gated as live, CD45<sup>+</sup>, CD3 $\epsilon$ <sup>-</sup>, lineage<sup>-</sup>, NK1.1<sup>+</sup>, NKp46<sup>+</sup>, and Eomes<sup>+</sup> cells (For the lineage markers, CD19, Ly6G, and Ter119 were used). (A) Quantification of spleen weight. (B) Absolute number and (C) percentage of NK cells in the spleen. (D) Representative dot plots show the gating strategy of Eomes<sup>+</sup> NK cells in the spleen. (E) Percentage of cells expressing DNAM-1, CD200R, TRAIL, CD73, CD39, CD11b, CD62L, CD127, CD160, IL-18R $\alpha$ , and KLRG1 in spleens from PPAR $\gamma$  flox and PPAR $\gamma$  cKO mice. Graphs indicate mean  $\pm$  SEM.

Next, we analyzed livers, which play important roles in metabolism and storage of vitamin A and its metabolites. PPAR $\gamma$  deletion did not cause differences in liver weight (Figure 3.27A). Hepatic group 1 ILCs comprise Eomes<sup>+</sup> NK cells and CD49a<sup>+</sup> ILC1s. In livers, both the number of NK cells and ILC1s, and the percentage of NK cells and ILC1s among CD45-expressing cells were comparable between PPAR $\gamma$  flox mice and PPAR $\gamma$  cKO mice (Figure 3.27B and C). Liver CD49<sup>+</sup> ILC1s displayed higher expression of CD73, CD39, CD38, DNAM-1, CD200R, TRAIL, CD127 and CXCR3, compared to Eomes<sup>+</sup> NK cells. On the other hand, Eomes<sup>+</sup> NK cells displayed higher expression of CD62L, KLRG1, IL-18R $\alpha$  and CD11b, compared to CD49<sup>+</sup> ILC1s. The phenotype of liver ILC1s and NK cells was similar between PPAR $\gamma$  flox mice and PPAR $\gamma$  cKO mice (Figure 3.27D), indicating that PPAR $\gamma$  deletion did not modulated the expression of these proteins.

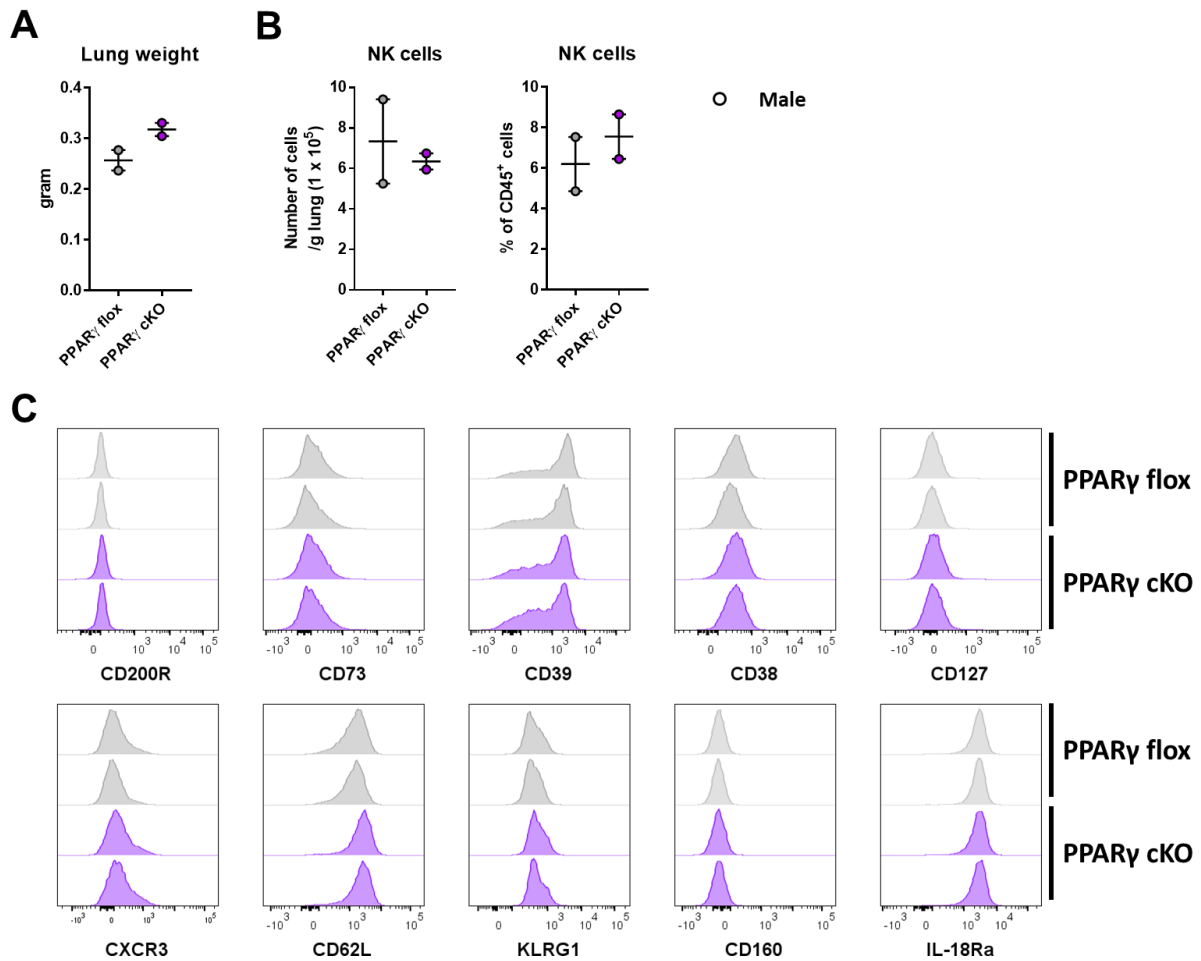


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**Figure 3.27. Hepatic ILC1 and NK cell number and phenotype in PPAR $\gamma$  flox mice and PPAR $\gamma$  cKO mice.** Livers were collected from PPAR $\gamma$  flox mice (PPAR $\gamma^{f/f}$  mice) and PPAR $\gamma$  cKO mice (Ncr1<sup>CreTg</sup> PPAR $\gamma^{f/f}$  mice) (Male mice, depicted as a circle mark in the graphs, and female mice, depicted as a triangle mark in the graphs, 11-13 weeks old, n=3 for each group). Single-cell suspension was prepared from livers of PPAR $\gamma$  flox mice and PPAR $\gamma$  cKO mice, and analyzed by flow cytometry. Group 1 ILCs were gated as live, CD45<sup>+</sup>, CD3 $\epsilon$ <sup>-</sup>, lineage<sup>-</sup>, NK1.1<sup>+</sup>, and NKp46<sup>+</sup> cells (For the lineage markers, CD19, Ly6G, and Ter119 were used). NK cells were gated Eomes<sup>+</sup> and CD49a<sup>-</sup>, and ILC1s were gated CD49a<sup>+</sup> and Eomes<sup>-</sup>. (A) Quantification of liver weight. (B) Absolute cell number of NK cells and ILC1s in the liver. (C) Percentage of NK cells and ILC1s among CD45-expressing cells. (D) Representative histograms show Eomes, CD49a, CD73, CD39, CD38, CD62L, KLRG1, TRAIL, IL-18R $\alpha$ , CD200R, CD127, CD11b, DNAM-1, TIGIT, and CXCR3 expression in hepatic ILC1s and NK cells from PPAR $\gamma$  flox and PPAR $\gamma$  cKO mice. Graphs indicate mean  $\pm$  SEM.

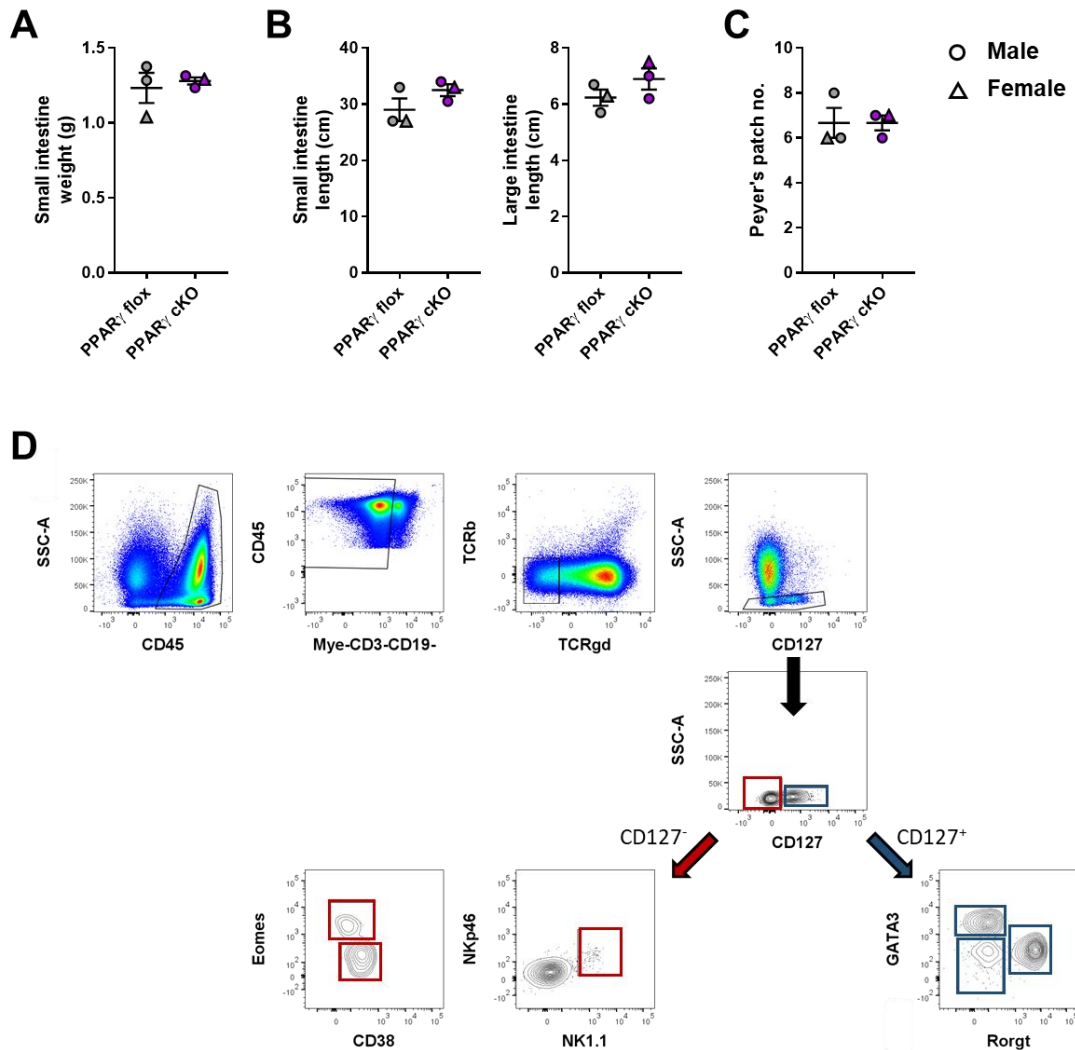
In a next step, we assessed lung, an organ enriched with “RA-signatures” (Figure 3.23). PPAR $\gamma$  deletion in NKp46-expressing cells did not induce differences of lung weights in mice (Figure 3.28A). We observed that the major population of group 1 ILCs in lungs are NK cells (Figure 3.28D). The number of NK cells and the percentage of NK cells among immune cells, gated as CD45-expressing cells, were similar in lungs of PPAR $\gamma$  cKO mice and PPAR $\gamma$  flox mice (Figure 3.28B). The expression of CD73, CD38, CD127, CXCR3, CD39, CD62L, KLRG1 and IL-18R $\alpha$  was detected in lung NK cells (Figure 3.28E). Their expression by NK cells were comparable in lungs of PPAR $\gamma$  cKO mice and PPAR $\gamma$  flox.

Our results showed that the weights of spleen, lung, and liver did not show differences in PPAR $\gamma$  flox mice and PPAR $\gamma$  cKO mice. The number of NK cells in the spleen, lung or liver, and ILC1s in the liver, were similar in PPAR $\gamma$  flox mice and PPAR $\gamma$  cKO mice. In addition, the phenotype of NK cells and ILC1s was not affected by the deletion of PPAR $\gamma$ .



**Figure 3.28. Lung NK cell number and phenotype in PPAR $\gamma$  flox mice and PPAR $\gamma$  cKO mice.** Lungs were collected from PPAR $\gamma$  flox mice (PPAR $\gamma^{fl/fl}$  mice) and PPAR $\gamma$  cKO mice (Ncr1 $^{CreTg}$  PPAR $\gamma^{fl/fl}$  mice) (Male mice, depicted as a circle mark in the graphs, 11-13 weeks old, n=3 for each group). Single cell suspension was prepared from lungs of PPAR $\gamma$  flox and PPAR $\gamma$  cKO mice, and analyzed by flow cytometry. NK cells were gated as live, CD45 $^+$ , CD3 $\epsilon^-$ , lineage $^-$ , NK1.1 $^+$ , NKp46 $^+$ , and Eomes $^+$  cells (For the lineage markers, CD19, Ly6G, and Ter119 were used). (A) Quantification of lung weight. (B) Absolute number and percentage of NK cells among CD45-expressing cells in the lung. (D) Representative dot plots show the gating strategy of Eomes $^+$  NK cells in the lung. (E) Quantification of CD200R, CD73, CD39, CD38, CD127, CXCR3, CD62L, KLRG1, CD160, and IL-18Ra expression in lung NK cells from PPAR $\gamma$  flox and PPAR $\gamma$  cKO mice. Graphs indicate mean  $\pm$  SEM.

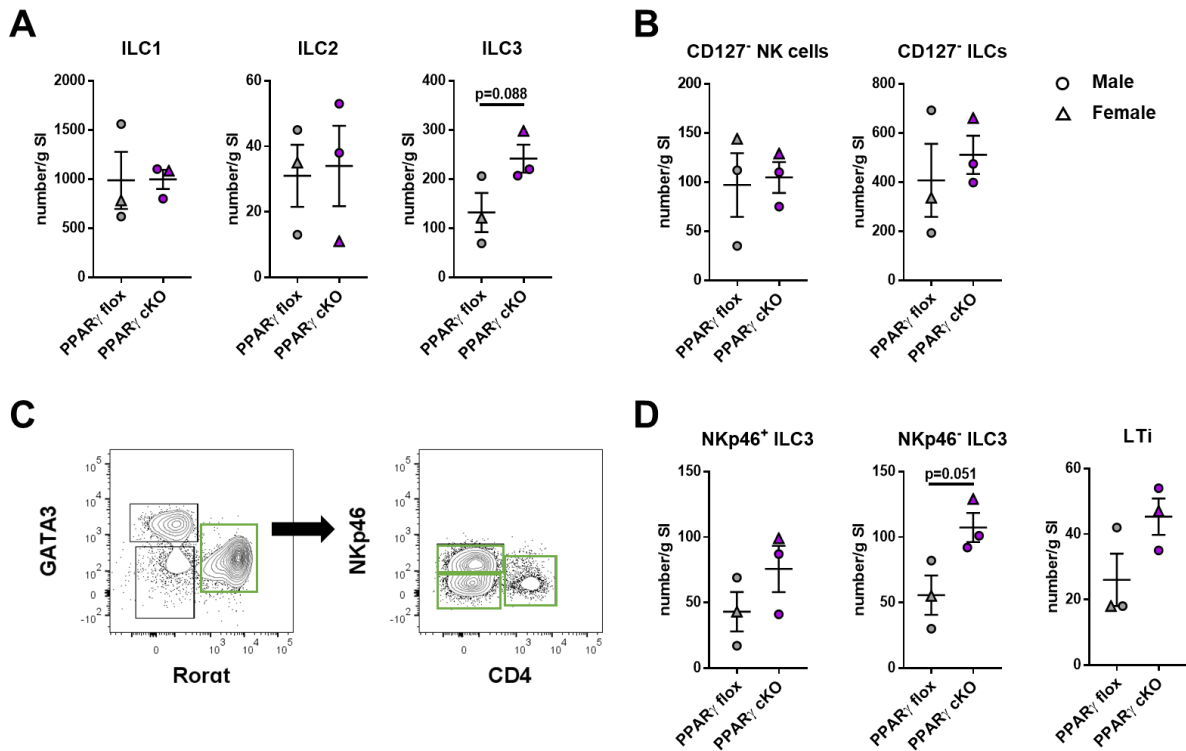
Lastly, we explored guts, where vitamin A precursors in food are oxidized and absorbed by intestinal epithelium (Oliveira, Teixeira, & Sato, 2018). In PPAR $\gamma$  flox mice and PPAR $\gamma$  cKO mice, we examined the weight of small intestine, the length of small and large intestine, and the number of Peyer's patches. PPAR $\gamma$  deletion in NKp46-expressing cells did not influence the weight and length of intestines, and the number of Peyer's patches (Figure 3.9A, B and C). The different subpopulation of tissue-resident ILCs are found in the small intestine. Thus, we analyzed the subsets of CD127-expressing ILCs, such as T-bet $^+$  ILC1s (ILC1), GATA3 $^+$  ILC2s (ILC2), and ROR $\gamma$ t $^+$  ILC3s (ILC3) (gated in the blue frames in Figure 3.29D), and CD127 $^-$  NK cells and ILCs (gated in the red frames in Figure 3.29D).



**Figure 3.29. The phenotype of gut, and the gating strategy of ILCs in PPAR $\gamma$  flox mice and PPAR $\gamma$  cKO mice.** Intestines were collected from PPAR $\gamma$  flox mice (PPAR $\gamma$ <sup>flox</sup> mice) and PPAR $\gamma$  cKO mice (Ncr1<sup>CreTg</sup> PPAR $\gamma$ <sup>flox</sup> mice) (Male mice, depicted as a circle mark in the graphs, and female mice, depicted as a triangle mark in the graphs, 11-13 weeks old, n=3 for each group). Single-cell suspension was prepared from guts of PPAR $\gamma$  flox and PPAR $\gamma$  cKO mice, and analyzed by flow cytometry. ILCs were gated as live CD45 $^+$ , CD3 $\epsilon^-$ , CD19 $^-$ , Ly6G $^-$ , Ter119 $^-$ , TCR $\beta^-$ , TCR $\gamma\delta^-$ , and CD127 $^+$  cells. ILC1s were gated as ROR $\gamma$ t $^+$ , GATA3 $^-$ , and T-bet $^+$  cells, ILC2s as GATA3 $^+$  cells, and ILC3s as ROR $\gamma$ t $^+$  cells. NK cells were gated as CD45 $^+$ , CD3 $\epsilon^-$ , CD19 $^-$ , Ly6G $^-$ , Ter119 $^-$ , TCR $\beta^-$ , TCR $\gamma\delta^-$ , CD127 $^-$ , and Eomes $^+$  cells. CD127 $^-$  ILCs were gated as CD45 $^+$ , CD3 $\epsilon^-$ , CD19 $^-$ , Ly6G $^-$ , Ter119 $^-$ , TCR $\beta^-$ , TCR $\gamma\delta^-$ , CD127 $^-$ , and Eomes $^-$  cells. CD127 $^-$  ILCs were gated as CD45 $^+$ , CD3 $\epsilon^-$ , CD19 $^-$ , Ly6G $^-$ , Ter119 $^-$ , TCR $\beta^-$ , TCR $\gamma\delta^-$ , CD127 $^-$ , and Eomes $^-$  cells. (A) Small intestine weight, (B) small intestine and large intestine length, and (C) number of Peyer's patches in small intestine of PPAR $\gamma$  flox mice and PPAR $\gamma$  cKO mice. (D) Representative plots depict the gating strategy of CD127 $^+$  ILC1s, ILC2s, and ILC3s, and CD127 $^-$  NK cells and ILCs. Graphs indicate mean  $\pm$  SEM.

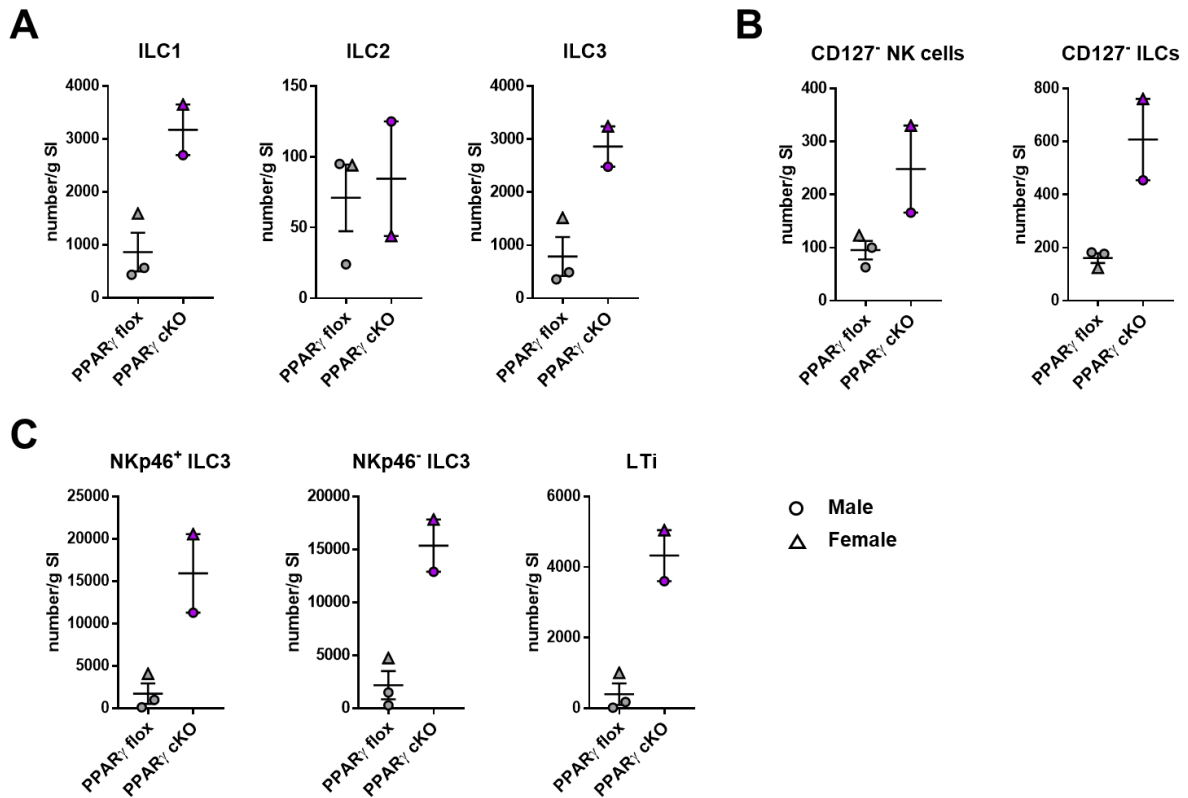


CD127-expressing ILCs and CD127<sup>-</sup> NK cells and ILCs were analyzed in the three compartments of the small intestine, which are intraepithelial part, intestinal lamina propria and Peyer's patches. First, we analyzed the intraepithelial compartment and observed that the absolute number of RORγt<sup>+</sup> ILC3s was increased in the absence of PPARγ (Figure 3.30A). The number of ILC1s, ILC2s, CD127<sup>-</sup> NK cells, and CD127<sup>-</sup> ILCs were comparable between PPARγ flox mice and PPARγ cKO mice (Figure 3.30A and B). As we detected the elevated number of intraepithelial ILC3s, we investigated the three subpopulations of ILC3s. Figure 3.30C depicts the subpopulation of RORγt<sup>+</sup> ILC3s, including NKp46<sup>+</sup> CD4<sup>-</sup> ILC3s (NKp46<sup>+</sup> ILC3), NKp46<sup>-</sup> CD4<sup>-</sup> ILC3s (NKp46<sup>-</sup> ILC3), and CD4<sup>+</sup> LTi (LTi) cells. The absolute number of NKp46<sup>-</sup> ILC3 was significantly increased and contributed to the elevated number of RORγt<sup>+</sup> ILC3s (Figure 3.30D).



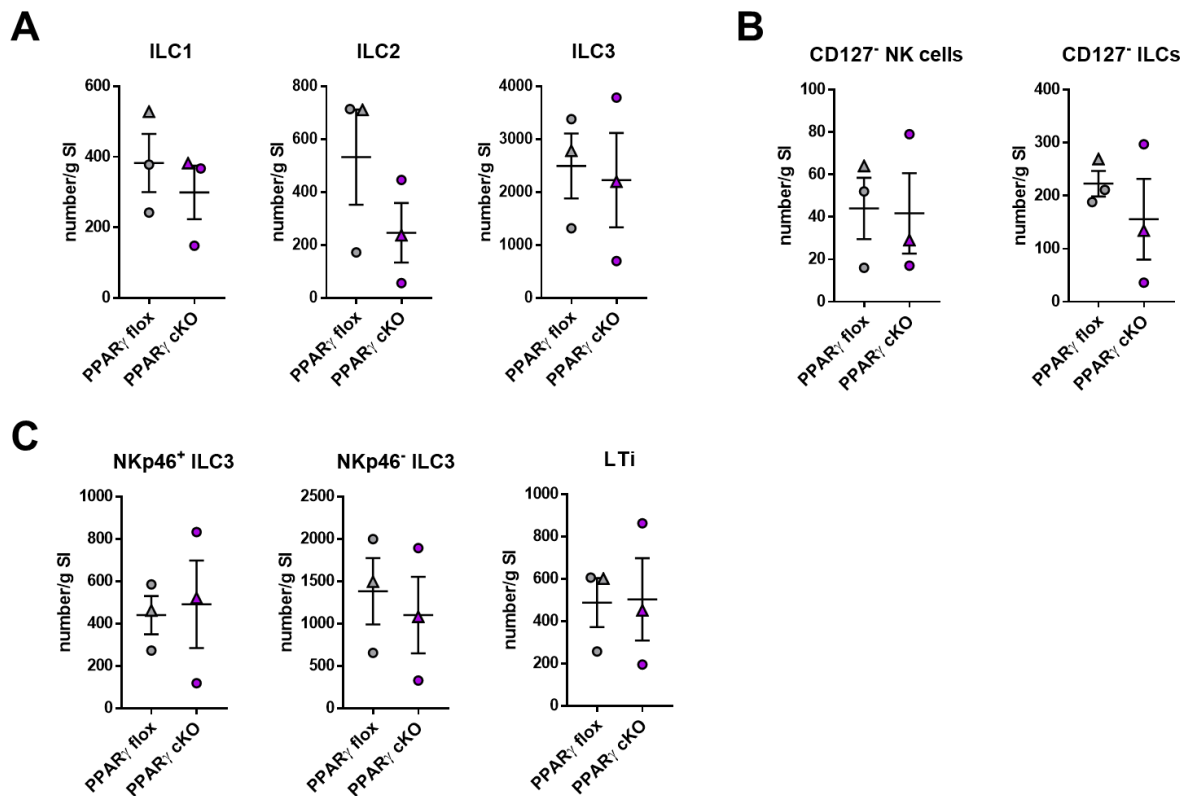
**Figure 3.30. Absolute number of ILCs in the intraepithelial compartment of PPARγ flox mice and PPARγ cKO mice.** Intestines were collected from PPARγ flox mice (PPARγ<sup>flox</sup> mice) and PPARγ cKO mice (Ncr1<sup>iCreTg</sup> PPARγ<sup>flox</sup> mice) (Male mice, depicted as a circle mark in the graphs, and female mice, depicted as a triangle mark in the graphs, 11-13 weeks old, n=3 for each group). ILCs were analyzed by flow cytometry and gated as live CD45<sup>+</sup>, CD3ε<sup>-</sup>, CD19<sup>-</sup>, Ly6G<sup>-</sup>, Ter119<sup>-</sup>, TCRβ<sup>-</sup>, and TCRγδ<sup>-</sup> cells. (A) Absolute number of CD127<sup>+</sup> ILC1s, ILC2s, and ILC3s. (B) Absolute number of CD127<sup>-</sup> NK cells and ILCs. (C) Representative contour plots display a gating strategy of intraepithelial ILC3 subpopulations. (D) Absolute number of NKp46<sup>+</sup> ILC3s (gated CD127<sup>+</sup>, RORγt<sup>+</sup>, NKp46<sup>+</sup> and CD4<sup>-</sup> cells), NKp46<sup>-</sup> ILC3s (gated CD127<sup>+</sup>, RORγt<sup>+</sup>, NKp46<sup>-</sup> and CD4<sup>-</sup> cells), and LTi cells (gated CD127<sup>+</sup>, RORγt<sup>+</sup>, NKp46<sup>-</sup> and CD4<sup>+</sup> ILC3s). Graphs indicate mean ± SEM. Statistical analysis was performed by non-paired Student's t-test. ILC, innate lymphoid cells; LTi, lymphoid tissue inducer.

Next, we examined ILCs in the intestinal lamina propria. The absolute numbers of ILC1s, ILC3s, CD127<sup>-</sup> NK cells, and CD127<sup>-</sup> ILCs in lamina propria were higher in PPAR $\gamma$  cKO mice, compared to PPAR $\gamma$  flox mice (Figure 3.31A and B). In addition, the number of NKp46<sup>+</sup> ILC3s, NKp46<sup>-</sup> ILC3, and LTi cells, were higher in PPAR $\gamma$  cKO mice, compared to PPAR $\gamma$  flox mice (Figure 3.31C).



**Figure 3.31. Absolute number of ILCs in the lamina propria of PPAR $\gamma$  flox mice and PPAR $\gamma$  cKO mice.** Intestines were collected from PPAR $\gamma$  flox mice (PPAR $\gamma^{f/f}$  mice) and PPAR $\gamma$  cKO mice (Ncr1<sup>CreTg</sup> PPAR $\gamma^{f/f}$  mice) (Male mice, depicted as a circle mark in the graphs, and female mice, depicted as a triangle mark in the graphs, 11-13 weeks old, n=2-3 for each group). ILCs were analyzed by flow cytometry and gated as live CD45<sup>+</sup>, CD3 $\epsilon$ <sup>-</sup>, CD19<sup>-</sup>, Ly6G<sup>-</sup>, Ter119<sup>-</sup>, TCR $\beta$ <sup>-</sup>, and TCR $\gamma\delta$ <sup>-</sup> cells. (A) Absolute number of CD127<sup>+</sup> ILC1s, ILC2s, and ILC3s. (B) Absolute number of CD127<sup>-</sup> NK cells and ILCs. (C) Absolute number of NKp46<sup>+</sup> ILC3s (gated CD127<sup>+</sup>, ROR $\gamma$ t<sup>+</sup>, NKp46<sup>+</sup> and CD4<sup>-</sup> cells), NKp46<sup>-</sup> ILC3s (gated CD127<sup>+</sup>, ROR $\gamma$ t<sup>+</sup>, NKp46<sup>-</sup> and CD4<sup>-</sup> cells), and LTi cells (gated CD127<sup>+</sup>, ROR $\gamma$ t<sup>+</sup>, NKp46<sup>-</sup> and CD4<sup>+</sup> ILC3s). Graphs indicate mean  $\pm$  SEM. ILC, innate lymphoid cells; LTi, lymphoid tissue inducer.

Lastly, Peyer's patches, lymphoid follicles lining along the small intestine, were assessed. The absolute numbers of ILC1s, ILC2s, ILC3s, CD127<sup>-</sup> NK cells, and CD127<sup>-</sup> ILCs were comparable between Peyer's patches obtained from PPAR $\gamma$  cKO mice and PPAR $\gamma$  flox mice (Figure 3.32A and B). Furthermore, the absolute number of three ILC3 subsets did not show difference between two groups of mice (Figure 3.32C).

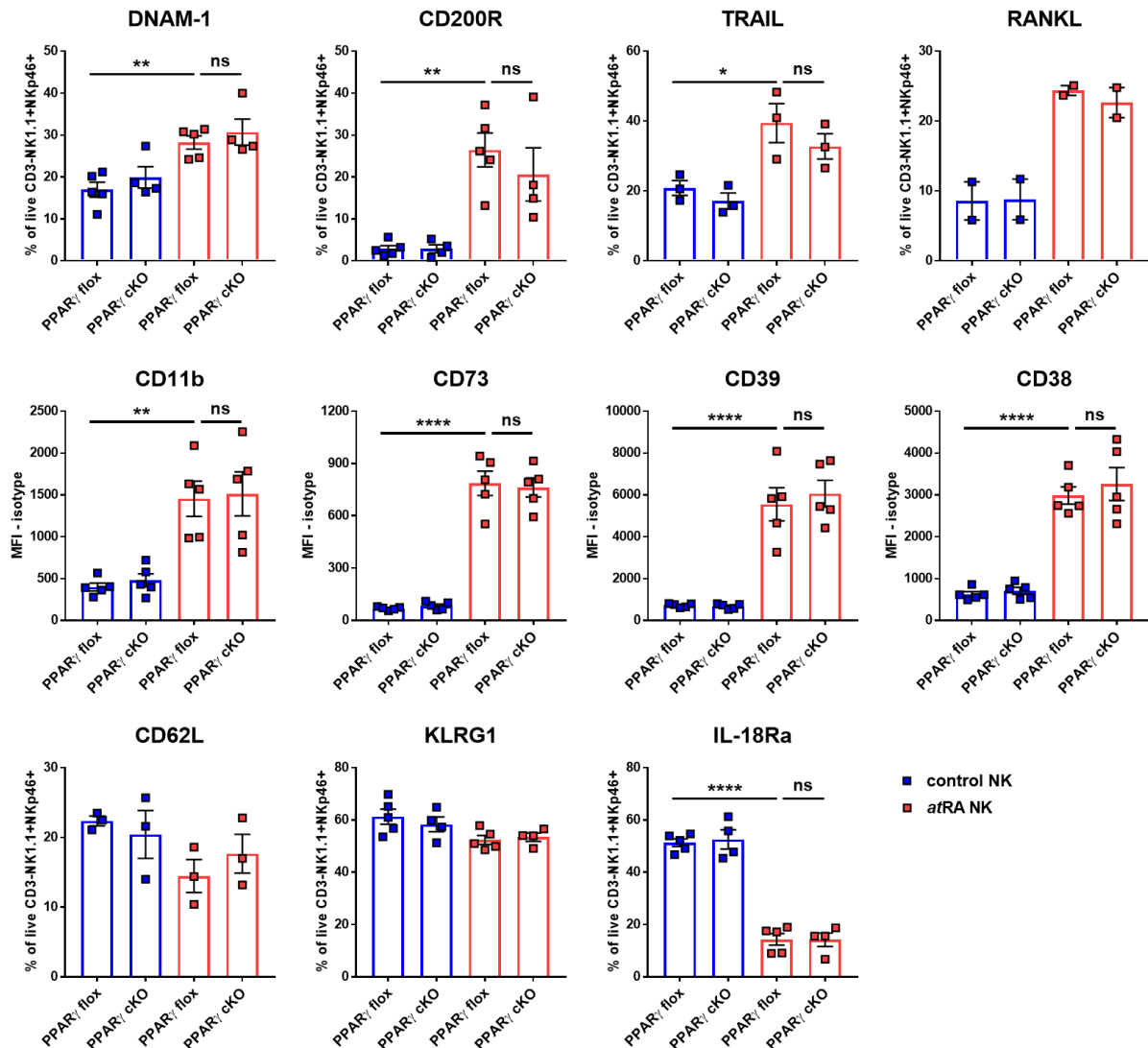


**Figure 3.32. Absolute number of ILCs in the Peyer's patches of PPAR $\gamma$  flox mice and PPAR $\gamma$  cKO mice.** Intestines were collected from PPAR $\gamma$  flox mice (PPAR $\gamma^{f/f}$  mice) and PPAR $\gamma$  cKO mice (Ncr1<sup>CreTg</sup> PPAR $\gamma^{f/f}$  mice) (Male mice, depicted as a circle mark in the graphs, and female mice, depicted as a triangle mark in the graphs, 11-13 weeks old, n=3 for each group). ILCs were analyzed by flow cytometry and gated as live CD45<sup>+</sup>, CD3 $\epsilon$ <sup>-</sup>, CD19<sup>-</sup>, Ly6G<sup>-</sup>, Ter119<sup>-</sup>, TCR $\beta$ <sup>-</sup>, and TCR $\gamma\delta$ <sup>-</sup> cells. (A) Absolute number of CD127<sup>+</sup> ILC1s, ILC2s, and ILC3s. (B) Absolute number of CD127<sup>-</sup> NK cells and ILCs. (C) Absolute number of NKp46<sup>+</sup> ILC3s (gated CD127<sup>+</sup>, ROR $\gamma$ t<sup>+</sup>, NKp46<sup>+</sup> and CD4<sup>-</sup> cells), NKp46<sup>-</sup> ILC3s (gated CD127<sup>+</sup>, ROR $\gamma$ t<sup>+</sup>, NKp46<sup>-</sup> and CD4<sup>-</sup> cells), and LTi cells (gated CD127<sup>+</sup>, ROR $\gamma$ t<sup>+</sup>, NKp46<sup>-</sup> and CD4<sup>+</sup> ILC3s). Graphs indicate mean  $\pm$  SEM. ILC, innate lymphoid cells; LTi, lymphoid tissue inducer.

In conclusion, PPAR $\gamma$  cKO and PPAR $\gamma$  flox mice showed similar weights of analyzed organs, including spleens, livers, lungs, small intestines, and large intestines. Furthermore, the number and phenotype of NK cells and ILC1s were comparable in spleens, livers, and lungs of PPAR $\gamma$  cKO and PPAR $\gamma$  flox mice. In gut, PPAR $\gamma$  cKO mice displayed the increased number of intraepithelial ILC3s as well as the elevated numbers of ILC1s, ILC3s, NK cells, and CD127<sup>-</sup> ILCs in lamina propria, compared to PPAR $\gamma$  flox mice.

#### 4.5.2 PPAR $\gamma$ -deletion on *atRA*-induced reprogramming of NK cells

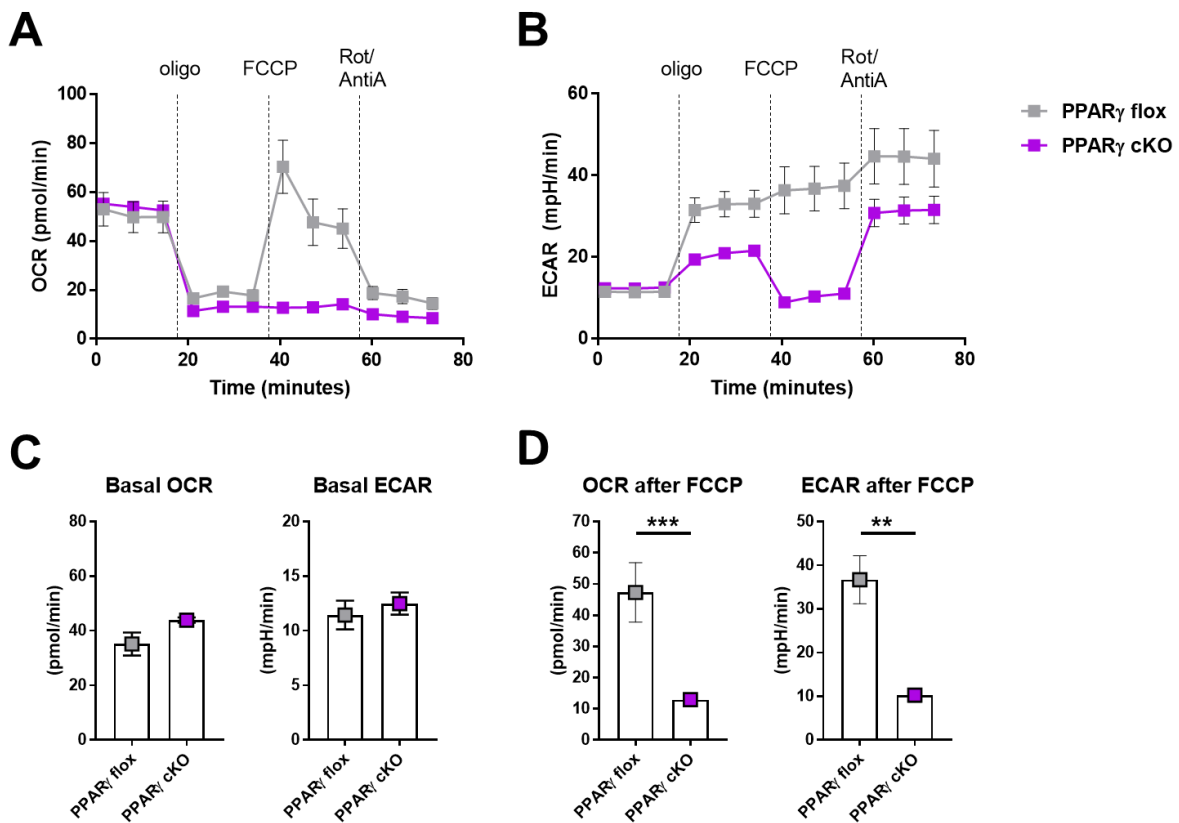
NK cells isolated from spleens of PPAR $\gamma$  cKO mice and PPAR $\gamma$  flox mice were treated with *atRA* in the presence of IL-2. The expression of DNAM-1, CD200R, TRAIL, RANKL, CD11b, CD73, CD39, and CD38 was upregulated and the expression of IL-18R $\alpha$  was downregulated on PPAR $\gamma$  flox NK cells upon *atRA*-treatment (Figure 3.33). PPAR $\gamma$  deletion in NK cells did not affect these changes (Figure 3.33). The expression of CD62L and KLRG1 on both PPAR $\gamma$  flox NK cells and PPAR $\gamma$  cKO NK cells in both control- and *atRA*-treated NK cells was comparable (Figure 3.33).



**Figure 3.33. PPAR $\gamma$  conditional deletion did not alter *atRA*-induced phenotype of NK cells.** NK cells were isolated from spleens of PPAR $\gamma$  flox mice (PPAR $\gamma^{f/f}$  mice) and PPAR $\gamma$  cKO mice (*Ncr1<sup>CreTg</sup> PPAR $\gamma^{f/f}$*  mice) (Both male and female mice were used, 6, 11, or 13 weeks old,  $n=2$  or 5 for each group), and treated with 1  $\mu$ M of *atRA* for 7 days in the presence of IL-2. The equivalent volume of DMSO was used as a solvent control. NK cells were analyzed by flow cytometry, and gated as live, CD3 $\epsilon$ <sup>-</sup>, NK1.1<sup>+</sup> and NKp46<sup>+</sup> cells. Percentage of PPAR $\gamma$  flox or PPAR $\gamma$  cKO NK cells expressing DNAM-1, CD200R, TRAIL, RANKL, CD11b, CD73, CD39, CD38, CD62L, KLRG1, and IL-18R $\alpha$ . Graphs indicate mean  $\pm$  SEM. \*,  $p<0.05$ ; \*\*,  $p<0.01$ ; \*\*\*\*,  $p<0.0001$  by one-way ANOVA (Tukey's multiple comparisons test); MFI, mean fluorescence intensity; ns: not significant.

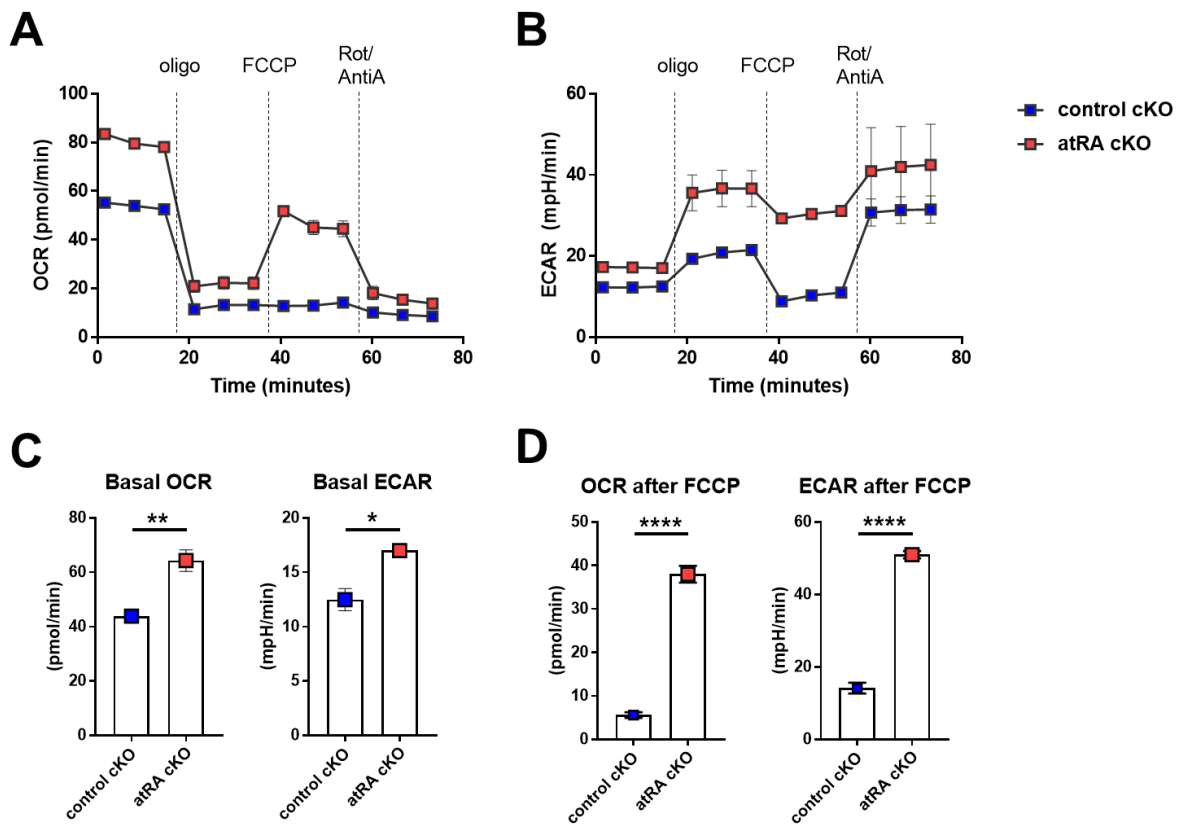
4.5.3 PPAR $\gamma$ -deletion on *afRA*-induced metabolic changes in NK cells

NK cells obtained from PPAR $\gamma$  cKO mice (PPAR $\gamma$  cKO NK cells) displayed similar basal oxygen consumption rate (OCR), compared to NK cells obtained from PPAR $\gamma$  flox mice (PPAR $\gamma$  flox NK cells) (Figure 3.34A and C), indicating comparable mitochondrial respiration. The basal level of aerobic glycolysis, measured as extracellular acidification rates (ECAR), did not change between PPAR $\gamma$  cKO NK cells and PPAR $\gamma$  flox NK cells (Figure 3.34B and C). After the FCCP-treatment, which triggers the depolarization of mitochondrial membrane and induces the maximal mitochondrial respiration, PPAR $\gamma$  cKO NK cells were unable to engage maximal respiration, compared to PPAR $\gamma$  flox NK cells (Figure 3.34D). In addition, ECR dropped to basal level in PPAR $\gamma$  cKO NK cells, while it was maintained in PPAR $\gamma$  flox NK cells (Figure 3.34B and D). These results suggest that the deletion of PPAR $\gamma$  alters NK cell metabolism.



**Figure 3.34. PPAR $\gamma$  deletion affects NK cell metabolism.** NK cells were isolated from spleens of PPAR $\gamma$  flox mice (PPAR $\gamma^{fl/fl}$  mice) and PPAR $\gamma$  cKO mice (Ncr1<sup>CreTg</sup> PPAR $\gamma^{fl/fl}$  mice), and cultured for 7 days in the presence of IL-2. Oxygen consumption rate (OCR) and extracellular acidification rate (ECAR) of PPAR $\gamma$  flox or PPAR $\gamma$  cKO NK cells were measured upon oligomycin, FCCP, and Rotenone/Antimycin A-treatment. Quantification of (A) OCR, (B) ECAR, (C) basal OCR and ECAR, and (D) OCR and ECAR upon FCCP-treatment. Graphs indicate mean  $\pm$  SEM. \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$  by non-paired Student's t-test.

Basal and maximal mitochondrial respirations of NK cells were upregulated upon *atRA*-treatment (Figure 3.3I and J). To elucidate whether *atRA* can affect metabolism of PPAR $\gamma$ -deficient NK cells, we cultured both PPAR $\gamma$  cKO NK cells with or without *atRA* for 7 days in the presence of IL-2. Similar to previous results shown in Figure 3.3, *atRA* elevated basal OCR and ECAR of PPAR $\gamma$  cKO NK cells (Figure 3.35A, B and C). Upon FCCP-treatment, *atRA*-treated PPAR $\gamma$  cKO NK cells exhibited higher OCR and higher ECAR, compared to control-treated PPAR $\gamma$  cKO NK cells, which failed to engage OXPHOS and glycolysis (Figure 3.35D). These data indicate that *atRA* can alter NK cell metabolism independent of PPAR $\gamma$ .



**Figure 3.35. *atRA* affects oxidative phosphorylation and aerobic glycolysis in PPAR $\gamma$ -deficient NK cells.** NK cells were isolated from spleens of PPAR $\gamma$  flox mice (PPAR $\gamma^{fl/fl}$  mice) and PPAR $\gamma$  cKO mice (Ncr1<sup>iCreTg</sup> PPAR $\gamma^{fl/fl}$  mice), and cultured with 1 $\mu$ M of *atRA* for 7 days in the presence of IL-2. The equivalent volume of DMSO was used as a solvent control. Oxygen consumption rate (OCR) and extracellular acidification rate (ECAR) were measured upon oligomycin, FCCP, and Rotenone/Antimycin A-treatment. Quantification of (A) OCR, (B) ECAR, (C) basal OCR and ECAR, and (D) OCR and ECAR upon FCCP-treatment. Graphs indicate mean  $\pm$  SEM. \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.0001$  by non-paired Student's t-test.



## 5 DISCUSSION

A lipid-soluble micronutrient, vitamin A, is absorbed in the small intestine, and stored mainly in the liver and adipose tissue (Chelstowska, Widjaja-Adhi, Silvaroli, & Golczak, 2016; Kane et al., 2008). Previous studies highlighted that vitamin A metabolites played an important role in tissue homeostasis. For instance, retinoic acid (RA) was reported to induce the expression of gut-homing chemokine and integrin receptors on T cells and innate lymphoid cells (ILCs), which facilitated the migration of T cells and ILCs towards the gut (Iwata et al., 2004; Jaensson et al., 2008; Kim et al., 2015). The tissue-specific migration of cells contributes to the local immunological milieu and to the balance of immune responses in the gut. Moreover, vitamin A regulates the developmental balance of ILC populations in the small intestine, as vitamin A deficiency was shown to cause a decrease in number of ILC3s and an increase in number of ILC2s (Spencer et al., 2014). The decreased number of ILC3s, which produce IL-22, led to an impaired immune responses against *Citrobacter rodentium* infection. In the liver, hepatic stellate cells (HSCs), a major reservoir of retinol, can release retinol in conditions of liver damage (Higashi et al., 2005; Yanagitani et al., 2004). Immune responses, which contribute to tissue regeneration, are regulated by the vitamin A-enriched environment during liver damage (Natarajan, Thomas, Ramachandran, Pulimood, & Balasubramanian, 2005; Yanagitani et al., 2004). In addition to the role of vitamin A in the gut and liver, many studies demonstrated the impact of vitamin A on adipose tissues (Kawada et al., 2000; Pairault, Quignard-Boulange, Dugail, & Lasnier, 1988; M. Sato, Hiragun, & Mitsui, 1980; Schwarz, Reginato, Shao, Krakow, & Lazar, 1997). For example, vitamin A metabolites could inhibit the differentiation of adipocytes and adipogenesis by blocking C/EBP $\beta$ -mediated transcriptional activation.

NK cells are innate lymphocytes, circulating in the body. During tissue inflammation, NK cells are recruited to tissues, e.g. gut, liver, and lung, and can produce inflammatory cytokines and exert cytotoxicity against abnormal cells. The recruitment of NK cells can promote tissue repair and host defense (Tosello-Tramont, Surette, Ewald, & Hahn, 2017). However, the role of a vitamin A-enriched environment in regulating NK cell-mediated immune responses remains unknown. Therefore, in this project, we investigated the impact of vitamin A on NK cell phenotype and effector functions.

### 5.1 *atRA* induces ILC1-like phenotype

NK cells exposed to all-*trans* retinoic acid (*atRA*) for 6-7 days, displayed a differential expression of multiple genes, which were involved in different metabolism pathways and NK cell effector functions (Figure 3.1). Furthermore, *atRA* altered the expression of molecules selected from the transcript analysis and metabolic activities of NK cells (Figure 3.2 and 3.3). Among them, several proteins expressed on the surface of liver ILC1s were detected on *atRA*-treated



NK cells. For instance, the increased expression of DNAM-1, CD200R, TRAIL, CD73, CD39, and LAG3 was observed on the surface of NK cells upon *atRA*-treatment. Furthermore, the transcription factor Eomes, selectively expressed by NK cells, but not by ILC1s (Tang et al., 2016), was downregulated in NK cells exposed to *atRA*. The expression of the activating receptor NKG2D and the adhesion molecule CD62L was reported to be lower on liver ILC1s, compared to circulating NK cells (Tang et al., 2016). In accordance, *atRA*-treated NK cells downregulated the transcript *Klrk1* and *Sell*, and the protein expression of NKG2D and CD62L on the cell surface.

ILC1s are known as helper ILCs, and NK cells are cytotoxic ILCs, which are able to eliminate infected cells and tumor cells (Vivier et al., 2018). It was reported that liver ILC1s expressed a lower amount of CD107a (a marker of degranulation) and IFN- $\gamma$  (an inflammatory cytokine), compared to NK cells, upon stimulation with PMA and Ionomycin. (Tang et al., 2016). Indeed, similar to the cytokine production of liver ILC1s, the production of IFN- $\gamma$  by NK cells upon *atRA*-treatment was downregulated in response to different stimuli, including cytokine activation, receptor-engagement, or co-culture with tumor cells (Figure 3.9 and 3.10). Our results showed that *atRA*-treated NK cells had an increased expression of *Csf2* (encoding GM-CSF) at mRNA level, as well as intracellular production of GM-CSF in the presence of CD4<sup>+</sup> T cells (Data not shown).

Taken together, these results demonstrate that NK cells conditioned by *atRA* share similar features with liver ILC1s. One possible explanation of why *atRA*-treated NK cells displayed similarities with liver ILC1s is the liver microenvironment, which is enriched with vitamin A metabolites. A main difference between NK cells and ILC1s is that NK cells are circulating, while ILC1s are tissue-resident. A recent study showed that liver ILC1s developed locally (L. Bai et al., 2021) and remained in the liver. Thus, ILC1s are constantly exposed to vitamin A metabolites, such as retinol and retinoic acid, because liver is the major storage organ of vitamin A. In steady-state, vitamin A absorbed in the small intestine is transported to the liver, metabolized and stored (Erkelens & Mebius, 2017). Therefore, the culture of NK cells in the presence of *atRA* could mimic the vitamin A-enriched liver microenvironment, enabling NK cells to show similar features as liver ILC1s.

It was also shown that a subset of NK cells, found in tumor microenvironment (TME), could acquire an intermediate ILC1-like phenotype (Gao et al., 2017). These intermediate ILC1-like NK cells expressed Eomes and CD49a. Concurrently, they expressed DNAM-1, TRAIL, CD69, and CD62L, which were expressed by tumor-infiltrating ILC1s. The TGF $\beta$  signaling pathway mediated the acquisition of the intermediate ILC1-like phenotype by NK cells. As NK cells exposed to *atRA* resembled the phenotype of intermediate ILC1-like NK cells, we hypothesized that NK cells might produce TGF $\beta$  in the presence of *atRA*, and TGF $\beta$  might regulate *atRA*-

induced reprogramming of NK cells in the autocrine manner. NK cells exposed to *atRA* expressed the increased amount of *Tgfb3* transcript (encoding TGF $\beta$ 3, which is a member of the TGF $\beta$  family). However, the pan-TGF $\beta$  neutralization did not change the phenotype of *atRA*-treated NK cells. These results indicate that even though *Tgfb3* expression was enhanced, either it might not result in the production of TGF $\beta$  or TGF $\beta$  was not involved in *atRA*-induced reprogramming in NK cells.

## 5.2 Regulatory features of *atRA*-treated NK cells

Previous studies demonstrated that vitamin A metabolites induced regulatory features in lymphocytes (Elias et al., 2008; Mucida et al., 2007; S. Xiao et al., 2008). For instance, CD4<sup>+</sup> T cells exposed to all-*trans* retinoic acid (*atRA*) *in vitro* displayed enhanced FoxP3 expression, which is commonly expressed by regulatory T (Treg) cells (Elias et al., 2008; Mucida et al., 2007). Besides, the expression of ROR $\gamma$ t, a transcription factor expressed by Type 17 helper T (T<sub>H</sub>17) cells, and IL-17, a pro-inflammatory cytokine, was reduced by these cells. Additionally, ILC2s, an ILC subset expressing the transcription factor GATA3, could produce IL-10 upon *atRA*-treatment in the presence of IL-2 and IL-33 (Morita et al., 2019). Based on previous findings, we hypothesized that *atRA* could promote regulatory features in NK cells.

Upon *atRA*-treatment, NK cells displayed features, which are observed in Treg cells, such as increased expression of *Ctla4* (encoding CTLA4) and *Tgfb3*. CTLA4 is a negative regulator of T lymphocyte activation. For instance, CTLA4 expressed on CD4<sup>+</sup> and CD8<sup>+</sup> T cells was involved in the inhibition of T cell proliferation and activation (McCoy & Le Gros, 1999). CTLA4 is also expressed on the cell surface of NK cells activated with IL-2. Upon CD80/CTLA4 engagement, IFN- $\gamma$  production by NK cells was decreased (Stojanovic, Fiegler, Brunner-Weinzierl, & Cerwenka, 2014). We detected the decreased IFN- $\gamma$  production by *atRA*-treated NK cells upon co-culture with BM-DCs, which express CD80. Although the expression of CTLA4 at protein level was not confirmed, the *Ctla4* expression might explain a lower IFN- $\gamma$  production by *atRA*-treated NK cells upon co-culture with BM-DCs. Furthermore, CD38 (NAD-hydrolase), which is expressed by Treg cells and crucial for the cell viability, phenotype, and function of Tregs in homeostasis and disease (X. Feng et al., 2017; Hubert et al., 2010), was upregulated in NK cells upon *atRA*-treatment. In the context of NK cell-mediated immune responses, CD38 is crucial for antibody-dependent cellular cytotoxicity (ADCC) (Lejeune et al., 2021; Naeimi Kararoudi et al., 2020). For example, studies showed that CD38 ligation with an agonistic monoclonal antibody increased the expression of MHC class II molecules and CD25 on NK cells, and induced the production of inflammatory cytokines by NK cells (Mallone et al., 2001). Thus, the role of CD38 in Treg cells and *atRA*-treated NK cells appeared to be different.

Another regulatory feature detected on *atRA*-treated NK cells was elevated cell surface expression of the nucleotide metabolizing enzymes, CD39 and CD73. CD39 (ecto-nucleoside triphosphate diphosphohydrolase) can convert adenosine triphosphate (ATP) to adenosine diphosphate (ADP) or adenosine monophosphate (AMP), and CD73 (ecto-5'-nucleotidase) can convert AMP to adenosine (Airas et al., 1997; Kaczmarek et al., 1996). Studies reported that FoxP3<sup>+</sup> Treg cells expressed CD39 and CD73 on the cell surface, and adenosine contributed to the inhibition of CD4<sup>+</sup> T cells in both human and mouse (Deaglio et al., 2007; Kobie et al., 2006; Mandapathil et al., 2010). Our results show that CD39 and CD73 expression on the cell surface of NK cells was upregulated upon *atRA*-treatment (Figure 3.2), indicating the increased potential ability of NK cells to metabolize adenosine. However, we could not detect adenosine in the supernatant collected during NK cell culture in the presence of *atRA* (Data not shown). Previous studies demonstrated ATP molecules had to be added in the cell culture to confirm whether cells can metabolize ATP to adenosine (Perrot et al., 2019; Schneider et al., 2021). Therefore, we cannot exclude that *atRA*-treated NK cells do not have the ability to metabolize ATP to adenosine.

IL-10, a pleiotropic cytokine, can be released by regulatory immune cells. It was reported that a vitamin A-enriched microenvironment and vitamin A-conditioned dendritic cells (DCs) could induce IL-10 production by lymphocytes (Bakdash, Vogelpoel, van Capel, Kapsenberg, & de Jong, 2015; Morita et al., 2019). However, we could not detect IL-10 production by NK cells upon *atRA*-treatment.

PPAR $\gamma$ , a nuclear receptor, plays an important role in inducing the Treg phenotype, such as FoxP3 expression, and in accumulation of Treg cells in adipose tissue (Cipolletta et al., 2012). In addition, the metabolism of Treg cells was regulated by PPAR $\gamma$ -mediated transcription (Field et al., 2020). Another study showed that the expression of fatty acid binding protein 5 (FABP5), a direct target gene of PPAR $\gamma$ , was higher in Treg cells, compared to naïve T cells, and FABP5 could regulate mitochondrial respiration and lipid metabolism of Treg cells (Kempkes, Joosten, Koenen, & He, 2019). Similarly, our results showed that NK cells had increased Pparg (encoding PPAR $\gamma$ ) expression upon *atRA*-treatment (Figure 3.4). Moreover, *atRA*-treated NK cells displayed increased mitochondrial respiration and showed no difference in glycolysis (Figure 3.3).

Taken together, we observed that vitamin A-treated NK cells displayed a unique regulatory transcriptomic, metabolic, and phenotypic profile resembling regulatory T cells. These data suggest that NK cells exposed to a vitamin A-enriched microenvironment in steady-state and during disease could negatively regulate immune responses.

### 5.3 PPAR $\gamma$ , a key mediator of *at*RA-induced NK cell phenotype?

Studies reported that RA could bind to nuclear receptors, such as retinoic acid receptors (RARs) and peroxisome proliferator-activated receptors (PPARs) (Repa, Hanson, & Clagett-Dame, 1993; Rieck, Meissner, Ries, Muller-Brusselbach, & Muller, 2008). More importantly, *at*RA was demonstrated to promote the production of Type 2 helper T (T<sub>H2</sub>) cytokine production by T<sub>H2</sub> cells and PBMCs (Dawson et al., 2008; Wansley et al., 2013). The blockade of the binding of RA to RARs could reverse *at*RA-induced features in different immune cells. For instance, the exposure to Ro 41-5253, which selectively inhibits the binding between RA and RAR $\alpha$ , reversed the increased T<sub>H2</sub> cytokine production by T<sub>H2</sub> cells and PBMCs (Dawson et al., 2008; Wansley et al., 2013). Additionally, T cells displayed increased expression of FoxP3 and CCR9 in the presence of *at*RA-shaped DCs, and the usage of LE135, which selectively inhibits the binding of RA to RAR $\alpha$  and RAR $\beta$ , could reduce their expression (T. Feng et al., 2010). Based on these findings, we hypothesized that RA-binding nuclear receptors might regulate *at*RA-induced NK cell phenotype.

We detected the *Rara* and *Rarg* expression in NK cells cultured in the presence of IL-2. Upon *at*RA-treatment, the expression of *Rara* and *Rarg* was downregulated (Figure 3.4). Based on the changes in mRNA expression, we added the selective antagonist of RAR $\alpha$  (Ro 41-5253) or RAR $\gamma$  (MM-11253) to NK cell culture in the presence of *at*RA. The inhibition of RAR $\alpha$  or RAR $\gamma$  did not affect the expression of CD200R and DNAM-1, which was increased in *at*RA-treated NK cell. These results imply that RAR $\alpha$  or RAR $\gamma$  do not mediate *at*RA-induced phenotype.

Next, we analyzed the expression of three isoforms of PPAR, which are PPAR $\alpha$ , PPAR $\beta/\delta$ , and PPAR $\gamma$ , as RARs can compete with PPARs to form a heterodimer with retinoic X receptor (RXR) (Szanto et al., 2004). In NK cells cultured in the presence of IL-2, mRNA expression of *Ppard* and *Pparg* was detected. *at*RA-treatment did not affect *Ppard* expression, while *Pparg* expression was upregulated. As we detected elevated *Pparg* expression in *at*RA-treated NK cell, we added the selective antagonist of PPAR $\gamma$  (T0070907) during NK cell culture in the presence of *at*RA. CD200R and DNAM-1 expression by NK cells was increased by *at*RA. Upon the inhibition of PPAR $\gamma$ , CD200R and DNAM-1 expression in *at*RA-treated NK cells was detected comparable to control NK cells. One explanation for observed effect might be the availability of the nuclear receptor RXR to form heterodimers with PPAR $\gamma$ . In *at*RA-treated NK cells, the expression of *Rxr* was unchanged, the expression of *Rara* and *Rarg* was downregulated, while the expression of *Pparg* was upregulated, compared to control NK cells. As RARs compete with PPARs to bind RXR, there might be more available PPAR $\gamma$  protein to bind RXR in *at*RA-treated NK cells. We hypothesized that PPAR $\gamma$  engages RXR protein, mediating the *at*RA-induced NK cell phenotype.

#### 5.4 The deletion of PPAR $\gamma$ in NKp46-expressing cells

To investigate the role of PPAR $\gamma$  in NK cells exposed to vitamin A metabolites, we generated PPAR $\gamma^{f/f}$ Ncr1<sup>iCreTg</sup> mice (PPAR $\gamma$  cKO) and used PPAR $\gamma^{f/f}$  mice (PPAR $\gamma$  flox) as a control. PPAR $\gamma$  cKO mice bear a conditional deletion of PPAR $\gamma$  in NKp46-expressing cells. NK cells and ILC1s are the main populations of NKp46-expressing cells found in vitamin A-enriched organs, such as liver and lung (Chelstowska et al., 2016; Kane et al., 2008). We hypothesized that the deletion of PPAR $\gamma$  would block vitamin A signaling in NK cells and ILC1s in these organs, which might result in phenotypic and/or functional changes of NK cells and ILC1s. Thus, we examined the phenotype of NK cells and ILC1s in livers and lungs (vitamin A-enriched organs), and spleen (an internal control organ, storing low amounts of vitamin A) in PPAR $\gamma$  cKO and PPAR $\gamma$  flox mice.

Spleen, as a secondary lymphoid organ, contains numerous immune cells and does not provide a vitamin A-enriched microenvironment. Our data showed that the spleen weight, the number and percentage of NK cells, and the phenotype of NK cells did not change in PPAR $\gamma$  cKO mice, compared to PPAR $\gamma$  flox mice (Figure 3.26). We hypothesized that vitamin A is abundant in lungs and livers, as we detected the high expression of genes involved in RA-receptors and RA-metabolism in lungs, and liver is a main storage of vitamin A metabolites. Our results revealed that organ weight, the number and phenotype of NK cells or ILC1s were comparable in the lungs of PPAR $\gamma$  cKO and PPAR $\gamma$  flox mice, as well as in the livers (Figure 3.27 and 3.28). Based on these data, we postulate followings: 1) the nuclear receptor PPAR $\gamma$  might not mediate the *at*RA-induced reprogramming of NKp46-expressing cells, 2) NK cells and ILC1s in such tissues might not be conditioned by vitamin A due to the low amount of vitamin A metabolites at a physiological level, compared to the concentration of *at*RA we used *in vitro*. Furthermore, as we analyzed the expression of a limited number of molecules, to establish an overview of alterations induced by the deletion of PPAR $\gamma$  in NKp46-expressing cells, transcriptome analysis would be required.

Furthermore, small intestine, a part of gastrointestinal tract constantly encountering precursors and metabolites of vitamin A (Erkelens & Mebius, 2017), were analyzed in PPAR $\gamma$  cKO and PPAR $\gamma$  flox mice (Figure 3.29 - 3.32). We observed that the weight and length of intestine, and the number of Peyer's patches were comparable in PPAR $\gamma$  cKO and PPAR $\gamma$  flox mice. Previous studies showed that the vitamin A regulated the distribution of ILCs in the gut (Goverse et al., 2016; Spencer et al., 2014). For instance, mice fed with vitamin A-deficient diet showed altered distribution of ILC subsets, such as a reduction in ILC3 number and an increase in ILC2 number, in the intestine (Spencer et al., 2014). In addition, the absence of vitamin A downregulated the percentage of ROR $\gamma$ t<sup>+</sup> NKp46<sup>-</sup> and ROR $\gamma$ t<sup>+</sup> NKp46<sup>+</sup> ILCs in the gut, and RA injection significantly increased the percentage of ROR $\gamma$ t<sup>+</sup> NKp46<sup>-</sup> cells (Goverse et al., 2016). Thus, we

expected that the genetic ablation of PPAR $\gamma$ , which potentially inhibits the vitamin A signaling, would result in a similar distribution of ILC subsets. However, surprisingly, the number of ILCs, which comprise ILC1s, ILC2s, ILC3s, NK cells, and CD127<sup>-</sup> ILCs, was higher in PPAR $\gamma$  cKO mice, compared to PPAR $\gamma$  flox mice. One explanation is that PPAR $\gamma$  is not involved in vitamin A signaling, thus, the deletion of PPAR $\gamma$  would affect NKp46-expressing cells differently than vitamin A deficiency. Another possibility is that the genetic ablation of PPAR $\gamma$  in NKp46-expressing cells might cause congenital changes in mice, while feeding of modified diet was executed for certain length of time. Besides, diet regimes might induce microenvironmental alterations by influencing other cells and microbiota, which might cause the reduction of ILC3s.

Previously, studies reported that PPAR $\gamma$  could play a role in cellular apoptosis. For instance, the absence of PPAR $\gamma$  resulted in diminished apoptosis of lymphocytes, including T cells and B cells, resulting in the increased proliferation of cells (Y. H. Liu et al., 2016; Schmidt et al., 2011). Apart from the role of PPAR $\gamma$  in regulating vitamin A signaling, the deletion of PPAR $\gamma$  in NKp46-expressing cells might reduce apoptosis, thus, we detected higher numbers of NKp46-expressing NK cells, ILC1s and ILC3s in the gut of PPAR $\gamma$  cKO mice, compared to PPAR $\gamma$  flox mice. Moreover, the numbers of ILCs, which do not express NKp46, were increased, indicating that extrinsic effects were induced by the altered microenvironment or interaction with other cells. Further investigations on apoptosis and proliferation of intestinal ILCs will be necessary, to understand the mechanism of increased numbers of intestinal ILCs in PPAR $\gamma$  cKO mice.

In addition, it was shown that the deletion of PPAR $\gamma$  in lymphocytes affected their immune responses. The genetic ablation of PPAR $\gamma$  or PPAR $\gamma$  blockade in ILC2s led to impaired production of type 2 cytokine (Ercolano et al., 2021; Q. Xiao et al., 2021). Furthermore, the deletion of PPAR $\gamma$  in T cells mediated cell activation and upregulated production of inflammatory cytokines, resulting in exacerbation of autoimmune diseases (Guri, Mohapatra, Horne, Hontecillas, & Bassaganya-Riera, 2010; H. J. Park et al., 2014). Therefore, research on the role of PPAR $\gamma$  in immune responses of NKp46-expressing cells is warranted.

To investigate whether PPAR $\gamma$  is a key regulator of *atRA*-induced reprogramming of NK cells, splenic NK cells, obtained from PPAR $\gamma$  cKO or PPAR $\gamma$  flox mice, were exposed to *atRA* for 7 days. Based on phenotypic changes in *atRA*-treated NK cells shown in Figure 3.2, we selected various proteins, such as DNAM-1, CD200R, TRAIL, RANKL, CD11b, CD73, CD39, CD38, CD62L, KLRG1, and IL-18R $\alpha$ , and analyzed their expression in NK cells. NK cells obtained from PPAR $\gamma$  flox mice showed *atRA*-induced phenotype, for example, increased expression of DNAM-1, CD200R, TRAIL, RANKL, CD11b, CD73, CD39 and CD38, and decreased expression of IL-18R $\alpha$  (Figure 3.33). NK cells obtained from PPAR $\gamma$  cKO mice showed similar

expression of these proteins on the surface upon *atRA*-treatment, compared to NK cells obtained from PPAR $\gamma$  flox mice. The data are opposed to the results, which showed that the treatment of selective PPAR $\gamma$  antagonist could regulate DNAM-1 and CD200R expression by NK cells upon *atRA*-treatment. Supposedly, despite the high selectivity, antagonists can bind to other receptors, resulting difference outcome compared to genetic ablation.

### 5.5 *atRA*-induced metabolic changes of NK cells

Previous studies demonstrated that vitamin A could regulate mitochondrial size, structure and membrane potential (Chidipi et al., 2021; Rigobello et al., 1999; Silva et al., 2013; Tourniaire et al., 2015). Furthermore, vitamin A metabolites or synthesized retinoid could affect cellular oxidative stress, mitochondrial functions, and oxidative phosphorylation of cells (H. J. Chiu, Fischman, & Hammerling, 2008; Seward, Vaughan, & Hove, 1966). Our transcriptomic data demonstrated that many of transcripts, which take part in metabolism, such as fatty acid degradation, pentose interconversion, and cytochrome P450 pathway, were up- or down-regulated in NK cells upon *atRA*-treatment (Figure 3.1). Thus, we hypothesized that the exposure to *atRA* might influence NK cell metabolism.

First, we observed that the size of the cells and mitochondrial mass decreased in NK cells upon *atRA*-treatment (Figure 3.3). Studies showed that upon activation, lymphocytes displayed an elevated mitochondrial membrane potential (Gergely, Grossman, et al., 2002; Gergely, Niland, et al., 2002; Surace et al., 2021). NK cells treated with *atRA* showed a decreased membrane potential, which might be an indicator of reduced function. Reactive oxygen species (ROS) production by NK cells were significantly decreased in the presence of *atRA*, which can be explained by the antioxidant role of vitamin A. It was reported that vitamin A could remove radicals by breaking chain reactions (Ahlemeyer et al., 2001; T. K. Hong & Lee-Kim, 2009; Jackson, Morgan, Werrbach-Perez, & Perez-Polo, 1991). This correlates with the upregulated expression of transcripts involved in ascorbate (Vitamin C) metabolism and cytochrome P450 pathway, as these pathways are important for antioxidant reactions (Brown & Borutaite, 2008).

Our data showed that NK cells exposed to *atRA* displayed enriched expression of fatty acid degradation-related transcripts. We postulate that this might be because vitamin A metabolites require lipoproteins in order to be transported in the aqueous environment (Goodman, Huang, & Shiratori, 1965; H. S. Huang & Goodman, 1965), which might be facilitated by the usage of lipids. Thus, we hypothesized that *atRA*-treated NK cells would increase fatty acid uptake to fulfill their functions. However, *atRA*-exposure did not affect fatty acid uptake by NK cells, which can potentially be explained by postulating that *atRA*-treated NK cells use intrinsic lipids produced by other metabolites. In addition, for analyzing fatty acid uptake, we used BODIPY™

FL C16, which can mimic long chain fatty acids. Therefore, uptake of short chain fatty acid and cholesterol has to be further investigated.

Furthermore, vitamin A could regulate the carbohydrate metabolic pathways by affecting enzyme activities in the liver (W. Chen & Chen, 2014). For example, glycolysis of hepatic cells was impaired by the deficiency of vitamin A, due to the reduced activity of glucokinase and hexokinase. However, in NK cells, we did not observe changes in glycolytic activities in the presence of *atRA*. The effect of vitamin A on mitochondrial respiration in immune cells is unknown. However, studies showed that excessive or insufficient amounts of vitamin A could impair the capacity of mitochondrial oxidative phosphorylation in the liver (Seward, Vaughan, & Hove, 1964; Seward et al., 1966). In our experimental settings, *atRA*-treatment increased oxidative phosphorylation (OXPHOS) of cultured NK cells. We also detected that the exposure to *atRA* elevated the enrichment score of glucuronate interconversion pathway, in which non-carbohydrate substrates, such as amino acid or fat, are utilized to generate glucose (Exton, 1972). Moreover, vitamin A was reported to modulate amino acid metabolism (W. Chen & Chen, 2014) Hence, future investigation of protein metabolism in *atRA*-treated NK cells is warranted.

PPAR $\gamma$  is a nuclear receptor that modulates lipid metabolism and adipogenesis (Ahmadian et al., 2013). Studies showed that PPAR $\gamma$  could regulate the uptake of lipid into T cells in an mTOR-dependent manner (Angela et al., 2016), and the deletion of PPAR $\gamma$ -coactivator-1 $\alpha$  (PGC-1 $\alpha$ ) in NK cells altered their metabolism and effector functions (Gerbec et al., 2020). For example, OXPHOS of NK cells was impaired in the absence of PGC-1 $\alpha$ . Thus, we hypothesized that the absence of PPAR $\gamma$  in NK cells might induce metabolic changes. PPAR $\gamma$  cKO NK cells exposed to IL-2 showed comparable glycolysis and OXPHOS, compared to PPAR $\gamma$  flox NK cells. However, when cells were treated with FCCP, which induces the release of uncoupled protons through the inner mitochondrial membrane, PPAR $\gamma$  cKO NK cells displayed low glycolytic activity and OXPHOS, compared to PPAR $\gamma$  flox NK cells (Figure 3.35). Upon FCCP-treatment, PPAR $\gamma$  cKO NK cells remained metabolically quiescent with utilizing low glycolysis and OXPHOS. On the contrary, PPAR $\gamma$  flox NK cells showed the maximal oxidative respiration upon FCCP-treatment. After the treatment with Rotenone/Antimycin A, which inhibits the activities of complex I and IV, PPAR $\gamma$  cKO NK cells used glycolysis to generate energy, but the activity of OXPHOS remained very low. Previously, agonists of PPAR $\gamma$ , such as thiazolidinedione and pioglitazone, were shown to elevate mitochondrial oxidative respiration and to prevent the loss of mitochondrial membrane potential (Corona & Duchon, 2016), indicating the importance of PPAR $\gamma$  in mitochondrial metabolism. However, in our study, the role of PPAR $\gamma$  in the metabolism of immune cells was not extensively studied, thus, the further understanding of these aspects is required.



## 5.6 *atRA* regulates NK cell effector functions

### 5.6.1 Cytokine production by *atRA*-treated NK cells

The effects of vitamin A on NK cell effector functions remains unclear. Studies showed that *atRA* could promote NK cell cytotoxicity, by elevating the ligand expression on target cells (Grudzien & Rapak, 2018). For example, *atRA* induces retinoic acid early inducible (RAE-1) expression on embryonic carcinoma cell line F9 (Nomura, Takihara, & Shimada, 1994), which triggers NK cell cytotoxicity via engagement with NKG2D (Cerwenka et al., 2000). However, other studies demonstrated that *atRA* directly impaired effector functions of NK cells. For instance, after 5 days of exposure to *atRA*, NK cells showed impaired cytolytic activities, due to downregulated expression of cathepsin C, which regulates the production of granzyme (Sanchez-Martinez et al., 2014). To elucidate the direct impact of vitamin A on NK cell effector functions, we performed functional assays with NK cells exposed to *atRA*.

NK cell activation can be induced by various mechanisms of interaction with target cells. Thus, we co-cultured NK cells and tumor cells, including YAC-1 lymphoma cells, RMA-S lymphoma cells, or B16 melanoma cells, which can differentially activate NK cells. YAC-1 lymphoma cells were reported to express ligands of NKG2D, such as Rae-1 and MULT-1 (Ogawa et al., 2011). The engagement of NKG2D and these ligands can facilitate NK cell cytotoxicity and cytokine production. B16 melanoma cells express CD155, a ligand of DNAM-1, and the interaction between CD155 and DNAM-1 was required for anti-metastasis activities of NK cells (Chan et al., 2010). RMA-S lymphoma cells is a subline of RMA, which can trigger NK cell cytotoxicity due to low MHC class I surface expression (Karre, Ljunggren, Piontek, & Kiessling, 1986). Our data showed that NK cells exposed to *atRA* produced lower amounts of IFN- $\gamma$  upon stimulation with different tumor cells (Figure 3.10). The results indicate that differentially regulated expression of the activating receptors DNAM-1 and NKG2D by *atRA*-treatment did not affect the amounts of cytokine, and implies that the downstream signal of cytokine production in NK cells might be regulated by *atRA*. In accordance, *atRA*-treated NK cells released less amounts of IFN- $\gamma$  in response to cytokine receptor-activation or activating NK cell receptor-engagement (Figure 3.9). We observed that the expression of genes involved in NF $\kappa$ B signaling pathway were enriched in *atRA*-treated NK cells (Figure 3.1), which are important for the development, proliferation and cytokine production of NK cells (Hayden & Ghosh, 2011). Indeed, upon stimulation with IL-18, a pro-inflammatory cytokine, the expression of I $\kappa$ B $\zeta$ , which is a mediator of NF $\kappa$ B transcriptional programs, was downregulated in *atRA*-treated NK cells.

Upon co-culture with tumor cells, NK cells expressed CD107a, indicating degranulation of cells, and the degranulation of *atRA*-treated NK cells was higher compared to control NK cells. The degranulating subset of NK cells did not produce with IFN- $\gamma$ , indicating that CD107a<sup>+</sup> NK

cells might release other secretory molecules upon degranulation. Thus, we hypothesized that *atRA*-treatment might reprogram the cytokine profile of NK cells. Our data showed that the relative mRNA expression of several inflammatory cytokines was upregulated in *atRA*-treated NK cells (Figure 3.11). For instance, *Csf2* (encoding GM-CSF), and *Tnfsf10* (encoding TRAIL), and *Tnfsf11* (encoding RANKL) were increased. Additionally, the expression of genes that encode chemokines, such as *Ccl5* and *Ccl1*, was increased. It was unexpected that *atRA*-treatment upregulated the mRNA expression of pro-inflammatory cytokines upon activating NK cell receptor-engagement, as vitamin A was reported to induce regulatory phenotypes of immune cells (Elias et al., 2008; Mucida et al., 2007; S. Xiao et al., 2008). It has to be further confirmed whether the altered mRNA expression could lead to the production of protein.

### 5.6.2 Crosstalk between *atRA*-treated NK cells and dendritic cells

NK cells, a part of the innate immune system, can regulate the adaptive immune responses via the crosstalk with dendritic cells (DCs). The crosstalk between NK cells and DCs can lead to NK cell activation, DC maturation or DC apoptosis (Walzer et al., 2005). For instance, pro-inflammatory cytokines derived from DCs, such as IL-12 and IL-18, can enhance IFN- $\gamma$  production and lytic activity of NK cells (Borg et al., 2004; Gerosa et al., 2002; Yu et al., 2001). The production of IFN- $\gamma$  and TNF- $\alpha$  by NK cells can induce the maturation of immature DCs, including increased expression of CD83, CD86 and MHC class I molecules (Piccioli et al., 2002; Vitale et al., 2005).

At a high ratio of NK to DCs, NK cells were shown to eliminate immature DCs (Ferlazzo et al., 2002; Piccioli et al., 2002), and the killing of DCs was mediated via a DNAM-1/CD155 axis or TRAIL-pathway (Hayakawa et al., 2004; Seth et al., 2009). Our results showed that the expression of several molecules, which play important roles in the interaction of NK cells and DCs, were altered in NK cells upon *atRA*-treatment. For instance, IFN- $\gamma$  production was decreased, and TRAIL, DNAM-1, and GM-CSF expression was increased in *atRA*-treated NK cells. Therefore, we hypothesized that *atRA*-induced reprogramming might affect the crosstalk between NK cells and DCs.

Our data illustrate that control NK cells could enhance the expression of co-stimulatory molecules, such as CD80, CD86, and MHC class I molecules, on bone marrow-derived dendritic cells (BM-DCs) (Figure 3.13 and 3.14), indicating the maturation of DCs. However, *atRA*-treatment reduced the ability of NK cells to induce the maturation of BM-DCs. Co-stimulatory molecules are essential for antigen presentation of DCs and further T cell priming, thus, immature DCs with low expression of these molecules are considered less immunogenic or tolerogenic DCs (Ferlazzo & Morandi, 2014). We observed that the maturation of BM-DCs was mediated by IFN- $\gamma$  secreted by NK cells, and due to a diminished production of IFN- $\gamma$ , the maturation of

DCs induced by *atfRA*-treated NK cells was lower than the maturation induced by control NK cells. Cytokines released by DCs, such as IL-12 and IL-18, can induce NK cell activation and cytokine production (Ferlazzo & Morandi, 2014). Upon stimulation with IL-12, the amount of IFN- $\gamma$  produced by control NK cells and *atfRA*-treated NK cells was comparable; on the other hand, upon stimulation with IL-18, *atfRA*-treated NK cells produced lower amounts of IFN- $\gamma$ . To understand the reciprocal interaction between BM-DCs and *atfRA*-treated NK cells, more research on the mechanism mediating IFN- $\gamma$  production by NK cells is needed.

In addition, our data showed that *atfRA*-treated NK cells displayed increased expression of DNAM-1 and TRAIL, thus, we expected that the elimination of DCs by *atfRA*-treated NK cells might be enhanced. However, *atfRA*-treated NK cells displayed a reduced ability to eliminate immature DCs. Together, NK cells exposed to a vitamin A-enriched microenvironment might impair inflammatory responses.

### 5.6.3 Interaction between *atfRA*-treated NK cells and T cells

The dual role of NK cells in T cell differentiation, proliferation and effector functions was largely explored. First, NK cells were shown to affect the functions and differentiation of T cells via crosstalk with DCs. For instance, DCs matured by activated NK cells could promote the proliferation of CD4<sup>+</sup> T cells, which was comparable to DCs-exposed to LPS (Gerosa et al., 2002). Previous studies showed that pro-inflammatory-cytokine-activated NK cells could support the differentiation of T<sub>H</sub>1 cells and their IFN- $\gamma$  production. Monocyte-derived DCs (MoDCs) that were co-cultured with IL-2- or IL-12-stimulated NK cells could induce IFN- $\gamma$ -producing T cells (Agaugue, Marcenaro, Ferranti, Moretta, & Moretta, 2008). In lymphoid organs, the recruitment and activation of NK cells was required for T<sub>H</sub>1 polarization and proliferation (Martin-Fontecha et al., 2004; Morandi et al., 2006). NK cells exposed to TGF- $\beta$  induced inhibition of T<sub>H</sub>1 polarization and IFN- $\gamma$  production by T cells (Laouar et al., 2005).

On the contrary, NK cells were reported to inhibit T cell responses by eliminating T cells. In murine cytomegalovirus (MCMV) and lymphocytic choriomeningitis virus (LCMV) infection, NK cells eliminated CD4<sup>+</sup> T cells and CD8<sup>+</sup> T cells via TRAIL-induced apoptosis (Schuster et al., 2014), and limited the development of CD8<sup>+</sup> T cells via NKG2D-engagement (Lang et al., 2012). Moreover, the engagement of receptor 2B4 on NK cells could inhibit NK cell activation, and 2B4-deficient NK cells lysed activated CD8<sup>+</sup> T cells in a perforin-dependent manner (Waggoner et al., 2010). In homeostasis, NK cells and T cells are found in vitamin A-enriched tissues, and they can be further re-located to the tissues during inflammation. As several proteins involved in the interaction between NK cells and T cells were up- or down-regulated in NK cells upon *atfRA*-treatment, such as NKG2D, TRAIL and IFN- $\gamma$ , we hypothesize that *atfRA* might affect NK cells and T cells interaction. To investigate the role of *atfRA*-treated NK cells in CD4<sup>+</sup> T cell

differentiation, we co-cultured these NK cells with naïve CD4<sup>+</sup> T cells in different T cell-polarizing conditions.

We expected that control NK cells might support T<sub>H</sub>1 differentiation, and that *at*RA-treated NK cells could not do so, due to a reduced production of IFN- $\gamma$ . However, T<sub>H</sub>1 differentiation and IFN- $\gamma$  production by T cells were affected neither by the presence of control NK cells nor of *at*RA-treated NK cells (Figure 3.17) *at*RA-treated NK cells produced a similar amount of IFN- $\gamma$  compared to control NK cells in response to IL-12, but not IL-18 (Figure 3.9), and T<sub>H</sub>1-polarizing condition included IL-2 and IL-12. Hence, the support of T<sub>H</sub>1 differentiation mediated by IFN- $\gamma$  is comparable upon co-culture with control NK cells or *at*RA-treated NK cells.

Our data revealed that *at*RA-treated NK cells could upregulate or maintain the FoxP3 expression, a transcription factor expressed by regulatory T (Treg) cells, in a contact-independent manner (Figure 3.18 and 3.19). Furthermore, both control NK cells and *at*RA-treated NK cells inhibited ROR $\gamma$ t expression in T cells in the T<sub>H</sub>17-polarizing condition. We aimed to elucidate, which soluble factor produced by *at*RA-treated NK cells could regulate FoxP3 expression of T cells. The first candidate was adenosine, as *at*RA-treated NK cells displayed increased adenosine ecto-enzyme expression, such as CD73, CD39 and CD38, which implies a potential production of adenosine. Adenosine was reported to modulate the activation and responses of Treg cells (S. R. Ma et al., 2017; Martinez-Navio et al., 2011; Ring, Oliver, Cronstein, Enk, & Mahnke, 2009). The second candidate was IL-10, as the differentiation of Treg cells is supported by IL-10 (Hsu et al., 2015), and it was reported that *at*RA converted human ILC2s to IL-10-producing ILCs *in vitro* (Morita et al., 2019). The third and fourth candidates were chemokines CCL1 and CCL5. Studies showed that CCL1 and CCL5 played an important role in the recruitment of Treg cells to the gut and tumor microenvironment (TME) (Kuehnemuth et al., 2018; Tan et al., 2009; X. Wang et al., 2017). We detected increased *Ccl1* and *Ccl5* relative mRNA expression in *at*RA-treated NK cells. We inhibited the function of four candidates as outlined above by using antibodies or antagonists; however, this did not result in differential FoxP3 expression in T cells (Figure 3.20)

Although we could not decipher which molecule supported FoxP3 expression on T cells upon co-culture with *at*RA-treated NK cells, we observed that the proliferation of FoxP3-expressing T cells was enhanced in the presence of *at*RA-treated NK cells (Figure 3.19). As IL-2 was reported to support expansion of Treg cells (Fontenot, Rasmussen, Gavin, & Rudensky, 2005; Zorn et al., 2006), we postulated that the affinity of the IL-2 receptors (IL-2R) on T cells could support FoxP3 expression and proliferation. Furthermore, studies indicated that CD25<sup>+</sup>CD4<sup>+</sup> Treg cells competed with other types of T cells for IL-2, due to the high affinity of IL-2R on Treg cells (Barthlott et al., 2005; Busse et al., 2010; Salinas, Olguin, Castellanos, & Saavedra, 2014). As both NK cells and T cells express IL-2 receptors and respond to IL-2 (Farrar,

Johnson, & Farrar, 1981; Handa, Suzuki, Matsui, Shimizu, & Kumagai, 1983; Wagner et al., 1980), the competition between T cells and *atRA*-treated NK cells might regulate the differentiation of Treg cells. Accordingly, the expression of IL-2 receptors and the downstream signal of IL-2, such as signal transducer and activator of transcription-5 (STAT5) should be further studied.

The balance between pro-inflammatory T<sub>H</sub>17 cells and negative immune regulator Treg cells is important for the tissue homeostasis and immunity to infections. It was demonstrated that different combinations of cytokines could modulate the T<sub>H</sub>17/Treg balance (Deknuydt, Bioley, Valmori, & Ayyoub, 2009; Koenen et al., 2008; Yang et al., 2008). In gut, intestinal microbiota-derived short chain fatty acid support the differentiation and homeostasis of Treg cells (Arpaia et al., 2013; Furusawa et al., 2013; P. M. Smith et al., 2013). During yeast infection and in inflammatory bowel disease, IL-17A production by FoxP3-expressing Treg cells was observed in the lamina propria and in the intraepithelial area (Bhaskaran, Cohen, Zhang, Weinberg, & Pandiyan, 2015), indicating the conversion between inflammatory and regulatory features of T cells. Based on our NK cells/T cells co-culture assays, we anticipate that NK cells could play an important role in regulating balance between T<sub>H</sub>17/Treg in vitamin A-enriched microenvironments.

### 5.7 Physiological relevance of *atRA*-treated NK cells

We showed that *atRA* reprogramed NK cells during *in vitro* culture, for example, inducing phenotypic changes, affecting metabolism, and altering effector functions. To find the physiological relevance of our findings, we examined the phenotype of NK cells in tissues, enriched with vitamin A.

In 8-16 week-old mice, the average physiological concentrations of retinol are 560 nmol/g in liver, 1.2 nmol/g in spleen, and 0.22 nmol/g in serum; the physiological concentrations of *atRA* are 15 pmol/g in liver and 4 pmol/mL (=4 nM) in serum (Kane et al., 2008; Obrochta, Kane, & Napoli, 2014). In murine spleens, NK cells did not display an *atRA*-induced phenotype. In murine livers and lungs, where abundant vitamin A metabolites are found, we also did not observe NK cells displaying *atRA*-induced phenotype. We postulated that *atRA*-exposure *in vitro* might be not comparable to the physiological concentrations of *atRA*, as we cultured murine NK cells in the presence of 1  $\mu$ M of *atRA* for 7 days. Another reason is that NK cells in organs might be exposed to dynamic changes of microenvironment, compared to NK cells cultured *in vitro*. This might explain that one micronutrient could not modulate NK cell features in steady-state.

In addition to homeostatic conditions, RA signaling is demonstrated to affect metabolic disorders and non-alcoholic fatty liver disease (NAFLD). Studies demonstrated that patients with

metabolic disorders and NAFLD showed significantly lower RA concentration in the serum, compared to healthy individuals (Godala et al., 2017; Y. Liu et al., 2016; Y. Liu et al., 2015). On the other hand, one study reported that the concentration of RA in livers was upregulated, and the concentrations of retinol and retinaldehyde in livers were downregulated in mice with liver cirrhosis (Natarajan et al., 2005). Multiple studies reported that *atRA*-treatment alleviated the symptoms of liver fibrosis and hepatitis via modulating the collagen production by hepatic stellate cells (HSCs),  $\gamma\delta$ T cell and NKT cell responses, and TGF $\beta$  production (Hisamori et al., 2008; Jie et al., 2017; K. A. Lee et al., 2012; Seguin-Devaux et al., 2005). Mouse injected with Con A displayed liver damage, and RA injection alleviated the damage via inhibiting effector functions of NKT cells (K. A. Lee et al., 2012). In liver disease stated above, NK cells are recruited to liver and serve important a role in immune responses. For instance, NK cells alleviated liver fibrosis by exerting cytotoxicity against hepatocytes and HSCs via TRAIL-, FasL- or NKG2D-pathway (Y. Chen et al., 2007; Cheng et al., 2011; Dunn et al., 2007; Lassen et al., 2010; Ochi et al., 2004; Radaeva et al., 2007; Stegmann et al., 2010; Zou et al., 2010). In addition, we observed that a vitamin A could modulate expression of cell surface proteins and production of cytokines of NK cells. These differentially regulated molecules play important roles in NK cell functions upon liver diseases. Therefore, it is required to investigate whether vitamin A-treatment for liver diseases could modulate NK cell activities in future studies.

A recent study demonstrated that sarcoma tissues were enriched with *atRA*, resulting in the differentiation of immunosuppressive myeloid cells (Devalaraja et al., 2020). Together with myeloid cells in TME, lymphocytes, such as NK cells, can perform anti-tumor activities by recognizing and killing tumor cells. Thus, it is essential to elucidate effector functions and anti-tumor activities of NK cells in the vitamin A-enriched TME. However, in our experimental settings, fibrosarcoma tissues did not express higher amounts of the retinoic acid-metabolizing enzyme, Raldh, compared to other types of tumor tissues (Figure 3.22).

In contrast to the immunosuppressive effect of vitamin A on immune cells in the TME, studies suggested anti-tumor effects of vitamin A metabolites. For example, it was reported that *atRA* could suppress the cell growth of breast cancer, prostate cancer, and lung cancer cells by inducing apoptosis of tumor cells *in vitro* and *in vivo* (Koshiuka et al., 2000; Manna & Aggarwal, 2000; Niu, Menard, Reed, Krajewski, & Pratt, 2001; S. Y. Sun, Wan, Yue, Hong, & Lotan, 2000; Q. Wang, Yang, Uytingco, Christakos, & Wieder, 2000). These results provide potential use of vitamin A as an anti-cancer treatment. Large trials conducted in Europe, Japan and the United States, have concluded that the administration of  $\beta$ -carotene, a precursor of vitamin A, does not have beneficial effects for cancer-patients (Miller, 1998). On the contrary, retinoids were shown to suppress carcinogenesis (Lotan, 1996). Additionally, chronic administration of high concentrations of retinoids induced toxicity, resulting in anorexia, fever, alopecia, or joint pain

(Chytil, 1984). Therefore, further studies have to be conducted to understand the clinical impact of vitamin A metabolites on anti-tumor effects.

In co-culture assays, *atRA*-reprogrammed NK cells induced immaturities of DCs and supported the differentiation and proliferation of Treg cells, which might result in tolerogenic immune responses. This might not be beneficial for immune responses, where anti-tumor or anti-viral activities are required. However, in autoimmune diseases or hypersensitivity, where immune cells are over-activated, the impact of *atRA* on NK cells might contribute to therapeutic effects. Studies illustrated that *atRA*-treatment supported Treg cells to suppress IFN- $\gamma$  production in patients with type 1 diabetes (Van et al., 2009; Y. Wang, Zhong, Wang, Xing, & Wang, 2016). Furthermore, *atRA* was found to alleviate the intestinal inflammation in colitis (A. Bai et al., 2010; K. Hong et al., 2014) and the adenomatous polyposis models (Penny et al., 2016). As *atRA*-treated NK cells promoted Treg cells, we anticipate that NK cells exposed to and shaped by vitamin A metabolites might play a protective role in autoimmune diseases.

In conclusion, we demonstrated that *atRA*, a prevalent vitamin A metabolite, could induce the transcriptional, phenotypical and functional reprogramming of NK cells *in vitro*. It is difficult to relate experimental results directly to the physiological settings in steady-state due to the high concentration of *atRA* used in *in vitro* studies. However, numerous studies illustrated that RA-signaling could regulate immune responses in liver disease, cancer and autoimmune disease. Thus, the understanding of how vitamin A can influence NK cell-mediated immune responses in these diseases will broaden the knowledge of the effect of essential nutrients on the immune system function.

## 6 SUMMARY

Vitamin A, a fat-soluble micronutrient, plays an indispensable role in embryogenesis and development, and was also reported to regulate immune responses. In mammals, Vitamin A-enriched tissues, such as liver, gut or fat, comprise various immune cells. NK cells, circulating innate lymphocytes, are frequently recruited to tissues during inflammatory responses. NK cells contribute to tissue homeostasis by eliminating abnormal cells and modulating immune responses. However, the influence of vitamin A in regulating NK cell-mediated immune responses remains unclear.

Here, we investigated the effect of the vitamin A metabolite, all-*trans* retinoic acid (*atRA*) on murine NK cells. We showed that *atRA* induced transcriptional and phenotypic reprogramming of NK cells, depicted as altered expression of transcription factors, receptors, adhesion molecules and metabolizing-enzymes. In addition, *atRA* altered effector functions of NK cells, which led to a reduced production of inflammatory cytokines, such as interferon gamma (IFN- $\gamma$ ), in response to various stimuli. Our data revealed that *atRA* reduced ability of NK cells to induce maturation of dendritic cells (DCs) or to remove immature DCs, resulting in an increased number of immature antigen-presenting cells. NK cells treated with *atRA* supported FoxP3 expression and proliferation of regulatory T cells, promoting immune-regulatory microenvironment.

Furthermore, *atRA* altered mitochondrial fitness and metabolisms of NK cells by enhancing mitochondrial respiration. Peroxisome proliferator-activated receptor gamma (PPAR $\gamma$ ), a nuclear receptor, was upregulated by NK cells upon exposure to *atRA*. The conditional deletion of PPAR $\gamma$  in NK cells caused impaired glycolysis and mitochondrial respiration, and the malfunction of metabolism of PPAR $\gamma$ -deficient NK cells could be rescued by *atRA*.

In summary, we identify a novel role of vitamin A in shaping molecular and functional characteristics of NK cells. We demonstrate regulatory functions of vitamin A-exposed NK cells and their ability to regulate other immune cells, which might contribute to tolerogenic immune responses.





## 7 CURRICULUM VITAE AND PUBLICATIONS

### PERSONAL INFORMATION

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## PUBLICATION

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H Lou, J Lu, EB Choi, MH Oh, **M Jeong**, S Barmettler, Z Zhu, T Zheng, “Expression of IL-22 in the skin causes Th2-biased immunity, epidermal barrier dysfunction, and pruritus via stimulating epithelial Th2 cytokines and the GRP pathway“, *Journal of Immunology* (2017)

**M Jeong**, DS Lee, HJ Suh, Y Park, “*Aspergillus oryzae* fermented germinated soybean extract alleviates perimenopausal symptoms in ovariectomised rats”, *Journal of the Science of Food and Agriculture* (2016)

K Hong, **M Jeong**, KS Han, JH Kim, Y Park, HJ Suh, “Photoprotective effects of galacto-oligosaccharide and/or *Bifidobacterium longum* supplementation against skin damage induced by ultraviolet irradiation in hairless mice”, *International Journal of Food Sciences and Nutrition* (2015)

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## ABBREVIATIONS

7AAD: 7-aminoactinomycin D  
ACK: Ammonium-chloride-potassium lysis buffer  
ADCC: Antibody-dependent cellular cytotoxicity  
ADH: Alcohol dehydrogenase  
ADP: Adenosine diphosphate  
AF: Alexa flour  
AHR: Aryl hydrocarbon receptor  
AID: Activation-induced cytidine deaminase  
AMP: Adenosine monophosphate  
AntiA: Antimycin A  
APC: Allophycocyanin  
APC-Cy7: Allophycocyanin conjugated with cyanine7  
APCs: Antigen-presenting cells  
ARAT: Acyl-CoA retinol acyltransferase  
ATP: Adenosine triphosphate  
*atRA*: all-*trans* retinoic acid  
BAT3: HLA-B associated transcript 3  
BCR: B cell receptor  
BM-DCs: Bone marrow derived dendritic cells  
BSA: Bovine serum albumin  
BUV: Brilliant Ultraviolet™  
BV: Brilliant Violet™  
CCl<sub>4</sub>: Carbon tetrachloride  
CCL: C-C motif chemokine ligand  
CCR: C-C motif chemokine receptor  
CD: Cluster of differentiation  
CD200R: CD200 receptor  
cDNA: complementary DNA  
CILP: Common ILC progenitor  
CHILP: Common helper-like ILC progenitor  
CLP: Common lymphoid progenitor  
CLRs: C-type lectin receptors  
cNK: conventional NK cells  
CRABP: Cellular retinoic acid-binding protein  
CSF: Colony-stimulating factor

CT: Cycle threshold  
CTLA-4: Cytotoxic T-lymphocyte-associated protein 4  
CXCL10: CXC motif chemokine ligand 10  
CYP26: Cytochrome P450 family 26  
DAMPs: Damage-associated molecular patterns  
DCs: Dendritic cells  
DMEM: Dulbecco's modified Eagle Medium  
DMSO: Dimethylsulphoxide  
DNA: Deoxyribonucleic acid  
DNAM-1: DNAX accessory molecule-1  
DR: Death receptor  
dsRNA: double-stranded RNA  
ECAR: Extracellular acidification rate  
ELISA: Enzyme-linked immunosorbent assay  
Eomes: Eomesodermin  
ES: Enrichment score  
FABP: Fatty acid-binding protein  
FACS: Fluorescence-activated cell sorting  
FasL: Fas ligand  
FCCP: Carbonyl cyanide-p-trifluoromethoxyphenylhydrazone  
FcRIIIA (CD16A): Fc gamma receptor III a  
FSC-A: Forward scatter area  
FDR: False discovery rate  
FITC: Fluorescein isothiocyanate  
Flox: Flanked by LoxP  
FoxP3: Forkhead box P3  
g: relative centrifuge force ( $g = 9.81 \text{ m/s}^2$ )  
 $\alpha$ GalCer: alpha-Galactosylceramide  
GARP: glycoprotein-A repetitions predominant  
GATA3: GATA-binding protein 3  
G-CSF: Granulocyte colony-stimulating factor  
GM-CSF: Granulocyte-macrophage colony-stimulating factor  
Gpi1: Glucose-6-phosphate isomerase 1  
GSEA: Gene set enrichment analysis  
H-2D: Histocompatibility 2, D region  
H-2K: Histocompatibility 2, K region  
H-2M: Histocompatibility 2, M region

H60: Histocompatibility 60  
HA: Hemagglutinin  
HCMV: Human Cytomegalovirus  
HGF: Hepatocyte growth factor  
HLA: Human leukocyte antigen  
HN: Hemagglutinin-neuraminidase  
Id2: Inhibitor of DNA-binding 2  
iDCs: Immature dendritic cells  
IFN: Interferon  
Ig: Immunoglobulin  
IkB $\zeta$ : Inhibitor of nuclear factor kappa B zeta  
IKK: Inhibitory- $\kappa$ B Kinase  
IL: Interleukin  
IL-2R: IL-2 receptor  
IL-18R $\alpha$ : IL-18 receptor alpha  
ILCs: Innate lymphoid cells  
intILCs: Intermediate innate lymphoid cells  
IRBP: Interphotoreceptor retinoid-binding protein  
IRF8: Interferon regulatory factor 8  
KEGG: Kyoto encyclopedia of genes and genomes  
KLRG1: Killer cell lectin-like receptor G1  
Klrp1c: Killer cell lectin-like receptor subfamily B member 1C  
KIR: Killer cell immunoglobulin-like receptor  
KO: Knockout  
LAMP1 (CD107a): Lysosomal-associated membrane protein 1  
LAMP2 (CD107b): Lysosomal-associated membrane protein 2  
LPS: Lipopolysaccharide  
LRAT: Lecithin retinol acyltransferase  
LTi: Lymphoid tissue-inducer  
MAC: Membrane attack complex  
MACS: Magnetic-activated cell sorting  
MBL: Mannose-binding lectin  
MCMV: Mouse cytomegalovirus  
M-CSF: Macrophage colony-stimulating factor  
MDDCs: Monocyte-derived dendritic cells  
MFI: Mean fluorescence intensity  
MHC: Major histocompatibility complex

MIC-A: MHC class I polypeptide-related sequence A  
MIC-B: MHC class I polypeptide-related sequence B  
MIF: Macrophage migration inhibitory factor  
min: Minute(s)  
mLN: Mesenteric lymph node  
mNK: Mature natural killer cells  
mTOR: Mammalian target of rapamycin  
MULT1: Murine UL16-binding protein-like transcript  
MyD88: Myeloid differentiation primary response 88  
2-NBDG: 2-(N-(7-Nitrobenz-2-oxa-1,3-diazol-4-yl)Amino)-2-Deoxyglucose  
NCR: Natural cytotoxicity triggering  
n.d. Not detected  
NES: Normalized enrichment score  
NFIL3: Nuclear Factor, interleukin 3 regulated  
NF $\kappa$ B: Nuclear factor kappa-light-chain-enhancer of activated B cells  
NK cells: Natural killer cells  
NKG: Natural Killer Group 2  
NKP: NK lineage progenitor  
NLR: NOD-like receptors  
NOD: Nucleotide oligomerization domain  
NOM P val: Nominal p-value  
OCR: Oxygen consumption rate  
Oligo: Oligomycin  
OSM: Oncostatin M  
OXPHOS: Oxidative phosphorylation  
PAMPs: Pathogen-associated molecular patterns  
PBS: Phosphate-buffered saline  
PCA: Principal component analysis  
PCCM: Primary cell culture media  
PCNA: Proliferating cell nuclear antigen  
PE: Phycoerythrin  
PE-Cy7: Phycoerythrin conjugated with cyanine 7  
PerCP-Cy5: Peridinin-chlorophyll protein complex conjugated with cyanine 5  
PGC-1 $\alpha$ : PPAR $\gamma$ -coactivator-1 $\alpha$   
PHx: Partial hepatectomy  
PMA: Phorbol 12-myristate 13-acetate  
PPAR: Peroxisome proliferator-activated receptor

PPAR $\gamma$  cKO: Ncr1<sup>CreTg</sup> PPAR $\gamma$ <sup>ff</sup> mouse  
PPAR $\gamma$  flox: PPAR $\gamma$ <sup>ff</sup> mouse  
PRRs: Pattern recognition receptors  
p-value: Probability value  
RALDH: Retinal dehydrogenase  
RAE-1: Retinoic acid early transcript 1  
RAG: Recombination-activated genes  
RALDH: Retinaldehyde dehydrogenase  
RANKL: Receptor activator of nuclear factor kappa-B ligand  
RA: Retinoic acid  
RAR: Retinoic acid receptor  
RBP: Retinol-binding protein  
RIG-I: Retinoic acid-inducible gene I  
RIN: RNA integrity number  
RLR: RIG-I-like receptors  
RNA: Ribonucleic acid  
ROR: RAR-related orphan receptor  
ROS: Reactive oxygen species  
Rot: Rotenine  
Rpm: Revolutions per minute  
RPMI: Roswell Park Memorial Institute  
RT: Room temperature  
RT-PCR: Real time polymerase chain reaction  
RXR: Retinoic X receptor  
SAA: Serum amyloid A  
SSC-A: Side scatter area  
ssRNA: single-stranded RNA  
STAT: Signal transducer and activator of transcription  
STRA6: Stimulated by retinoic acid 6  
SEM: Standard error of the mean  
TAA: Tumor associated antigens  
TAM: Tumor-associated macrophages  
Tbet: T-box protein expressed in T cells  
TCR: T cell receptor  
TGF- $\beta$ : Transforming growth factor- $\beta$   
T<sub>H</sub>1 cells: Type 1 helper T cell  
T<sub>H</sub>2 cells: Type 2 helper T cell



T<sub>H</sub>17 cells: Type 17 helper T cell

TLR: Toll-like receptors

TME: Tumor microenvironment

TMRM: Tetramethylrhodamine, methyl ester

TNF: Tumor necrosis factor

Tnfsf: TNF superfamily member

Tnfrsf: TNF receptor superfamily member

TRAIL: TNF-related apoptosis-inducing ligand

TRAIL-R: TRAIL receptor

Treg: Regulatory T cells

ULBP: UL16-binding protein

Unsti: Unstimulated

VAD: Vitamin A-deficient

VEGFA: Vascular endothelial growth factor A

WT: Wild type

XCL1: XC motif chemokine ligand 1

YAC-1: Y-1 adrenal cells

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