# Dissertation

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## Advanced Transition Metal-Based Anode Materials and their Composites for Lithium Ion Battery Application

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## Abstract

In this thesis, conversion type anode materials including transition metal oxides (MoO<sub>3</sub>, MoO<sub>2</sub>, WO<sub>x</sub>), disulfides (WS<sub>2</sub>) and the insertion reaction-based carbides with MXene-structure (Ti<sub>3</sub>C<sub>2</sub>, Nb<sub>2</sub>C, V<sub>2</sub>C), as well as their composites, were investigated as potential anode materials for next-generation lithium ion batteries (LIBs).

MXenes were prepared by an selective etching-based process. When used as anode materials for LIBs, the synthesized MXenes electrodes exhibit excellent cycling stability due to their high electronic conductivity, layered structure as well as good mechanical properties. In order to improve the specific capacity (<300 mAh g<sup>-1</sup>) of the MXenes, composites based on Nb<sub>2</sub>C- and V<sub>2</sub>C-MXenes and conversion-based high-capacity anode materials (MoO<sub>2</sub> and MoO<sub>3</sub>) were produced. The here presented MoO<sub>3</sub>/Nb<sub>2</sub>C was synthesized by a ball-milling method and MoO<sub>2</sub>/C/V<sub>2</sub>C by an electrostatically assisted hydrothermal method. Crucial experimental parameters for the ball-milled MoO<sub>3</sub>/Nb<sub>2</sub>C (ball-milling time, ball-milling speed, and mass ratio of components) were varied to optimize the morphology and thus the battery performance. The best properties are obtained for MoO<sub>3</sub>/Nb<sub>2</sub>C composite synthesized with a mass ratio of 1:1 where a capacity of 261 mAh g<sup>-1</sup> is found after 300 cycles at a current density of 100 mA g<sup>-1</sup>. The uniquely structured hydrothermally synthesized MoO<sub>2</sub>/C/V<sub>2</sub>C composites consist of uniformly distributed MoO<sub>2</sub> in the hierarchical V<sub>2</sub>C/C structure. When used as anode materials for LIBs, the composites show outstanding cycling stability and superior rate capability with, e.g., 96% capacity retention (605 mAh g<sup>-1</sup>) at a high current density of 1000 mA g<sup>-1</sup> after 400 cycles.

Lastly, carbon-coated tungsten oxides based on low-cost carbon sources (CTAB or PVP) were synthesized by a hydrothermal, carbonization process. An additional sulfurization process yielded carbon-coated disulfides. When used as anode materials for LIBs, the CTAB-assisted tungsten oxide carbon composite (c-WO<sub>x</sub>/C), tungsten disulfide carbon composite (c-WS<sub>2</sub>/C), and mixed-phase (c-WO<sub>x</sub>/C-WS<sub>2</sub>/C) electrodes show outstanding cycling stability and rate performance compared to pristine ones. Particularly, the c-WS<sub>2</sub>/C electrode shows superior long-term cycle stability of 97% retention after 500 cycles at a high current density of 500 mA g<sup>-1</sup>. Similarly, the PVP-assisted WS<sub>2</sub>/C (p-WS<sub>2</sub>/C) electrode displays a capacity retention of 80% after 500 cycles. This work, therefore, presents a scalable and low-cost route to prepare carbon-coated tungsten oxide and disulfide for high performance LIBs, which can be extended for the preparation of other carbon-coated metal-based materials.

## Zusammenfassung

In der vorliegenden Arbeit wurden konversionsbasierte Anodenmaterialien am Beispiel der Übergangsmetalloxide MoO<sub>3</sub>, MoO<sub>2</sub> und WO<sub>x</sub> und des Disulfids WS<sub>2</sub> sowie Interkalationsmaterialien mit MXene-Struktur (Ti<sub>3</sub>C<sub>2</sub>, Nb<sub>2</sub>C, V<sub>2</sub>C) und deren Komposite als potenzielle Anodenmaterialien für Lithium-Ionen-Batterien (LIBs) der nächsten Generation untersucht.

MXene wurden durch einen selektiven Ätzprozess hergestellt. Bei der Verwendung als Anodenmaterialien für LIBs weisen die synthetisierten MXene-Elektroden aufgrund ihrer hohen elektronischen Leitfähigkeit, ihrer Schichtstruktur sowie ihrer guten mechanischen Eigenschaften eine ausgezeichnete Zyklenstabilität auf. Um die spezifische Kapazität (<300 mAh g<sup>-1</sup>) der MXene zu verbessern, wurden Komposite auf der Basis von Nb2C- und V2C-MXenen und konversionsbasierten Hochkapazitätsanodenmaterialien (MoO2 und MoO3) hergestellt. MoO<sub>3</sub>/Nb<sub>2</sub>C wurde durch ein Kugelmahlverfahren und MoO<sub>2</sub>/C/V<sub>2</sub>C durch ein elektrostatisch unterstütztes hydrothermales Verfahren synthetisiert. Dabei wurden entscheidende experimentelle Parameter für das kugelgemahlene MoO3/Nb2C (Mahldauer, Mahlgeschwindigkeit und Massenverhältnis der Komponenten) variiert, um die Morphologie und damit die elektrochemischen Eigenschaften zu optimieren. Mit einer Kapazität von 261 mAh g<sup>-1</sup> nach 300 Zyklen bei einer Stromdichte von 100 mA g<sup>-1</sup> zeigt das Komposit MoO<sub>3</sub>/Nb<sub>2</sub>C, welches mit einem Massenverhältnis von 1:1 hergestellt wurde, die beste Leistung. Die hydrothermal synthetisierten MoO<sub>2</sub>/C/V<sub>2</sub>C-Komposite besitzen eine besondere Struktur, die sich durch gleichmäßig in der hierarchischen V<sub>2</sub>C/C-Struktur verteilte MoO<sub>2</sub>-Partikel auszeichnet. Bei Verwendung als Anodenmaterial für LIBs zeigt das MoO2/C/V2C-Komposit eine hervorragende Zyklenstabilität und Ratenkapazität, z.B. eine verbleibende Kapazität von 96 % (605 mAh g<sup>-1</sup>) bei einer hohen Stromdichte von 1000 mA g<sup>-1</sup> nach 400 Zyklen.

Zudem wurden Kohlenstoff-ummantelte Wolframoxide mittels preiswerter Kohlenstoffquellen (CTAB oder PVP) durch einen hydrothermalen Karbonisierungsprozess synthetisiert. Ein zusätzlicher Schwefelungsprozess erlaubt die Umwandlung zu kohlenstoffbeschichteten Disulfiden. Bei der Verwendung als Anodenmaterialien für LIBs zeigen die mit CTAB hergestellten Materialien (Wolframoxid-Kohlenstoffkomposit: c-WO<sub>x</sub>/C, Wolframdisulfid-Kohlenstoffkomposit: c-WS<sub>2</sub>/C und das gemischtphasige Material c-WO<sub>x</sub>/C-WS<sub>2</sub>/C) im Vergleich zu den reinen Materialien eine hervorragende Zyklenstabilität und Ratenkapazität. Insbesondere die c-WS<sub>2</sub>/C-Elektrode zeigt eine hervorragende Langzeit-Zyklenstabilität von 97 % nach 500 Zyklen bei einer hohen Stromdichte von 500 mA g<sup>-1</sup>. Die mittels PVP hergestellte WS<sub>2</sub>/C-Elektrode (p-WS<sub>2</sub>/C) zeigt mit einer erhaltenen Kapazität von 80 % nach 500 Zyklen ebenfalls gute Ergebnisse. Insgesamt stellt die vorliegende Arbeit damit eine skalierbare und kostengünstige Methode zur Herstellung von Kohlenstoff-ummanteltem Wolframoxid und -disulfid für

Hochleistungs-LIBs vor, die auf die Herstellung weiter Kohlenstoff-ummantelter Materialien auf Metallbasis erweitert werden kann.

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## 1. State of the art

#### 1.1. Introduction

The energy crisis arising from the depletion of fossil fuels such as coal, oil, and natural gas as well as environmental pollution force people to develop clean, renewable energy like solar and wind energy. To effectively use these energies, significant worldwide interest has been raised to have access to appropriate energy storage devices <sup>[1-3]</sup>. Among them, secondary batteries attracted much attention <sup>[4]</sup>.

Lead acid batteries were first introduced for vehicle applications due to their low cost and high stability <sup>[5]</sup>. However, low energy density as well as lead pollution limit their further application. Nickel-cadmium (Ni-Cd) batteries used to be popular in mobile devices but serious memory effects result in a rather short service life. In addition, their attraction is diminished by the toxicity of cadmium <sup>[6]</sup>. The nickel-metal hydride (Ni-MH) batteries although have low-temperature performance, however, the high cost for the precious metal as catalyst makes them of little use <sup>[7]</sup>. By comparison, lithium ion batteries (LIBs) are more promising energy storage devices. Since the development of lithium ion batteries, more and more interest has been placed on them due to their low memory effect, high energy density, high working voltage, and environmental friendliness <sup>[8, 9]</sup>. Nowadays, LIBs are used in various fields, especially in portable electronics, electric vehicles (hybrid, plug-in hybrid, or pure EVs), and the smart grid <sup>[10, 11]</sup>.

Although the manufacturing technologies of LIBs is rapidly improved in the last two decades, the pace of energy density increase of LIBs has slowed down due to the approach to the theoretical limits<sup>[12]</sup>. Therefore, efforts should be taken to find alternative materials for next-generation LIBs. Recently, various battery technology roadmaps have been released from different countries such as China (Made in China 2025), the United States (DOE Battery 500), Europe (BATTERY 2030+), and Japan (NEDO RISING II)<sup>[13-16]</sup>, which reflects the global urgent demands and exploitation determinations for future high energy density rechargeable batteries.

To meet these demands, the development of advanced cathode and anode materials for LIBs is considered the most effective way. In particular, the anode, as an indispensable component of LIBs, plays an important role in the improvement of energy density. Lithium metal was first used as the anode material for commercial LIBs but big problems like dendrites growth during cycling severely hinder its practical application<sup>[17]</sup>. Instead, Sony company first introduced graphite as the anode material LIB, which successfully realized the commercialization of LIBs <sup>[18]</sup>. However, the low capacity and poor rate performance of graphite electrodes could not meet the growth demands for high-performance LIBs. Therefore, many endeavors have been made to handle these problems <sup>[19-21]</sup>.

So far, numerous kinds of advanced anode materials have been proposed for LIBs. Some of them, such as silicon; phosphorus; and transition-based materials possess high theoretical capacities but inferior cycling stability and poor rate capability due to their low electronic conductivity and large volume expansion during cycling <sup>[22-24]</sup>. By contrast, other anode materials such as carbon-based materials could present better structure stability during cycling as well as high electronic conductivity, leading to outstanding rate performance and cyclability, while the specific capacities they could approach are relatively low <sup>[25]</sup>. Strategies including morphology control, downsizing, and construction of composites are promising to obtain ideal anode materials for next-generation LIBs. In many works, the desired structures like nanowires, nanorods, and core-shell particles which could have high specific areas and short lithium diffusion paths were designed by adjusting the morphology and size of anode materials, resulting in significant improvement in lithium storage performance [26]. Additionally, forming composites of two or more kinds of anode material was also shown to enhance the lithium ion battery performance by combining the advantages of various components <sup>[27]</sup>. In the composites, materials such as graphene and carbon nanotubes could work as substrates to support other anode materials, which could not only improve the conductivity but also accommodate the volume changes during cycling [28]

The work shown in this thesis focuses on transition metal-based materials including transition metal oxides (WO<sub>2.72</sub>, MoO<sub>3</sub>, and MoO<sub>2</sub>), transition metal sulfide (WS<sub>2</sub>), and transition metal carbides (Ti<sub>3</sub>C<sub>2</sub>, Nb<sub>2</sub>C, and V<sub>2</sub>C) for lithium ion battery applications. Moreover, to achieve enhanced lithium storage performance, several useful strategies were developed to prepare composites of these materials.

In the first approach, various kinds of pristine MXenes (Ti<sub>3</sub>C<sub>2</sub>, Nb<sub>2</sub>C, and V<sub>2</sub>C) were synthesized and applied to lithium ion batteries. In particular, in order to optimize the synthesis conditions, the most frequently studied MXene (Ti<sub>3</sub>C<sub>2</sub>) was prepared via two types of etching agents (48wt% HF and LiF+HCl mixture) to compare their purity, morphology, and lithium storage performance. On this basis, as a simple route, the ball-milling method was introduced to prepare MoO<sub>3</sub>/Nb<sub>2</sub>C MXene composites for the first time. In order to achieve a good combination of two components, various synthesis conditions including ball-milling times, ball-milling speeds, and mass ratios of components were optimized. Consequently, the mass ratio plays the most role in the combination, and the composite with a mass ratio of 1:1 (MoO<sub>3</sub>:Nb<sub>2</sub>C) shows the MoO<sub>3</sub> microplates are uniformly embedded in the layered structure of Nb<sub>2</sub>C. Moreover, the electrode of this composite exhibits two times higher specific capacity and better cyclicality than pristine MoO<sub>3</sub> and Nb<sub>2</sub>C electrodes, benefiting from the synergistic effect of the two components that the Nb<sub>2</sub>C layered structure could accommodate the volume expansion of MoO<sub>3</sub> and improve the electrical conductivity. This work gives people an easy and effective way to utilize the layered structure of MXenes for improved battery performance. Secondly, MoO<sub>2</sub>/C/V<sub>2</sub>C composites were synthesized by an electrostatic interaction-assisted hydrothermal method and an annealing process for the first time. A positively-charge polymer (PDDA) was adopted to stabilize the V<sub>2</sub>C dispersion in water and modify it to positively-charged V<sub>2</sub>C/PDDA. The molybdenum source was combined with V<sub>2</sub>C/PDDA via electrostatic force and converted to MoO<sub>2</sub> during the hydrothermal process. After a one-step annealing process, PDDA was carbonized and converted to amorphous carbon. As a result, MoO2/C/V2C composites with the hierarchical structure were successfully prepared in which MoO2 nanoparticles were uniformly confined in the V<sub>2</sub>C/C layered structure. When used as anode materials for lithium ion batteries, the MoO<sub>2</sub>/C/V<sub>2</sub>C composites exhibited outstanding battery performance including high capacity, excellent long-term cyclability at a high current density of 1 A as well as superior rate capability. These could be ascribed to several reasons. The unique hierarchical structure could not only provide a short lithium diffusion path but also buffer the volume changes of MoO<sub>2</sub> by the confinement effect. The combination of nanoscale MoO2 and V2C/C also offers large specific area and high conductivity for sufficient contact between electrode materials and electrolytes and fast charge transfer. Thus, this work provides a way to effectively utilize the layered structure of unexfoliated multilayer MXene for constructing hierarchical composites of MXenes and other anode materials. Furthermore, the prepared composites could exhibit competitive lithium storage performance compared to other reports.

**Thirdly,** a facile and simple hydrothermal process followed by a carbonization and sulfurization process is prompted to fabricate carbon-coated tungsten oxides and sulfides as well as their mixed phase. Particularly, low-cost carbon sources were used. As a result, these carbon-coated composites present better battery performance including higher specific capacity, better cycling stability, and rate capability than that pristine ones. In addition, the carbon-coated tungsten disulfide electrode exhibits outstanding long-term cycling stability. This work not only provides new insight to researchers about the effective utilization of a simple and low-cost preparation method for carbon-coated composites for high-performance LIBs but also makes the metal oxides and disulfides more accessible to the industry for the next generation LIBs.

#### 1.1.1. Working principle of LIBs

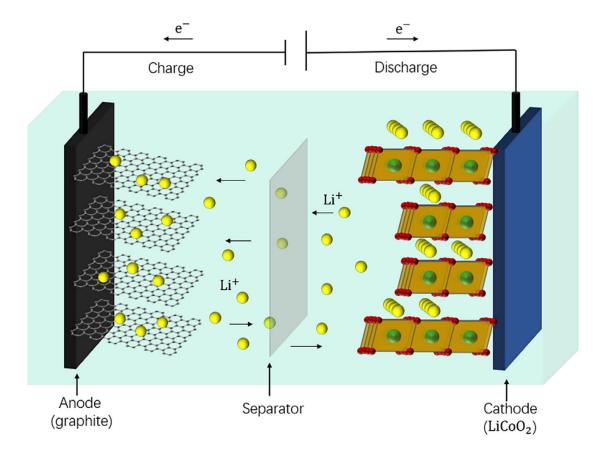
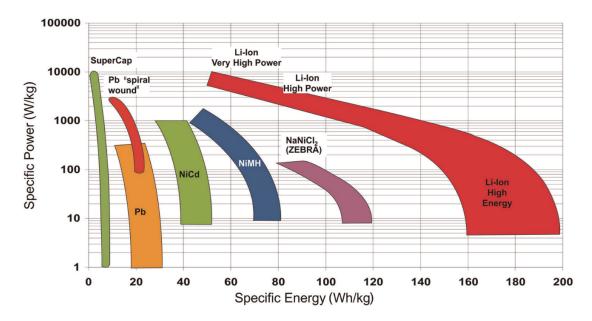


Figure 1. Schematic illustration of the working principle of LIBs. Reproduced with permission from Ref. [7].

As an example, commercial LIB, is introduced here to explain its working principle. Figure 1 shows the schematic illustration of a LIB. For commercial batteries, cathode material (e.g. LiCoO<sub>2</sub>) and anode material (graphite) are separated by the electrolyte-soaked separator. When the battery is charged, lithium ions are extracted from the cathode material (e.g. LiCoO<sub>2</sub>) and embedded into the anode material (graphite) after passing through the electrolyte and separator. At the same time, electrons pass from the cathode to the anode through the external circuit to maintain overall neutrality. Lithium ions and electrons flow in the opposite direction when the battery is discharged. The conversion between chemical energy and electric energy is realized in the discharging and charging process. The electrode reaction equations are described as follows <sup>[7]</sup>:

Cathode	$LiCoO_2 \rightleftharpoons Li_{1-x}CoO_2 + xLi^+ + xe^-$	(1)
Anode	$6C + xLi^+ \rightleftharpoons Li_xC_6$	(2)
Total	$LiCoO_2 + 6C \rightleftharpoons Li_{1-x}CoO_2 + Li_xC_6$	(3)



**Figure 2.** The Ragone plot of various energy storage devices. Here, SuperCap stands for the supercapacitors, Pb stands for the lead acid batteries, Li-ion means the lithium-ion batteries, NiCd stands for the nickel–cadmium batteries, NiMH means the nickel–metal hydride batteries, NaNiCl<sub>2</sub> stands for the sodium–nickel chloride batteries, and ZEBRA represents Zero Emission Battery Research Activities. Reproduced with permission from Ref. [29].

For LIBs, certain parameters are used to evaluate the battery performance.

The *Gravimetric power density* (also called the *specific power density*) and the *volumetric power density* refer to the amount of power per mass or volume of batteries with a unit of W/kg or W/L, respectively.

The *Gravimetric energy density* (also called *the specific energy density*) and the *volumetric energy density* are the amount of energy that can be stored or released per mass or volume of batteries with a unit of Wh/kg or Wh/L respectively.

Therefore, power density describes how fast energy is stored or released, while energy density tells the maximum energy that can be stored or released. Figure 2 depicts a Ragone plot (a chart being used to compare the performance of energy storage devices by plotting the specific capacity versus the specific power) of various energy storage devices with specifications at the cell level for automotive applications. Among them, LIBs show the highest energy density and high power density, therefore attracting increasing interest <sup>[29]</sup>.

The *Coulombic efficiency* is the ratio of the discharge capacity to the charge capacity in the same cycle. This can be used for judging the possible occurrence of irreversible reactions, which is the internal consumption of the quantity of electric charge.

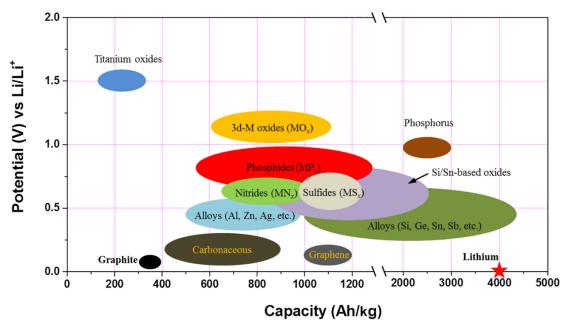
The *specific capacity* and *volumetric capacity* are the amounts of electric charge that can be stored or released per mass or per volume of active materials with a unit of mAh g<sup>-1</sup> or mAh cm<sup>-3</sup>, respectively.

The *cycle life* generally refers to the cycle number of times that the battery can be charged and discharged with up to 80% of its maximum capacity. For research, the term *cycling performance* can also be used to describe the stability of batteries after specific cycle numbers.

The *rate performance/rate capability* describes the ability of electrodes to charge/discharge at various current densities. It is associated with charge/ionic motion in both electrodes and electrolytes <sup>[30]</sup>.

#### 1.1.2. The design of anode materials

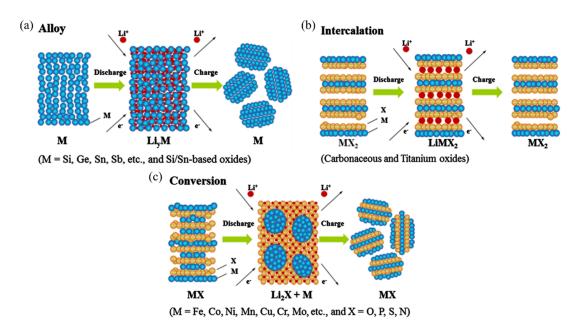
Graphite is the most widely used anode material because of its low working potential, low cost, and good cycle life. However, it can only deliver a relatively low theoretical specific capacity of 372 mAh g<sup>-1</sup>. Moreover, the slow diffusion rate of lithium ions into graphite brings about poor rate performance. Therefore, it is necessary to find alternative anode materials with high capacity and high lithium ion diffusion rate in order to enhance the energy density and power density of the full cell <sup>[7]</sup>.



**Figure 3.** Schematic illustration of working potential (vs. Li/Li<sup>+</sup>) of various anode materials and their specific capacities for lithium-ion batteries. Reproduced with permission from Ref. [31].

To design an ideal anode material, some requirements including a low potential against cathode materials, high capacity (gravimetric capacity and volumetric capacity), high rate capability, low cost, long cycle life, and environmental compatibility should be fulfilled. In the last decades,

numerous efforts have been devoted to finding suitable anode materials. Carbon materials like carbon nanotubes, carbon nanofiber, graphene, and non-carbon materials like silicon, tin, metal oxides, and metal sulfides have been intensively investigated. Figure 3 shows the redox potential versus Li/Li<sup>+</sup> and the corresponding specific capacity of these materials <sup>[31]</sup>. As presented in Figure 4, these advanced anode materials can be divided into three categories according to different lithium ion storage mechanisms, i.e., insertion anode materials, alloy anode materials, and conversion anode materials <sup>[31]</sup>.



**Figure 4.** Schematic illustration of lithium storage mechanism of three types of anode materials: alloy anode materials (a), insertion/intercalation anode materials (b), and conversion anode materials (c). Adapted with permission from Ref. [31].

Each type of these proposed anode materials possesses various limitations and advantages when used for LIBs. One can design a suitable anode material by combining some of them to form composites for high lithium storage performance. In the following part, the background and recent progress of insertion anode materials and conversion anode materials, related to the research projects of this thesis, will be introduced.

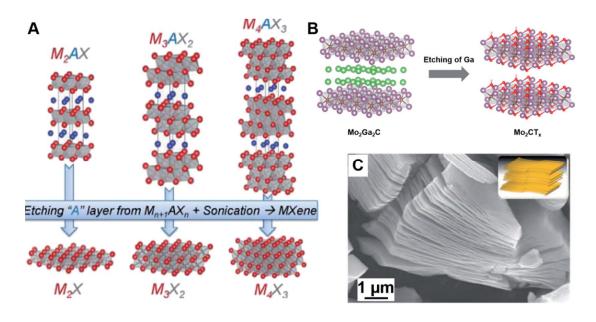
#### 1.2 Insertion anode materials

The reaction mechanism of the insertion anode materials is that lithium ions intercalate/deintercalate reversibly in anode materials. The commercial anode material graphite and lithium titanate are typical insertion anode materials. Other carbon-based materials like soft carbon, carbon nanotubes, and the new group of layered materials, transition metal carbides and/or nitrides (MXenes) also share this mechanism. The electrodes of insertion anode materials normally exhibit excellent cycling stability due to the comparatively small volume change during lithium

intercalation/deintercalation. Moreover, they can also avoid the rupture of solid-electrolyte interphase (SEI) caused by the cracking of the anode material and therefore reduce the consumption of electrolytes and further extend the life of the battery <sup>[32]</sup>. However, low specific capacities are inevitably achieved due to the limited number of electrons transferred in the redox reactions of insertion materials.

#### 1.2.1. Background and research progress of MXenes for LIBs

In the following, an overview of the background and research progress of MXenes, an insertion anode material relevant to the thesis, in terms of synthesis and lithium ion storage performance is given.



**Figure 5.** Examples of the current research on MXene-based materials: (a) Schematic illustration of the synthesis of MXenes from the precursors MAXs by the etching process. Reproduced with permission from Ref. [34]. (b) Schematic example of the preparation process of  $Mo_2CT_x$  via selective etching of the two Ga layers from  $Mo_2Ga_2C$ . Purple, green, brown, red, and white balls stand for Mo, Ga, C, O, and H atoms, respectively. Reproduced with permission from Ref. [35]. (c) The typical accordion-like structure of the  $Ti_3C_2T_xMX$ ene from an SEM image. The inset presents the 2D nature of MXenes. Reproduced with permission from Ref. [36].

Transition metal carbides and/or nitrides (MXenes) are a group of layered materials. The first MXene,  $Ti_3C_2$ , was developed by researchers from Drexel University in 2011 <sup>[33]</sup>. So far, there is a big family with over 30 kinds of MXenes successfully synthesized and more are predicted to exist according to theoretical calculations. Generally, MXenes have the chemical formula of  $M_{n+1}X_nT_x$  (n=1-3), where M is a transition metal, X is carbon and/or nitrogen and  $T_x$  stands for the surface functional groups (such as F, Cl, OH), which are introduced during the etching process. MXenes are synthesized by selective etching of their precursor MAX which is made of layers of

MXenes that are interleaved with layers of an A element (Where A is Si, Al, or Ga) (Figure 5a-c) <sup>[34-36]</sup>. Since the M-A bond is metallic, it is impossible to remove A by mechanic force, which is often used to obtain other 2D materials such as graphene and MoS<sub>2</sub>. However, the M-A bond is more chemically active than the stronger M-X bond, which makes it possible to selectively remove A layers <sup>[37]</sup>. Accordingly, two methods are most common to use for the preparation, i.e. the wet chemical method and the bottom-up method.

In the wet chemical synthesis process, an etching agent such as HF (50wt %) is employed. The chemical reactions in this process are listed in equations 4-6 <sup>[33]</sup>. The type of MXene decides on the different requirements for etching, including etching temperature and etching time. For example, Ti-based MXenes can be obtained from the corresponding MAX phase after being immersed in HF solution for 10-30 h at room temperature, while V-based MXenes require more aggressive conditions (90 h and 90 °C) <sup>[38]</sup>.

The most commonly adopted selective etching and surface functionalization process using HF can be described by the following equations <sup>[33]</sup>:

$$M_{n+1}AX_{n} + 3HF \rightarrow AF_{3} + \frac{3}{2}H_{2} + M_{n+1}X_{n} (4)$$

$$M_{n+1}X_{n} + 2H_{2}O \rightarrow M_{n+1}X_{n}(OH)_{2} + H_{2} (5)$$

$$M_{n+1}X_{n} + 2HF \rightarrow M_{n+1}X_{n}F_{2} + H_{2} (6)$$

Besides, the in-situ formation of HF by mixing hydrochloric acid and alkaline metal fluorides like LiF, or NaF is also an effective and safe way to prepare MXenes. Moreover, the alkaline metal ions could simultaneously intercalate MXene, which causes larger interlayer spacing/enlarged c-lattice constants compared to those of HF-etched MXenes. Few layers or monolayer MXenes can be obtained by a further exfoliation process using sonication or even handshaking <sup>[39]</sup>. In addition, the organic base tetramethylammonium hydroxide (TMAOH) and alkali base (NaOH) have also been demonstrated to be effective for the synthesis of Ti<sub>3</sub>C<sub>2</sub> from Ti<sub>3</sub>AlC<sub>2</sub>. Geng and coworkers reported that TMAOH can attack Al in Ti<sub>3</sub>AlC<sub>2</sub> and lead to the formation of [Al(OH)4]<sup>-</sup>. At the same time, TMA<sup>+</sup> can intercalate the synthesized MXene, which facilitates delamination <sup>[40]</sup>. However, diluted HF is still needed for the removal of the oxidation layer (passivation of [Al(OH)4]<sup>-</sup> and oxidation of Ti<sub>3</sub>C<sub>2</sub> to TiO<sub>2</sub>) on the MAX phase in this method. Li et al. have developed a fluorine-free method to fabricate Ti<sub>3</sub>C<sub>2</sub><sup>[41]</sup>. In this route, concentrated NaOH solution (27.5 M NaOH) and high temperature (270°C) were employed to remove the Al layer from Ti<sub>3</sub>AlC<sub>2</sub>. As a result, Ti<sub>3</sub>C<sub>2</sub> with only surface groups of -OH and O was successfully synthesized.

Hence, the wet chemical method provides a versatile way to prepare various kinds of MXene. However, low crystallinity and many defects of the obtained MXenes could hardly satisfy its physical applications. Moreover, the existence of various kinds of surface groups on the prepared MXenes could cause inferior electrochemical performance when used for LIBs. So far, the bottom-up method has only been used for the preparation of a very limited number of MXenes. In particular, Mo<sub>2</sub>C and Mo<sub>2</sub>N were produced by chemical vapor deposition, which ensures low defects and a high crystallinity of these MXenes <sup>[42, 43]</sup>.

As a new family of 2D materials, MXenes have attracted considerable attention for LIBs due to high electric conductivity, large specific area, outstanding mechanical properties as well as low lithium diffusion barriers <sup>[44, 45]</sup>. Naguib et al. reported Ti<sub>2</sub>CO<sub>x</sub> MXene as an anode material for LIBs for the first time <sup>[46]</sup>. The Ti<sub>2</sub>CO<sub>x</sub> MXene electrode exhibited a five times higher reversible capacity than that of the parent Ti<sub>2</sub>AlC MAX phase at a current density of 0.1 C. It was concluded that compared with Ti<sub>2</sub>AlC MAX, the layered structure and higher surface area of Ti<sub>2</sub>CO<sub>x</sub> MXene are the main reasons for its higher capacity. Li et al. reported the lithium ion storage of Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub> <sup>[47]</sup>. The Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub> electrode showed initial discharge/charge capacities of 450/250 mAh g<sup>-1</sup> at 0.1C and a capacity of 119 mAh g<sup>-1</sup> after 1600 cycles at 5C. It was also found that preparation parameters, functional groups, heating treatment, and chemical oxidation are the main parameters affecting the electrochemical behavior of MXene electrodes. The change in the surface functional groups of MXenes, which was introduced based on synthesis conditions, were found to greatly affect their physical and chemical properties and further result in different lithium ion storage performances. The functional surface groups are mainly Cl, F, O, and OH. The obtained MXenes might have some or all of them according to the used etching agents. However, the performed studies have indicated that the MXenes without surface groups have higher capacity compared with the other terminated <sup>[48]</sup>. Therefore, several strategies have been used to remove the functional groups of MXenes. For example, Xue et al. prepared fluorine-free Ti<sub>3</sub>C<sub>2</sub> MXene by a chemical reactions combined ball-milling method <sup>[49]</sup>. When used as anode materials, the fluorine-free Ti<sub>3</sub>C<sub>2</sub> electrode exhibited a capacity of 310 mAh g<sup>-1</sup> after 600 cycles at a current density of 100 mA g<sup>-1</sup>, which is three times higher than that of Ti<sub>3</sub>C<sub>2</sub> prepared by HF etching. In addition, altering the surface groups by post-synthesis heat treatments or oxidation methods is also an effective way to improve the electrochemical properties of MXenes. Kong et al. calcined Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub> at different temperatures in vaccum and studied their lithium storage performance. The electrodes of pristine Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub> and Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub> calcined at 400 °C delivered specific capacities of 87 and 126 mAh g<sup>-1</sup>, respectively after 100 cycles at 1C<sup>[50]</sup>. The higher capacity value of the Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub> calcined at 400 °C is ascribed to the removal of the -OH functional groups, compared with pristine Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub>. Likewise, Lu et al. prepared Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub> with lower amounts of -F groups by a hydrogen annealing process. The electrode from obtained Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub> with lower amounts of -F exhibited higher capacities and better rate capability than that of as-prepared  $Ti_3C_2T_x$ <sup>[51]</sup>.

In addition to titanium-based MXene (Ti<sub>3</sub>C<sub>2</sub> and Ti<sub>2</sub>C), other types of MXenes such as vanadium-based and niobium-based MXenes were also developed for the lithium storage application. Naguib et al. first used Nb<sub>2</sub>CT<sub>x</sub> and V<sub>2</sub>CT<sub>x</sub> as anode materials for LIBs <sup>[52]</sup>. The Nb<sub>2</sub>CT<sub>x</sub> and V<sub>2</sub>CT<sub>x</sub> electrodes exhibited a reversible capacity of 170 mAh g<sup>-1</sup> after 100 cycles at 1C and a reversible capacity of 288 mAh g<sup>-1</sup> after 150 cycles at 1C, respectively. Moreover, both

of these two electrodes showed excellent capability at high cycling rates (10 C), indicating fast Li diffusion between Nb<sub>2</sub>CT<sub>x</sub> and V<sub>2</sub>CT<sub>x</sub> layers.

Although outstanding rate capability and cycling stability have been achieved for MXene electrodes, comparatively lower specific capacity even compared with graphite  $(372 \text{ mAh g}^{-1})$  still hinders their application for LIBs. Hence, constructing composites of MXenes with high-capacity anode materials (conversion anode materials, alloy anode materials), which generally show issues of cyclability mostly related to volume expansion during cycling and rate capability is a promising way for enhanced lithium storage performance.

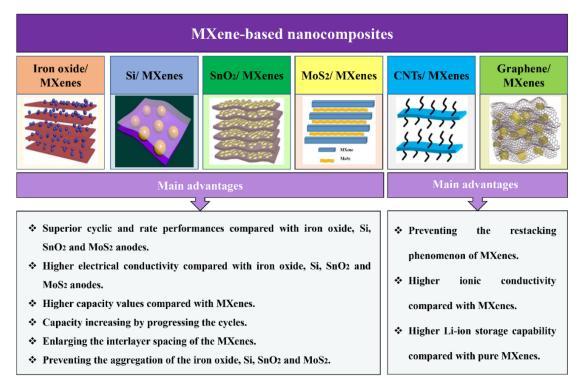
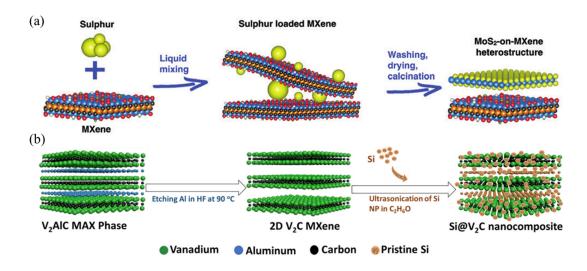


Figure 6. Summary of the most important MXene-based nanocomposites. Reproduced with permission from Ref. [53].

Figure 6 summarizes several types of MXene composites, which have been investigated and lists the main advantages of these composites when used as anode materials for LIBs <sup>[53]</sup>. Wang et al. prepared Fe<sub>3</sub>O<sub>4</sub>/Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub> composites with different ratios by the liquid assembly method <sup>[54]</sup>. The electrodes of Fe<sub>3</sub>O<sub>4</sub>/Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub> composites with ratios of 1:5, 1:2.5, and 1:1 exhibited specific capacities of 355.7, 747.4, and 173.8 mAh g<sup>-1</sup>, respectively, at 1 C after 1000 cycles. They concluded that the excessive content of Fe<sub>3</sub>O<sub>4</sub> (1:1) in the composite could not be efficiently accommodated into the Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub>, resulting in fast capacity fading of the electrode. While the low capacity for the composite with a mass ratio of 1:5 can be ascribed to the low content of Fe<sub>3</sub>O<sub>4</sub>. By comparison, the composite with a suitable mass ratio of 1:2.5 (Fe<sub>3</sub>O<sub>4</sub>:Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub>) showed the best cycling stability and highest specific capacity among composites, demonstrating a significant

improvement in lithium storage after the construction of Fe<sub>3</sub>O<sub>4</sub>/Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub> composites. Other publications also report the preparation and lithium storage performance of Fe<sub>2</sub>O<sub>3</sub>/Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub>, Fe<sub>2</sub>O<sub>3</sub>/nitrogen-doped Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub>, and Fe<sub>2</sub>O<sub>3</sub>/few-layer Ti<sub>3</sub>C<sub>2</sub> composites <sup>[55-57]</sup>. Additionally, Li et al. synthesized the carbon-coated Fe<sub>3</sub>O<sub>4</sub> composites (C-Fe<sub>3</sub>O<sub>4</sub>)/Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub> by a hydrothermal method and liquid assembly process <sup>[58]</sup>. They demonstrated that the presence of carbon coating on the Fe<sub>3</sub>O<sub>4</sub> could act as an adhesive between Fe<sub>3</sub>O<sub>4</sub> and Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub>. When used as anode material for LIBs, the C-Fe<sub>3</sub>O<sub>4</sub>/Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub> electrode exhibited better cyclic performance than that of both carbon-coated Fe<sub>3</sub>O<sub>4</sub> and Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub> at current densities of 200 and 1000 mA g<sup>-1</sup> and superior rate capability that presented a reversible capacity of 340 mAh g<sup>-1</sup> after 200 cycles at a high current density of 7 A g<sup>-1</sup>.



**Figure 7.** Examples of the current research on MXene-based composites for LIBs: (a) Schematic illustration of the preparation of  $MoS_2$  on  $Mo_2TiC_2T_x$  MXene heterostructures. Reproduced with permission from Ref. [60]. (b) Schematic illustration of the synthesis process of Si@V<sub>2</sub>C nanocomposite. Reproduced with permission from Ref. [61].

In addition, other conversion anode materials like MoS<sub>2</sub> were integrated with MXenes for enhanced lithium storage performance. Zheng et al. synthesized the partially oxidized MXene/MoS<sub>2</sub> composite (p-Ti<sub>3</sub>C<sub>2</sub>/MoS<sub>2</sub>) via a one-step hydrothermal process <sup>[59]</sup>. The p-Ti<sub>3</sub>C<sub>2</sub>/MoS<sub>2</sub> electrode with theoretical MoS<sub>2</sub> content of 10% exhibited a reversible capacity of 230 mAh g<sup>-1</sup> after 50 cycles at a current density of 500 mA g<sup>-1</sup>. Interestingly, Chen and coworkers prepared few-layered MoS<sub>2</sub> on Mo<sub>2</sub>TiC<sub>2</sub>T<sub>x</sub> MXene heterostructures by an in situ sulfidation method (Figure 7a) <sup>[60]</sup>. When used as anode material for LIBs, the as-prepared heterostructures exhibited high specific capacities and Coulombic efficiencies, promising rate capability, and excellent cycling stability.

As potential alloy anode material, silicon (theoretical capacity of 4200 mAh g<sup>-1 [27]</sup>) was also used to form composites with MXenes. Bashir et al. prepared Si@V<sub>2</sub>C composite via the sonication-assisted liquid assembly method (Figure 7b) <sup>[61]</sup>. The electrode of this Si@V<sub>2</sub>C composite exhibited significant improved cyclic stability and rate capability for lithium storage in comparison to that of pristine Si and V<sub>2</sub>C anodes, resulting in a retained capacity of 430 mAh  $g^{-1}$  after 150 cycles at 200 mA  $g^{-1}$ . Zhang and coworkers reported the MXene/Si@SiO<sub>x</sub>@C composite via integrating the Stöber method, magnesiothermic reduction, and carbonation <sup>[62]</sup>. When used for LIBs, this composite electrode displayed a stable capacity of 1547 mAh  $g^{-1}$  after 200 cycles at a current density of 0.2 C.

#### **1.3 Conversion anode materials**

Conversion anode materials, represented by transition metal oxides and sulfides, share the conversion mechanism:

$$M_x R_v + 2y Li^+ + 2ye^- \rightarrow y Li_2 R + xM$$

Here M is a transition metal, and R is O, S, or Se.

During conversion reactions, the lithium ions first intercalate into the anode materials, and the intercalated materials are further lithiated to metallic phases dispersed in amorphous Li<sub>2</sub>O <sup>[63]</sup>. Conversion anode materials can deliver high specific capacities of 500-1200 mAh g<sup>-1</sup> <sup>[31]</sup>. Besides, their production costs will be on the low side when compared with alloy anode materials. However, they have the issues of material pulverization, resulting from large volume expansion during cycling and poor rate performance caused by low electric conductivity and sluggish lithium movement in conversion anode materials <sup>[64, 65]</sup>. In order to address these problems, nanoscale materials design and the combination of carbonaceous materials and the conversion anode materials are effective ways <sup>[64, 65]</sup>.

In the following chapter, a review of the research progress on conversion anode materials related to the thesis and their composites for LIB application is presented.

#### 1.3.1 The research progress of transition metal oxides and their composites for LIBs

Transition metal oxides (MO<sub>x</sub>, where M is a transition metal including iron, cobalt, nickel, copper, zinc, molybdenum, and tungsten) attract a lot of interest as candidates for LIB anode materials due to toxicity, and high specific capacity. In this part, the progress on the tungsten oxides and molybdenum oxides and their composites with carbonaceous materials for lithium ion storage is discussed.

 $WO_3$ : Based on the conversion reaction of WO<sub>3</sub>, the theoretical specific capacity of WO<sub>3</sub> is calculated to be 693 mAh g<sup>-1</sup> [<sup>66</sup>]. Although a high specific capacity can be achieved for a WO<sub>3</sub> electrode, fast capacity fading is inevitable because of the large volume expansion during discharging (the theoretical volume expansion is about 66%) [<sup>67</sup>]. The design of nanoscale electrode material has been widely studied to improve its cycling stability. Nanostructured materials can not only increase the contact area between electrodes and electrolytes but also shorten the lithium diffusion path. In addition, the nanostructure could also accommodate the volume expansion during cycling [<sup>67</sup>]. Yin et al. synthesize a hierarchical structure r-WO<sub>3</sub> by using a simple amino-

acid-assisted hydrothermal method <sup>[68]</sup>. The electrode of the obtained sample exhibited an initial discharge capacity of 515.1 mAh g<sup>-1</sup> at 200 mA g<sup>-1</sup>. The discharge capacity of 270 mAh g<sup>-1</sup> was retained after 60 cycles at 200 mA g<sup>-1</sup>. Qiu et al. fabricated hierarchical porous r-WO<sub>3</sub> micro flowers through a morphology-conserved transformation method <sup>[69]</sup>. The porous flower-like WO<sub>3</sub> delivers a reversible specific capacity of about 470 mAh g<sup>-1</sup> after 25 cycles and also exhibited comparative rate capability. Sim et al. prepared yolk-shell hollow spheres of r-WO<sub>3</sub> via a spray pyrolysis approach <sup>[70]</sup>. The electrode showed a higher discharge capacity of 523 mAh g<sup>-1</sup> and better cycling performance than WO<sub>3</sub> powder after 120 cycles at 300 mA g<sup>-1</sup>.

Besides, Tong et al. fabricated hierarchical hollow microspheres r-WO<sub>3</sub> by developing a onepot and template-free solvothermal method <sup>[71]</sup>. The electrode of the synthesized sample exhibited a high initial specific capacity of 1700 mAh g<sup>-1</sup> and good cycling stability with a specific capacity of 700 mAh g<sup>-1</sup> left after 100 cycles at 100 mAh g<sup>-1</sup>. In addition, the electrode could still show a specific capacity of 213 mAh g<sup>-1</sup> at a large current density of 1600 mA g<sup>-1</sup>.

*Nonstoichiometric tungsten oxide*: Defect engineering has been shown to be an effective way to improve the electrochemical properties of electrode materials <sup>[72]</sup>. In the case of WO<sub>3</sub>, its lattice can withstand many oxygen vacancies causing the production of nonstoichimetric WO<sub>3-x</sub> (0 < x < 1). The existence of oxygen vacancies in WO<sub>3-x</sub> does not destroy the WO<sub>3</sub> framework but enhance the conductivity <sup>[73]</sup>. Numerous oxyen deficient WO<sub>3-x</sub> materials, such as WO<sub>2.92</sub>, WO<sub>2.92</sub>, WO<sub>2.83</sub>, WO<sub>2.77</sub>, WO<sub>2.72</sub>, WO<sub>2.67</sub>, and WO<sub>2.63</sub>, have been reported <sup>[74, 75]</sup>.

Sun et al. reported the synthesis and battery performance of mesoporous  $W_{18}O_{49}$  nanobelts (m- $W_{18}O_{49}$  NB or m- $WO_{2.72}$  NB) with abundant oxygen vacancies <sup>[76]</sup>. The preparation process included a simple solvothermal process and a calcination treatment. The m- $W_{18}O_{49}$  NB electrode exhibited a high initial discharge specific capacity of about 1320 mAh g<sup>-1</sup> and a discharge capacity of 1284.8 mAh g<sup>-1</sup> at 100 mA g<sup>-1</sup> after 120 cycles. The m- $W_{18}O_{49}$  NB electrodes show much higher specific capacities and better cycling stability than the mesoporous WO<sub>3</sub> nanobelts (m-WO<sub>3</sub> NB) and bulk  $W_{18}O_{49}$  (b- $W_{18}O_{49}$ ). The superior lithium storage performance of m- $W_{18}O_{49}$  NB electrodes might be ascribed to two aspects: (1) enhanced electronic conductivity and more active sites for conversion reactions provided by the abundant oxygen vacancies. (2) Short diffusion path for lithium ions and larger contact area between the electrode and electrolyte derived from the mesoporous structure.

*Tungsten oxide composites*: Besides downsizing or the design of unique structure of tungsten oxides, integrating carbonaceous materials with it is also a commonly used way to improve the electronic conductivity and the cyclic stability of electrodes. Zeng and coworkers prepared a 3D hierarchical sandwich-like nanocomposite of tungsten oxide nanoplates and graphene (TTNPs-GS) <sup>[77]</sup>. The obtained TTNPs-GS electrode exhibited a high initial discharge capacity of 1261 mAh g<sup>-1</sup> at 72 mA g<sup>-1</sup> and delivered a specific capacity of 792 mAh g<sup>-1</sup> at 180 mA g<sup>-1</sup> after 50 cycles. In addition, the TTNPs-GS electrode also showed excellent rate capability and long cycle life (615

mAh g<sup>-1</sup> after 1000 cycles at 1080 mA g<sup>-1</sup>). Gu et al. synthesized a composite of bamboo-like WO<sub>3</sub> nanorods anchored on three-dimensional nitrogen-doped graphene frameworks (r-WO<sub>3</sub>/3DNGF) via a hydrothermal process and a post-heating treatment <sup>[78]</sup>. Compared with the pristine WO<sub>3</sub> electrode, the r-WO<sub>3</sub>/3DNGF-20 electrode (20 wt% 3DNGF in the composite) exhibited better cyclic stability, and a reversible capacity of 828 mAh g<sup>-1</sup> is maintained after 100 cycles at 100 mA g<sup>-1</sup>. A good rate capability of r-WO<sub>3</sub>/3DNGF-x electrodes (x=30, 20, 10, and 5) could also be observed. Gu and coworkers believed the synergistic effects of n-doped graphene and WO<sub>3</sub> help improve the lithium storage performance of the r-WO<sub>3</sub>/3DNGF composites <sup>[78]</sup>. Park et al. reported a similar composite of WO<sub>3</sub> and reduced graphene oxide (WO<sub>3</sub>/RGO) <sup>[79]</sup>. The WO<sub>3</sub>/RGO composite delivered a reversible capacity of 487 mAh g<sup>-1</sup> at 150 mA g<sup>-1</sup> over 100 cycles, which shows a clear enhancement in capacity compared with pristine WO<sub>3</sub> and RGO.

Molybdenum oxides including molybdenum trioxide (MoO<sub>3</sub>), molybdenum dioxide (MoO<sub>2</sub>), and MoO<sub>3-x</sub> ( $0 \le x \le 1$ ) have been extensively considered due to high theoretical capacities (MoO<sub>3</sub> 1117 mAh g<sup>-1</sup>, MoO<sub>2</sub> 838 mAh g<sup>-1</sup>), low cost, chemical stability, and the environmentally friendly nature <sup>[80]</sup>.

MoO3: Among several MoO3 polymorphs, orthorhombic MoO3 (a-MoO3) and hexagonal MoO3 (h-MoO<sub>3</sub>) are mostly investigated as anode materials for LIBs. Zakharova et al. develop a microwave-assisted hydrothermal route to prepare both  $\alpha$ -MoO<sub>3</sub> and h-MoO<sub>3</sub><sup>[81]</sup>. They found that when the voltage range is limited to 1.5-3.5 V, the  $\alpha$ -MoO<sub>3</sub> electrode exhibited better cycling stability than that at the voltage range of 0.1-3.0 V due to the disappearance of the irreversible conversion reaction at low voltage (around 0.4 V). In addition, a-MoO<sub>3</sub> is more suitable for reversible electrochemical Li<sup>+</sup> storage than h-MoO<sub>3</sub>, which can be ascribed to the inferior structural stability of h-MoO<sub>3</sub>. In order to improve the cycling stability and rate capability of MoO<sub>3</sub>, special structure designs like nanostructure and composite construction with carbonaceous materials were reported. Xia and coworkers fabricated a MoO<sub>3</sub>/carbon nanocomposite by using a facile one-pot route <sup>[82]</sup>. The MoO<sub>3</sub>/C electrode showed a high discharge capacity of 1260 mAh g<sup>-1</sup> at 100 mA g<sup>-1</sup> <sup>1</sup>, the capacity decreased very fast in the first 20 cycles and keep stable in the following 80 cycles. The good cycling performance was explained with the fact that the uniformly dispersed carbon accommodates the volume change during cycling. Nadimicherla et al. prepared single crystalline flower like  $\alpha$ -MoO<sub>3</sub> nanorods ( $\alpha$ -MoO<sub>3</sub> NR) via a simple solvothermal approach <sup>[83]</sup>. The  $\alpha$ -MoO<sub>3</sub> NR electrode exhibited a high initial specific discharge capacity of 1182 mAh g<sup>-1</sup> and capacity retention of 55% after 189 cycles at 30 mA g<sup>-1</sup>. Zhang et al. prepared a nanocomposite composed of nitrogen-doped carbon nanotubes with α-MoO<sub>3</sub> (α-MoO<sub>3</sub>/N-CNTs) <sup>[84]</sup>. The α-MoO<sub>3</sub>/N-CNTs electrode showed improvement in the cycling performance and rate capability in comparison with pristine MoO<sub>3</sub>, attributed to the structural integrity and good conductivity derived from the interconnected α-MoO<sub>3</sub> and N-CNT framework.

*MoO*<sub>2</sub>: MoO<sub>2</sub> has been suggested as a promising anode material for LIBs owing to its relatively high electrical conductivity, high reversible capacity, and good chemical stability <sup>[85]</sup>. Fast capacity

fading and poor rate performance of the pristine MoO<sub>2</sub> electrode, however, hinder its further application for LIBs. Therefore, a number of nanostructured MoO<sub>2</sub> like MoO<sub>2</sub> nanoparticles, nanorods, nanotubes as well as composites of MoO2 and carbon-based materials have been reported with enhanced electrochemical properties <sup>[86, 87]</sup>. Wang et al. developed a facile synthesis method to prepare triple-shelled MoO<sub>2</sub>/C composited hollow spheres <sup>[88]</sup>. The MoO<sub>2</sub>/C electrode exhibited an initial discharge capacity of 1139 mAh g<sup>-1</sup> with a Coulombic efficiency of 67% and a reversible capacity of about 580 mAh g<sup>-1</sup> at 500 mA g<sup>-1</sup> after 200 cycles. Zhang and coworkers designed 3D hierarchical MoO<sub>2</sub>/C composites (MoO<sub>2</sub>/CF), where MoO<sub>2</sub> nanoparticles were embedded in the carbon microflowers via a facile self-assembly method <sup>[89]</sup>. Thanks to the synergistic effect of MoO2 and carbon, the MoO2/CF electrode showed a high reversible capacity of 690 mAh g<sup>-1</sup> after 200 cycles at 500 mA g<sup>-1</sup> and a specific capacity of 576 mAh g<sup>-1</sup> over 200 cycles at a high current density of 1000 mA g<sup>-1</sup>. Sun and coworkers fabricated a hierarchical MoO<sub>2</sub>/graphene hybrid by sonication and a calcination process. This hybrid electrode showed the typical electrochemical behavior of MoO2 and excellent rate performance at high current densities <sup>[90]</sup>. Yang et al. synthesized hierarchical MoO<sub>2</sub>/N-doped hetero-nanowires (MoO<sub>2</sub>/N-C H-NW) by simple calcination using organic-inorganic hybrid nanowires as a precursor and self-template <sup>[91]</sup>. In this unique structure, the MoO<sub>2</sub> nanoparticles were embedded in the one-dimensional N-doped carbon matrix, promoting the pseudocapacitance, decreasing the charge resistance, and buffering the volume change of MoO2 during cycling. As a result, the MoO2/N-C H-NW electrode exhibited a reversible capacity of 700 mAh g<sup>-1</sup> after 400 cycles at 2000 mA g<sup>-1</sup>, which still remained 570 mAh g<sup>-1</sup> after 1500 cycles.

#### 1.3.2 The research progress of tungsten disulfides and their composites for LIBs

Tungsten disulfide (WS<sub>2</sub>) also attracted considerable attention due to its comparatively high conductivity and layered structure for lithium ion battery applications. Similar to other conversion anode materials, nanostructured WS<sub>2</sub> was also widely synthesized to improve the battery performance. Liu et al. fabricated an ordered mesoporous WS<sub>2</sub> via a vaccum impregnation route <sup>[92]</sup>. The mesoporous WS<sub>2</sub> electrode exhibited a higher initial efficiency (79.8%) than the bulk material (74.1%), demonstrating a lower irreversible capacity of 264 mAh g<sup>-1</sup> for the mesoporous WS<sub>2</sub> electrode compared to the bulk counterpart (325 mAh g<sup>-1</sup>). Moreover, compared with the bulk WS<sub>2</sub>, better cyclic stability over 100 cycles and rate capability were achieved for the mesoporous WS<sub>2</sub> electrode.

Likewise, carbon-based materials like graphene and carbon nanofiber were adopted to form composites with WS<sub>2</sub>. Kim et al. prepared onion-liked WS<sub>2</sub> on graphene nanosheets (WS<sub>2</sub>@G) by ball-milling and a sulfidation treatment <sup>[93]</sup>. Compared with the pristine WS<sub>2</sub> electrode, although a similar initial specific capacity was achieved, the WS<sub>2</sub>@G electrode exhibited a reversible capacity of about 385 mAh g<sup>-1</sup> after 150 cycles at 100 mA g<sup>-1</sup>, indicating a big improvement in capacity retention. In addition, in order to obtain better cyclic stability, the carbon-coated WS<sub>2</sub>@G (C@WS<sub>2</sub>@G) was prepared. The synthesized C@WS<sub>2</sub>@G electrode showed a high capacity

retention of 61.9% after 500 cycles at a high current density of 1000 mA g<sup>-1</sup>. Wang and coworkers prepared aerogels of WS<sub>2</sub>, carbon nanotube, and reduced graphene (WS<sub>2</sub>/CNT-rGO) with an ordered microchannel 2D structure through a simple solvothermal method and an ice-template assisted post-freeze-drying process. Benefiting from the obtained unique structure, the WS<sub>2</sub>/CNT-rGO electrode showed capacity retention of 99% after 100 cycles at 100 mA g<sup>-1</sup>, implying the synergistic effect between WS<sub>2</sub> nanosheets and CNT-rGO networks.

Zhang et al. developed a novel and efficient method to prepare a hierarchical WS<sub>2</sub>/graphenecarbon nanofiber (WS<sub>2</sub>/GCNF) hybrid, which the uniform growth of WS<sub>2</sub> nanosheets on a GCNF membrane <sup>[94]</sup>. The WS<sub>2</sub>/GCNF electrode delivered a high capacity of 1128.2 mAh g<sup>-1</sup> at 100 mA g<sup>-1</sup>, and excellent capacity retention of 95% after 100 cycles. In addition, outstanding rate performance was also obtained for the WS<sub>2</sub>/GCNF electrode. Zhang and coworkers concluded that the 3D porous nanofiber networks of WS<sub>2</sub>/GCNF could not only effectively facilitate the transport of electrons for the fast reaction of WS<sub>2</sub>, but also maintain the structural integrity by mitigating volume expansion during cycling <sup>[95]</sup>.

#### 1.4 Aims

As presented above, many efforts have been taken to improve the lithium ion battery performance of these advanced metal-based anode materials. However, there are still some urgent issues that need to be solved.

First, for transition metal oxides and sulfides, most studies integrated them with high-cost carbonaceous materials like graphene, and carbon nanofibers. Although enhanced battery performance could be obtained for these composites, the complicated preparation route as well as the expensive carbon source make it hardly applicable for industry application. Therefore, a simple and low-cost strategy should be developed to prepare composites of transition metal oxides and sulfides with carbonaceous materials for high-performance lithium ion batteries.

Next, for transition metal carbides (MXenes), so far, most studies focused on the standard MXene,  $Ti_3C_2$ , and its composites for lithium ion battery application, while little attention was paid to investigating the electrochemical behavior of other two important MXenes (Nb<sub>2</sub>C and V<sub>2</sub>C). Besides, similar to the case of graphene, exfoliated few layers MXenes are widely used to support other anode materials to construct composites, however, the layered structures of unexfoliated multilayer MXenes, which are generated from the preparation process are rarely studied. This is because the multilayer MXenes dispersion in water is quite unstable, which could hardly combine with other materials in further processes like liquid assembly and hydrothermal process. Additionally, there are only few investigations on the composites of MXene with other anode materials and the improvement of lithium storage performance in these composites is also unsatisfactory due to limited preparation routes and inappropriate structure design of composites.

Hence, the studies in this thesis adopt several useful and facile routes to overcome the above issues, which are shown in the following chapters.

### 2. Experimental methods

#### 2.1. X-ray diffraction

Powder X-ray Diffraction (PXRD) is a technique to determine the crystallographic structure of materials. In PXRD, the generated monochromatic X-rays interact with samples. The interaction of the incident rays with the sample produces constructive interference (and a diffracted ray) when conditions satisfy Bragg's Law<sup>[96]</sup>:

$$2d\sin\theta = n\lambda \qquad (7)$$

Here d is the spacing of the crystal layers,  $\theta$  is the incident angle (the angle between the incident ray and scattering plane),  $\lambda$  is the wavelength of the X-rays, and n is an integer. These diffracted X-rays are then detected, processed, and counted. Since the powdered material has random orientation, all possible diffraction directions of the lattice should be collected by scanning through a range of 2 $\theta$  angles.

Owing to the finite volume of the sample, broadening of the observed peaks in the diffraction pattern may occur. The size of crystallites up to 100 - 200 nm can be estimated from this broadening using the Scherrer equation <sup>[96]</sup>:

$$D = \frac{K\lambda}{\beta\cos\theta}$$
(8)

Where D is the mean size of the ordered crystallite domains, K is the Scherrer constant,  $\lambda$  is the wavelength of the X-ray,  $\beta$  is the full width at half maximum of the peak, and  $\theta$  is the Bragg angle.

XRD data in this thesis were measured by I. Glass at the Institute of Earth Sciences at Heidelberg University by using a Bruker D8 Advance ECO X-ray diffractometer with Bragg-Brentano geometry and a SSD160 detector. The X-ray tube with a copper anode was operated at 30 kV and 33 mA, generating characteristic Cu-K $\alpha_1$ , 2 radiation with wavelengths of  $\lambda_1 = 1.540562$  Å and  $\lambda_2$ = 1.544390 Å.

#### 2.2. Thermogravimetric analysis (TGA)

Thermogravimetric analysis is a method of thermal analysis. In this technique, the mass of a substance is monitored as a function of temperature or time, when the specimen is subjected to a controlled temperature in a controlled atmosphere. One can obtain the TGA curve by plotting the weight or weight percent versus time or temperature. This measurement provides some information about the physical and chemical phenomena of a specimen like phase transitions, adsorption/desorption, and thermal decomposition. Before starting TGA measurements, the experimental conditions including temperature range, temperature scanning rate, and sample atmosphere are chosen based on the expected result and the information of samples.

TGA can be used for the thermal stability assessment of substances, the decomposition mechanism of inorganic salts, and the compositional analysis of samples. In the battery field, TGA is usually used for the analysis of electrode materials, especially, the carbon content in active materials. In this thesis, chapter 4 gives an example of determining the carbon content in carbon-coated tungsten oxides and disulfides.

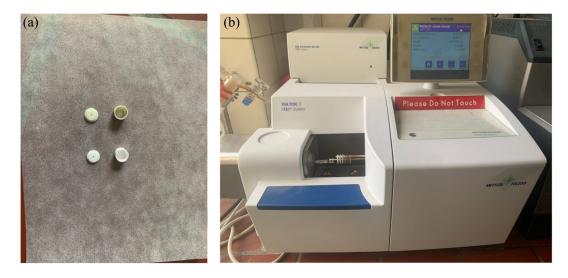


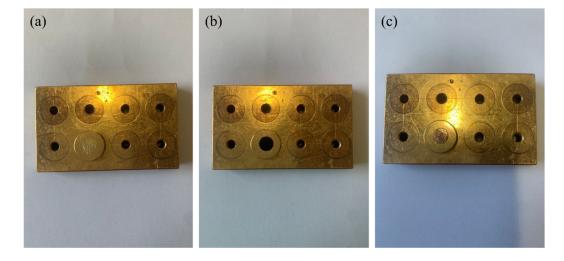
Figure 8. Photographs of crucibles (a) and the TGA device (b).

TGA measurements were conduct with Mettler Toledo TGA/SDTA 851e device at the Organisch-Chemisches Institut, Heidelberg University (Bunz group). The device mainly includes the furnace, gas, system, and software system. In this thesis, the measurements were all performed under air. For the measurement, firstly, several mg of powdered samples were added to a crucible (Figure 8a). The sample crucible and a reference crucible were then transferred to the holder which is attached to the end of the TGA sensor and bears the reference and sample crucibles, acting as a weighting pan (Figure 8b). A protocol including the temperature range, gas flow rate, and temperature gradient for the TGA measurement was set in the STAR<sup>e</sup> software. After sending the protocol to the other systems in the TGA device, the measurement would run automatically. The crucibles were taken out of the furnace after cooling to room temperature and cleaned by a burner. Before starting the measurement for samples, a blank experiment with an empty crucible was conducted. The TGA data were collected and analyzed by subtracting the blank.

#### 2.3. Scanning electron microscopy (SEM)

SEM generates various signals, which contain information about the surface topography and composition of samples by using a focused beam of high-energy electrons interacting with the sample. Various signals produced from SEM by interactions of the electron beam with the sample include secondary electrons, back-scattered electrons, diffracted backscattered electrons that are used to determine crystal structures and orientations of minerals, as well as photons (characteristic X-rays that are used for elemental analysis), visible light (cathodoluminescence), and heat <sup>[97]</sup>. Among them, backscattered electrons and secondary electrons are commonly used as two modes of electron detection that allow for different types of imaging and analysis. Backscattered electrons can be detected to show contrast based on different chemical compositions across an image, while secondary electrons give information about the surface topography. Besides, the generated characteristic X-ray with a fixed wavelength unique to its atomic number can be detected in an energy-dispersive X-ray spectrum (EDX or EDS). This measurement gives information about the elemental distribution at a large region by the mapping mode <sup>[98]</sup>.

SEM is operated at a high vacuum and it normally achieves a resolution of tens of nanometers. During the SEM measurement, a charging effect will occur if the sample is non-conductive or lowconductive. This phenomenon is derived from the accumulation of negative charges on the sample with low conductivity when irradiated by the electron beam. The charging effect could cause image distortion and contrast irregularities. Therefore, for non-conductive or low-conductive samples, methods are adopted to obtain high-quality SEM images like gold coating on samples, operating at an environmental SEM (ESEM) or low-voltage mode.



**Figure 9.** Photographs of the sample preparation process for SEM measurements: pictures of a stub on panel (a), the carbon tab dispersed with the sampled glued on the stub before (b), and after gold coating (c).

Figure 9 shows the sample preparation process for SEM measurements. The carbon tab (EM-Tec CT6 Conductive double-sided adhesive carbon tabs, 6mm in thickness) is firstly glued to a stub, which is fixed on a panel. Next, the powdered sample is dispersed on the carbon tab. The sample is coated with gold if it is non-conductive or poorly conductive. The measurements were performed on a ZEISS Leo 1530 scanning electron microscope. The main chamber of the SEM device was first vented with nitrogen flow until the chamber pressure approaches atmospheric pressure. Afterward, the holder containing several stubs was transferred to the main chamber and pumped until the chamber pressure is below  $2 \times 10^{-5}$  mbar. Next, stage navigation was used to set the position of the holder. The electron gun and Electron High Tension mode were turned on sequentially to produce and accelerate electrons. After changing the detection mode, the SEM images could be observed and recorded.

#### 2.4. Transmission electron microscope (TEM)

Different from SEM, the transmitted electrons are collected after passing through the sample and the image is formed on the fluorescent screen, either by using the transmitted beam or by using the diffracted beam of the TEM measurement. Consequently, TEM provides invaluable information on the inner structure of the sample, While SEM offers information on the surface and composition of samples. TEM normally requires a sample thickness of less than 100 nm. In addition, High-Resolution TEM (HRTEM) and TEM-energy dispersive spectroscopy (TEM-EDS) help to identify the crystal structure and element composition of samples. TEM measurement require thin and flat samples on dedicated grids (e.g. copper grids).

TEM data including TEM images, HRTEM images, and TEM-EDS were measured by Wojciech Kukułka and Tomasz Kędzierski from the West Pomeranian University of Technology, Poland. The measurements were conducted on a Tecnai F30 transmission electron microscope (FEI Corporation, USA) at an acceleration voltage of 200 kV. The elemental mapping was performed on a scanning transmission electron microscope unit (STEM) with a high-angle annular dark-field detector (HAADF) (FEI, Tecnai F30) operating at an acceleration voltage of 200 kV.

#### 2.5. X-ray photoelectron spectroscopy (XPS)

X-ray photoelectron spectroscopy (XPS) is a technique for the compositional and chemical state analysis of the surface of a sample. The basic principle of XPS is the photoelectric effect described by Einstein (1905), in which electrons are emitted from atoms in response to impinging electromagnetic radiation <sup>[99]</sup>. In XPS, the photoelectrons are produced when the sample is irradiated with X-rays. The kinetic energy of emitted electrons is analyzed to obtain the binding energy of electrons based on the following equation <sup>[99]</sup>:

$$E_{\text{binding}} = h\nu - (E_{\text{kinetic}} + \Phi)$$
 (9)

Here  $E_{binding}$  is the binding energy of the electron measured relative to chemical potential, hv is the energy of the X-ray photons being used,  $E_{kinetic}$  is the kinetic energy of electrons measured in the experiment and the work function  $\Phi$  is a correction factor for the instrument and correlates to the minimum energy required to eject an electron from an atom.

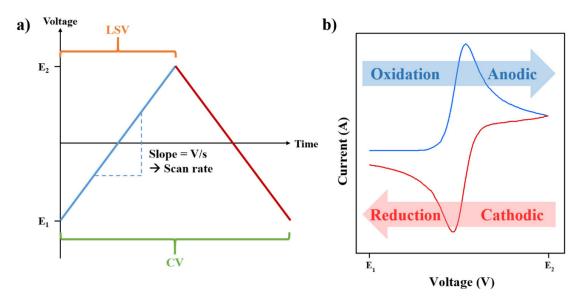


**Figure 10.** Photographs of the sample preparation process for XPS measurements. Pictures of (a) copper pads before (top) and after polishing (bottom). (b) indium foil on the copper pad before (top) and after polishing (bottom). (c) sample dispersed on indium foil.

The sample preparation for XPS measurement is presented in Figure 10. In the first step, the copper pad is polished with sandpaper. The indium foil is then pressed on the polished copper pad and the indium oxide on the surface of the indium foil is also removed. The sample powder is pressed into the indium foil, a brush is used to remove the loose powder. The prepared sample is used for the XPS measurement.

XPS data were measured by Zhiyong Zhao at the institute of Angewandte Physikalische Chemie, Universität Heidelberg (Zharnikov group). The measurements were conducted using a MAX 200 (Leybold-Heraeus) spectrometer equipped with a hemispherical analyzer (EA 200; Leybold-Heraeus) and an Mg K $\alpha$  X-ray source. The XP spectra were acquired in normal emission geometry with an energy resolution of ~0.9 eV. The binding energy scale of the spectra was referenced to the Au 4f<sub>7/2</sub> peak at 84.0 eV.

#### 2.6. Cyclic voltammetry (CV)



**Figure 11.** Voltage vs. time profile for the linear sweep and cyclic voltammetry (a). Typical cyclic voltammogram plotting (b). (a, b) Reproduced with permission from Ref. [100].

Cyclic voltammetry is a powerful electrochemical technique to investigate the oxidation and reduction processes of molecular species <sup>[100]</sup>. As shown in Figure 11a, the process is called Linear Sweep Voltammetry (LSV) if the current response is recorded by sweeping the potential linearly as a function of time. Similarly, CV measures the current response while the potential is swept linearly back and forth (from E1 to E2 and from E2 to E1) between the chosen limits. Figure 11b shows a typical CV plotting in which the blue peak represents the oxidation reaction at an anodic sweep and the red peak corresponds to the oxidation reaction at a cathodic scan.

CV curves can give information about the thermodynamics and kinetics of the electrode which is very useful for energy storage systems, especially the lithium ion battery. With CV measurements, one can know whether there are electrochemical reactions for an electrode at a given voltage range. One can further determine the potential at which an oxidation or reduction reaction occurs if there exist electrochemical reactions. In addition, information about the reversibility of electrochemical reactions is possible. Also, one can change testing conditions like scan rate, and temperature to understand the kinetic behavior of an electrode [100].

In this thesis, we mainly use the CV technique to investigate the electrochemical behavior of anode materials. The CV measurements were conducted on the electrochemical workstations Biologic BCS805 battery cycler series and Biologic VMP3 potentiostat. For our anode materials, the voltage range and scan rate were set as 0.01V-3V and 0.1 mV s<sup>-1</sup>, respectively.

#### 2.7. Galvanostatic cycling with potential limitation (GCPL)

Galvanostatic cycling with potential limitation (GCPL) is a technique to measure the chargedischarge curve of a cell at a constant current in a given potential range. This technique can give information about the discharge/charge capacities, cycling performance, and rate performance of a cell. During the measurement, the potential of the cell is recorded as a function of time. The specific capacity  $Q_m$  can be calculated by the Equation (10):

$$Q_{\rm m} = \frac{1}{\rm m} \int_{\rm t_1}^{\rm t_2} I(t) \, {\rm d}t$$
 (10)

Here,  $Q_m$  is the specific capacity of the electrode, m is the mass of active materials in the electrode, t<sub>1</sub> and t<sub>2</sub> are the beginning time and ending time of discharging or charging respectively, and I(t) is the current applied on the cell.

For the GCPL measurement, the equation can be further simplified as  $\Delta Q_m = I\Delta t$ , which can easily give the value of the specific capacity. One will also obtain the cycling performance by plotting the specific capacity versus the cycle number. Similarly, the rate performance can be measured by using various current densities for specific cycle numbers.



Figure 12. Photographs of the VMP3 potentiostat (left) and the BCS805 battery cycler series (right) used in this thesis.

In this thesis, the GCPL measurements were performed by the electrochemical workstations Biologic BCS805 battery cycler series and Biologic VMP3 potentiostat (Figure 12). In addition, a current density of 100 mA  $g^{-1}$  was adopted for most measurements. A large current density of 500 mA  $g^{-1}$  or 1000 mA  $g^{-1}$  was used for long-term cycling stability measurements. During the GCPL measurements, the E vs t curve was recorded and can be converted to E vs capacity. The data was collected and analyzed by the software (Origin) to produce the charge/discharge curves as well as cycling performance.

## 2.8. Synthesis methods

In order to obtain different kinds of samples, various synthesis methods were used in this thesis. The transition metal carbides (Ti<sub>3</sub>C<sub>2</sub>, V<sub>2</sub>C, and Nb<sub>2</sub>C) and transition metal oxides including molybdenum oxides (MoO<sub>3</sub> and MoO<sub>2</sub>) and tungsten oxides (WO<sub>3</sub> and WO<sub>2</sub>) were synthesized by the hydrothermal method. For the preparation of the composites of transition metal oxides and carbon as well as metal disulfides, the solid state method was adopted after the hydrothermal. In addition, synthesis in the ball-milling method was developed to provide a simple and facile way to prepare MoO<sub>3</sub>/Nb<sub>2</sub>C composites.

## 2.8.1 Hydrothermal method

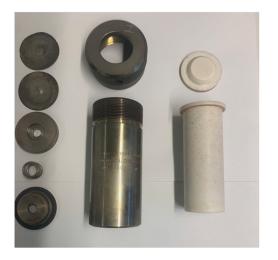




Figure 13. Photographs of a hydrothermal reactor.

The hydrothermal method is a way to use an aqueous solution in a special closed reaction vessel to create a high-temperature (above 100 °C) and high-pressure (over 1 bar) reaction environment for sample synthesis.

The photographs of hydrothermal equipment in our lab are shown in Figure 13. It consists of an outer stainless steel reactor and an inner Teflon liner (white). Before starting the reaction, the raw materials are dissolved in water and transferred to the inner Teflon liner. The reactor is then sealed by screwing the cap and put in the heating chamber (Binder World ED023UL). The growth temperature and growth time are set according to different sample growth requirements.

The hydrothermal reactor should not be taken out until it cools to room temperature. After the disassembling process, the poorly water-soluble or insoluble sediments are collected and washed. A centrifuge (Hettich Mikro 22R) is used in the collection step. The centrifugation speed and centrifugation time are normally set to 6000 rpm and 5 minutes, respectively. Water washing is then the following step to remove impurities that can easily dissolve in water. After several times performing the above steps, the sediment can be sent to a vacuum oven for drying.

Advantages of the hydrothermal synthesis method include size and morphology control and the simple operation process. The hydrothermal synthesis method is simple to operate and can be easily scale-up to the industrial demand. Besides, one could control the crystal size and morphology by varying the hydrothermal conditions (reaction time, temperature, pH values, and capping agents). The capping agents are materials which used as stabilizing agent and provide colloidal stability, resulting in the controlled growth of crystal. Other methods like liquid assembly can be combined with the hydrothermal synthesis method to obtain the expected structure (see the MoO<sub>2</sub>/C/V<sub>2</sub>C part for details). However, there are still some limitations to this method. Due to its aqueous environment, only oxides and some metal sulfides could be prepared. For air or watersensitive samples, the synthesis is also difficult to realize. In addition, restricted by the Teflon liner, hydrothermal temperatures over 200 °C can not be applied, which restricts the temperature requirement for some materials.



Figure 14. Pictures of the ball-milling device

#### 2.8.2 Ball-milling method

Ball milling is a mechanical technique that is used to grind powders to fine particles or prepare composites. Especially, this method, dominated by the shear force, provides a simple and low-cost way for the synthesis of composites. Figure 14 presents pictures of the ball milling device (Retsch Planetary Ball Mill PM 100). It mainly includes the rotating shell which creates centrifugal force, the grinding jar with different volumes, and the grinding balls. Both dry grinding and wet grinding can be applied to the synthesis process based on the requirement of final products. Besides, a suitable ball size is also important for the process. In our experiment, ZrO<sub>2</sub> balls with a diameter of 1mm were adopted.

The raw materials and balls are slowly added to the grinding jars during preparation. The solvent is added if the wet grinding method is used. The grinding jar is then transferred to the rotating shell and fixed. The parameters should be set carefully like the ball milling time, and the ball milling speed. After the ball milling process, the sample is collected by washing it with solvents and dried.

For battery research, the balling-milling method is normally developed for the preparation of cathode materials in combination with a solid-state reaction <sup>[101]</sup>. Additionally, it is also an effective way to prepare carbon-coated materials and nanosized materials for enhanced lithium storage materials <sup>[102, 103]</sup>.

# 2.8.3 Solid state synthesis method

The solid state synthesis method is a process that does not include any solvent. In our case, it includes the carbonization process, sulfurization process, and annealing process. This device used for the method in this thesis consists of a tube furnace and a gas control system which can maintain the pressure value in the tube by adjusting the rate of gas flow. Inert gases like argon or nitrogen is used as a protective atmosphere and for transporting flow during the solid state reaction.

For the preparation process, the raw material in a crucible boat is put in the center of the tube. The tube is then sealed, pumped for 20 minutes, and flushed to atmospheric pressure with the inert gas. After three times pumping and flushing, the temperature program, time program, and gas flow rate are set. For the sulfurization process, an extra crucible boat containing a sulfur source is also required.

#### 2.8.4 Etching method

The etching method is a way to prepare samples by etching precursors using various etching agents. In this thesis, HF etching and LiF+HCl mixed solution etching methods were used for the preparation of MXenes. Since hydrofluoric acid is highly toxic and corrosive, which is dangerous to operate in general chemistry labs, the HF etching experiments thus were conducted in HF-lab at the Institute of Earth Sciences, Heidelberg University (Pross group). Before starting the experiment, one must wear protective clothing including a lab coat, acid-resistant apron, close-toed shoes, long pants, and full-face goggles with side protection. HF should be handled inside of a fume hood and an HF spill kit should be nearby. After the etching reaction, CaCl<sub>2</sub> is used to react with residual HF in solution, resulting in the production of CaF<sub>2</sub> (insoluble in water) as well as HCl which was neutralized by a strong base e.g. NaOH.

#### 2.9. Lithium ion battery preparation

#### 2.9.1 Electrode preparation

The working electrode is prepared from a slurry, which is a mixture of active material, conductive additive, and the binder in the solvent. In our experiment, the synthesized material, carbon black (TIMCAL Graphite & Carbon, C-NERGY<sup>TM</sup> SUPER C65), and polyvinylidene difluoride (PVDF, Solvay Plastics) are used as active material, conductive addictive, and binder respectively. The synthesized material, carbon black, and PVDF are first added stepwise with a mass ratio of 7:2:1 to a 2 mL glass vial. N-methyl-2-pyrrolidone (NMP, Alfa Aesar,  $\ge$  99.5%) is added as a solvent to immerse the above mixture. The obtained slurry is then stirred for 24 h and

evaporated in a vacuum oven at 65°C and 11 mbar for 1-2 h until the dispersion has reached the right texture for further processing.

The slurry is pasted on current collectors when its viscosity reaches a status that the slurry can bind well with the current collectors. We used copper meshes with a diameter of 10 mm (Goodfellow Cambridge Ltd., Cu foil, thickness 0.25mm, 40x40wires/cm) as the current collector since all active materials worked as anode materials. In detail, several copper meshes are weighted first. After pasting, the electrode is dried in a vacuum oven for 24 h to remove residual solvent. The electrode is taken out and pressed at 10 Mpa for 10 seconds in a hydraulic press (RIKEN CD-10-10) before being used for further battery assembly.

## 2.9.2 Battery assembly

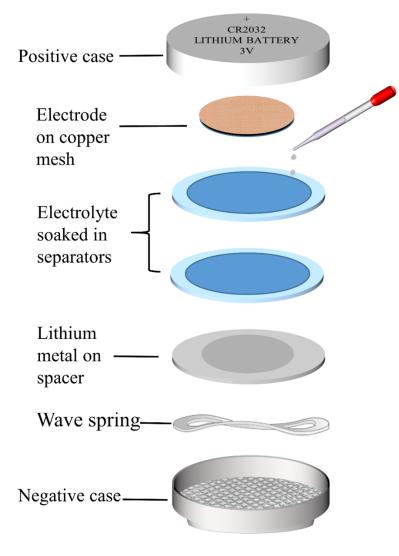


Figure 15. Illustration of a coin cell component.

The electrochemical measurements are performed using coin cells (CR2032) from which half cells with lithium metal as the counter electrode and the prepared electrode as the working electrode were built. The geometry and composition of these cells are depicted in Figure 15. A half cell consists of the working electrode, a counter electrode, electrolytes on two pieces of separators, coin cell cases, and a wave spring. For the assembly process, the working electrode (prepared electrode) is first transferred to the argon-filled glove box ( $O_2 < 0.1$  ppm,  $H_2O < 0.1$  ppm). A lithium foil (Sigma Aldrich) pressed on a nickel plate and two layers of glass microfiber separator (Whatman GF/D 70mm, GE Healthcare Life Sciences) soaked with 65µl of a 1 M solution of LiPF<sub>6</sub> in ethylene carbonate/dimethyl carbonate (EC/DMC 1:1 by volume) (LP30, Merck) are sequentially placed on a negative case. Followed by the electrode on a copper mesh and the positive case, the coin cell was sealed air-tight by pressing with 31.2MPa in a LITH-SF120 crimping machine (TMAX) with an MSK 640-G crimper (TMAX).

# 3. MXenes and their composite for battery application

## 3.1. Introduction

To meet the rapid development demand for next-generation LIBs with high energy density and long cycling life, enormous efforts were taken to find alternative anode materials such as transition metal oxides <sup>[104]</sup>, transition metal sulfides <sup>[105-107]</sup>, and silicon <sup>[108]</sup>. Among them, molybdenum oxides (MoO<sub>2</sub> and MoO<sub>3</sub>) are potential candidates due to their high theoretical capacity (838 mAh g<sup>-1</sup> for MoO<sub>2</sub> and 1117 mAh g<sup>-1</sup> for MoO<sub>3</sub>), the abundance, low cost, and environmentally friendly nature <sup>[109-112]</sup>. Unfortunately, the phase transformation and volume expansion occurring during the cycling process result in the pulverization of active materials and thereafter rapid capacity degradation. Moreover, their electrodes inevitably show inferior rate performance because of their poor electric conductivity <sup>[89,113-115]</sup>. To address these issues, constructing composites of molybdenum oxides and conductive carbon-based materials such as graphene or carbon nanotubes (CNTs) has been widely studied <sup>[116-118]</sup>.

MXenes, a new class of layered materials, first reported by Gogotsi's group <sup>[33]</sup>, have attracted widespread interest in multiple fields because of their excellent physical and chemical properties <sup>[37, 119]</sup>. As transition metal nitrides, carbides, and carbonitrides, MXenes are normally denoted as  $M_{n+1}X_nT$ , where M is an early transition metal, X is carbon and/or nitrogen, and T is surface functional groups. MXene nanosheets can be obtained by intercalation and sonication process <sup>[120]</sup>. Due to their high conductivity, excellent mechanical properties, and large surface area, a number of MXene nanosheets like Ti<sub>3</sub>C<sub>2</sub> and Nb<sub>2</sub>C were used to form composites with other high-capacity anode materials for lithium ion storage applications <sup>[121-123]</sup>. Bashir et al. prepared an MXene-supported Si@V<sub>2</sub>C composite by a sonication-assisted method <sup>[61]</sup>. When used for lithium ion battery, the Si@V<sub>2</sub>C composite anode exhibits a reversible capacity of 430 mAh g<sup>-1</sup> after 150 cycles at 200 mA g<sup>-1</sup>. Ai and coworkers synthesized SnS nanoparticles anchored in Ti<sub>3</sub>C<sub>2</sub> nanosheet matrix composites via an electrostatic attraction method <sup>[124]</sup>. The composite electrode shows an impressive specific capacity of 646 mAh g<sup>-1</sup> at the current density of 100 mAg<sup>-1</sup> after 100 cycles.

However, the utility of unexfoliated multilayer MXene with the unique accordion-like structure for composite construction has not yet been realized. The unique 'open structure', generated during the etching process by the production of H<sub>2</sub><sup>[33]</sup>, could provide a framework to accommodate other anode materials and finally produce the hierarchical structure composites, which allows fast electron and ion transfer during cycling and full contact between electrolyte and electrode. Moreover, the volume expansion that happens to materials like molybdenum oxides can be buffered since the confinement effect from the hierarchical structure.

Here, pristine MXenes e.g.  $Ti_3C_2$ ,  $V_2C$ , and  $Nb_2C$ , as fundamental materials were firstly prepared to investigate their lithium ion storage performance. The multilayer MXenes  $V_2C$  and  $Nb_2C$  were integrated with molybdenum dioxide and molybdenum trioxide via the electrostatic force-assisted hydrothermal method and the ball-milling method, respectively. When used as anode materials for LIBs, the obtained composites exhibits excellent battery performance.

## 3.2. MXenes for lithium ion battery application

For a better understanding and use of MXenes for lithium ion battery applications, in this chapter, pristine MXenes including  $Ti_3C_2$  and  $V_2C$  were synthesized and investigated physically and electrochemically. Two preparation routes (HF etching process and HCl+LiF etching process) were adopted to compare the differences in morphology, crystal structure, and electrochemical behavior of the obtained products. Part of the electrochemical data was measured by Felix Lulay under the supervision of the author <sup>[125]</sup>.

#### 3.2.1. Synthesis of MXenes

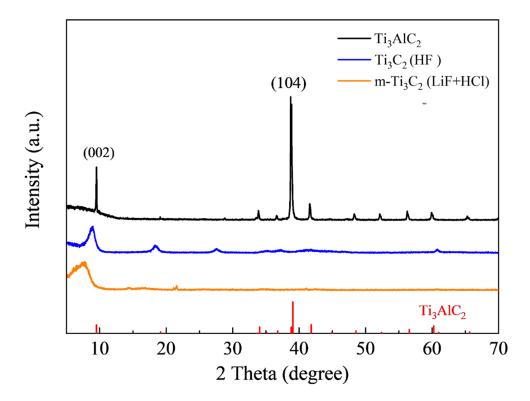
Two kinds of etching procedures with HF solution or HCl+LiF mixed solution, were adopted to prepare MXenes.

(1) HF etching process: Here, firstly, the precursor MAX was immersed in HF (48 wt%) at 60 °C for 48h. The sample was washed with deionized water and centrifuged several times until the pH value was above 5. The powder was collected and dried in vacuum at 60 °C for 12h. In this thesis, the Ti<sub>3</sub>C<sub>2</sub> MXene was prepared by this method from Ti<sub>3</sub>AlC<sub>2</sub>.

(2) HCl+LiF etching process: 1g LiF powder was dissolved in 9M HCl solution and magnetically stirred for 2h. The precursor MAX was then immersed into the above solution and the mixture was transferred to a 50 ml stainless steel autoclave lined with PTFE and heated at 90°C for 72h. The precipitate was collected after centrifugation, washed several times with water and ethanol until the pH value of the solution is above 5, and dried in an oven at 60 °C for 24 h. The obtained MXenes are denoted as m-MXenes. Here, m-Ti<sub>3</sub>C<sub>2</sub>, m-Nb<sub>2</sub>C, and V<sub>2</sub>C were prepared.

## 3.2.2. Ti<sub>3</sub>C<sub>2</sub> for lithium ion battery application

# 3.2.2.1. Physical characterization



**Figure 16.** XRD patterns of  $Ti_3AlC_2$ ,  $Ti_3C_2$  made by the synthesis method (1), and m- $Ti_3C_2$  made by the synthesis method (2). Vertical ticks show the reference pattern of  $Ti_3AlC_2$  according to ICSD code 153266 <sup>[126]</sup>.

Ti<sub>3</sub>C<sub>2</sub> has been prepared by the synthesis methods (1) and (2) using Ti<sub>3</sub>AlC<sub>2</sub> as the precursor. Figure 16 shows the XRD patterns of the precursor Ti<sub>3</sub>AlC<sub>2</sub> and synthesized Ti<sub>3</sub>C<sub>2</sub> made by different etching agents. The XRD pattern of the precursor shows sharp diffraction peaks with the (002) and (104) peaks as the most prominent ones, in accordance with the reference diffraction pattern. After synthesis, the diffraction peak at 39° corresponding to the (104) plane of Ti<sub>3</sub>AlC<sub>2</sub> strongly reduced for both Ti<sub>3</sub>C<sub>2</sub> materials. Besides, the (002) peak of Ti<sub>3</sub>C<sub>2</sub> shifts from 9.5° to a lower angle (8.7°) after the etching process. Both observations indicate the successful preparation of Ti<sub>3</sub>C<sub>2</sub><sup>[127]</sup>. For m-Ti<sub>3</sub>C<sub>2</sub>, a similar pattern can be observed, but the (002) peak of m-Ti<sub>3</sub>C<sub>2</sub> displays a larger shift compared with Ti<sub>3</sub>C<sub>2</sub> synthesized by HF solution, reflecting an increased interlayer distance due to the intercalation of Li ion during the etching process <sup>[123]</sup>. Furthermore, the absence of the (104) peak of Ti<sub>3</sub>AlC<sub>2</sub> with LiF+HCl etching indicates better removal of Al in this product benefitting from the unique preparation method.

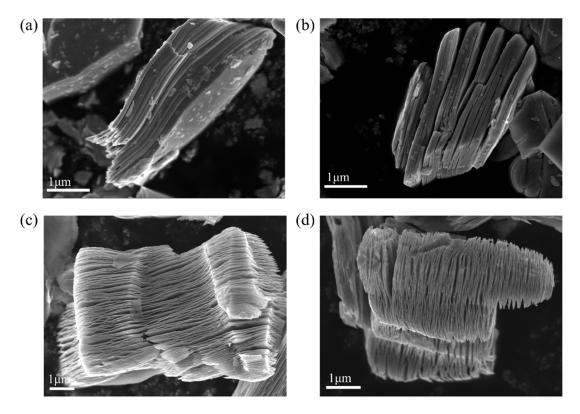


Figure 17. SEM images of  $Ti_3AlC_2$  (a),  $Ti_3C_2$  (b), and  $m-Ti_3C_2$  (c, d). The SEM images were measured by Lennart Singer.

The morphology and element compositions of the precursor  $Ti_3AlC_2$  and synthesized  $Ti_3C_2$  were investigated by SEM and SEM-EDS. As shown in Figure 17a,  $Ti_3AlC_2$  exhibits a compact bulky structure. After the removal of the Al layers,  $Ti_3C_2$  (Figure 17b) shows an accordion-like morphology with some open structure which might be made due to the generation of H<sub>2</sub> during the etching process <sup>[33]</sup>. By comparison, the m- $Ti_3C_2$  (Figure 17c, d) displays a more open structure, which could be ascribed to the unique hydrothermal environment as well as the mixture of (LiF+HCl). Therefore, the occurrence of an accordion-like morphology is not the only indication for the successful synthesis of MXenes. Other results from XRD and EDS measurements are necessary to combine with SEM images of products to confirm the successful synthesis <sup>[128]</sup>.

The ED spectra in Figure 18a, b show the existing elements in  $Ti_3C_2$  and m- $Ti_3C_2$ . After the etching process, there is still some Al left in both samples, indicating the only partial removal of Al. Similar results have been reported in many other studies <sup>[129, 130]</sup>. Interestingly, different surface functional groups were observed, F in  $Ti_3C_2$  and Cl as well as F in m- $Ti_3C_2$ , which could change the physical and chemical properties of the synthesized  $Ti_3C_2$ . According to theoretical calculations <sup>[48]</sup>, these surface groups could change the electronic structure of MXene and therefore affect their electrochemical properties.

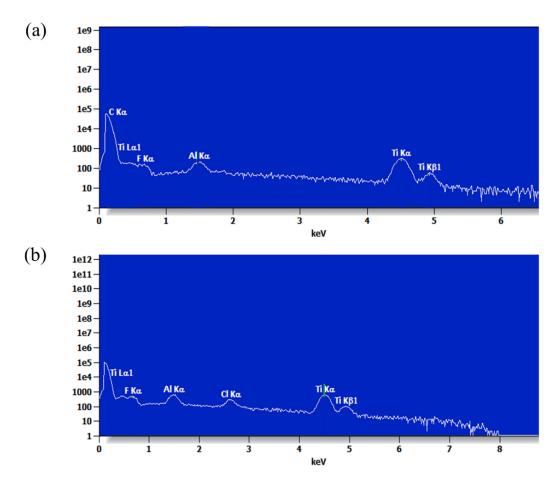
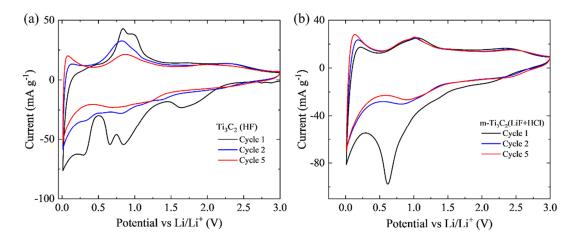


Figure 18. SEM-ED spectra of Ti<sub>3</sub>C<sub>2</sub> (a) and m-Ti<sub>3</sub>C<sub>2</sub> (b). The SEM-ED spectra were measured by Lennart Singer.

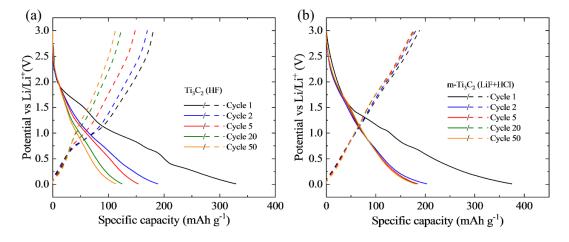
#### 3.2.2.2. Electrochemical characterization

CV and GCPL were conducted to study the lithium storage performance of Ti<sub>3</sub>C<sub>2</sub> and m-Ti<sub>3</sub>C<sub>2</sub>based electrodes. CV curves at a scan rate of 0.1 mV s<sup>-1</sup> and in a potential range of 0.01–3 V vs. Li/Li<sup>+</sup> for Ti<sub>3</sub>C<sub>2</sub> and m-Ti<sub>3</sub>C<sub>2</sub> are presented in Figures 19a, b, respectively. In the CV curve of Ti<sub>3</sub>C<sub>2</sub>, there are four reduction peaks during the first cathodic sweep. The reduction peaks at 1.6 and 0.9 V can be ascribed to the lithiation of Ti<sub>3</sub>C<sub>2</sub> <sup>[131, 132]</sup>. The reduction peaks at 0.7 V and 0.3 V which disappear in the subsequent cycles correspond to the formation of the solid electrolyte interphase (SEI) and irreversible electrochemical reactions, which might arise from impurities e.g. Ti<sub>3</sub>AlC<sub>2</sub>. In the first anodic sweep, two oxidation peaks at 1.0 and 2.3 V can be observed, which are related to the delithiation process of Ti<sub>3</sub>C<sub>2</sub> <sup>[131, 132]</sup>. However, reduction peaks ascribed to lithium insertion into Ti<sub>3</sub>C<sub>2</sub> in the second cycle do not match well with that in the fifth cycle, which might be due to the incomplete exfoliation and the existence of multiple surface groups.



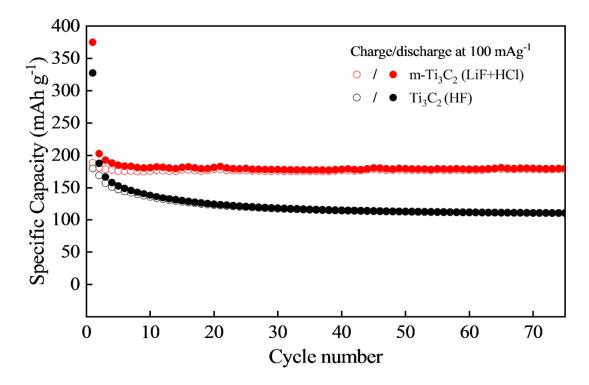
**Figure 19.** CV curves of  $Ti_3C_2$  (a) and m- $Ti_3C_2$  (b) electrodes for the first, second, and fifth cycles at a scan rate of 0.1 mV s<sup>-1</sup> and in a potential range of 0.01–3 V vs. Li/Li<sup>+ [125]</sup>.

For the m-Ti<sub>3</sub>C<sub>2</sub> electrode, two broad reduction peaks at 1.4 and 2.1 V, corresponding to the lithium ions insertion into Ti<sub>3</sub>C<sub>2</sub>, and one sharp reduction peak at 0.6 V, which is attributed to the formation of SEI, can be observed in the first cathodic sweep <sup>[131, 132]</sup>. There are two oxidation peaks at 1.0 and 2.4 V ascribed to the extraction of lithium ions from Ti<sub>3</sub>C<sub>2</sub> during the first anodic scan <sup>[131, 132]</sup>. The second and fifth CV profiles are mostly overlapping, implying high reversibility of Li ion storage in the m-Ti<sub>3</sub>C<sub>2</sub> electrode. The difference between the CV curves of Ti<sub>3</sub>C<sub>2</sub> and m-Ti<sub>3</sub>C<sub>2</sub> can be explained by several reasons: 1) m-Ti<sub>3</sub>C<sub>2</sub> and Ti<sub>3</sub>C<sub>2</sub> have different surface functional groups which might change their electrochemical behavior <sup>[48]</sup>. 2) The better exfoliation of m-Ti<sub>3</sub>C<sub>2</sub> causes fewer precursor Ti<sub>3</sub>AlC<sub>2</sub> left and more open structure, which might introduce less irreversible electrochemical reactions and therefore active sites change of lithiation and delithiation of Ti<sub>3</sub>C<sub>2</sub>.



**Figure 20.** Galvanostatic charge/discharge curves of the  $Ti_3C_2$  (a) and m- $Ti_3C_2$  (b) electrodes at a current density of 100 mA g<sup>-1</sup> for the specific cycles <sup>[125]</sup>.

Figure 20 shows the charge/discharge curves of the synthesized Ti<sub>3</sub>C<sub>2</sub>. The Ti<sub>3</sub>C<sub>2</sub> and m-Ti<sub>3</sub>C<sub>2</sub> electrodes deliver an initial discharge/charge capacity of 325/172 mAh g<sup>-1</sup> and 372/188 mAh g<sup>-1</sup>, respectively.



**Figure 21.** Cycling performance of  $Ti_3C_2$  and  $m-Ti_3C_2$  electrodes at a current density of 100 mA g<sup>-1</sup> in the range of 0.01-3.00 vs. Li/Li<sup>+[125]</sup>. Hollow and solid circles stand for charge and discharge capacities, respectively.

The cycling performances of  $Ti_3C_2$  and m- $Ti_3C_2$  are presented in Figure 21 and have been measured at a current density of 100 mA g<sup>-1</sup>. The  $Ti_3C_2$  electrode exhibits a significant capacity decrease in the first 30 cycles and remains stable in the following 40 cycles, exhibiting a specific discharge capacity of 112 mAh g<sup>-1</sup>. By comparison, the m- $Ti_3C_2$  electrode shows excellent cycling stability (98% capacity retention) after 70 cycles which still exhibits a reversible capacity of 185 mAh g<sup>-1</sup>.

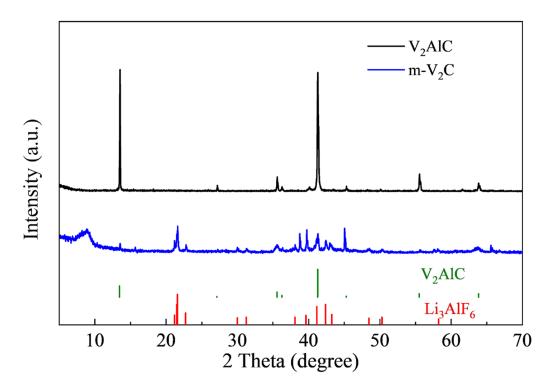
# 3.2.2.3. Discussion

In the context of the above results, it is worth noting that the m-Ti<sub>3</sub>C<sub>2</sub> electrode exhibits higher specific capacity and better cyclability than the Ti<sub>3</sub>C<sub>2</sub> electrode. It can have several reasons. Compared with Ti<sub>3</sub>C<sub>2</sub>, the larger interlayer distance of m-Ti<sub>3</sub>C<sub>2</sub> can ensure easier lithium ion transport, which benefits the reversible lithiation and delithiation of Ti<sub>3</sub>C<sub>2</sub>, leading to better cycling stability. According to other reports, the presence of F surface group in Ti<sub>3</sub>C<sub>2</sub> could cause low capacity <sup>[130, 48]</sup>. For m-Ti<sub>3</sub>C<sub>2</sub>, there are two kinds of groups on the surface, F and Cl. The existence of Cl might lead to the observed enhanced capacity. Furthermore, better exfoliation and fewer

impurities in m-Ti $_3C_2$  could also be a possible reason for the higher capacity of the m-Ti $_3C_2$  electrode.

In summary, compared with the conventional method (HF solution), the mixed solution (LiF+HCl) and hydrothermal environment process can produce a higher-quality MXene with better battery performance. Besides, more work might be done to investigate the effects of specific experimental conditions like hydrothermal temperature, hydrothermal time, and different intercalated agents on the battery performance of final products.

# 3.2.3. V<sub>2</sub>C for lithium ion battery application



## 3.2.3.1. Physical characterization

**Figure 22.** XRD patterns of V<sub>2</sub>AlC and m-V<sub>2</sub>C. Vertical ticks show the reference pattern of V<sub>2</sub>AlC and Li<sub>3</sub>AlF<sub>6</sub> according to ICSD code 606283 <sup>[133]</sup> and ICSD code 85171 <sup>[134]</sup>, respectively.

Inspired by the results of Ti<sub>3</sub>C<sub>2</sub> part, a HCl+LiF mixed solution was used to prepare m-V<sub>2</sub>C MXene. Figure 22 shows the XRD patterns of the precursor V<sub>2</sub>AlC and of m-V<sub>2</sub>C. After the synthesis process, the diffraction peaks corresponding to V<sub>2</sub>AlC significantly weaken and the peak at 8.7° ascribed to the (002) peak of V<sub>2</sub>C occurs, suggesting the successful preparation of V<sub>2</sub>C <sup>[121]</sup>. The appearance of extra diffraction peaks is associated with the impurity phase of Li<sub>3</sub>AlF<sub>6</sub>, which formed during the etching process <sup>[135]</sup>. Moreover, the typical accordion-like multilayered structure of V<sub>2</sub>C MXene could be observed in the SEM images presented in Figures 23b, c, which is in accordance with that of Ti<sub>3</sub>C<sub>2</sub> MXene.

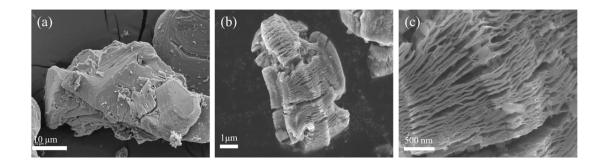
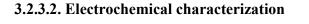
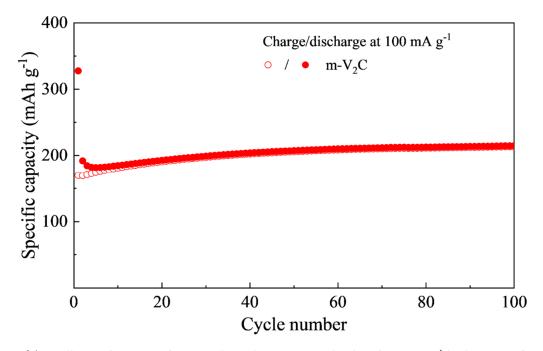


Figure 23. SEM images of V<sub>2</sub>AlC (a) and V<sub>2</sub>C (b, c).





**Figure 24.** Cycling performance of  $m-V_2C$  electrode at a current density of 100 mA  $g^{-1}$  in the range of 0.01-3.00 V vs. Li/Li<sup>+</sup>. Hollow and solid circles stand for charge and discharge capacities, respectively.

Figure 24 presents the cycling performance of the m-V<sub>2</sub>C electrode at a current density of 100 mA  $g^{-1}$  in the range of 0.01-3.00 V vs. Li/Li<sup>+</sup>. The m-V<sub>2</sub>C electrode delivers an initial discharge/charge capacity of 327/168 mAh  $g^{-1}$  and exhibits superior cycling stability and higher specific capacity compared to that of Ti<sub>3</sub>C<sub>2</sub> MXene with a reversible capacity of 212 mAh  $g^{-1}$  is attained after 100 cycles.

## 3.2.4. Discussion

From the above results, one can observe that both electrodes m-V<sub>2</sub>C and m-Ti<sub>3</sub>C<sub>2</sub> synthesized with a HCl+LiF mixed solution show excellent cyclability due to a good exfoliation of precursors and a large layer distance. Moreover, the m-V<sub>2</sub>C electrode shows a higher specific capacity than that of m-Ti<sub>3</sub>C<sub>2</sub> one because of more lithium insertion/extraction per unit of V<sub>2</sub>C. However, the practically achieved capacity values are still far from their theoretical capacity (940 mAh g<sup>-1</sup> for V<sub>2</sub>C and 320 mAh g<sup>-1</sup> for Ti<sub>3</sub>C<sub>2</sub>).

This might be explained by the existence of surface functional groups. As discussed in Tang's work, the surface group functionalized  $Ti_3C_2$  electrode exhibits inferior battery performance compared to that of bare  $Ti_3C_2$  because the surface group functionalization tends to degrade the Li diffusion and decrease the Li storage capacity <sup>[136]</sup>. Moreover, terminated MXenes exhibit quite different lithium storage performance i.e. the MXenes with a surface group of O and Cl can deliver higher capacity compared to that with F and OH <sup>[51, 137]</sup>. Hence, several routes have been developed to obtain MXenes with low F surface and convert the surface group of OH to O. For example, one can adopt a fluorine-free method to prepare MXenes and use the annealing process to remove the surface group of OH <sup>[49, 51]</sup>. However, the extent of improvement of surface modifications in lithium storage is still not enough. Thus, constructing composite MXenes with high-capacity anode materials is still necessary for its further battery applications.

#### **3.2.5** Conclusion

In summary, two types of MXenes were fabricated via HF and mixed HCl+LiF solution etching. Both synthesized Ti<sub>3</sub>C<sub>2</sub> MXene and V<sub>2</sub>C MXene show the accordion-like structures. Particularly, the m-Ti<sub>3</sub>C<sub>2</sub> derived from the mixed HCl+LiF solution method exhibits more open structures and fewer impurities compared with the counterpart obtained from the HF etching method. When used as anode materials for LIB, both Ti<sub>3</sub>C<sub>2</sub> MXene and V<sub>2</sub>C MXene exhibit outstanding cycling stability and the V<sub>2</sub>C MXene shows a higher specific capacity.

## 3.3. Nb<sub>2</sub>C and MoO<sub>3</sub>/Nb<sub>2</sub>C composites for lithium ion battery application

This chapter reports on the physical and electrochemical properties of commercial MoO<sub>3</sub> and synthesized Nb<sub>2</sub>C. In addition, a ball-milling method was applied to prepare MoO<sub>3</sub>/Nb<sub>2</sub>C composites. In order to realize better lithium storage performance of these composites, key synthesis conditions (ball-milling speed, ball-milling time, and the mass ratio of both components) were optimized. The electrochemical characterizations were done by Yannis Riedel under the supervision of the author <sup>[138]</sup>.

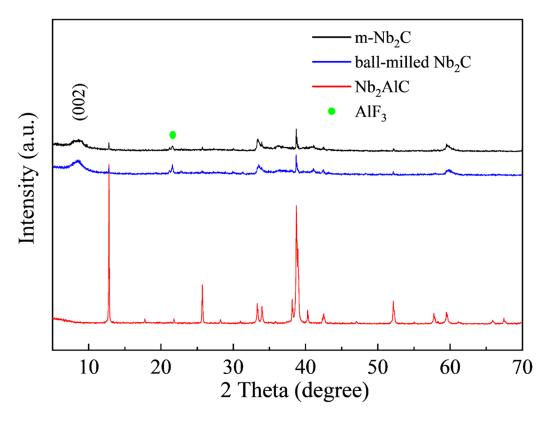
# 3.3.1. Synthesis of MoO<sub>3</sub>/Nb<sub>2</sub>C composites

Firstly, m-Nb<sub>2</sub>C was synthesized by the LiF+HCl-assisted etching method (see 3.1.1 for details). Synthesized m-Nb<sub>2</sub>C (200, 100, and 50 mg) and 100 mg of commercial MoO<sub>3</sub> (Sigma Aldrich, 99.5% purity) were added to a 50 ml grinding jar with grinding balls (ZrO<sub>2</sub>,  $\Phi$ 1mm). Afterward, 30 ml of isopropanol was slowly added to the grinding jar. The grinding jar is then transferred to the rotating shell and fixed. The parameters including the ball milling time (6, 24h), the ball milling speed (200, 300, and 400 rpm), and the mass ratio of MoO<sub>3</sub> to Nb<sub>2</sub>C (2:1, 1:1, 1:2) were set according to distinct synthesis requirements. After the ball milling process, the sample is collected by washing it with solvents and dried in vacuum at 60 °C for 12h. For the ball-milled MoO<sub>3</sub> and ball-milled Nb<sub>2</sub>C, either pristine MoO<sub>3</sub> or pristine Nb<sub>2</sub>C were used under the same synthesis conditions.

### 3.3.2. Nb<sub>2</sub>C for lithium ion battery application

#### 3.3.2.1. Physical characterization

Figure 25 displays the XRD patterns of Nb<sub>2</sub>AlC, m-Nb<sub>2</sub>C, and ball-milled Nb<sub>2</sub>C. The diffraction pattern of Nb<sub>2</sub>AlC shows two prominent peaks at 12.8° and 38.9°, ascribed to the (002) and (104) crystal planes of Nb<sub>2</sub>AlC. After synthesis, the (104) peak of Nb<sub>2</sub>AlC almost vanishes and a significant shift of the (002) peak can be observed due to the removal of Al and cation intercalation, implying the successful synthesis of Nb<sub>2</sub>C <sup>[52]</sup>. The small peak (marked with a green circle) can be attributed to the presence of AlF<sub>3</sub> which was produced during the etching process. Weak peaks belonging to Nb<sub>2</sub>AlC indicate the residue of Al atoms in the obtained Nb<sub>2</sub>C, which is inevitable and common to see during the preparation of MXenes <sup>[139]</sup>. For the case of ball-milled Nb<sub>2</sub>C, the XRD pattern is very similar to that of pristine Nb<sub>2</sub>C. In addition, the peaks of the Nb<sub>2</sub>C and ball-milled Nb<sub>2</sub>C patterns have broadened and the intensity has decreased, suggesting a reduction of crystallinity after the synthesis process.



**Figure 25.** XRD patterns of Nb<sub>2</sub>AlC, m-Nb<sub>2</sub>C, and ball-milled Nb<sub>2</sub>C. Green markers show diffraction peaks associated with an AlF<sub>3</sub> impurity phase.

The morphology of the Nb<sub>2</sub>AlC, m-Nb<sub>2</sub>C, and ball-milled Nb<sub>2</sub>C was studied by SEM. Similar to the case of Ti<sub>3</sub>C<sub>2</sub>, the morphology changes from a compact structure to an accordion-like structure after the etching process (Figures 26a and 26b). Besides, the ball-milling process does not significantly affect the final morphology of Nb<sub>2</sub>C (Figure 26c).

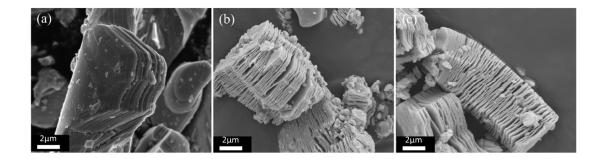


Figure 26. SEM images of Nb<sub>2</sub>AlC (a), m-Nb<sub>2</sub>C (b), and ball-milled Nb<sub>2</sub>C (c).

#### 3.3.2.2. Electrochemical characterization

In order to investigate the electrochemical behavior of m-Nb<sub>2</sub>C, CV, and GCPL measurements were performed. Figure 27 shows the CV curve of m-Nb<sub>2</sub>C at a scan rate of  $0.1 \text{ mV s}^{-1}$  in a potential range of 0.01V-3.00 V. In the initial cathodic scan, two reduction peaks can be observed. The reduction peak at 1.22 V corresponds to the lithiation of Nb<sub>2</sub>C, while the peak at 0.64 V can be attributed to the formation of SEI. During the first anodic sweep, the two oxidation peaks located at 1.23 and 2.71 V are related to the delithiation of Nb<sub>2</sub>C <sup>[140, 141]</sup>. The reduction peak in the second cathodic sweep becomes broad and shifts to 0.96V, which might arise from the structural changes due to the lithium insertion to Nb<sub>2</sub>C in the first discharge process. The CV curves in the second and fifth cycles are mainly overlapped, indicating the reversible lithiation/delithiation of Nb<sub>2</sub>C.

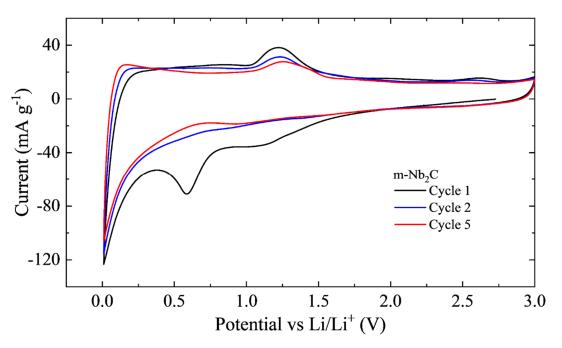
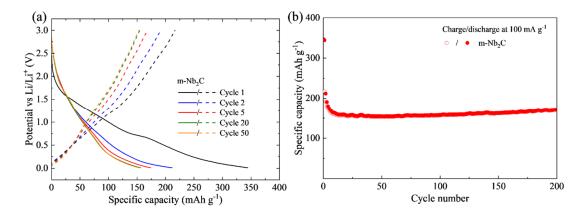


Figure 27. CV curves of m-Nb<sub>2</sub>C at a scan rate of 0.1 mV s<sup>-1</sup> and in a potential range of 0.01–3 V vs. Li/Li<sup>+ [138]</sup>.

Figure 28a shows the charge/discharge curves of the m-Nb<sub>2</sub>C electrode at a current density of 100 mA  $g^{-1}$  for the specific cycles. The electrode exhibits initial discharge/charge capacities of 346/216 mAh  $g^{-1}$ , which brings about an initial coulombic efficiency of 62%. The voltage plateaus in the profile are also consistent with the CV results. For the cycling performance of the m-Nb<sub>2</sub>C electrode shown in Figure 28b, a significant capacity drop in the first 15 cycles can be observed. Afterward, the capacity is maintained and shows a slight increase in the following cycles, and a discharge capacity of 162 mAh  $g^{-1}$  are achieved after 120 cycles.



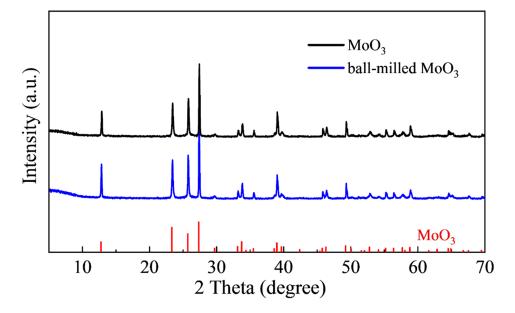
**Figure 28.** (a) Galvanostatic charge/discharge curves of the m-Nb<sub>2</sub>C electrode at a current density of 100 mA  $g^{-1}$  for the specific cycles, (b) and corresponding cycling performance of the m-Nb<sub>2</sub>C electrode <sup>[138]</sup>. Hollow and solid circles stand for charge and discharge capacities, respectively.

#### 3.3.2.3. Discussion

The XRD pattern of Nb<sub>2</sub>C shows the incomplete removal of Al layers after the etching process, while few Al residues were observed for the case of  $Ti_3C_2$ . It can be concluded that similar synthesis conditions adopted with different kinds of precursors (MAXs) cause MXenes with quite distinct qualities. This was also explained by studies which show that more strict conditions are required for the preparation of other MXenes than that for  $Ti_3C_2$  due to the higher formation energies  $^{[142, 143]}$ . Therefore, more attempts of the synthesis process might be necessary to obtain higher-quality Nb<sub>2</sub>C. In addition, the observation that there is no effect of the ball-milling process on the crystal structure and morphology of Nb<sub>2</sub>C makes it possible to form composites with other anode materials via the ball-milling method. The electrochemical behavior shown in the CV curves of pristine Nb<sub>2</sub>C are consistent with other reports, in which lithiation and delithiation of Nb<sub>2</sub>C is the main mechanism during cycling. Besides, the low capacity and excellent cycling stability of Nb<sub>2</sub>C confirm it as a promising candidate as a substrate to support other anode materials for high-performance lithium ion storage.

# 3.3.3. MoO<sub>3</sub> for lithium ion battery application

Prior to the investigation of the composite, the following part focuses on the characterization of the commercial MoO<sub>3</sub> powder (Sigma Aldrich, 99.5% purity). The physical characterization starts with a comparison of the pristine MoO<sub>3</sub> and ball-milled MoO<sub>3</sub> in order to study the influence of the mechanical stress by the ball-milling on the MoO<sub>3</sub> crystallites. Furthermore, the ball-milled sample was investigated electrochemically.



3.3.3.1. Physical characterization

Figure 29. XRD patterns of commercial MoO<sub>3</sub> and ball-milled MoO<sub>3</sub>. The vertical ticks show the reference pattern of  $\alpha$ -MoO<sub>3</sub> according to ICSD code 166363 <sup>[144]</sup>.

Figure 29 shows the XRD patterns of commercial MoO<sub>3</sub> and ball-milled MoO<sub>3</sub>. Both patterns match well with the reference data of  $\alpha$ -MoO<sub>3</sub>. No extra peaks derived from impurities can be observed. Moreover, after the ball-milling process, there are no detectable peak shifts, peak broadening, or the emergence of new peaks, implying that the mechanical stress has no effect on the structure of the MoO<sub>3</sub> sample. The morphology of both samples was investigated by SEM (Figure 30). The commercial MoO<sub>3</sub> shows a structure of aggregation of microplates with sizes up to 1  $\mu$ m (Figure 30a). For the ball-milled MoO<sub>3</sub>, no obvious difference can be observed regarding the morphology after the ball-milling treatment (Figure 30b).

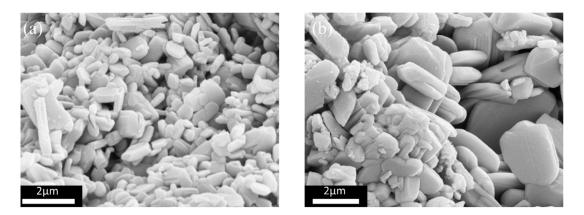
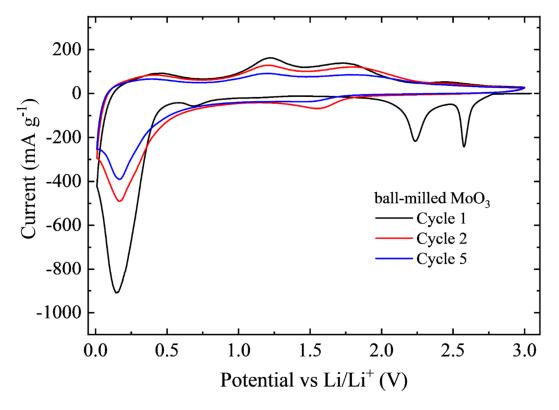


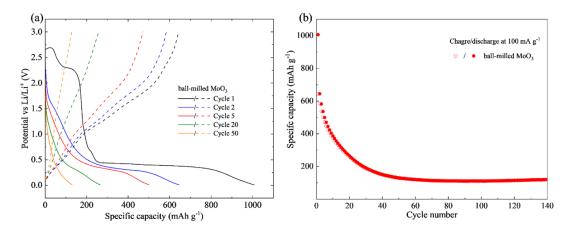
Figure 30. SEM images of commercial MoO<sub>3</sub> (a) and ball-milled MoO<sub>3</sub> (b).



3.3.3.2. Electrochemical characterization

Figure 31. CV curves of ball-milled MoO<sub>3</sub> at a scan rate of 0.1 mV s<sup>-1</sup> and in a potential range of 0.01–3 V vs.  $Li/Li^{+ [138]}$ .

To explore the lithium storage performance of the ball-milled MoO<sub>3</sub>, CV and GCPL measurements were carried out. The battery performance of pristine MoO<sub>3</sub> i.e. commercial MoO<sub>3</sub> is shown in Appendix. Figure 31 shows the CV curves of the ball-milled Nb<sub>2</sub>C electrode at a scan rate of 0.1 mV s<sup>-1</sup> in a potential range of 0.01–3 V vs. Li/Li<sup>+</sup>. At the first cathodic scan, four reduction peaks could be observed. The distinct two peaks at 2.6 V and 2.25V are attributed to two steps of lithiation of MoO<sub>3</sub> to Li<sub>x</sub>MoO<sub>3</sub><sup>[145]</sup>. The weak reduction peak at 0.68 V, which disappears in the following cycles, corresponds to the formation of SEI <sup>[146]</sup>. The most dominant peak at 0.2 V can be ascribed to the conversion reaction of Li<sub>x</sub>MoO<sub>3</sub> to metallic Mo and Li<sub>2</sub>O <sup>[147]</sup>. In the first anodic sweep, two oxidation peaks at 1.27 V and 1.78 V could be observed, corresponding to the delithiation of Li<sub>x</sub>MoO<sub>3</sub> and the formation of amorphous MoO<sub>x</sub>. The reduction peak at 1.62 V in the second cathodic scan can be attributed to the lithiation of amorphous MoO<sub>x</sub> <sup>[148]</sup>. The reduction peak position changes in the second cycle might be due to the different available Li sites in amorphous MoO<sub>x</sub>. The peak current decrease in the subsequent cycle indicates the capacity drop, which might be caused by the large volume changes of MoO<sub>3</sub> during lithiation and delithiation.



**Figure 32.** (a) Galvanostatic charge/discharge curves of the ball-milled MoO<sub>3</sub> electrode at a current density of 100 mA  $g^{-1}$  for the specific cycles, (b) and corresponding cycling performance of the ball-milled MoO<sub>3</sub> electrode <sup>[138]</sup>. Hollow and solid circles stand for charge and discharge capacities, respectively.

The charge-discharge curves and cycling performance of ball-milled MoO<sub>3</sub> are shown in Figure 32 at a current density of 100 mA  $g^{-1}$ . The MoO<sub>3</sub> electrode exhibits an initial discharge/charge capacity of 1006/643 mAh  $g^{-1}$ . The large capacity difference mainly arises from the formation of SEI. The voltage plateaus in the first cycle are obvious and in accordance with the CV result. However, a tremendous capacity decrease to 145 mAh  $g^{-1}$  can be found in the first 40 cycles and then the capacity remains stable in the following cycles.

## 3.3.3.3. Discussion

Similar to the case of Nb<sub>2</sub>C, the ball-milling process also shows no effect on the crystal structure and morphology of MoO<sub>3</sub>. Nevertheless, unlike Nb<sub>2</sub>C, the MoO<sub>3</sub> electrode exhibits high initial specific capacities but shows fast capacity fading. Hence, a rational design to combine the advantages of both materials could be an effective strategy to realize enhanced lithium storage.

# 3.3.4. MoO<sub>3</sub>/Nb<sub>2</sub>C composites for lithium ion battery application

In consideration of the above inferior electrochemical behaviors of the pristine MoO<sub>3</sub> and Nb<sub>2</sub>C, the ball-milling process as a simple and low-cost method was introduced to prepare MoO<sub>3</sub>/Nb<sub>2</sub>C composites of m-Nb<sub>2</sub>C and commercial MoO<sub>3</sub>. The effect of various ball-milling speeds, ball-milling times, and the mass ratio of both components on the crystal structure, morphology, and lithium storage performance of the final products was investigated.

## 3.3.4.1. Ball-milling speed

The variation of the ball-milling speed was first studied, while the ball-milling time and mass ratio were set at 6 h and 2:1 MoO<sub>3</sub>:Nb<sub>2</sub>C, respectively. Rotation speeds of 200 rpm, 300 rpm, and 400 rpm were adopted and the obtained composites are denoted as 200-MoO<sub>3</sub>/Nb<sub>2</sub>C, 300-MoO<sub>3</sub>/Nb<sub>2</sub>C, and 400-MoO<sub>3</sub>/Nb<sub>2</sub>C, respectively.

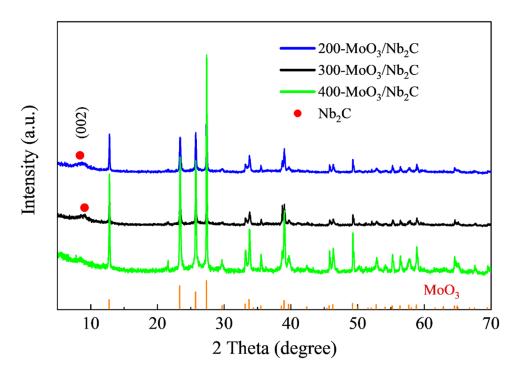


Figure 33. XRD patterns of 200-MoO<sub>3</sub>/Nb<sub>2</sub>C, 300-MoO<sub>3</sub>/Nb<sub>2</sub>C, and 400-MoO<sub>3</sub>/Nb<sub>2</sub>C. The red circle presents the diffraction peak corresponding to the (002) plane of Nb<sub>2</sub>C. The vertical ticks show the reference pattern of  $\alpha$ -MoO<sub>3</sub> according to ICSD code 166363 <sup>[144]</sup>.

Figure 33 shows XRD patterns of 200-MoO<sub>3</sub>/Nb<sub>2</sub>C, 300-MoO<sub>3</sub>/Nb<sub>2</sub>C, and 400-MoO<sub>3</sub>/Nb<sub>2</sub>C. All diffraction peaks above 10° are in line with that of the reference data of α-MoO<sub>3</sub>. In addition, a broad peak at 8.7° corresponding to the (002) plane of Nb<sub>2</sub>C can be observed in the patterns of 200-MoO<sub>3</sub>/Nb<sub>2</sub>C, 300-MoO<sub>3</sub>/Nb<sub>2</sub>C, indicating the existence of Nb<sub>2</sub>C. However, this peak is not detectable in the pattern of 400-MoO<sub>3</sub>/Nb<sub>2</sub>C. This can be due to the large shearing force at a high ball-milling speed, which might damage the MXene structure in the c-direction. The SEM image of 400-MoO<sub>3</sub>/Nb<sub>2</sub>C (Figure 34c) also confirms that the layered structure is not visible in this sample. By contrast, 200-MoO<sub>3</sub>/Nb<sub>2</sub>C and 300-MoO<sub>3</sub>/Nb<sub>2</sub>C still show clear Nb<sub>2</sub>C open structures and were partially embedded and surrounded by MoO<sub>3</sub> particles (Figures 34a, b).

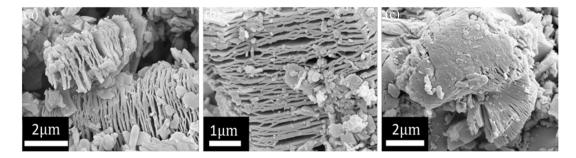


Figure 34. SEM images of 200-MoO<sub>3</sub>/Nb<sub>2</sub>C (a), 300-MoO<sub>3</sub>/Nb<sub>2</sub>C (b), and 400-MoO<sub>3</sub>/Nb<sub>2</sub>C (c).

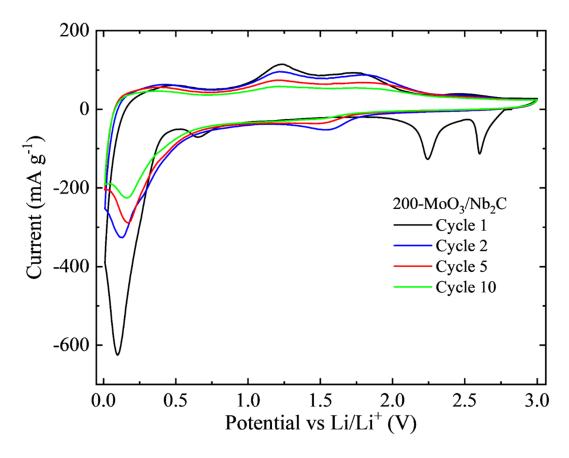


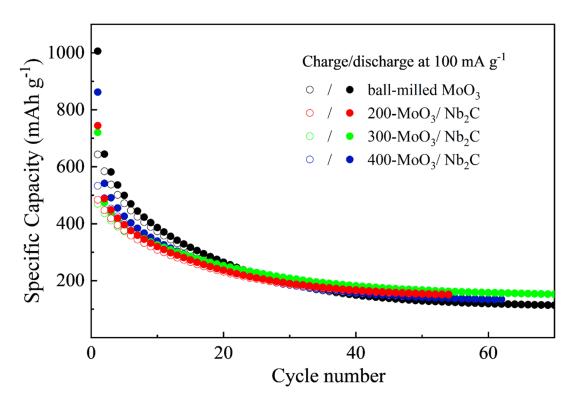
Figure 35. CV curves of the 200-MoO<sub>3</sub>/Nb<sub>2</sub>C electrode at a scan rate of 0.1 mV s<sup>-1</sup> in a potential range of 0.01–3 V vs.  $Li/Li^{+}$  [135].

Since the shape of the CV curves of 300-MoO<sub>3</sub>/Nb<sub>2</sub>C and 400-MoO<sub>3</sub>/Nb<sub>2</sub>C (see Appendix) are similar, only the CV curve of 200-MoO<sub>3</sub> /Nb<sub>2</sub>C is shown in Figure 35. The CV profile is mostly consistent with that of commercial MoO<sub>3</sub>. The extra oxidation peak at 2.63 V might be related to the delithiation of Nb<sub>2</sub>C. However, the peak corresponding to the lithiation of Nb<sub>2</sub>C (around 1.22 V) is not detectable from the CV curve. One possible explanation is that the peak is too broad and is merged with the peak related to the lithiation of MoO<sub>3</sub>.

Figure 36 presents the cycling performance of composites with 200, 300, and 400 rpm as well as commercial MoO<sub>3</sub>. The composite electrodes exhibit lower initial specific capacity than that of commercial MoO<sub>3</sub>, which is caused by the introduction of low-capacity Nb<sub>2</sub>C. However, no significant improvement in capacity retention is found after forming composites. Moreover, changes in ball-milling speed make no difference in the cycling stability of the composites.

Since the open structure of Nb<sub>2</sub>C is of importance as the basic framework to support MoO<sub>3</sub>, the 400 rpm milling experiment is unsuitable for this purpose due to the damage to the open structure at this speed. For the other two ball-milling speeds, XRD and SEM results show no

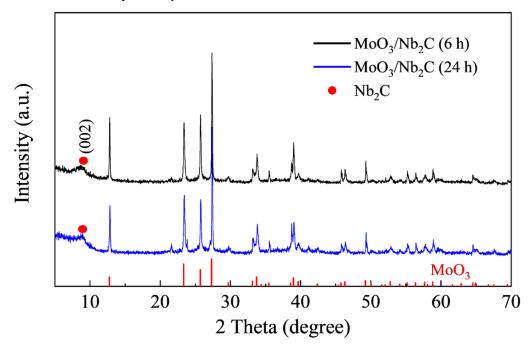
difference. Therefore, any of them could be adopted to prepare composites. The battery performance of the above three composites indicates that the ball-milling speed is not the key parameter for making composites for better battery performance. Nevertheless, the investigation of the ball-milling speed provides us with more insight into a suitable way to make MXene composite without damaging the open structure.



**Figure 36.** Cycling performance of 200-MoO<sub>3</sub>/Nb<sub>2</sub>C, 300-MoO<sub>3</sub>/Nb<sub>2</sub>C, and 400-MoO<sub>3</sub>/Nb<sub>2</sub>C electrodes at a current density of 100 mA g<sup>-1</sup> in a potential range of 0.01–3 V vs. Li/Li<sup>+ [138]</sup>. Hollow and solid circles stand for charge and discharge capacities, respectively.

# 3.3.4.2. Ball-milling time

Different ball-milling times (6 h and 24 h) were adopted with a fixed ball-milling speed (200 rpm) and a mass ratio of MoO<sub>3</sub>:Nb<sub>2</sub>C 2:1. The obtained products are marked as 6 h-MoO<sub>3</sub>/Nb<sub>2</sub>C and 24 h-MoO<sub>3</sub>/Nb<sub>2</sub>C, respectively.



**Figure 37.** XRD patterns of 6h-MoO<sub>3</sub>/Nb<sub>2</sub>C and 24h-MoO<sub>3</sub>/Nb<sub>2</sub>C. The red circle presents the diffraction peak corresponding to the (002) plane of Nb<sub>2</sub>C. The vertical ticks show the reference pattern of  $\alpha$ -MoO<sub>3</sub> according to ICSD code 166363 <sup>[144]</sup>.

XRD patterns of 6h-MoO<sub>3</sub>/Nb<sub>2</sub>C and 24h-MoO<sub>3</sub>/Nb<sub>2</sub>C are shown in Figure 37. Similar to the previous composites synthesized with different ball-milling speeds, the peaks of both patterns match well with the reference data of  $\alpha$ -MoO<sub>3</sub> with an additional peak at 8.7°, which can be assigned to the (002) peak of Nb<sub>2</sub>C. No impurity or peak broadening and peak shift can be discerned. The SEM images presented in Figure 38 show no clear difference between the 6h-MoO<sub>3</sub>/Nb<sub>2</sub>C and the 24h-MoO<sub>3</sub>/Nb<sub>2</sub>C. Similarly, no obvious cycling stability improvement could be found in the 6h-MoO<sub>3</sub>/Nb<sub>2</sub>C and 24h-MoO<sub>3</sub>/Nb<sub>2</sub>C electrodes (Figure 39). The CV curves and galvanostatic charge/discharge curves of the 6h-MoO<sub>3</sub>/Nb<sub>2</sub>C and 24h-MoO<sub>3</sub>/Nb<sub>2</sub>C electrodes are presented in Appendix.

From the physical and electrochemical characterization of MoO<sub>3</sub>/Nb<sub>2</sub>C with different ballmilling times, one can find that a longer ball-milling time will not change the morphology and structure of the obtained composites and thus could hardly improve their battery performance. Therefore, the ball-milling time of 6h is enough for the synthesis process and more time is not necessary.

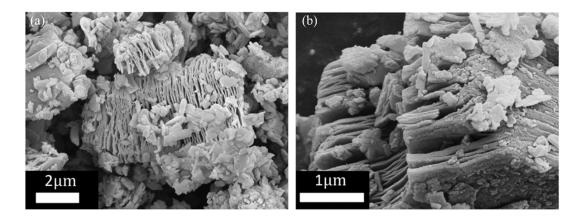
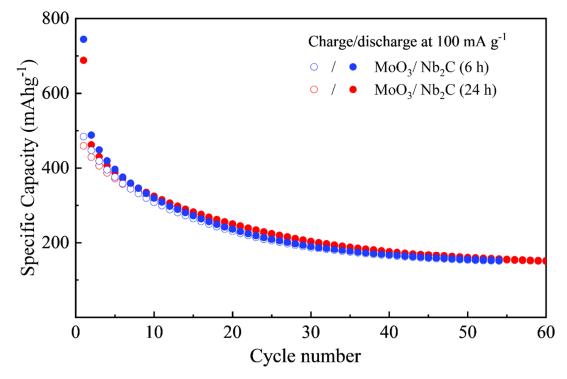


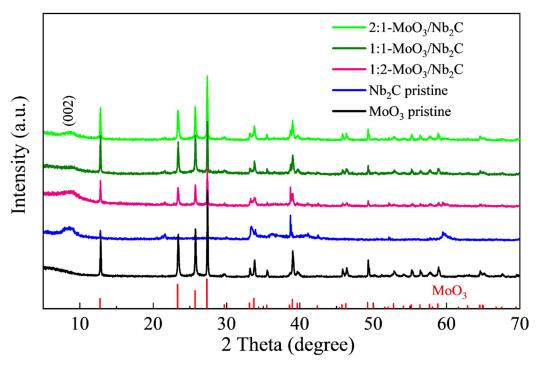
Figure 38. SEM images of  $MoO_3/Nb_2C$  (6h) (a), and  $MoO_3/Nb_2C$  (12h) (b).



**Figure 39.** Cycling performance of the MoO<sub>3</sub>/Nb<sub>2</sub>C (6 h) and MoO<sub>3</sub>/Nb<sub>2</sub>C (12 h) electrodes at a current density of 100 mA g<sup>-1</sup> in a potential range of 0.01–3 V vs. Li/Li<sup>+ [138]</sup>. Hollow and solid circles stand for charge and discharge capacities, respectively.

#### 3.3.4.3. Variation of precursor mass ratio

Based on previous investigations of ball-milling time and ball-milling speed, the effect of the mass ratio of MoO<sub>3</sub> and Nb<sub>2</sub>C is studied. Here, the ball-milling time and ball-milling speed are fixed to 6 h and 200 rpm respectively, and the mass ratio of MoO<sub>3</sub> to Nb<sub>2</sub>C was varied between 2:1, 1:1 and 1:2. The composites are denoted as a-MoO<sub>3</sub>/Nb<sub>2</sub>C, where a is the mass ratio employed.



**Figure 40.** XRD patterns of commercial MoO<sub>3</sub>, pristine Nb<sub>2</sub>C, and MoO<sub>3</sub>/Nb<sub>2</sub>C composites with mass ratios 2:1, 1:1, and 1:2. The vertical ticks show the reference pattern of  $\alpha$ -MoO<sub>3</sub> according to ICSD code 166363 <sup>[144]</sup>.

Figure 40 shows the XRD patterns of commercial MoO<sub>3</sub>, pristine Nb<sub>2</sub>C, and MoO<sub>3</sub>/Nb<sub>2</sub>C composites with mass ratios 2:1, 1:1, and 1:2. The XRD patterns of the composites present diffraction peaks belonging to Nb<sub>2</sub>C and MoO<sub>3</sub>, which is in accordance with those of commercial MoO<sub>3</sub> and pristine Nb<sub>2</sub>C. One difference, however, is a peak intensity variation with different mass ratios.

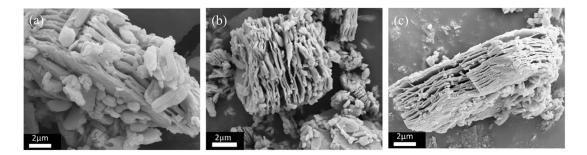
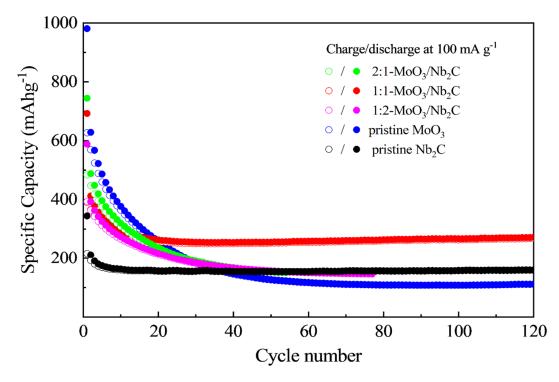


Figure 41. SEM images of 2:1-MoO<sub>3</sub>/Nb<sub>2</sub>C (a), 1:1-MoO<sub>3</sub>/Nb<sub>2</sub>C (b) and 1:2- MoO<sub>3</sub>/Nb<sub>2</sub>C (c).

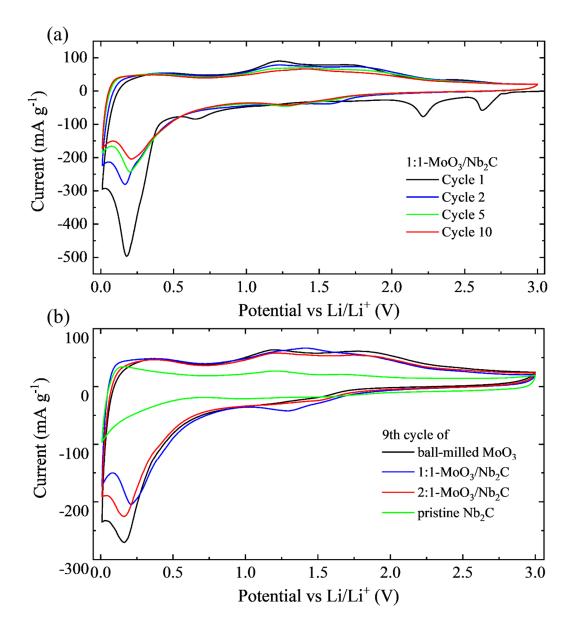
Figure 41 depicts the SEM images of MoO<sub>3</sub>/Nb<sub>2</sub>C composites with mass ratios 2:1, 1:1, and 1:2. From these images, one can find that with more MoO<sub>3</sub> in the 2:1-MoO<sub>3</sub>/Nb<sub>2</sub>C composite (Figure 41a), a lot of individual MoO<sub>3</sub> microplates exists, which are not combined with Nb<sub>2</sub>C. With less MoO<sub>3</sub> (1:2-MoO<sub>3</sub>/Nb<sub>2</sub>C), however, the SEM image shows that the accordion-like Nb<sub>2</sub>C dominates and is not completely covered by MoO<sub>3</sub> microplates (Figure 41c). By comparison, in

the case of 1:1-MoO<sub>3</sub>/Nb<sub>2</sub>C composite, the combination of the two components is more suitable as shown in Figure 41b where MoO<sub>3</sub> microplates are mainly embedded in the layered structure of Nb<sub>2</sub>C.



**Figure 42.** Cycling performance of pristine MoO<sub>3</sub>, pristine Nb<sub>2</sub>C, 2:1-MoO<sub>3</sub>/Nb<sub>2</sub>C, 1:1-MoO<sub>3</sub>/Nb<sub>2</sub>C, and 1:2-MoO<sub>3</sub>/Nb<sub>2</sub>C electrodes at a current density of 100 mA  $g^{-1}$  in the range of 0.01-3.00 vs. Li/Li<sup>+ [138]</sup>. Hollow and solid circles stand for charge and discharge capacities, respectively.

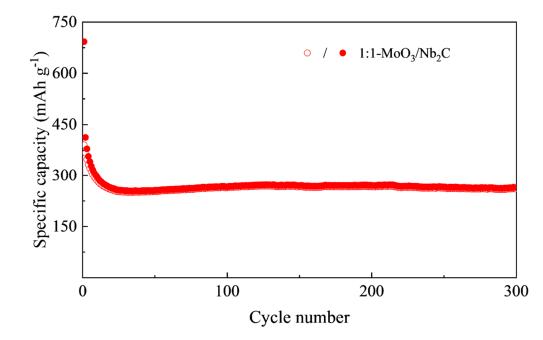
The electrochemical performance of the MoO<sub>3</sub>/Nb<sub>2</sub>C composites prepared with different mass ratios of components was investigated by CV and GCPL measurements (see Appendix). Figure 42 shows the cycling stability of 2:1-MoO<sub>3</sub>/Nb<sub>2</sub>C, 1:1-MoO<sub>3</sub>/Nb<sub>2</sub>C, and 1:2-MoO<sub>3</sub>/Nb<sub>2</sub>C electrodes at a current density of 100 mA g<sup>-1</sup> in the range of 0.01-3.00 vs. Li/Li<sup>+</sup>. In addition, the cycling performance of pristine Nb<sub>2</sub>C and MoO<sub>3</sub> were also presented as references. The 2:1-MoO<sub>3</sub>/Nb<sub>2</sub>C, 1:1-MoO<sub>3</sub>/Nb<sub>2</sub>C, and 1:2-MoO<sub>3</sub>/Nb<sub>2</sub>C electrodes exhibit an initial discharge/charge capacity of 746/483, 694/412, and 589/392 mAh g<sup>-1</sup>. The capacity values variation is consistent with the mass ratios, i.e. with less MoO<sub>3</sub>, the composites exhibit a lower initial capacity. Besides, the 2:1-MoO<sub>3</sub>/Nb<sub>2</sub>C and 1:2-MoO<sub>3</sub>/Nb<sub>2</sub>C electrodes exhibit similar cycling stability with that of pristine MoO<sub>3</sub>, for which a fast capacity fading is observed in the first 50 cycles. Moreover, there is very limited improvement in the capacity value (about 40 mAh g<sup>-1</sup>) compared to the pristine MoO<sub>3</sub> electrode.



**Figure 43.** CV curves of the 1:1-MoO<sub>3</sub>/Nb<sub>2</sub>C electrode (a), and CV curves of ball-milled MoO<sub>3</sub>, 1:1-MoO<sub>3</sub>/Nb<sub>2</sub>C, 2:1-MoO<sub>3</sub>/Nb<sub>2</sub>C, and pristine Nb<sub>2</sub>C electrodes in the 9<sup>th</sup> cycle at a scan rate of 0.1 mV s<sup>-1</sup> in a potential range of 0.01–3 V vs Li/Li<sup>+</sup> [<sup>138</sup>].

Figure 43a shows the CV curves of the 1:1-MoO<sub>3</sub>/Nb<sub>2</sub>C electrode in a voltage range from 0.01V to 3.00 V at a scan rate of 0.1 mV s<sup>-1</sup>. Peaks in the first, second, and fifth cycles are consistent with those of other MoO<sub>3</sub>/Nb<sub>2</sub>C composites and pristine MoO<sub>3</sub> (see Appendix). It is worth noting that a shift in the reduction peak at around 0.22 V corresponding to the conversion reaction is observed, which is a common behavior for transition metal oxides due to the facilitated kinetics for Li<sup>+</sup> and O<sup>2-</sup> diffusion in amorphous structures <sup>[149]</sup>. Moreover, the formation of an

amorphous phase after the conversion reaction causes an enhanced Gibbs free energy compared to the crystalline bulk structures, leading to an increased lithiation potential, and this may explain the peak shift to a higher potential <sup>[150]</sup>. In addition, the 1:1-MoO<sub>3</sub>/Nb<sub>2</sub>C electrode exhibits a pair of prominent redox peaks at 1.31 and 1.43 V in the ninth cycle compared to other electrodes (ball-milled MoO<sub>3</sub>, 2:1-MoO<sub>3</sub>/Nb<sub>2</sub>C, and pristine Nb<sub>2</sub>C) (Figure 43b), indicating that a distinct MoO<sub>x</sub> phase is formed and the degradation of this MoO<sub>x</sub> is significantly suppressed due to the better confinement effect in this composite, resulting in a stable lithiation/delithiation process.



**Figure 44.** Long-term cycling performance of the 1:1-MoO<sub>3</sub>/Nb<sub>2</sub>C electrode at a current density of 100 mA  $g^{-1}$  in the range of 0.01-3.00 vs. Li/Li<sup>+</sup> <sup>[138]</sup>. Hollow and solid circles stand for charge and discharge capacities, respectively.

Figure 44 shows the long-term cycling stability of the  $1:1-MoO_3/Nb_2C$  electrode at 100 mA g<sup>-1</sup>. The electrode delivers a remarkable cyclability, and a reversible capacity of 267 mAh g<sup>-1</sup> after 300 cycles could still be achieved, demonstrating the good combination of the two components in a mass ratio of 1:1. Moreover, the  $1:1-MoO_3/Nb_2C$  composite displays enhanced specific capacity and cycling stability compared to the pristine MoO<sub>3</sub> and Nb<sub>2</sub>C ones.

#### 3.3.5. Discussion

Among these composites, the 1:1-MoO<sub>3</sub>/Nb<sub>2</sub>C composite shows the best lithium storage performance. Following the overall hypothesis motivating the synthesis of the composites, it might be because, with an optimal combination of two components in this mass ratio, the Nb<sub>2</sub>C layered structure efficiently buffers the volume change and stabilize the amorphous MoO<sub>x</sub> phase. However, the lower mass ratio (1:2) does not yield this positive effect. It might be because Nb<sub>2</sub>C is not completely covered by MoO<sub>3</sub> microplates in this mass ratio, as suggested by the SEM images.

Moreover, a higher mass ratio (2:1) provides excessive individual MoO<sub>3</sub> microplates which have no combination with Nb<sub>2</sub>C, causing large volume expansion and the formation of the unstable MoO<sub>x</sub> phase. Both cases lead to an ineffective combination of components. It can be concluded that the rational mass ratio of two components is of importance for the lithium storage performance of composites. These results might be attributed to an oversaturation of MoO<sub>3</sub> in the case of 2:1 MoO<sub>3</sub>/Nb<sub>2</sub>C, or an undersaturation in the case of 1:2 MoO<sub>3</sub>/Nb<sub>2</sub>C. In the case of oversaturation, the Nb<sub>2</sub>C structure can not completely accommodate the excessive MoO<sub>3</sub> microplates, resulting in the existence of many individual MoO<sub>3</sub> microplates of which the volume expansion during the cycling process may not be effectively alleviated. In the undersaturation case, the insufficient introduction of MoO<sub>3</sub> might not take full advantage of the Nb<sub>2</sub>C structure. Additionally, the electrochemical contribution from MoO<sub>3</sub> is not enough, resulting in low capacity for the 1:2 MoO<sub>3</sub>/Nb<sub>2</sub>C composite. By contrast, the 1:1-MoO<sub>3</sub>/Nb<sub>2</sub>C electrode shows good capacity retention, and a reversible capacity of 272 mAh g<sup>-1</sup> is achieved after 120 cycles, indicating a good combination of two components which is in accordance with the SEM result.

Although optimization of the structure and battery performance was obtained, the capacity is still not high enough for an application. One possible reason is the selection of MoO<sub>3</sub>. The adopted commercial MoO<sub>3</sub> has a relatively large primary particle size of micrometers, and the composites have to be further optimized by using synthesized nanoscale MoO<sub>3</sub>, which might more easily embed into the open structure of Nb<sub>2</sub>C.

#### 3.3.6. Conclusion

MoO<sub>3</sub>/Nb<sub>2</sub>C composites were synthesized via a simple ball-milling method. Commercial MoO<sub>3</sub> microplates are embedded in the open structure of Nb<sub>2</sub>C. The lithium storage performance was enhanced by optimizing the synthesis conditions including ball-milling time, ball-milling speed, and mass ratio of components. Consequently, the MoO<sub>3</sub>/Nb<sub>2</sub>C composite with mass ratio 1:1 (MoO<sub>3</sub>:Nb<sub>2</sub>C) leads to an electrode with improved specific capacity and excellent cycling stability compared to that of pristine MoO<sub>3</sub> and Nb<sub>2</sub>C: a capacity of 261 mAhg<sup>-1</sup> is attained after 300 cycles at a current density of 100 mA g<sup>-1</sup>.

## 3.4. MoO<sub>2</sub>/C/V<sub>2</sub>C composites for lithium storage

In this Chapter, MoO<sub>2</sub>/C/V<sub>2</sub>C composites were fabricated via an electrostatic interactionassisted hydrothermal and a post-annealing process. The crystal structure, morphology, and chemical compositions of composites were investigated by XRD, SEM, TEM, and XPS measurements. Additionally, CV and GCPL were performed to study the lithium storage performance of their electrodes. Parts of the electrochemical study was conducted by Hinz Brian under the supervision of the author <sup>[151]</sup>.

# 3.4.1 Synthesis of MoO<sub>2</sub>/C/V<sub>2</sub>C composites

(1). V<sub>2</sub>C/PDDA: The positively charged V<sub>2</sub>C/PDDA was prepared by modifying V<sub>2</sub>C with polydiallyldimethylammonium chloride (PDDA) solution: The V<sub>2</sub>C was first synthesized (see 2.1 for details). Afterward, 50 mg V<sub>2</sub>C was dispersed in 30 mL water. 1ml PDDA solution (20 wt%) was then added dropwise to the above V<sub>2</sub>C solution under continuous stirring for 2 h.

(2). MoO<sub>2</sub>/PDDA/V<sub>2</sub>C: The MoO<sub>2</sub>/C/V<sub>2</sub>C composites were prepared via a hydrothermal and annealing process. 400 or 200 mg (NH<sub>4</sub>)<sub>6</sub>Mo<sub>7</sub>O<sub>24</sub> was added into V<sub>2</sub>C/PDDA solution under vigorous stirring. The pH value of the solution was adjusted to 1 by addition of 9 M HCl. After that, the solution was transferred to a Teflon-lined stainless steel autoclave, sealed, and maintained at 200 °C for 12 h. The obtained precipitate was washed with water and centrifugated 3 times. Afterwards, the product was collected and dried in vacuum at 70 °C for 12 h. The product is denoted as MoO<sub>2</sub>/PDDA/V<sub>2</sub>C-1 or MoO<sub>2</sub>/PDDA/V<sub>2</sub>C-2 based on the mass ratio of the molybdenum source to V<sub>2</sub>C (8:1 or 4:1, respectively). The products were annealed at 400 °C with argon flow for 2 h. The annealed samples were collected and marked as MoO<sub>2</sub>/C/V<sub>2</sub>C-1 or MoO<sub>2</sub>/C/V<sub>2</sub>C-2 according to the mass ratio of molybdenum source to V<sub>2</sub>C (8:1 or 4:1, respectively).

(3). MoO<sub>3</sub>/V<sub>2</sub>C and MoO<sub>2</sub>/PDDA: The MoO<sub>3</sub>/V<sub>2</sub>C and MoO<sub>2</sub>/PDDA composites were synthesized with the same procedure as the MoO<sub>2</sub>/PDDA/V<sub>2</sub>C composites except for the addition of PDDA and V<sub>2</sub>C MXene, respectively. The MoO<sub>3</sub>/V<sub>2</sub>C and MoO<sub>2</sub>/PDDA products were annealed at 400 °C with argon flow for 2 h and denoted as MoO<sub>3</sub>/V<sub>2</sub>C (annealing) and MoO<sub>2</sub>/C (annealing).

## 3.4.2. Experimental design

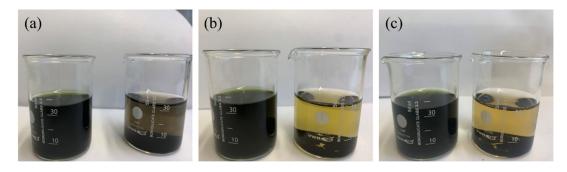


Figure 45. Photographs of dispersions of pristine  $V_2C$  MXene (right beakers) and  $V_2C$ /PDDA (left beakers) in water after 12 h (a), 24 h (b), and 72 h (c).

During the preparation process, negatively charged V<sub>2</sub>C was first synthesized via the etching process with a mixture of HCl and LiF. Usually, synthesized V<sub>2</sub>C shows a multilayer structure with sizes of several micrometers <sup>[152]</sup>. Therefore, it can be hardly dispersed in water, making it impossible to combine V<sub>2</sub>C with the molybdenum source during the hydrothermal process. Instead, the PDDA, a typical water solution cationic polyelectrolyte, can be introduced to combine with V<sub>2</sub>C by electric force, which on one hand stabilizes the V<sub>2</sub>C dispersion in solution, on the other hand, makes V<sub>2</sub>C positively charged for further treatment.

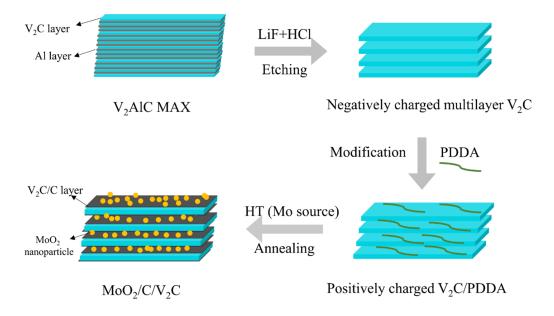
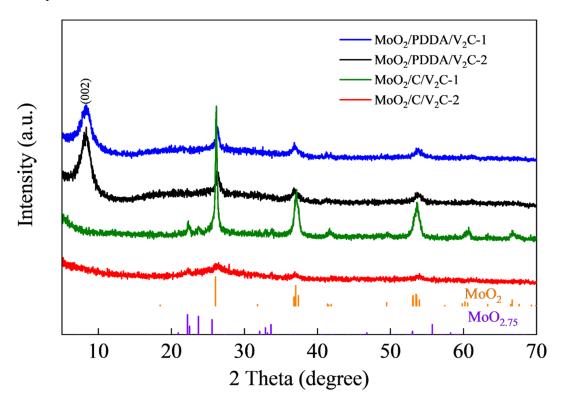


Figure 46. Schematic illustration of the preparation of MoO<sub>2</sub>/C/V<sub>2</sub>C composites.

The stability of the synthesized multilayer V<sub>2</sub>C MXene and V<sub>2</sub>C/PDDA dispersions in water was evaluated (Figure 45). After 12 h, most V<sub>2</sub>C MXene agglomerated and precipitated, and very

little V<sub>2</sub>C is dispersed, demonstrating the poor stability of V<sub>2</sub>C MXene in water (right in Figure 45a). In contrast, the presence of PDDA in V<sub>2</sub>C/PDDA stabilizes the V<sub>2</sub>C MXene in water via electrostatic interaction, resulting in a stable dispersion of V<sub>2</sub>C/PDDA in water (left in Figure 45a). The stability was maintained even after 48 and 72 h (Figures 45b, c). This improvement makes it possible to preserve the hierarchical structure and integrate it with MoO<sub>2</sub> for excellent lithium storage performance.

Afterwards, the positively charged V<sub>2</sub>C/PDDA was combined with isopolymolybdate anions  $Mo_7O_{24}^{6-}$  from the molybdenum source (NH4)<sub>6</sub>Mo<sub>7</sub>O<sub>24</sub>) and formed V<sub>2</sub>C/PDDA/ Mo<sub>7</sub>O<sub>24</sub><sup>6-</sup> by electrostatic interaction <sup>[152]</sup>. During the hydrothermal reaction, the hierarchical structure of MoO<sub>2</sub>/PDDA/V<sub>2</sub>C composites was obtained. The MoO<sub>2</sub>/PDDA/V<sub>2</sub>C composites were then converted to MoO<sub>2</sub>/C/V<sub>2</sub>C via an annealing process (Figure 46).

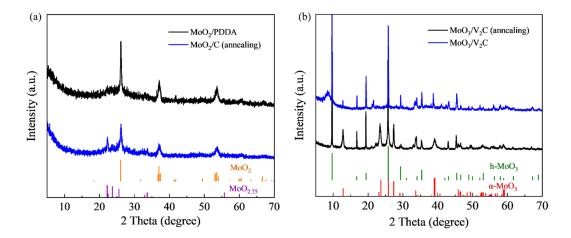


#### 3.4.3. Physical characterization

**Figure 47.** XRD patterns of MoO<sub>2</sub>/PDDA/V<sub>2</sub>C-1, MoO<sub>2</sub>/PDDA/V<sub>2</sub>C-2, MoO<sub>2</sub>/C/V<sub>2</sub>C-1, and MoO<sub>2</sub>/C/V<sub>2</sub>C-2. Vertical ticks show the reference patterns of MoO<sub>2</sub> and MoO<sub>2.75</sub> according to ICSD code 80830 <sup>[153]</sup> and ICSD code 201573 <sup>[154]</sup>, respectively. The (002) label represents the corresponding diffraction peak related to the (002) plane of V<sub>2</sub>C.

The structure and phase characteristics of  $MoO_2/PDDA/V_2C$  and  $MoO_2/C/V_2C$  composites are determined by XRD, as shown in Figure 47. The XRD patterns of  $MoO_2/PDDA/V_2C$ -1 and  $MoO_2/PDDA/V_2C$ -2 composites show a strong diffraction peak at 8.2° corresponding to the (002) planes of V<sub>2</sub>C and peaks at 26.2°, 36.8°, and 53.6°, which can be ascribed to the (110), (111), and

(220) planes of monoclinic MoO<sub>2</sub> (ICSD code 80830). In addition, weak diffraction peaks at around 22.4°, 23.7°, and 33.6° are related to the pattern of MoO<sub>2.75</sub> (ICSD code 201573), indicating the existence of a small amount of impurities. By comparison, the absence of the (002) peak from V<sub>2</sub>C MXenes in the XRD patterns of the MoO<sub>2</sub>/C/V<sub>2</sub>C-1 and MoO<sub>2</sub>/C/V<sub>2</sub>C-2 samples could be the effect of the annealing process at high temperatures, which has been reported in other works <sup>[124, 152]</sup>.



**Figure 48.** XRD patterns of MoO<sub>2</sub>/PDDA and MoO<sub>2</sub>/C (annealing) composites without the addition of V<sub>2</sub>C MXene (a). Vertical ticks show the reference patterns of MoO<sub>2</sub> and MoO<sub>2.75</sub> according to ICSD code 80830 <sup>[153]</sup> and ICSD code 201573 <sup>[154]</sup>, respectively. XRD patterns of MoO<sub>3</sub>/V<sub>2</sub>C and MoO<sub>3</sub>/V<sub>2</sub>C (annealing) without the addition of PDDA (b). Vertical ticks show the reference patterns of h-MoO<sub>3</sub> and  $\alpha$ -MoO<sub>3</sub> according to ICSD code 80291 <sup>[155]</sup> and ICSD code 166363 <sup>[144]</sup>, respectively.

In addition, in the case of MoO<sub>2</sub>/C/V<sub>2</sub>C-2, amorphous MoO<sub>2</sub> with poor crystallinity is observed, which might be resulting from a strong confinement effect in the composite. Compared with MoO<sub>2</sub>/C/V<sub>2</sub>C-1, more V<sub>2</sub>C MXene in MoO<sub>2</sub>/C/V<sub>2</sub>C-2 might reduce the crystallinity of MoO<sub>2</sub> by increasing the depletion of the lattice oxygen atoms at high temperatures <sup>[156]</sup>. The absence of V<sub>2</sub>C MXene leads to the production of MoO<sub>2</sub>/PDDA and corresponding annealing-MoO<sub>2</sub>/C samples, of which XRD pattern exhibit obvious diffraction peaks, matching well with that of monoclinic MoO<sub>2</sub> (Figure 48a). On this basis, it could be concluded that PDDA also works as a reducing agent during hydrothermal reactions. Consistently, the lack of PDDA results in the production of the mixed phases of h-MoO<sub>3</sub> and α-MoO<sub>3</sub> in the MoO<sub>3</sub>/V<sub>2</sub>C and MoO<sub>3</sub>/V<sub>2</sub>C (annealing) composites (Figure 48b). Moreover, the diffraction peak at 8.2° ascribed to the (002) plane of V<sub>2</sub>C vanishes after the annealing process, in line with that of MoO<sub>2</sub>/C/V<sub>2</sub>C samples.

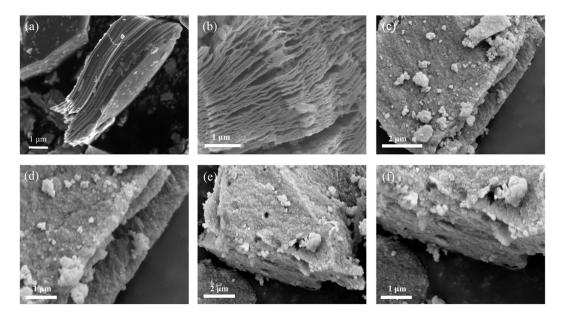


Figure 49. SEM images of V<sub>2</sub>AlC (a), V<sub>2</sub>C (b), MoO<sub>2</sub>/C/V<sub>2</sub>C-1 (c,d), and MoO<sub>2</sub>/C/V<sub>2</sub>C-2 (e,f).

SEM, TEM, HRTEM, and TEM-EDS measurements were performed to investigate the microstructure, the crystal structure, and element distribution of samples, respectively.

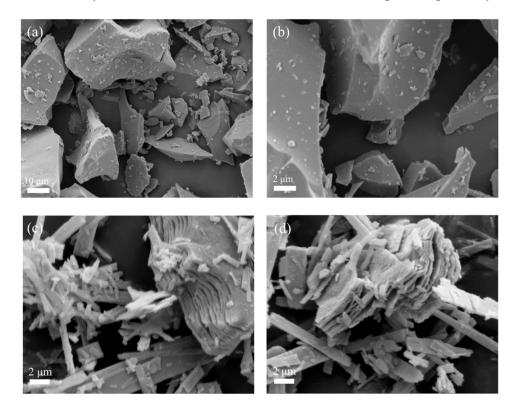
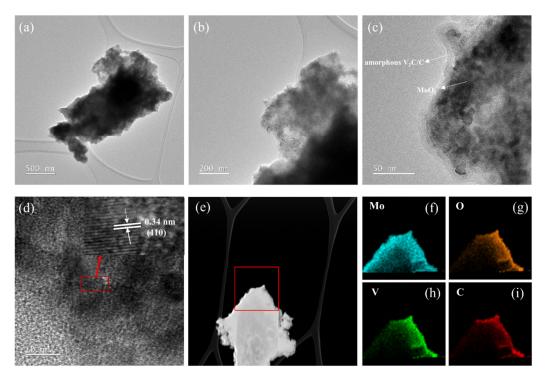


Figure 50. SEM images of  $MoO_2/C$  (annealing) composites without the addition of  $V_2C$  MXene (a, b) and  $MoO_3/V_2C$  (annealing) without the addition of PDDA (c, d).

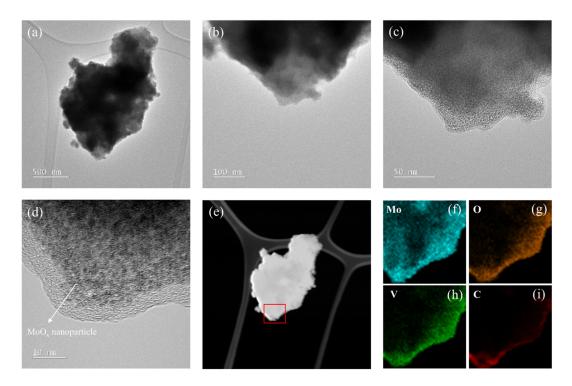
After the etching process, compared with the precursor V<sub>2</sub>AlC (Figure 49a), the pristine V<sub>2</sub>C shows the typical accordion-like morphology with the multilayer structure (Figure 49b). The framework from this open structure provides sufficient channels for electrolyte penetration and reduces the migration of lithium ions. The SEM images of the MoO<sub>2</sub>/C/V<sub>2</sub>C-1 composite (Figures 49c, d) show that MoO<sub>2</sub> nanoparticles are distributed uniformly in the layered structure. The hierarchical structure in this composite is not clear to see due to the introduction of excessive MoO<sub>2</sub>. In contrast, MoO<sub>2</sub>/C/V<sub>2</sub>C-2 shows a more obvious hierarchical structure because of less MoO<sub>2</sub> content in this composite. Nonetheless, without the participation of V<sub>2</sub>C MXene, the synthesized MoO<sub>2</sub>/C (annealing) composite shows the morphology of microparticles with a size of 10-20  $\mu$ m (Figures 50a, b). In the absence of PDDA, the SEM images of the obtained MoO<sub>3</sub>/V<sub>2</sub>C (annealing) sample reveal a mixture of MoO<sub>3</sub> microrods and accordion-like V<sub>2</sub>C (Figures 50c, d), demonstrating that the open structure of V<sub>2</sub>C MXene can not be effectively utilized to confine MoO<sub>2</sub> in this case. Therefore, the delicate design with PDDA is indispensable for the better combination of V<sub>2</sub>C MXene with MoO<sub>2</sub>.



**Figure 51.** TEM images (a-c), HRTEM image (d), and TEM-EDS elemental mapping (e-i) of MoO<sub>2</sub>/C/V<sub>2</sub>C-1. TEM, HRTEM images, and elemental mapping were measured by Tomasz Kędzierski.

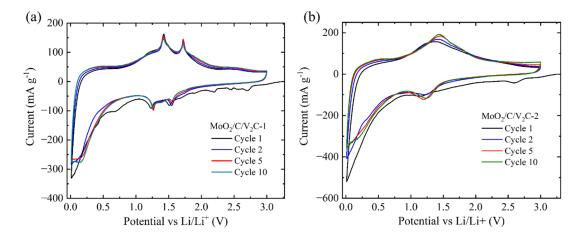
TEM images of MoO<sub>2</sub>/C/V<sub>2</sub>C-1 in Figures 51a-c show the hierarchical structure where MoO<sub>2</sub> nanoparticles of a size of 10-20 nm were almost uniformly confined in the amorphous V<sub>2</sub>C/C matrix, agreeing with that of SEM results. HRTEM image of MoO<sub>2</sub>/C/V<sub>2</sub>C-1 in Figure 51d shows the lattice spacing of 0.34 nm corresponding to the (110) plane of monoclinic MoO<sub>2</sub>, which is in

accordance with the XRD results. TEM-EDS mapping (Figures 50e-i) of the selected area in Figure 51d (red rectangle) confirm the homogeneous distribution of Mo, O, V, and C, indicating sufficient loading of MoO<sub>2</sub> nanoparticles in this hierarchical composite.



**Figure 52.** TEM images (a-c), HRTEM image (d), and TEM-EDS elemental mapping (e-i) of MoO<sub>2</sub>/C/V<sub>2</sub>C-2. TEM, HRTEM images, and elemental mapping were measured by Tomasz Kędzierski.

Similarly, a hierarchical structure of MoO<sub>2</sub> nanoparticles constrained in the V<sub>2</sub>C/C main framework could be observed in TEM and HRTEM images of MoO<sub>2</sub>/C/V<sub>2</sub>C-2 (Figures 52a-d). In addition, the MoO<sub>2</sub> nanoparticles exhibit smaller sizes by several nanometers than in MoO<sub>2</sub>/C/V<sub>2</sub>C-1, as well as poor cystallinity, in accordance with the XRD results. The uniform distribution of Mo, O, V, and C is verified by the TEM-eds mappings of MoO<sub>2</sub>/C/V<sub>2</sub>C-2 in Figures 52e-i.



#### **3.4.4.** Electrochemical characterization

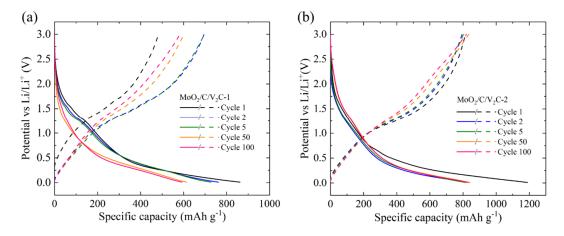
Figure 53. CV curves of the  $MoO_2/C/V_2C-1$  and  $MoO_2/C/V_2C-2$  electrodes. The CV curve of  $MoO_2/C/V_2C-1$  was measured by Hinz Brian <sup>[151]</sup>.

To study the lithium storage behaviors of pristine V<sub>2</sub>C, MoO<sub>2</sub>/C/V<sub>2</sub>C-1, and MoO<sub>2</sub>/C/V<sub>2</sub>C-2, CV and GCPL measurements were conducted. Figure 53 shows CV curves of the MoO<sub>2</sub>/C/V<sub>2</sub>C-1 and MoO<sub>2</sub>/C/V<sub>2</sub>C-2 electrodes obtained at a scan rate of 0.1 mV/s in the voltage range 0.01-3 V. As seen in Figure 53a, in the first cathodic sweep, several reduction peaks at the potential over 2 V are visible, which disappear in the following cycles. These peaks indicate irreversible reactions, which might be caused by MoO<sub>3</sub> from the partial oxidation in air at the surface of samples <sup>[89]</sup>. Two distinct reduction peaks at 1.6 V and 1.3 V correspond to the two-step lithium insertion to MoO<sub>2</sub> forming Li<sub>x</sub>MoO<sub>2</sub> (Equation 11), the phase transition of MoO<sub>2</sub> from the monoclinic phase to the orthorhombic phase and back to monoclinic phase <sup>[91]</sup>. The reduction peak at 0.7 V can be ascribed to the formation of SEI, which is absent in the subsequent cycles. Another reduction peak at around 0.4 V represents the conversion reaction from LixMoO2 to metallic molybdenum (Equation 12)<sup>[91]</sup>. For the first anodic scan, two oxidation peaks at 1.4 V and 1.7 V are observed. They are attributed to the delithiation process <sup>[157-160]</sup>. In the second cathodic sweep, the two reduction peaks at 1.6 and 1.3 V are maintained. The reduction peak located at 0.3 is related to the conversion reaction and shows a potential shift, compared with that in the first cycle. This is attributed to the structure change during the conversion reaction. Moreover, the increase in current density indicates a more complete conversion reaction due to the activation process <sup>[91]</sup>. The peaks in the fifth and tenth cycles are nearly overlapping, implying good reversibility for the insertion and extraction of lithium ions.

The electrochemical reactions can be summarized as follows <sup>[158, 161]</sup>:

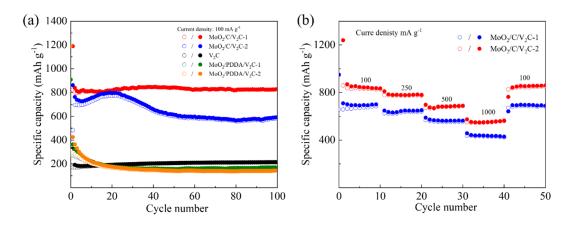
$$xLi^{+} + xe^{-} + MoO_{2} \leftrightarrow Li_{x}MoO_{2} (0 < x < 0.98)$$
 (11)  
 $MoO_{2} + (4 - x)Li^{+} + (4 - x)e^{-} \leftrightarrow 2Li_{2}O^{+}Mo$  (12)

By comparison, the CV curve of the MoO<sub>2</sub>/C/V<sub>2</sub>C-2 electrode (Figure 53b) presents distinct CV shapes. The irreversible reduction peak at 2.7 V in the first cathodic sweep is related to electrochemical reactions from MoO<sub>3</sub>, similar to that in MoO<sub>2</sub>/C/V<sub>2</sub>C-1 electrode, agreeing with the conclusion of the existence of Mo<sup>6+</sup> in the composite. The peak at around 1.26 V corresponds to the lithiation of MoO<sub>2</sub> to Li<sub>x</sub>MoO<sub>2</sub>, which has no phase transition due to the amorphous structure of the MoO<sub>2</sub> nanoparticles <sup>[89, 157]</sup>. The broad reduction peak at 0.6 V indicates the formation of SEI and the conversion reaction of Li<sub>x</sub>MoO<sub>2</sub>. Only one oxidation peak at 1.52 V can be observed during the first anodic scan. This is attributed to the delithiation process. Besides, the CV profiles remain fairly consistent and steady in the fifth cycle, suggesting good reversibility and stability of lithium ion transport.



**Figure 54.** Galvanostatic charge/discharge curves of the  $MoO_2/C/V_2C-1$  (a) and  $MoO_2/C/V_2C-2$  electrodes at a current density of 100 mA g<sup>-1</sup> for specific cycles. The galvanostatic charge/discharge curve of the  $MoO_2/C/V_2C-1$  was measured by Hinz Brian <sup>[151]</sup>.

The galvanostatic charge/discharge curves of MoO<sub>2</sub>/C/V<sub>2</sub>C-1 and MoO<sub>2</sub>/C/V<sub>2</sub>C-2 electrodes at a current density of 100 mA g<sup>-1</sup> are presented in Figure 54. The MoO<sub>2</sub>/C/V<sub>2</sub>C-1 electrode delivers an initial discharge/charge capacity of 875/496 mAh g<sup>-1</sup> with an initial a Coulombic efficiency of 58% (Figure 54a). The capacity loss indicates the formation of SEI and irreversible reaction at high potential (>2.5 V). There are two faint plateaus at around 1.3 and 1.6 V, agreeing with the result of the CV curve. By contrast, a higher Coulombic efficiency of around 70% is achieved for the MoO<sub>2</sub>/C/V<sub>2</sub>C-2 electrode (Figure 54b), which exhibits an initial discharge/charge capacity of 1190/840 mAh g<sup>-1</sup>, indicating a better synergistic effect of components in this composite.

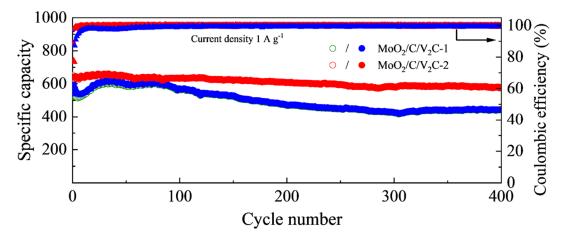


**Figure 55.** (a) Cycling performance at 100 mA g<sup>-1</sup> and (b) rate performance of the  $MoO_2/C/V2C$ -1 and  $MoO_2/C/V_2C$ -2 electrodes at the current densities ranging from 100 to 1000 mA g<sup>-1</sup>. In (a), the values for the pristine V<sub>2</sub>C,  $MoO_2/C/V_2C$ -1, and  $MoO_2/C/V_2C$ -2 are also shown for comparison. The cycling performance of the  $MoO_2/C/V_2C$ -1 was measured by Hinz Brian <sup>[151]</sup>. Hollow and solid circles stand for charge and discharge capacities, respectively.

Figure 55a shows the cycling performance of MoO<sub>2</sub>/C/V<sub>2</sub>C-1 and MoO<sub>2</sub>/C/V<sub>2</sub>C-2 electrodes at a current density of 100 mA  $g^{-1}$  for 100 cycles. The values for the pristine V<sub>2</sub>C, MoO<sub>2</sub>/PDDA/V<sub>2</sub>C-1, and MoO<sub>2</sub>/PDDA/V<sub>2</sub>C-2 are also presented for comparison. The pristine V<sub>2</sub>C electrode exhibits good capacity stability but only a low reversible capacity of 196 mAh g<sup>-1</sup> after 100 cycles, limited by the lithium ion insertion mechanism and the existence of surface groups, which was already discussed in chapter 3.2.4. By comparison, the MoO<sub>2</sub>/PDDA/V<sub>2</sub>C-1 and MoO<sub>2</sub>/PDDA/V<sub>2</sub>C-2 electrodes show higher initial discharge/charge capacity but a fast drop to 170-180 mAh g<sup>-1</sup> in the first 15 cycles. This might be explained by the poor electrochemical behavior of PDDA in these two composites, which shield the lithium storage performance of MoO2 <sup>[162]</sup>. This problem could be overcome via an annealing process, converting PDDA to amorphous carbon, evidenced by the cycling performance of MoO<sub>2</sub>/C/V<sub>2</sub>C-1 and MoO<sub>2</sub>/C/V<sub>2</sub>C-2 electrodes. Both electrodes exhibit enhanced lithium ion storage performance compared to their untreated counterparts. As shown in Figure 55a, the MoO<sub>2</sub>/C/V<sub>2</sub>C-1 electrode shows a slight capacity increase in the first 20 cycles and significant capacity fading in the following 20 cycles. Afterward, the capacity is maintained in the last 60 cycles. The capacity increase can be attributed to the progressive conversion reaction known as the electrode activation effect, where the produced metallic Mo activates more Li<sub>x</sub>MoO<sub>2</sub> to participate in the conversion reaction <sup>[158, 159]</sup>. However, the aggregation of metallic Mo to form metal clusters would hinder this activation effect, causing a capacity drop <sup>[157]</sup>. The good electrical contacts provided by constructing hierarchical structures with materials like graphene <sup>[157]</sup>, and MXene can alleviate the aggregation and stabilize the cycling process.

In the case of the  $MoO_2/C/V_2C-2$  electrode, the amorphous structure of  $MoO_2$  and the higher amount of MXene in the composites offer more complete conversion reactions and better confinement effect, compared with that of the  $MoO_2/C/V_2C$ -1 electrode, leading to excellent capacity retention of 99% (a reversible capacity of 810 mAh g<sup>-1</sup>) after 100 cycles.

The rate capabilities of the  $MoO_2/C/V_2C$ -1 and  $MoO_2/C/V_2C$ -2 electrodes were also tested under different current densities ranging from 100 to 250, 500, and 1000 mA g<sup>-1</sup>, see Figure 55b. The  $MoO_2/C/V_2C$ -1 electrode delivers average specific discharge/charge capacities of 680, 605, 540, and 410 mAh g<sup>-1</sup>, respectively. As the current density is returned to 100 mAg<sup>-1</sup>, the specific discharge/charge capacity quickly recovers to 670 mAh g<sup>-1</sup>. Similar but superior rate performance is observed for the  $MoO_2/C/V_2C$ -2 electrode, which shows higher average specific discharge/charge capacities of 820, 780, 700, and 603 mAh g<sup>-1</sup>, respectively, at the same current densities. Consequently, both electrodes display high reversibility and outstanding rate capability, benefiting from the enhanced conductivity in  $MoO_2/C/V_2C$  composites.



**Figure 56.** Long cycling capability of the  $MoO_2/C/V_2C-1$  and  $MoO_2/C/V_2C-2$  electrodes at a high current density of 1000 mA g<sup>-1</sup>. Hollow and solid circles stand for charge and discharge capacities, respectively.

Inspired by the superior cycling stability and rate capability of the  $MoO_2/C/V_2C$ -1 and  $MoO_2/C/V_2C$ -2 electrodes, the long cycling capability of the above two electrodes at a high current density of 1000 mA g<sup>-1</sup> was investigated. As shown in Figure 56, the  $MoO_2/C/V_2C$ -1 electrode could still exhibit a reversible capacity of 410 mAh g<sup>-1</sup> after 400 cycles. Besides, in the case of  $MoO_2/C/V_2C$ -2, capacity retention of 96% (605 mAh g<sup>-1</sup>) is achieved after 400 cycles.

In summary, we observe outstanding lithium storage performance of the  $MoO_2/C/V_2C$ -1 and  $MoO_2/C/V_2C$ -2 electrodes. This can be ascribed to two aspects: 1. The unique hierarchical structure can help confine  $MoO_2$  nanoparticles to accommodate the volume expansion during cycling and alleviate the metallic Mo aggregation. 2. The  $V_2C/C$  framework enhances the electric conductivity of the composites.

#### 3.4.5. Conclusion

MoO<sub>2</sub>/C/V<sub>2</sub>C/ composites were prepared by an electrostatic interaction-assisted hydrothermal and a post-annealing process. Particularly, the stability of V<sub>2</sub>C dispersion in water is significantly improved by the introduction of PDDA, providing the possibility to prepare composites of V<sub>2</sub>C with MoO<sub>2</sub>. As a result, MoO<sub>2</sub> nanoparticles are confined in the framework of V<sub>2</sub>C/C, derived from V<sub>2</sub>C/PDDA. Benefiting from this unique hierarchical structure, the MoO<sub>2</sub>/C/V<sub>2</sub>C composites exhibit outstanding lithium storage performance. The MoO<sub>2</sub>/V<sub>2</sub>C/C composites with the mass ratio of 8:1 and 4:1 (molybdenum source: vanadium source) show a reversible specific capacity of 602 and 810 mAh g<sup>-1</sup>, respectively at 100 mA g<sup>-1</sup> after 100 cycles. In particular, the latter composite also exhibits superior long-term cycling performance (capacity retention of 96%) at a high current density of 1000 mA g<sup>-1</sup> with a reversible capacity of 605 mAh g<sup>-1</sup> after 400 cycles. Moreover, excellent rate performance is obtained for the electrodes of these two composites. The outstanding lithium storage performance of MoO<sub>2</sub>/C/V<sub>2</sub>C composites can be ascribed to the unique hierarchical structure which not only buffers the volume expansion of MoO<sub>2</sub>, but also improves the electric conductivity.

# 4. Carbon coated tungsten based anode materials for lithium storage applications<sup>1</sup>

#### 4.1. Introduction

As another kind of transition metal-related advanced anode material, tungsten-based materials are potential alternative anode materials for next-generation LIBs, due to their high theoretical capacity (693 mAh g<sup>-1</sup> for WO<sub>3</sub> and 432 mAh g<sup>-1</sup> for WS<sub>2</sub>), high intrinsic density, low cost, and environmental friendliness <sup>[164-167]</sup>.

To make tungsten-based materials more accessible for the practical application of LIBs, two important issues need to be solved. The first one is the fast capacity fading and poor rate performance of tungsten-based materials, caused by low electrical conductivity and large volume expansion during cycling <sup>[168-171]</sup>. This problem has been significantly improved by several routes. Particularly, constructing composites with carbonaceous materials has proven to be a promising strategy <sup>[172-174]</sup>. Most previous studies reported composites of metal oxides and sulfides with carbonaceous materials, including graphene, carbon nanotubes (CNTs), and carbon fiber, which worked as a substrate via in-situ growth or the liquid assembly method <sup>[175-177]</sup>. In particular, Liu et al. prepared a WS<sub>2</sub> and graphene oxide composite via electrostatic assisted filtration process. The composite showed a good reversible capacity of 697.7 mAh g<sup>-1</sup> under 150 mA g<sup>-1</sup> over 100 cycles <sup>[179]</sup>. Zhou et al. fabricated WS<sub>2</sub>/carbon nanofiber composites by electrospinning <sup>[179]</sup>. This material exhibited a specific capacity of 458 mAh g<sup>-1</sup> at 1 A g<sup>-1</sup> after 100 cycles. Pang and their coworkers grew WS<sub>2</sub> nanosheets on mesoporous carbon CMK-3 by the hydrothermal approach; the resulting composite delivered a good specific capacity of 720 mAh g<sup>-1</sup> at 100 mA g<sup>-1</sup> after 100 cycles <sup>[180]</sup>.

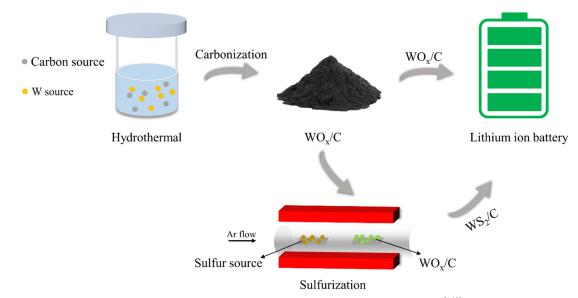
Although the above composites exhibited better battery performance than the bare ones, complicated synthesis methods and high-cost carbonaceous materials limit their practical application, which brings us to the second issue, i.e. scalable and low-cost approaches to fabricating tungsten-based anode materials and their composites. This problem has rarely been addressed so far. In this part, a facile and simple approach was developed to fabricate carbon-coated tungsten oxides and sulfides. Two kinds of carbon sources, viz. polyvinyl pyrrolidone (PVP) and cetyltrimethylammonium bromide (CTAB), were adopted to verify our approach. Important synthesis conditions, such as carbonization temperature, sulfurization temperature, and the amount of the carbon source, were optimized. As a result, carbon-coated tungsten oxide and tungsten disulfide synthesized with two different carbon sources exhibit excellent battery performance. The reported approach provides an easy and versatile route that can be extended to the preparation of other metal oxides and disulfides.

<sup>&</sup>lt;sup>1</sup> Main parts of this chapter have been published. The text of this chapter has in part been taken verbatim from the paper <sup>[163]</sup>.

#### 4.2 Synthesis of WO<sub>x</sub>/C composites and WS<sub>2</sub>/C composites

(1) pristine WO<sub>3</sub> and the WO<sub>x</sub>/C composite: WO<sub>x</sub>/C composites were synthesized by a hydrothermal process and subsequent carbonization. Firstly, 600 mg Na<sub>2</sub>WO<sub>4</sub> was dissolved in 25 ml deionized water at room temperature, then 9 M HCl solution was added dropwise to adjust the pH of the mixture to 1.5. 200 mg or 500 mg carbon source (CTAB, PVP) was subsequently added to the solution. The mixture was transferred to a 50 ml stainless steel autoclave lined with PTFE and heated to 180°C for 24h and then cooled to room temperature. The precipitate was collected after centrifugation, washed several times with water and ethanol, and dried in an oven at 80 °C for 24 h. Finally, the powder was sintered under a flow of Argon gas at 400 – 800 °C for two hours to obtain WO<sub>x</sub>/C composites, which are denoted as c-WO<sub>x</sub>/C and p-WO<sub>x</sub>/C respectively (x is a value varying from 2 to 3), according to the carbon source (CTAB or PVP) used for the synthesis. Pristine WO<sub>3</sub> was prepared using the same reaction conditions without addition of a carbon source.

(2) pristine WS<sub>2</sub> and the WS<sub>2</sub>/C composite: WO<sub>x</sub>/C composites as described above were used as precursors and further sulfurized to WS<sub>2</sub>/C. 100 mg WO<sub>x</sub>/C composite was ground with 500 mg thiourea and then loaded into an alumina crucible, which was put downstream of a tube furnace; Another alumina boat containing 500 mg thiourea was put in the upstream. The tube furnace was then kept at 600 or 800 °C for 2 h under argon flow. Pristine WS<sub>2</sub> was fabricated using the same reaction conditions by sulfurization of pristine WO<sub>3</sub>. Depending on the initial carbon source, either CTAB (c) or PVP (p), the obtained products are denoted as c/p-WS<sub>2</sub>/C. Mixed-phase composites are labeled as c/p-WO<sub>x</sub>/C-WS<sub>2</sub>/C.



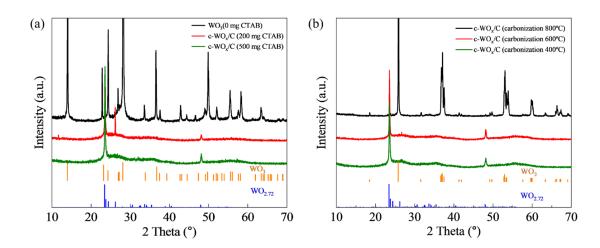
### 4.3 Experimental design

Figure 57. Schematic illustration of the preparation of WO<sub>x</sub>/C and WS<sub>2</sub>/C composites <sup>[163]</sup>.

The synthesis procedure of WO<sub>x</sub>/C and WS<sub>2</sub>/C composites is illustrated in Figure 57. The WO<sub>x</sub>/C was obtained based on a hydrothermal process and a post-carbonization procedure. After an additional sulfurization step, the corresponding WS<sub>2</sub>/C was fabricated. It is noted that instead of high-cost carbonaceous materials like graphene, mesoporous carbon, and carbon nanotubes, the commonly used and inexpensive carbon source CTAB and PVP were introduced. Both carbon-coated tungsten oxides and disulfides could be easily obtained without the involvement of any sacrificial template and complex preparation steps. The effects of crucial synthesis parameters, such as the amount of carbon source, carbonization and sulfurization temperature on the crystal structure, morphology, and battery performance of the above composite materials were investigated.

#### 4.4. CTAB-based WO<sub>x</sub> and WS<sub>2</sub> carbon composites for lithium storage

In this chapter, CTAB was used as the carbon source to prepare the  $c-WO_x/C$  composites. In addition,  $c-WO_x/C-WS_2/C$  and  $c-WS_2/C$  composites were synthesized via additional sulfurization at different temperatures. These above composites were investigated physically and electrochemically. Part of the electrochemical characterization was done by Finn Sebastian under the supervision of the author <sup>[181]</sup>.



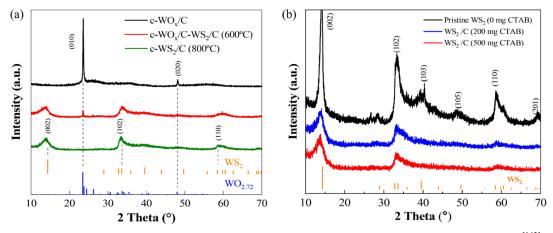
#### 4.4.1. Physical characterization

**Figure 58.** Powder XRD patterns of c-WO<sub>x</sub>/C with various carbonization temperatures and amounts of CTAB <sup>[163]</sup>. (a) 600 °C carbonization and 0 mg CTAB, 600 °C carbonization and 200 mg CTAB, 600 °C carbonization and 500 mg CTAB. Vertical ticks show reference patterns according to ICSD code 32001 <sup>[182]</sup> (WO<sub>3</sub>) and ICSD code 15254 <sup>[183]</sup> (WO<sub>2.72</sub>), respectively. (b) 400 °C carbonization and 500 mg CTAB, 600 °C carbonization and 500 mg CTAB, 800 °C carbonization and 500 mg CTAB. Vertical ticks show reference patterns according to ICSD code 80829 <sup>[153]</sup> (WO<sub>2</sub>) and ICSD code 15254 <sup>[183]</sup> (WO<sub>2.72</sub>), respectively.

Figure 58a shows the powder XRD patterns of pristine WO<sub>3</sub> and c-WO<sub>x</sub>/C composites produced with various amounts of carbon source (CTAB). The pattern of WO<sub>3</sub> exhibits diffraction peaks that fit well with those of h-WO<sub>3</sub> (ICSD code 32001). While the patterns of c-WO<sub>x</sub>/C composites prepared with 200 mg and 500 mg CTAB exhibit distinct peaks at 23° and 48°, characteristic of WO<sub>2.72</sub> (ICSD code 15254)<sup>[184]</sup>. In addition, the amounts of CTAB were fixed at 500 mg and the influence of carbonization temperature on the obtained phase is presented in Figure 58b. The carbonization temperatures of 400 °C and 600 °C produced the same phase of WO<sub>2.72</sub>. However, with a higher carbonization temperature (800°C), a new phase of WO<sub>2</sub> was obtained. Thus, we conclude that CTAB also acts as a reducing agent during the carbonization in addition to serving as a carbon source, and this effect becomes stronger at higher temperatures.

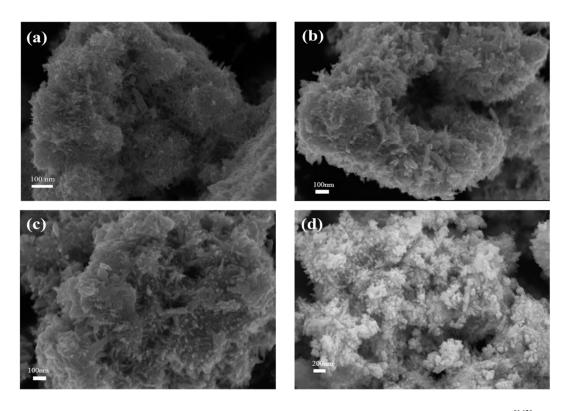
The c-WO<sub>x</sub>/C composite synthesized with 500 mg CTAB and a carbonization temperature of 600  $^{\circ}$ C was further sulfurized. The XRD patterns of c-WS<sub>2</sub>/C with various sulfurization

temperatures are presented in Figure 59a. Upon sulfurization, the characteristic WO<sub>2.72</sub>-diffraction peaks are suppressed and new features at 13°, 32° and 60° appear, pointing to the presence of WS<sub>2</sub> (ICSD code 202366). When the sulfurization process is performed at 800 °C, the resulting product is c-WS<sub>2</sub>/C as indicated by the complete absence of the WO<sub>2.72</sub> diffraction peaks. By comparison, if the sulfurization temperature is 600 °C, a mixture of two components (c-WO<sub>x</sub>/c-WS<sub>2</sub>/C) is formed. It can thus be concluded that the degree of sulfurization is controlled by the sulfurization temperature [<sup>185</sup>]. Moreover, all peaks associated with WS<sub>2</sub> are rather broad, indicating poor crystallinity. The phase of WS<sub>2</sub> was maintained when a small amount of CTAB (200 mg) was used (Figure 59b), which is similar to the case of WO<sub>2.72</sub>.



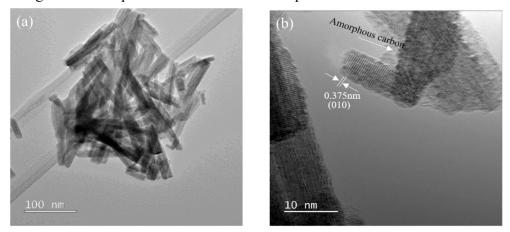
**Figure 59**. XRD patterns of c-WS<sub>2</sub>/C with various sulfurization temperatures and amounts of CTAB <sup>[163]</sup>. (a) XRD patterns of c-WO<sub>x</sub>/C, c-WO<sub>x</sub>/C-WS<sub>2</sub>/C (sulfurization at 600°C) and c-WS<sub>2</sub>/C (sulfurization at 800°C). Vertical ticks show the reference patterns according to ICSD code 202366 <sup>[186]</sup> (WS<sub>2</sub>) and ICSD code 15254 <sup>[183]</sup> (WO<sub>2.72</sub>), respectively. (b) XRD patterns of c-WS<sub>2</sub>/C (sulfurization at 800°C) with 0, 200, and 500 mg CTAB added. Vertical ticks show the reference patterns according to ICSD code 202366 <sup>[186]</sup>.

The effect of carbonization temperature, the amount of CTAB added, and sulfurization temperatures on the microstructure of the resulting composites is demonstrated by SEM and TEM measurements. Figure 60 shows SEM images of c-WO<sub>x</sub>/C with various carbonization temperatures and amounts of CTAB. The c-WO<sub>x</sub>/C composites prepared with an identical carbonization temperatures (600 °C) but different amounts of CTAB (200 mg, 500 mg) exhibit a nanocluster-like structure of agglomerated nanorods with 100 – 200 nm in length (Figures 60a-c), demonstrating that the change in the amounts of carbon source has no obvious influence on the morphology of c-WO<sub>x</sub>/C composites. However, a low carbonization temperature (400 °C) caused the production of irregular c-WO<sub>x</sub>/C composites (Figure 60d).

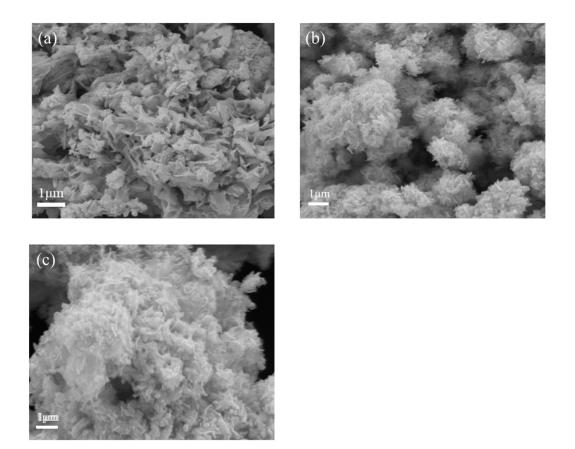


**Figure 60**. SEM images of c-WO<sub>x</sub>/C with various carbonization temperatures and amounts of CTAB <sup>[163]</sup>. (a) 600 °C carbonization and 500 mg CTAB. (b) 600 °C carbonization and 200 mg CTAB. (c) 600 °C carbonization and 500 mg CTAB. (d) 400 °C carbonization and 500 mg CTAB.

The microstructure of c-WO<sub>x</sub>/C synthesized with 500 mg CTAB and a carbonization temperature of 600 °C was further confirmed by the TEM and HRTEM images shown in Figure 61. The c-WO<sub>x</sub>/C nanorods are coated by amorphous carbon, which agrees with the SEM images. In addition, the lattice fringes with a spacing of 0.375 nm can be indexed to the (010) planes, corresponding to the main peak of WO<sub>2.72</sub> in the XRD patterns.



**Figure 61.** The TEM image (a) and HR-TEM image (b) of c-WO<sub>x</sub>/C synthesized with 500 mg CTAB and carbonization temperature of 600 °C <sup>[163]</sup>.



**Figure 62**. SEM images of c-WO<sub>x</sub>/C-WS<sub>2</sub>/C (sulfurization at 600 °C) (a) and c-WS<sub>2</sub>/C (sulfurization at 800 °C) with 500 mg (b), and 200 mg (c) CTAB <sup>[163]</sup>.

Figure 62 exhibits SEM images of c-WO<sub>x</sub>/C-WS<sub>2</sub>/C (sulfurization at 600°C) and c-WS<sub>2</sub>/C (sulfurization at 800°C) with 200, and 500 mg CTAB. Compared with the WO<sub>x</sub>/C composite, the mixed phase c-WO<sub>x</sub>/C-WS<sub>2</sub>/C is composed of curled nanosheets as well as nanoparticles, which are assumed to be c-WO<sub>x</sub>/C and c-WS<sub>2</sub>/C, respectively (Figure 62a). After the complete sulfurization at 800 °C, only curled nanosheets are observed in the resulting c-WS<sub>2</sub>/C (Figure 62b). Moreover, as shown in Figure 62c, the morphology of c-WS<sub>2</sub>/C does not change noticeably at a small amount of CTAB added (200 mg), which is in accordance with the case of c-WO<sub>x</sub>/C.

Figure 63 presents HRTEM images and elemental mapping images of the mixed phase c- $WO_x/C-WS_2/C$ . Both WS<sub>2</sub> nanosheets and WO<sub>x</sub> nanoparticles are observed in the HRTEM images (Figures 63a, b), which is consistent with the corresponding SEM images. EDS elemental mapping, presented in Figures 63c-f, further illustrates the homogenous distribution of W, O, S, and C within a randomly selected area in Figure 63b, indicating the coexistence of c-WO<sub>x</sub>/C and c-WS<sub>2</sub>/C, which is in good agreement with the XRD data.

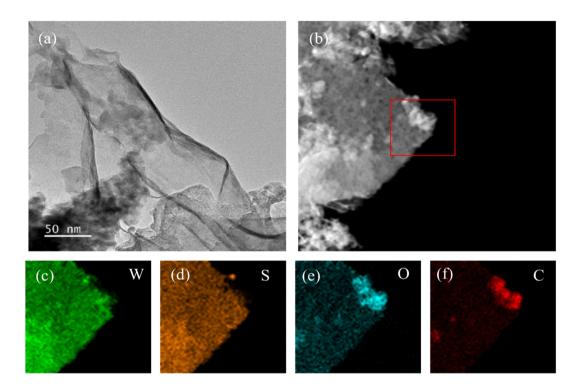
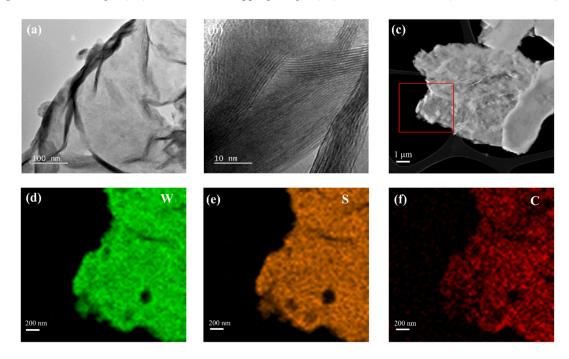


Figure 63. TEM images (a,b) and elemental mapping images (c-f) of c-WO<sub>x</sub>/C-WS<sub>2</sub>/C (sulfurized at 600 °C) <sup>[163]</sup>.



**Figure 64.** TEM images, HR-TEM images (a-c), and elemental mapping images (d-f) of c-WS<sub>2</sub>/C (sulfurized at 800 °C)<sup>[163]</sup>.

The HRTEM images and elemental mapping images of c-WS<sub>2</sub>/C (sulfurized at 800 °C) are shown in Figure 64. The c-WS<sub>2</sub>/C composite exhibits curled nanosheets with an interlayer spacing of 0.675 nm, which can be attributed to the (002) plane of WS<sub>2</sub><sup>[187]</sup> (Figures 64a,b). The elemental mappings of c-WS<sub>2</sub>/C by TEM-EDS presented in Figures 64c-f confirm the uniform distribution of W, S, and C in this composite.

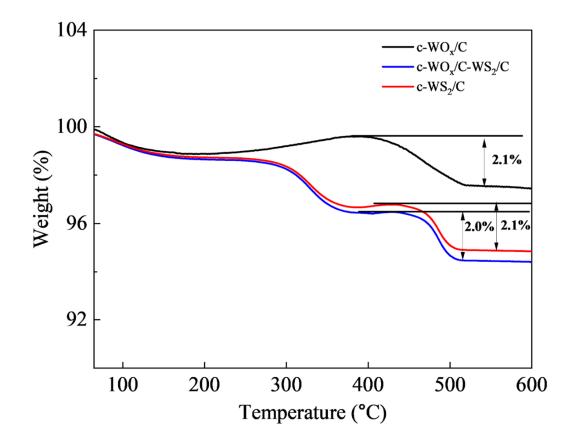
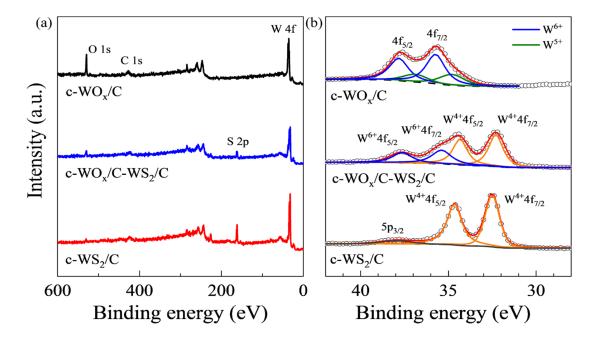


Figure 65. TGA curves of c-WO<sub>x</sub>/C, c-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and c-WS<sub>2</sub>/C<sup>[163]</sup>.

The thermogravimetric analysis (TGA) was conducted to study the carbon content in the c- $WO_x/C$ , c- $WO_x/C$ - $WS_2/C$ , and c- $WS_2/C$  composites in air. Figure 65 shows the TGA curves of c- $WO_x/C$ , c- $WO_x/C$ - $WS_2/C$ , and c- $WS_2/C$ . The weight loss from 100 °C to 200 °C in three samples can be attributed to the vaporization of adsorbed water. For the c- $WO_x/C$  sample, a slight weight increase is observed from 300 °C to 400 °C corresponding to the oxidation of  $WO_{2.72}$  in c- $WO_x/C$ , verifying the existence of oxygen vacancies. In contrast, significant weight loss can be found for c- $WO_x/C$ - $WS_2/C$  and c- $WS_2/C$  samples in this temperature range, which is related to the oxidation of  $WS_2$ . In addition, the weight increase derived from the oxidation of  $WO_{2.72}$  in c- $WO_x/C$ - $WS_2/C$  is not visible due to a smaller weight change compared to the oxidation of  $WS_2$ . When the applied temperature is above 400 °C, a distinct weight loss ascribed to combustion reactions of the carbon



component can be observed for the three samples. Consequently, the carbon content in c-WO<sub>x</sub>/C, c-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and c-WS<sub>2</sub>/C are about 2.0, 2.1, and 2.0 ( $\pm$ 0.5) wt%, respectively.

Figure 66. Wide-scan XP spectra (a) and W 4f XP spectra (b) of c-WO<sub>x</sub>/C, c-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and c-WS<sub>2</sub>/C<sup>[163]</sup>.

Chemical states and compositions of c-WO<sub>x</sub>, c-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and c-WS<sub>2</sub>/C were monitored by XPS. The wide-scan XP spectrum of WO<sub>x</sub>/C shown in Figure 66a confirms the presence of W, O, and C in this material. After the sulfurization, there is a clear signature of S, as seen in the widescan spectra of c-WO<sub>x</sub>/C-WS<sub>2</sub>/C and c-WS<sub>2</sub>/C. Simultaneously, the signal of O gradually decreases in intensity due to the sulfurization treatment. These observations agree well with the results of the EDS elemental mapping.

The W 4f XP spectrum of the c-WO<sub>x</sub>/C composite shown in Figure 66b exhibits a superposition of two W  $4f_{7/2,5/2}$  doublets. The first doublet, with the component peaks at ~35.7 and ~37.8 eV, is attributed to W<sup>6+</sup>. The second, comparably weak doublet, with the component peaks at ~34.9 eV and ~36.9 eV, is assigned to W<sup>5+</sup>. One can thus conclude that c-WO<sub>x</sub>/C is composed of W<sup>5+</sup> and W<sup>6+</sup>, in good agreement with literature data <sup>[188, 189]</sup>. In contrast, in the W 4f XP spectrum of c-WS<sub>2</sub>/C, a single W  $4f_{7/2,5/2}$  doublet, with the component peaks at 32.5 eV and 34.6 eV, is found, corresponding to the W<sup>4+</sup> oxidation state <sup>[190]</sup>. This doublet is accompanied by a broad W  $5p_{3/2}$  peak at 37.8 eV. Complementary information is provided by the S 2p XP spectrum of c-WS<sub>2</sub>/C. The characteristic S  $2p_{3/2,1/2}$  doublet, with the component peaks at 161.8 eV and 162.9 eV (Figure 67), can be assigned to an S–W bond, confirming the presence of WS<sub>2</sub>. Moreover, the very low intensity of the O 1s peak in the spectrum of c-WS<sub>2</sub>/C (Figure 67) verifies a nearly complete conversion of WO<sub>x</sub>/C to WS<sub>2</sub>/C. Significantly, the W 4f XP spectrum of the mixed-phase, c-

WO<sub>x</sub>/C-WS<sub>2</sub>/C, confirms the presence of both  $W^{4+}$  and  $W^{6+}$ . Specifically, this spectrum exhibits two W 4f<sub>7/2</sub>,  $_{5/2}$  doublets, with the component peaks at 32.2 eV and 34.4 eV for the first one and the component peaks at 35.5 eV and 37.7 eV for the second one. A coexistence of WO<sub>x</sub>/C and WS<sub>2</sub>/C in c-WO<sub>x</sub>/C-WS<sub>2</sub>/C is thus confirmed.

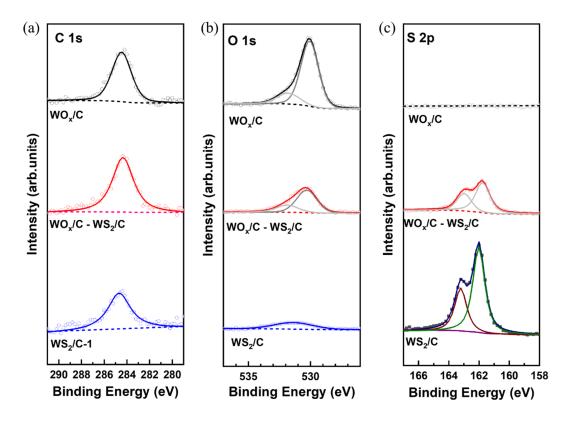
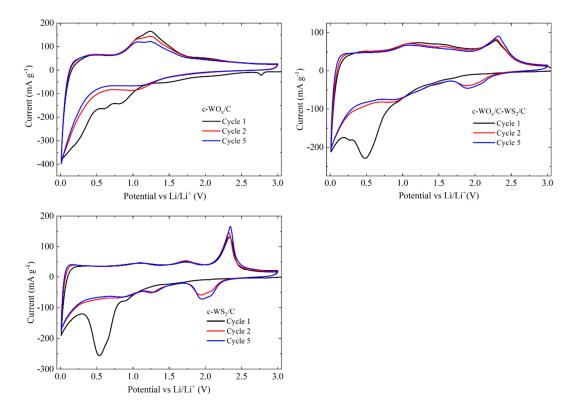


Figure 67. C 1s (a), O 1s, (b) and S 2p (c) XP spectra of c-WO<sub>x</sub>/C, c-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and c-WS<sub>2</sub>/C <sup>[163]</sup>.



#### 4.4.2. Electrochemical characterization

**Figure 68.** CV curves for (a) c-WO<sub>x</sub>/C, (b) c-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and (c) c-WS<sub>2</sub>/C electrodes for the first, second, and fifth cycles at a scan rate of 0.1 mV s<sup>-1</sup> in a potential range of 0.01–3 V versus Li/Li<sup>+ [163]</sup>.

To investigate the electrochemical behavior of c-WO<sub>x</sub>/C, c-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and c-WS<sub>2</sub>/C electrodes, cyclic voltammetry (CV) at a scan rate of 0.1mV s<sup>-1</sup> and galvanostatic cycling with potential limitation (GCPL) were performed. The current density was set to either 100 or 500 mA g<sup>-1</sup> and the potential window was set from 0.01 V to 3 V.

Figure 68a shows the first, second, and fifth CV cycles of the c-WO<sub>x</sub>/C electrode. The first cathodic scan displays five reduction peaks. Peaks at 2.8 and 0.6 V correspond to irreversible reactions and the formation of solid electrolyte interface (SEI), which disappear in the subsequent cycles. The other two reduction peaks at 1.5 and 0.8 V can be assigned to the lithium intercalation into WO<sub>2.72</sub> (Equation 13). The peak located at 0.3 V is attributed to the conversion reaction of Li<sub>x</sub>WO<sub>2.72</sub> (Equation 14). During the first anodic process, an oxidation peak appears at 1.25 V, which is associated with the delithiation process of Li<sub>2</sub>O (Equation 15) <sup>[191]</sup>.

In the second discharge cycle, only one reduction peak at 1.1 V, corresponding to the lithium insertion into  $WO_{2.72}$  (Equation 13), is visible. This peak is representative for a similar electrochemical reaction as the reduction peaks in the first cathodic scan but its position is slightly

different, which can be attributed to structural changes caused by irreversible conversion reactions during the initial cycling<sup>[191]</sup>. The second and fifth CV cycles are mostly overlapping, implying high reversibility of Li<sup>+</sup> storage in the c-WO<sub>x</sub>/C electrode after the second cycle.

The relevant electrochemical reactions for c-WO<sub>x</sub>/C can be expressed as below<sup>[191]</sup>:

$$WO_{2.72} + xLi^+ + xe^- \leftrightarrow Li_x WO_{2.72}$$
(13)

$$\text{Li}_{x} \text{WO}_{2.72} + (5.44 - x)\text{Li}^{+} + (5.44 - x)\text{e}^{-} \rightarrow \text{W} + 2.72\text{Li}_{2}\text{O}$$
 (14)

$$\text{Li}_20 + W \rightarrow xW0_{2.72} + (2 - x)W + z\text{Li}_20 + (2 - 2z)\text{Li}^+ + (2 - 2z)e^- (15)$$

The c-WS<sub>2</sub>/C electrode exhibits a completely different electrochemical behavior as shown by the respective CV curves in Figure 68c. In the first cathodic scan, three reduction peaks are observed. The ones at 1.6 and 0.9 V can be attributed to the lithiation of WS<sub>2</sub> to form Li<sub>x</sub>WS<sub>2</sub> (Equation 16). The third reduction peak, centered at 0.6 V, corresponds to the conversion reaction from Li<sub>x</sub>WS<sub>2</sub> to metallic W (Equation 17) as well as to the generation of SEI <sup>[192, 193]</sup>. Also, in the first anodic scan, three oxidation peaks are recorded. Two of them, at 1.1 and 1.7 V, are fingerprints of the delithiation of Li<sub>x</sub>WS<sub>2</sub> to form WS<sub>2</sub> (Equation 16). The pronounced oxidation peak at 2.3 V is associated with the oxidation of Li<sub>2</sub>S to S (Equation 18) <sup>[193]</sup>. In the subsequent cathodic scan, three new reduction peaks appear which are ascribed to the lithiation of WS<sub>2</sub> (features at 0.9 and 1.3 V) (Equation 16) and the formation of Li<sub>2</sub>S (1.9 V). At the same time, the reduction peak at 0.6 V disappears, indicating the irreversibility of the conversion reaction.

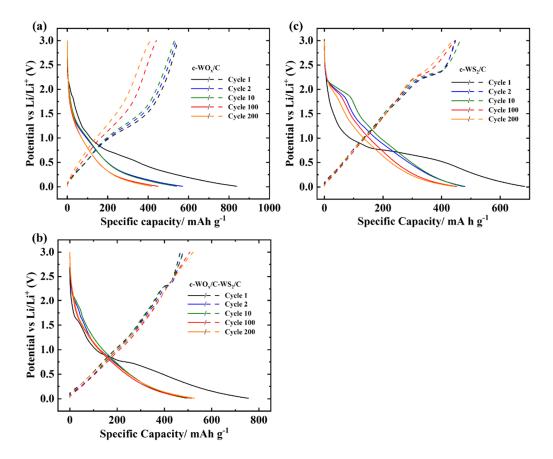
The electrochemical processes for c-WS<sub>2</sub>/C can be described as follows:

$$WS_{2} + xLi^{+} + xe^{-} \leftrightarrow Li_{x}WS_{2}$$
(16)  

$$Li_{x}WS_{2} + (4 - x)Li^{+} + (4 - x)e^{-} \rightarrow 2Li_{2}S + W$$
(17)  

$$2Li_{2}S \leftrightarrow 4Li^{+} + 4e^{-} + 2S$$
(18)

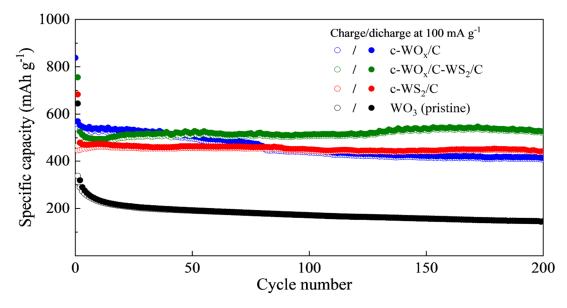
The oxidation peaks in the second anodic scan are overlapping with the ones in the first cycle. Besides, the CV profiles remain fairly consistent and steady in the fifth cycle, suggesting good reversibility and stability of lithium ion transport. For the mixed-phase, c-WO<sub>x</sub>/C-WS<sub>2</sub>/C, the CV profile in Figure. 68b displays the fingerprints of both constituting materials. Specifically, three reduction peaks in the first cathodic scan at 1.0, 0.5, and 0.3 V emphasize the intercalation of lithium ions into WO<sub>2.72</sub> and WS<sub>2</sub>, the formation of an SEI, and the conversion reactions of WS<sub>2</sub> and WO<sub>2.72</sub>, respectively. Oxidation peaks in the first anodic scan correspond to the delithiation of Li<sub>x</sub>WO<sub>x</sub> and Li<sub>x</sub>WS<sub>2</sub> (1.1 V) and the delithiation of WS<sub>2</sub> (2.3 V). Similarly, in the further cycles, features related to WO<sub>x</sub>/C as well as to WS<sub>2</sub>/C are observed thereby showing that both materials in this mixed-phase contribute to the electrochemical behavior. Again, the good matching of the redox peaks in the second and fifth cycles indicates high reversibility of Li<sup>+</sup> storage in the c-WO<sub>x</sub>/C-WS<sub>2</sub>/C electrode.



**Figure 69.** Galvanostatic discharge/charge curves of the c-WO<sub>x</sub> (a), c-WO<sub>x</sub>-WS<sub>2</sub>/C, (b) and c-WS<sub>2</sub>/C (c) electrodes at a current density of 100 mA  $g^{-1}$  for specific cycles <sup>[163]</sup>.

Figure 69 shows the galvanostatic charge-discharge profiles for the  $1^{st}$ ,  $2^{nd}$ ,  $10^{th}$ ,  $100^{th}$ , and  $200^{th}$  cycles of the c-WO<sub>x</sub>/C, c-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and c-WS<sub>2</sub>/C electrodes at a current density of 100 mA g<sup>-1</sup>. The observed potential plateaus are in good agreement with the CV results. The corresponding cycling performances are shown in Figure 70, where the data of the pristine WO<sub>3</sub> electrode are also presented for comparison. The pristine electrode shows an initial specific

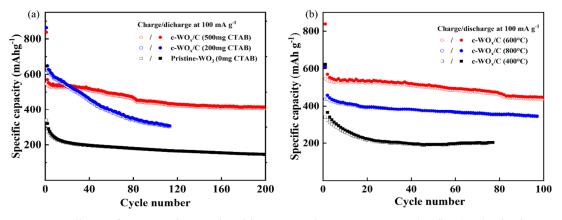
discharge/charge capacity of 645/338 mAh g<sup>-1</sup> which decreases gradually to 198/197 mAh g<sup>-1</sup> after 200 cycles. The reason behind this decrease is typically assigned to the volume expansion effects and poor electric conductivity <sup>[184]</sup>. In contrast, the carbon-coated c-WO<sub>x</sub>/C electrode not only delivers a higher initial specific discharge/charge capacity of 840/560 mAh g<sup>-1</sup> but also exhibits noticeably better capacity retention (74%), which still amounts to 420 mAh g<sup>-1</sup> after 200 cycles.



**Figure 70.** Cycling performance for the c-WO<sub>x</sub>/C, c-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and c-WS<sub>2</sub>/C electrodes at a current density of 100 mA g<sup>-1</sup>. The values for the pristine WO<sub>3</sub> electrode are also shown for comparison <sup>[163]</sup>. Hollow and solid circles stand for charge and discharge capacities, respectively.

For comparison, if a relatively small amount of CTAB (200 mg) is used, the initial specific discharge/charge capacity (860/630 mAh g<sup>-1</sup>) is slightly higher than for the "standard" amount of CTAB (500 mg) but the capacity retention value (48%) is noticeably lower (Figure 71a). This can be explained by the lower carbon content resulting from the smaller amount of CTAB, which is not enough to completely cover WO<sub>x</sub>. By contrast, the sufficiently high carbon content (500 mg) provides the full carbon coating on the WO<sub>x</sub> surface, which not only promotes the electron and lithium ion diffusion kinetics but also alleviates the pulverization and volume expansion of WO<sub>x</sub><sup>[194]</sup>.

The c-WO<sub>x</sub>/C electrode exhibits a faster capacity fading in the first 20 cycles and the specific capacity is gradually maintained in the following cycles if the carbonization temperature is set to 400°C (Figure 71b). Interestingly, a capacity retention of 88% is observed after nearly 100 cycles for the c-WO<sub>x</sub>/C electrode synthesized at the high carbonization temperature (800°C), but the low initial specific discharge/charge capacity of 630/420 mAh g<sup>-1</sup> hinders its application. The above results imply that parameters of the preparation procedure, such as carbonization temperature and the amount of carbon source, play crucial roles in the battery performance of the synthesized c-WO<sub>x</sub>/C.



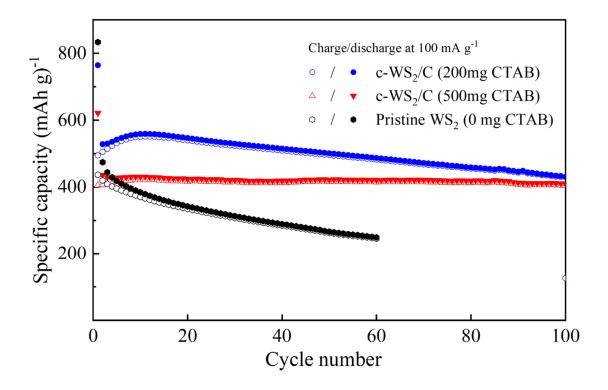
**Figure 71**. Cycling performance of c-WO<sub>x</sub>/C with 0, 200, and 500 mg CTAB and a fixed carbonization temperature of 600°C (a), and c-WO<sub>x</sub>/C with carbonization temperatures of 400, 600 and 800°C and a fixed amount of CTAB 500 mg (b) <sup>[163]</sup>. Hollow and solid circles stand for charge and discharge capacities, respectively.

After sulfurization at 600°C, c-WO<sub>x</sub>/C was partially converted to c-WS<sub>2</sub>/C, and the mixedphase, c-WO<sub>x</sub>/C-WS<sub>2</sub>/C, was obtained. In comparison with the c-WO<sub>x</sub>/C electrode, the c-WO<sub>x</sub>/C-WS<sub>2</sub>/C electrode shows enhanced cycling stability as well as slightly lower initial specific discharge/charge capacities of 760/530 mAh g<sup>-1</sup>. Remarkably, after 200 cycles, the specific discharge capacity of this electrode is maintained at 525 mAh g<sup>-1</sup> (99% capacity retention) and no obvious capacity fading is observed during the cycling (Figure 70).

The full sulfurization of c-WO<sub>x</sub>/C to c-WS<sub>2</sub>/C at 800°C provides an electrode with an initial discharge/charge capacity of 680/483 mAh g<sup>-1</sup>. Besides, this electrode shows remarkable cycling stability (95% capacity retention) and a high discharge capacity of 460 mAh g<sup>-1</sup> after 200 cycles, which is close to the theoretical capacity of WS<sub>2</sub> (462 mAh g<sup>-1</sup>) (Figure 70).

The pristine WS<sub>2</sub> and c-WS<sub>2</sub>/C electrodes prepared with a small amount of CTAB (200 mg) were also tested to verify the importance of carbon coating. The respective pristine WS<sub>2</sub> electrode displays a fast discharge capacity fading from 836 to 248 mAh g<sup>-1</sup> after 60 cycles (Figure 72). The c-WS<sub>2</sub>/C electrode has a high initial capacity but shows a significant capacity drop from 763 to 428 mAh g<sup>-1</sup> after 100 cycles (Figure 72). Hence, optimization of the amounts of CTAB for the c-WS<sub>2</sub>/C electrode is of importance.

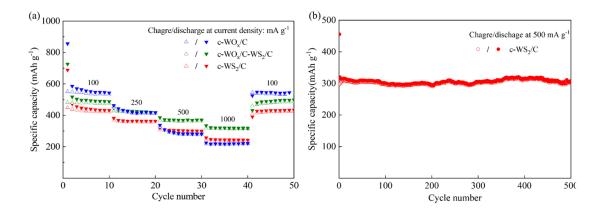
In brief, the electrodes of c-WO<sub>x</sub>/C and c-WS<sub>2</sub>/C as well as the mixed-phase c-WO<sub>x</sub>/C-WS<sub>2</sub>/C all exhibit better cycling performance and higher specific capacities than the pristine WO<sub>3</sub> electrode implying the usefulness of the facile composite preparation method described here.



**Figure 72**. Cycling performance of c-WS<sub>2</sub>/C with 0, 200, and 500 mg CTAB <sup>[163]</sup>. Hollow and solid circles stand for charge and discharge capacities, respectively.

Additionally, as shown in Figure 73a, the rate capabilities of the c-WO<sub>x</sub>/C, c-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and c-WS<sub>2</sub>/C electrodes were tested under different current densities ranging from 100 to 250, 500, and 1000 mA g<sup>-1</sup>. The c-WS<sub>2</sub>/C electrode delivers average specific discharge/charge capacities of 440, 360, 300, and 250 mAh g<sup>-1</sup>, respectively. As the current density is returned to 100 mAg<sup>-1</sup>, the specific discharge/charge capacity quickly recovers to 435 mAh g<sup>-1</sup>. Similar superior rate performances are also observed for the c-WO<sub>x</sub>/C-WS<sub>2</sub>/C electrode which shows slightly higher average specific discharge/charge capacities of 500, 420, 380, and 320 mAh g<sup>-1</sup>, respectively, at the same current densities. Consequently, both the c-WS<sub>2</sub>/C and c-WO<sub>x</sub>/C-WS<sub>2</sub>/C electrode exhibits slightly inferior rate capability, delivering specific capacities of 550, 420, 280, and 220 mAh g<sup>-1</sup>, respectively.

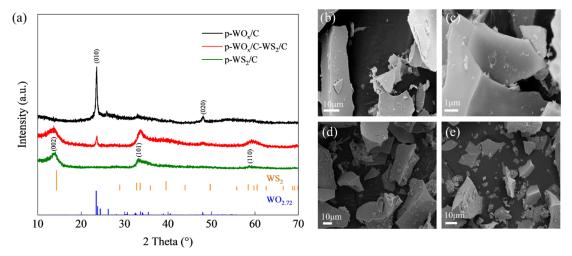
Motivated by the excellent stability and rate performance of the c-WS<sub>2</sub>/C electrode, its longterm stability was evaluated at a high current density of 500 mA g<sup>-1</sup>. Remarkably, as shown in Figure 73b, even over 500 cycles, a high capacity retention of 97% is obtained and the electrode delivers a capacity of 307 mAh g<sup>-1</sup>.



**Figure 73**. Rate performance of the above electrodes at the current densities ranging from 100 to 1000 mA  $g^{-1}$  for the c-WO<sub>x</sub>/C, c-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and c-WS<sub>2</sub>/C electrodes (a) and long-term cycling performance of c-WS<sub>2</sub>/C at a high current density of 500 mA  $g^{-1}$ (b) <sup>[163]</sup>. Hollow and solid circles stand for charge and discharge capacities, respectively.

#### 4.5. PVP-based WO<sub>x</sub> and WS<sub>2</sub> carbon composites for lithium storage

To confirm the general applicability of the presented preparation method, in this chapter, PVP was used as an alternative carbon source to prepare carbon-coated tungsten-based oxide and disulfide. In analogy to the case of CTAB, p-WO<sub>x</sub>/C was first synthesized via a hydrothermal and carbonization process, and then sulfurized at 600 and 800 °C to be converted into p-WO<sub>x</sub>/C-WS<sub>2</sub>/C and p-WS<sub>2</sub>/C, respectively.

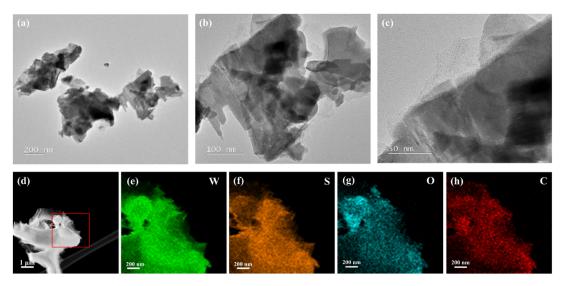


## 4.5.1 Physical characterization

**Figure 74**. (a) XRD patterns of p-WO<sub>x</sub>/C, p-WO<sub>x</sub>/C-WS<sub>2</sub>/C (sulfurization at 600 °C), and p-WS<sub>2</sub>/C (sulfurization at 800 °C) <sup>[163]</sup>. Vertical ticks show the reference patterns according to ICSD code 202366 <sup>[186]</sup> (WS<sub>2</sub>) and ICSD code 15254 <sup>[183]</sup> (WO<sub>2.72</sub>), respectively. (b-e) SEM images of (b, c) p-WO<sub>x</sub>/C, (d) p-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and (e) p-WS<sub>2</sub>.

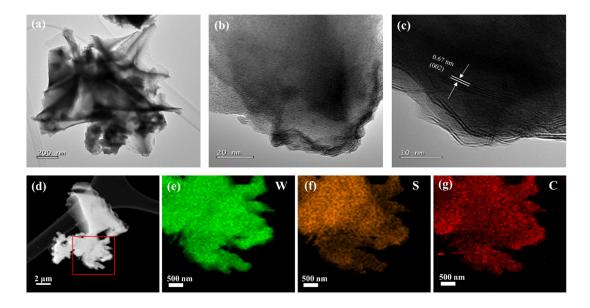
Figure 74a shows the XRD patterns of p-WO<sub>x</sub>/C, p-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and p-WS<sub>2</sub>/C. In the case of p-WO<sub>x</sub>/C, two main peaks at 23° and 48° are observed, corresponding to the (010), and (020) planes of WO<sub>2.72</sub>, respectively. It is noteworthy that, similar to CTAB, PVP works as a carbon source as well as a reducing agent. After sulfurization of p-WO<sub>x</sub>/C at 600°C, three new peaks located at 13°, 32°, and 60° appeared which are attributed to the (002), (102), and (110) planes of WS<sub>2</sub>. When the sulfurization temperature was elevated to 800°C, the peaks characteristic of WO<sub>2.72</sub> disappeared, while the peaks characteristic of WS<sub>2</sub> remained. It can be concluded that the use of CTAB and PVP results in similar products, which confirms the general character of the preparation method.

Some differences were however observed. In contrast to the regular structure of the c-WO<sub>x</sub>/C particles, the morphology of p-WO<sub>x</sub>/C turned out to be quite irregular, exhibiting microparticles ranging from 2 to 50  $\mu$ m as well as some microplates (Figures 74b, c). It is notable that this irregular morphology is maintained after sulfurization to p-WO<sub>x</sub>/C-WS<sub>2</sub>/C and p-WS<sub>2</sub>/C (Figures 74d, e), which differs noticeably from the behavior of the CTAB-based composites, for which the morphology changed from nanorods to nanosheets after the sulfurization.



**Figure 75.** TEM images (a), HR-TEM images (b-d), and elemental mapping images (e-h) of p-WOx-WS<sub>2</sub>/C (sulfurized at 600 °C) <sup>[163]</sup>.

Figure 75 exhibits TEM, HR-TEM, and elemental mapping images of p-WOx-WS<sub>2</sub>/C (sulfurized at 600 °C). The irregular p-WO<sub>x</sub>-WS<sub>2</sub>/C particles shown in Figures 75a-c confirm the result of the SEM data. Moreover, TEM-EDS mappings in the selected area in Figure 75d (red square) (Figures 75e-h), show a uniform distribution of the elements, including W, O, S, and C in p-WO<sub>x</sub>/C-WS<sub>2</sub>/C.



**Figure 76.** TEM images (a), HR-TEM images (b-d), and elemental mapping images (e-g) of p-WS<sub>2</sub>/C (sulfurized at 800 °C) <sup>[163]</sup>.

The p-WS<sub>2</sub>/C composite shows similar morphology to that of p-WO<sub>x</sub>/C-WS<sub>2</sub>/C (Figures 75, 76). The lattice fringe in p-WS<sub>2</sub>/C shown in the HRTEM image (Figure 76c) exhibits an interlayer spacing of 0.675 nm, corresponding to the (002) plane of WS<sub>2</sub>, which is in accordance with the XRD data of p-WS<sub>2</sub>/C. Figures 76e-g show the EDS-mapping of the selected area from Figure 76d, implying a homogeneous distribution of W, S, and C in the p-WS<sub>2</sub>/C composite.

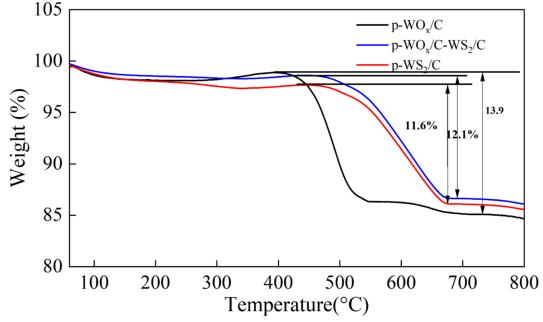
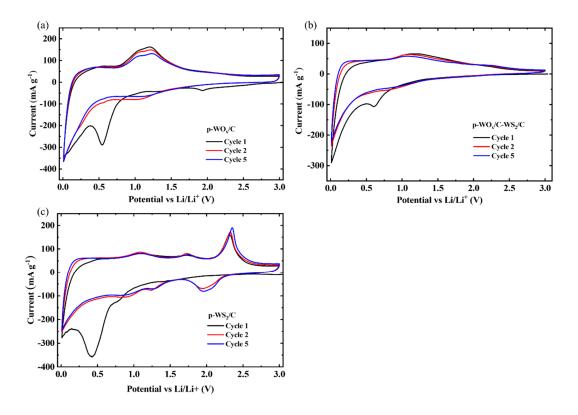


Figure 77. TGA curves of p-WO<sub>x</sub>/C, p-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and p-WS<sub>2</sub>/C <sup>[163]</sup>.

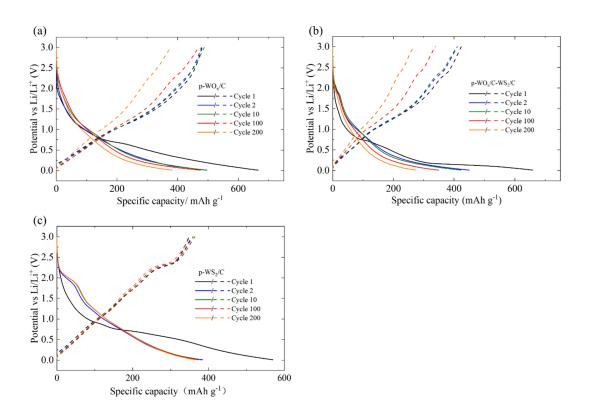
TGA was performed to evaluate the carbon content of three composites. As shown in Figure 77, TGA curves of p-WO<sub>x</sub>/C, p-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and p-WS<sub>2</sub>/C shared similar shapes with the counterparts of the CTAB-assisted composites. The carbon contents in p-WO<sub>x</sub>/C, p-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and p-WS<sub>2</sub>/C amount to 11.6, 13.9, and 12.1 wt%, respectively ( $\pm 0.5$ ). Accordingly, we conclude that PVP provides more carbon coating than CTAB under similar preparation conditions.

#### 4.5.2 Electrochemical characterization



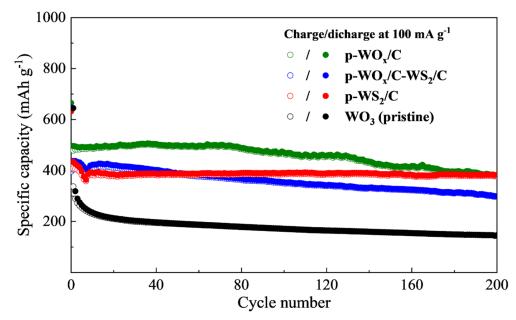
**Figure 78.** CV curves for (a) p-WO<sub>x</sub>/C, (b) p-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and (c) p-WS<sub>2</sub>/C electrodes for the first, second, and fifth cycles at a scan rate of 0.1 mV s<sup>-1</sup> in a potential range of 0.01–3 V versus Li/Li<sup>+</sup> [163].

The electrochemical behavior of p-WO<sub>x</sub>/C, c-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and p-WS<sub>2</sub>/C electrodes, as studied by CV and GCPL measurements, are very similar to the materials obtained by the CTAB-assisted syntheses (Figures 78, 79). Nonetheless, the CV curve of the p-WO<sub>x</sub>/C-WS<sub>2</sub>/C electrode exhibits some differences in the peak intensities. The redox peaks at 1.8 and 2.3 V, corresponding to the electrochemical process of WS<sub>2</sub>, are much weaker than those for the CTAB-assisted syntheses, indicating that not WS<sub>2</sub> but WO<sub>x</sub> makes the largest contribution to the electrochemical behavior. This might be because the degree of sulfurization for p-WO<sub>x</sub>/C-WS<sub>2</sub>/C is lower than that for c-WO<sub>x</sub>/C-WS<sub>2</sub>/C at the same sulfurization temperature. To increase this degree, the sulfurization temperature should be increased. This point might be investigated in the future.



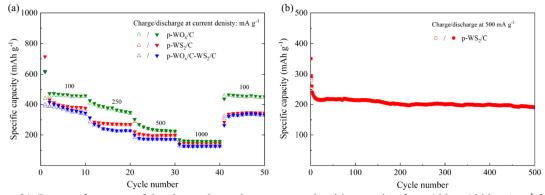
**Figure 79**. Galvanostatic discharging/charging curves of the p-WO<sub>x</sub> (a), p-WO<sub>x</sub>-WS<sub>2</sub>/C, (b) and p-WS<sub>2</sub>/C (c) electrodes at a current density of 100 mA  $g^{-1}$  for specific cycles <sup>[163]</sup>.

The cycling performance of pristine WO<sub>3</sub>, p-WO<sub>x</sub>/C, p-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and p-WS<sub>2</sub>/C electrodes was studied, and the results are shown in Figure 80. Compared with the pristine WO<sub>3</sub> electrode, the p-WO<sub>x</sub>/C electrode delivers a lower initial discharge/charge specific capacity of 660/480 mAh g<sup>-1</sup> but exhibits more stable cycling performance (80% capacity retention after 200 cycles) at 100 mA g<sup>-1</sup>. The mixed-phase p-WO<sub>x</sub>/C-WS<sub>2</sub>/C electrode, however, does not show an obvious improvement in battery performance compared with the p-WO<sub>x</sub>/C electrode. It delivers an initial discharge/charge specific capacity of 630/440 mAh g<sup>-1</sup> and a capacity retention of 67% after 200 cycles at 100 mA g<sup>-1</sup>. In the case of the CTAB-assisted mixed-phase, c-WO<sub>x</sub>/C-WS<sub>2</sub>/C, the big improvement in the cycling stability was explained by the synergetic effect of c-WO<sub>x</sub>/C and c-WS<sub>2</sub>/C. However, the micro-scale structure of p-WO<sub>x</sub>/C completely converted to p-WS<sub>2</sub>/C at 800 °C, the p-WS<sub>2</sub>/C electrode delivers a comparatively low initial discharge/charge specific capacity of 654/420 mAh g<sup>-1</sup> but shows excellent stability, viz. capacity retention of 90% after 200 cycles at 100 mA g<sup>-1</sup>.



**Figure 80**. Cycling performance for p-WO<sub>x</sub>/C, p-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and p-WS<sub>2</sub>/C electrodes at a current density of 100 mA g<sup>-1</sup>. The values for the pristine WO<sub>3</sub> are shown for comparison <sup>[163]</sup>. Hollow and solid circles stand for charge and discharge capacities, respectively.

To evaluate the rate capabilities, the p-WO<sub>x</sub>/C, p-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and p-WS<sub>2</sub>/C electrodes were cycled at various current densities ranging from 100 mA g<sup>-1</sup> to 1000 mA g<sup>-1</sup> with 10 cycles for each (Figure 81a). The p-WO<sub>x</sub>/C and p-WO<sub>x</sub>/C-WS<sub>2</sub>/C electrodes show a pronounced capacity decrease along with increasing current densities. In contrast, the p-WS<sub>2</sub>/C electrode exhibits an excellent rate performance, delivering high reversible capacities of 390, 280, 200, and 150 mAh g<sup>-1</sup> and fast recovering to 360 mAh g<sup>-1</sup> as the current density returns to 100 mA g<sup>-1</sup>. In addition, the long-life cycling performance of the p-WS<sub>2</sub>/C electrode was tested at a current density of 500 mA g<sup>-1</sup> to further verify its cycling stability. As displayed in Figure 81b, a capacity retention of 80% is attained after 500 cycles, suggesting a superior cycling performance.



**Figure 81**. Rate performance of the above electrodes at current densities ranging from 100 to 1000 mA  $g^{-1}$  for the p-WO<sub>x</sub>/C, p-WO<sub>x</sub>/C-WS<sub>2</sub>/C, and p-WS<sub>2</sub>/C electrodes (a), and long-term cycling performance of p-WS<sub>2</sub>/C at a high current density of 500 mA  $g^{-1}$ (b) <sup>[163]</sup>. Hollow and solid circles stand for charge and discharge capacities, respectively.

In the context of the above results, it is worth noting that CTAB works as a growth controller, setting a preferential orientation of WO<sub>x</sub> and causing the formation of nanorods<sup>[195]</sup>. The subsequent sulfurization process leads to the growth of WS<sub>2</sub> nanosheets. These unique nanostructures can not only provide a shorter lithium ion diffusion path but also assure a larger electrode-electrolyte contact area. As a consequence, a higher capacity is obtained. Regretfully, such a favorable morphology is not characteristic in the PVP case, resulting in inferior capacity. Howver, for both CTAB and PVP, functional composites were successfully synthesized emphasizing the broad applicability of the proposed method for high-performance lithium storage.

#### 4.6 Conclusion

In summary, we developed a facile and useful method for the synthesis of carbon-coated tungsten oxides and disulfides. Two kinds of carbon sources (PVP and CTAB), were adopted to obtain WO<sub>x</sub>/C, WS<sub>2</sub>/C, and mixed-phase, WO<sub>x</sub>/C-WS<sub>2</sub>/C composites. The respective composite electrodes exhibit excellent lithium-ion battery performance. Specifically, the c-WO<sub>x</sub>/C electrode delivers a high initial discharge/charge capacity of 840/560 mAh g<sup>-1</sup> at 100 mA g<sup>-1</sup> and a 74% capacity retention after 200 cycles. Significantly, the c-WO<sub>x</sub>/C-WS<sub>2</sub>/C and c-WS<sub>2</sub>/C electrodes show outstanding cycling stability with capacity retention of 99% and 95% respectively, after 200 cycles at 100 mA g<sup>-1</sup>. Besides, the c-WS<sub>2</sub>/C electrode also features a capacity retention of 97% after 500 cycles at a high current density of 500 mA g<sup>-1</sup>. In addition, the p-WO<sub>x</sub>/C, p-WS<sub>2</sub>/C, and WO<sub>x</sub>/C-WS<sub>2</sub>/C shows a capacity retention of 80% after 500 cycles at 500 mA g<sup>-1</sup>. Hence, the proposed fabrication method provides a simple and low-cost way to prepare carbon-coated tungsten oxides and disulfides, useful in the context of high-performance lithium storage.

## 5. Summary and Outlook

The work in this thesis investigate advanced metal based materials as alternative anode materials for LIBs. In Chapter 3, pristine transition metal carbides (MXenes) and their composites were synthesized and studied physically and electrochemically. In Chapter 3.2, Ti<sub>3</sub>C<sub>2</sub>, a standard MXene, was firstly synthesized via two types of methods, i.e. the conventional HF etching process and the HCl+LiF mixture assisted hydrothermal etching process. The hydrothermal environment as well as the fresh HF generated from the HCl+LiF mixture ensure the production of Ti<sub>3</sub>C<sub>2</sub> with fewer impurities and a more open structure compared to that from conventional method. When used as anode materials for LIBs, both synthesized Ti<sub>3</sub>C<sub>2</sub> exhibit low specific capacity of less than 200 mAh g<sup>-1</sup> at a current density of 100 mA g<sup>-1</sup>, while the Ti<sub>3</sub>C<sub>2</sub> from the HCl+LiF mixture (m-Ti<sub>3</sub>C<sub>2</sub>) presents better cycling stability after 75 cycles, which might be due to the existence of fewer impurities and fewer –F surface groups in the m-Ti<sub>3</sub>C<sub>2</sub> sample. Consistently, the V<sub>2</sub>C and Nb<sub>2</sub>C materials prepared by the HCl+LiF etching exhibit excellent cycling performance over 100 cycles. As summarized in Table 1, although good cycling stability was achieved for MXene synthesized by HCl+LiF etching, the specific capacity is quite low, even compared to commercial anode material (graphite: 372 mAh g<sup>-1</sup>).

Active material	Initial discharge/charge capacity (mAh g <sup>-1</sup> )	Current (mA g <sup>-1</sup> )	Cycle number	Capacity (mAh g <sup>-1</sup> )
Ti <sub>3</sub> C <sub>2</sub> (HF)	325/172	100	75	112
Ti <sub>3</sub> C <sub>2</sub> (LiF+HCl)	372/188	100	75	185
Nb <sub>2</sub> C (LiF+HCl)	346/216	100	100	160
V <sub>2</sub> C (LiF+HCl)	327/168	100	100	212

Table 1. Summary of the electrochemical performance of various synthesized MXenes in LIBs.

Hence, it is still imperative to enhance the capacity of pristine MXene. There are three promising approaches to solve this problem: 1. Surface group modifications of MXenes with metal ions, large organic molecules intercalation. After surface group modification, the surface groups on the MXene might be changed to an individual surface group that is beneficial for lithium diffusion. Metal ion or large organic molecule intercalation will enlarge the interlayer distance of MXene for fast lithium diffusion and extra storage sites. 2. A new synthesis method for the preparation of MXenes without surface groups would be an effective way to improve their lithium

storage performance. 3. Integreting MXenes with other high-capacity anode materials. As the most efficient approach, composite construction can take advantage of each component for battery application.

In Chapter 3.3, commercial MoO<sub>3</sub> and synthesized Nb<sub>2</sub>C were for the first time used to form MoO<sub>3</sub>/Nb<sub>2</sub>C composites via a simple ball-milling method. The effect of important experimental conditions including ball-milling time, ball-milling speed, and the mass ratio of components on the morphology, crystallinity, and lithium storage performance of MoO<sub>3</sub>/Nb<sub>2</sub>C was studied. As a result, changes in ball-milling time and ball-milling speed have no impact on the morphology and crystallinity of MoO<sub>3</sub>/Nb<sub>2</sub>C. Thus, there is also no obvious enhancement of these composites for lithium storage compared to pristine Nb<sub>2</sub>C and commercial MoO<sub>3</sub> (Table 2). For the composites with various mass ratio, the SEM images show that the MoO<sub>3</sub> microplates are mainly embedded into the open structure of Nb<sub>2</sub>C in the MoO<sub>3</sub>/Nb<sub>2</sub>C composite with the mass ratio of 1:1 (1:1-MoO<sub>3</sub>/Nb<sub>2</sub>C), while excessive individual MoO<sub>3</sub> and Nb<sub>2</sub>C are observed in the MoO<sub>3</sub>/Nb<sub>2</sub>C composites with the mass ratio of 2:1 and 1:1, respectively, demonstrating a good combination of the two components in the 1:1-MoO<sub>3</sub>/Nb<sub>2</sub>C composite. Therefore, the 1:1-MoO<sub>3</sub>/Nb<sub>2</sub>C composite exhibits enhanced battery performance that a specific capacity of 267 mAh g<sup>-1</sup> is achieved after 300 cycles at a current density of 100 mA g<sup>-1</sup>, which is two times higher than that of commercial MoO<sub>3</sub> (Table 2). The CV results of the MoO<sub>3</sub>/Nb<sub>2</sub>C composites with different mass ratios reveal that after several cycles, the degradation of the formed MoO<sub>x</sub> phase is significantly suppressed in 1:1-MoO<sub>3</sub>/Nb<sub>2</sub>C due to the favorable combination of two components, causing a stable lithiation/delithiation process.

This work provides a simple and scalable way to prepare composites of Nb<sub>2</sub>C MXene and commercial MoO<sub>3</sub> for enhanced lithium storage performance. The improved capacity, however, is still limited, also compared with the commercial anode materials e.g. graphite with 372 mAh g<sup>-1</sup>. In consideration of the large size (micrometers) of commercial MoO<sub>3</sub>, one can prepare nanosized MoO<sub>3</sub> and integrate it with MXenes by a ball-milling process. Besides, instead of the ball-milling method, synthesis methods such as the hydrothermal and the sol-gel method are suggested to realize in-situ growth of MoO<sub>3</sub> in MXenes layered structure.

Active material	Initial discharge/ charge capacity (mAh g <sup>-1</sup> )	Current (mA g <sup>-1</sup> )	Cycle number	Capacity (mAh g <sup>-1</sup> )
Pristine Nb <sub>2</sub> C	341/217	100	120	160
Commercial MoO <sub>3</sub>	978/630	100	50	125
MoO <sub>3</sub> /Nb <sub>2</sub> C (ball- milling time 6 h)	746/483	100	50	153
$MoO_3/Nb_2C$ (ball- milling time 12 h)	691/461	100	50	150
MoO <sub>3</sub> /Nb <sub>2</sub> C (ball- milling speed 200 rpm)	743/485	100	50	157
MoO <sub>3</sub> /Nb <sub>2</sub> C (ball- milling speed 300 rpm)	724/471	100	50	172
MoO <sub>3</sub> /Nb <sub>2</sub> C (ball- milling speed 400 rpm)	860/530	100	50	132
MoO <sub>3</sub> /Nb <sub>2</sub> C (mass ratio of 2:1)	746/483	100	50	151
MoO <sub>3</sub> /Nb <sub>2</sub> C (mass ratio of 1:1)	694/412	100	300	267
MoO <sub>3</sub> /Nb <sub>2</sub> C (mass ratio of 1:2)	589/392	100	50	154

**Table 2.** Summary of the electrochemical performance of MoO<sub>3</sub>/Nb<sub>2</sub>C composites synthesized at different ballmilling times, ball-milling speeds, and mass ratios of MoO<sub>3</sub> and Nb<sub>2</sub>C in LIBs.

To further utilize the unique accordion-like structure of MXene and improve its lithium storage performance, in Chapter 3.4, MoO<sub>2</sub>/C/V<sub>2</sub>C composites were fabricated for the first time for high-performance lithium storage. During the synthesis process, the positively charged polymer, PDDA, was introduced to combine with negatively charged V<sub>2</sub>C in the aqueous solution via electrostatic force. The introduction of PDDA can not only stabilize V<sub>2</sub>C in aqueous solution but also make it positively charged for following growth in the hydrothermal process. With the delicate experimental design, the synthesized MoO<sub>2</sub>/C/V<sub>2</sub>C composites exhibit hierarchical structures, where MoO<sub>2</sub> nanoparticles are distributed uniformly in the accordion-like structure of V<sub>2</sub>C/C. When used as anode materials for LIBs, the MoO<sub>2</sub>/C/V<sub>2</sub>C composites exhibit a high capacity of 810 mAh g<sup>-1</sup> after 100 cycles at a current density of 100 mA g<sup>-1</sup>. Even at a high current density of 1000 mA g<sup>-1</sup>, a capacity of 605 mAh g<sup>-1</sup> is obtained for the MoO<sub>2</sub>/C/V<sub>2</sub>C composite. The superior battery performance of the MoO<sub>2</sub>/C/V<sub>2</sub>C composites may have several reasons: 1. The short

lithium ion diffusion path caused by the hierarchical structure and nanosized MoO<sub>2</sub>, as well as the enhanced electronic conductivity of the MoO<sub>2</sub>/C/V<sub>2</sub>C composites due to the presence of high conductive V<sub>2</sub>C ensure the fast charge transfer, resulting in the outstanding rate performance. 2. Since the MoO<sub>2</sub> nanoparticle are confined in the open structure of V<sub>2</sub>C, the large volume expansion of MoO<sub>2</sub> can be buffered during the cycling process, causing excellent cycling stability. The approach adopted in this work provides a facile and simple way to better use the open structure of MXene for structure design of composites for high performance LIBs. Moreover, as shown in Table 3, compared to various other reported MXenes composites, the hierarchical structure of MoO<sub>2</sub>/C/V<sub>2</sub>C composites in this work exhibit superior rate capability and better cycling stability.

Active material	Current (mA g <sup>-1</sup> )	Cycle number	Capacity (mAh g <sup>-1</sup> )	Ref.
Si@V <sub>2</sub> C composites	200	150	443	61
MoS <sub>2</sub> @C/V <sub>2</sub> C composites	200	300	870	152
$SnS_2/Sn_3S_4$ hybrid/Ti <sub>3</sub> C <sub>2</sub>	100	100	463	196
$SnO_2$ quantum dots/Ti <sub>3</sub> C <sub>2</sub> composite	100	100	655	197
Hierarchical structure of $MoO_2/C/V_2C$ composites	100	100	810	This work
	1000	400	605	

Table 3. Summary of electrochemical performance of MXenes composites in LIBs.

In Chapter 4, composites of metal oxides and sulfides with carbon is investigated via a simple and low-cost way by taking carbon coated tungsten based oxides and disulfides as an example. Unlike other reports using expensive carbon sources and complex synthesis methods, this work adopts low-cost carbon sources (CTAB and PVP) and a simple hydrothermal process followed by sulfurization to obtain carbon coated tungsten oxides (WO<sub>x</sub>/C) and tungsten disulfides (WS<sub>2</sub>/C). The XRD results confirm the phase transition from WO<sub>x</sub>/C to the mixed phase WO<sub>x</sub>/C-WS<sub>2</sub>/C and then to WS<sub>2</sub>/C after the sulfurization process at different temperatures. When used as anode materials for LIBs, the CTAB assisted WO<sub>x</sub>/C (c-WO<sub>x</sub>/C), the mixed phase WO<sub>x</sub>/C-WS<sub>2</sub>/C (c-WO<sub>x</sub>/C), and the WS<sub>2</sub>/C (c-WS<sub>2</sub>/C) show specific capacities of 420, 525 and 460 mAh g<sup>-1</sup>, respectively after 200 cycles at a current density of 100 mA g<sup>-1</sup>, which are much higher than that of pristine WO<sub>x</sub> and WS<sub>2</sub>. The c-WS<sub>2</sub>/C composite shows a superior long-term cycling stability that a capacity retention of 97% (307 mAh g<sup>-1</sup>) is observed after 500 cycles at a current density of 500 mA g<sup>-1</sup>. Compared to other various tungsten oxide and sulfide carbon composites for LIBs, the carbon coated tungsten oxides and sulfides in this work possess comparable specific capacities and longer cycling stability in both low and high current density (Table 4). Moreover, PVP-assisted WO<sub>x</sub>/C (p-WO<sub>x</sub>/C), the mixed phase WO<sub>x</sub>/C-WS<sub>2</sub>/C (p-WO<sub>x</sub>/C) and WS<sub>2</sub>/C (p-WS<sub>2</sub>/C) are prepared at the same experimental condition to prove the general applicability of the developed synthesis methods. The PVP-assisted tungsten oxide and disulfide also display enhanced battery performance compared to pristine pristine WO<sub>x</sub> and WS<sub>2</sub>. In particular, the p-WS<sub>2</sub>/C electrode exhibits a capacity retention of 80% after 500 cycles at a current density of 500 mA g<sup>-1</sup>, indicating an outstanding cycling performance.

Active material	Current (mA g <sup>-1</sup> )	Cycle number	Capacity (mAh g <sup>-1</sup> )	Ref.
WO <sub>3-x</sub> /C nanosheets	200	100	662	172
m-WO <sub>x</sub> /C	250	100	443	173
Cauliflower-like WO <sub>3</sub> @C composites	50	50	650	198
WS <sub>2</sub> /carbon nanotube-reduced graphene oxide	200	100	556	79
Polygonal WS <sub>2</sub> @graphene multilayer films	100	100	430	199
WS2@Super P nanocomposites	100	200	389	200
WS <sub>2</sub> nanoflowers@carbon nanotube vines	1000	140	455	201
WS2 nanosheets@cabon composites	100	100	322	202
Carbon coated $WO_x$ Carbon coated $WO_x$ -WS <sub>2</sub> Carbon coated $WS_2$	100 100 100/500	200 200 200/500	420 525 460/307	This work

Table 4. Summary of electrochemical performance of various tungsten oxide and sulfide composites in LIBs.

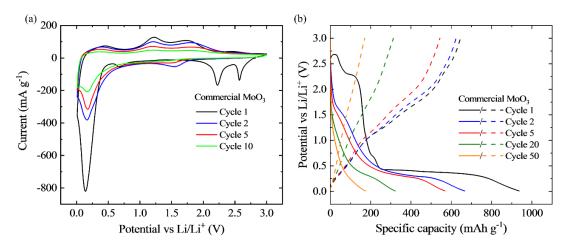
# 6. Appendix

## 6.1 Abbreviations

α-MoO <sub>3</sub>	orthorhombic MoO <sub>3</sub>	
h-MoO <sub>3</sub>	hexagonal MoO <sub>3</sub>	
r-WO <sub>3</sub>	monoclinic WO <sub>3</sub>	
CNF	carbon nanofiber	
CNT-rGO	carbon nanotube- reduced graphene oxide	
CNTs	carbon nanotubes	
СТАВ	Cetyltrimethylammonium bromide	
CV	Cyclic voltammetry	
DMC	Dimethyl carbonate	
EC	Ethylene carbonate	
ED	Energy dispersive	
EDS	Energy dispersive spectroscopy	
EDX	Energy dispersive X-ray	
ESEM	Environmental scanning electron microscopy	
EVs	Electric vehicles	
GCPL	Galvanostatic cycling with potential limitation	
GCNF	graphene carbon nanofiber	
HADDF	High-angle annular dark-field	
HRTEM	High-resolution transmission electron microscopy	
HT	Hydrothermal	

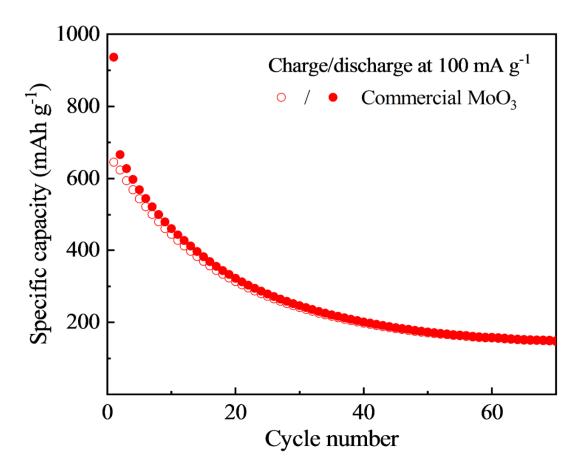
ICSD	Inorganic Crystal Structure Database	
LIB	Lithium ion battery	
LIBs	Lithium ion batteries	
LSV	linear sweep voltammetry	
М	mole per liter	
m-W18O49	mesoporous W <sub>18</sub> O <sub>49</sub>	
Ni-Cd	Nickel-cadmium	
Ni-MH	Nickle-metal hybrid	
NMP	N-methyl-2-pyrrolidone	
NB	nanobelt	
NR	nanorod	
NW	nanowire	
PDDA	Polydiallyldimethylammonium chloride	
PVP	Polyvinyl pyrrolidone	
PTFE	Polytetrafluoroethylene	
PVDF	Polyvinylidene difluoride	
ppm	parts per million	
PXRD	Powder X-ray diffraction	
Ref	Reference	
rpm	revolutions per minute	
SEM	Scanning electron microscopy	
STEM	Scanning transmission electron microscopy	
SEI	Solid electrolyte interphase 100	

TEM	Transmission electron microscopy
TGA	Thermogravimetric analysis
ТМАОН	Tetramethylammonium hydroxide
Wt%	weight percent
XPS	X-ray photoelectron spectroscopy

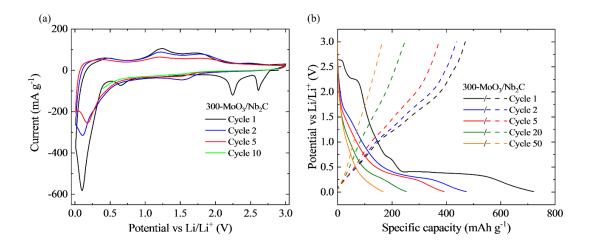


### 6.2 Electrochemical performance for commercial MoO<sub>3</sub>

**Figure 82.** CV curve (a) and Galvanostatic charge/discharge curve (b) of the commercial MoO<sub>3</sub> electrode at a current density of 100 mA  $g^{-1}$  for the specific cycles <sup>[138]</sup>.



**Figure83.** Cycling performance of commercial MoO<sub>3</sub> electrode at a current density of 100 mA  $g^{-1}$  in the range of 0.01-3.00 vs. Li/Li<sup>+ [138]</sup>. Hollow and solid circles stand for charge and discharge capacities, respectively.



6.3 CV and GCPL results for 300-MoO<sub>3</sub>/Nb<sub>2</sub>C and 400-MoO<sub>3</sub>/Nb<sub>2</sub>C composites

Figure 84. CV curve (a) and Galvanostatic charge/discharge curve (b) of the 400-MoO<sub>3</sub>/Nb<sub>2</sub>C electrode at a current density of 100 mA  $g^{-1}$  for the specific cycles <sup>[138]</sup>.

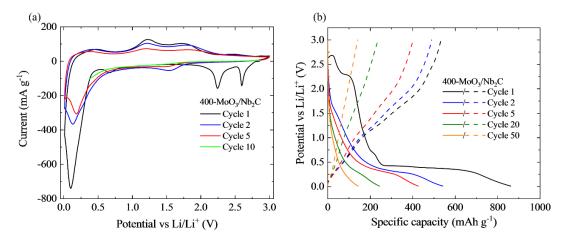
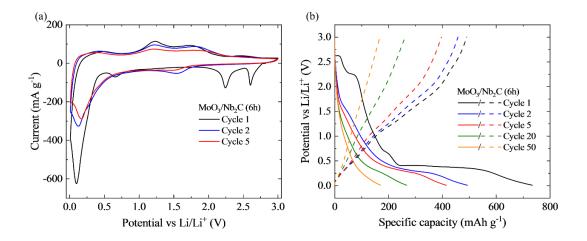


Figure 85. CV curve (a) and Galvanostatic charge/discharge curve (b) of the 400-MoO<sub>3</sub>/Nb<sub>2</sub>C electrode at a current density of 100 mA  $g^{-1}$  for the specific cycles <sup>[138]</sup>.



6.4 CV and GCPL results for MoO<sub>3</sub>/Nb<sub>2</sub>C (6h) and MoO<sub>3</sub>/Nb<sub>2</sub>C (24h) composites

**Figure 86.** CV curve (a) and Galvanostatic charge/discharge curve (b) of the  $MoO_3/Nb_2C$  (6h) electrode at a current density of 100 mA  $g^{-1}$  for the specific cycles <sup>[138]</sup>.

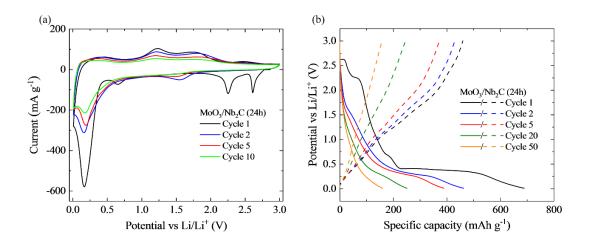
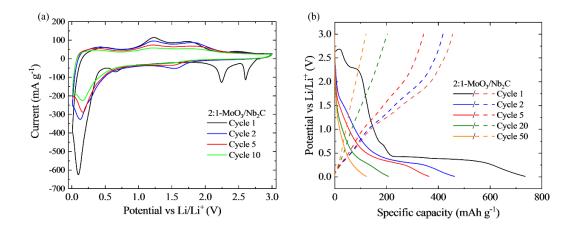


Figure 87. CV curve (a) and Galvanostatic charge/discharge curve (b) of the  $MoO_3/Nb_2C$  (24h) electrode at a current density of 100 mA  $g^{-1}$  for the specific cycles <sup>[138]</sup>.



6.5 CV and GCPL results for 2:1-MoO<sub>3</sub>/Nb<sub>2</sub>C, 1:1-MoO<sub>3</sub>/Nb<sub>2</sub>C and 1:2-MoO<sub>3</sub>/Nb<sub>2</sub>C composites

**Figure 88.** CV curve (a) and Galvanostatic charge/discharge curve (b) of the  $2:1-MoO_3/Nb_2C$  electrode at a current density of 100 mA g<sup>-1</sup> for the specific cycles <sup>[138]</sup>.

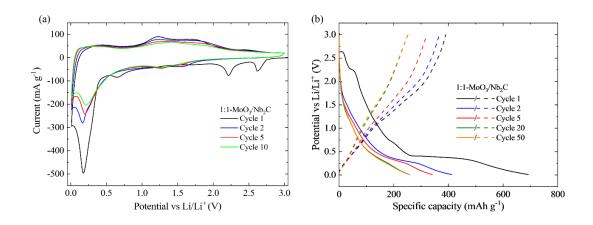


Figure 89. CV curve (a) and Galvanostatic charge/discharge curve (b) of the  $1:1-MoO_3/Nb_2C$  electrode at a current density of 100 mA  $g^{-1}$  for the specific cycles <sup>[138]</sup>.

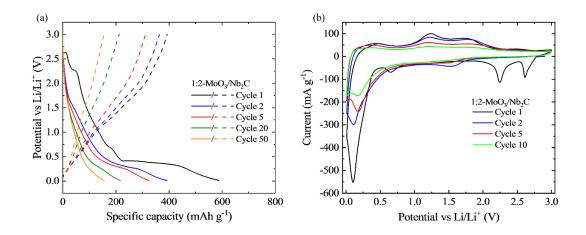


Figure 90. CV curve (a) and Galvanostatic charge/discharge curve (b) of the 1:2-MoO<sub>3</sub>/Nb<sub>2</sub>C electrode at a current density of 100 mA  $g^{-1}$  for the specific cycles <sup>[138]</sup>.

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#### GESAMTFAKULTÄT FÜR MATHEMATIK, INGENIEUR-UND NATURWISSENSCHAFTEN

RUPRECHT-KARLS-UNIVERSITÄT HEIDELBERG

COMBINED FACULTY OF MATHEMATICS, ENGINEERING AND NATURAL SCIENCES



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