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THE ROLE OF THE INOSITOL PHOSPHATASE SHIP1 IN B-CELL RECEPTOR
SIGNALLING AND CLL CELL ADHESION

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,When he [the scientist] designs his experiments or executes them with devoted attention to the details he may say to himself. "This is my composition; the pipette is my clarinet". And the orchestra may include instruments of the most subtle design. To others, however, his music is as silent as the music of the spheres. (...) The scientist has in common with the artist only this: that he can find no better retreat from the world than his work and also no stronger link with the world than his work.' Max Delbrück

Declaration

The work and results of this dissertation were performed and obtained from June 2017 to September 2022 under the supervision of PD Dr Daniel Mertens at the Division of Molecular Genetics and the junior research group Mechanisms of Leukemogenesis at the German Cancer Research Center (DKFZ), Heidelberg, Germany. Parts of the methods, results and discussion of this study have been published in a joint manuscript Wolf, Maus, Persicke et al. Contributions of authors other than myself are indicated within the figure legends. I hereby declare that I have written and submitted this dissertation myself, and in the process have not used any other sources or materials other than those indicated. I declare that I have not applied to be examined at any other institution, nor used the dissertation in this or any other form at any other institution as an examination paper or submitted it to any other faculty as a dissertation.

Michael Persicke

Abstract

Chronic lymphocytic leukaemia (CLL) is a mature B-cell malignancy with accumulating B-cells in the peripheral blood and secondary lymphoid tissue. CLL cell proliferation is highly dependent on B-cell receptor (BCR) signalling and microenvironmental support. BCR pathway-activated genes are most prominently expressed in CLL cells in lymphatic tissue. Lymphatic tissue is at the same time the major site of CLL cell proliferation. The crucial signalling node of the BCR pathway is PI3K. PI3K activity is countered by the inositol phosphatases Phosphatidylinositol 3,4,5-trisphosphate 3-phosphatase and dual-specificity protein phosphatase PTEN (PTEN) and Src homology domain 2-containing inositol-5'-phosphatase 1 (SHIP1). PTEN reverses PI3K activity by enzymatically converting PIP_3 to $PI(4,5)P_2$. In contrast, SHIP1 catalyses the removal of another phosphate residue, thereby degrading PIP_3 to phosphatidylinositol-3,4-bisphosphate.

Based on the analysis of a developed model to depict interconnections between signalling factors and to predict beneficial combination treatments, further regulating signalling factors were included in a panel of BCR stimulation dynamics. Through the present work, the impact of PTEN and SHIP1 on stimulation-dependent BCR signalling in CLL and on the adhesion capacity of CLL cells to cells of the microenvironment were investigated. As CLL cells accumulate in two distinct niches in the patients, the fractions of distinct adhesion capacity were separated in flow cytometry measurements and microscopically imaged in a modified and CLL-optimised adhesion under flow assay.

The results of this work indicate that PTEN and SHIP1 control BCR signalling in distinct stimulation conditions. SHIP1 could be shown to be highly relevant in determining the (re-)adhesion capacity of peripheral CLL cells. Furthermore, the von-Hippel Lindau factor was observed to regulate the adhesion of CLL cells and directed future work towards integrins on the CLL cell surface.

Taken together, the present work sheds light on the potential of SHIP1 and related factors as targets for future combination treatment approaches.

Zusammenfassung

Chronisch lymphatische Leukämie (CLL) ist ein B-Zell-Malignom differenzierter B-Zellen, bei dem B-Zellen im peripheren Blut und im sekundären lymphatischen Gewebe akkumulieren. Die Proliferation der CLL-Zellen hängt in hohem Maße von der Signalübertragung durch den B-Zell-Rezeptor (BCR) und der Mikroumgebung ab. Genexpressionsdaten haben gezeigt, dass der BCR-Signalweg bei CLL-Zellen im lymphatischen Gewebe, dem Ort der CLL-Zellvermehrung, am stärksten aktiviert ist. Der entscheidende Signalknoten des BCR-Signalwegs ist PI3K. Die PI3K-Aktivität wird durch die Inositol-Phosphatasen Phosphatidylinositol 3,4,5-trisphosphate 3-phosphatase and dual-specificity protein phosphatase PTEN (PTEN) sowie die Src homology domain 2-containing inositol-5'-phosphatase 1 (SHIP1) gehemmt. Während PTEN die PI3K-Aktivität direkt umkehrt, um $PI(4,5)P_2$ zu erzeugen, katalysiert SHIP1 die Umwandlung von $PI(3,4,5)P_3$ in Phosphatidylinositol-3,4-bisphosphat.

Auf der Grundlage der Analyse eines entwickelten Modells zur Darstellung der Interkonnektivität zwischen BCR-Signalfaktoren und zur Vorhersage vorteilhafter Kombinationsbehandlungen wurden weitere regulierende Signalfaktoren in ein Panel der durchflusszytometrischen Analyse der BCR-Stimulationsdynamik aufgenommen. In der vorliegenden Arbeit wurden die Auswirkungen von PTEN und SHIP1 auf die stimulationsabhängige BCR-Signalaktivität bei CLL und auf die Adhäsionsfähigkeit von CLL-Zellen an Zellen der Mikroumgebung untersucht. Da CLL-Zellen bei den Patienten in zwei verschiedenen Nischen akkumulieren, wurden die Fraktionen mit unterschiedlicher Adhäsionskapazität in durchflusszytometrischen Messungen getrennt und in einem modifizierten und für CLL optimierten Adhäsionsassay mikroskopisch abgebildet.

Die Ergebnisse dieser Arbeit deuten darauf hin, dass PTEN und SHIP1 die BCR-Signalaktivität unter verschiedenen Stimulationsbedingungen kontrollieren. Es konnte gezeigt werden, dass SHIP1 bei der Bestimmung der (Re-)Adhäsionsfähigkeit von peripheren CLL-Zellen von großer Bedeutung ist. Darüber hinaus wurde festgestellt, dass der von-Hippel-Lindau-Faktor die Adhäsion von CLL-Zellen reguliert, was künftige Arbeiten auf Integrine auf der Oberfläche von CLL-Zellen lenkt.

Insgesamt wirft die vorliegende Arbeit ein Licht auf das Potenzial von SHIP1 und verwandten Faktoren als Ziele für künftige Kombinationstherapieansätze.

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List of abbreviations (A-Z)

AKT	Protein kinase B
BCR	B-cell receptor
BTK	Bruton's tyrosine kinase
CLL	Chronic lymphocytic leukaemia
CR	Complete response
ERK1/2	Extracellular signal-related kinases 1 and 2
HSC	Hematopoietic stem cell
IGHV	Immunoglobulin heavy chain variable region
M-CLL	Mutated IGHV CLL
OS	Overall survival
PBMCs	Peripheral blood mononuclear cells
PFS	Progression-free survival
Phospho-flow	Phospho-specific flow cytometry
PI3K	Phosphoinositide 3-kinase
PLCy2	Phospholipase C, gamma 2
PTEN	Phosphatidylinositol 3,4,5-trisphosphate 3-phosphatase and dual-specificity protein phosphatase PTEN (Phosphatase and tensin homologue)
PTPs	Protein tyrosine phosphatases
SHIP1	SH2 domain-containing inositol 5'-phosphatase 1 (Phosphatidylinositol 3,4,5-trisphosphate 5-phosphatase 1)
SYK	Spleen tyrosine kinase
U-CLL	Unmutated IGHV CLL
VHL	von Hippel-Lindau disease tumour suppressor

1 Introduction

1.1 Aberrant signalling in cancer

Cancer is a disease of uncontrolled survival and proliferation of the body's cells. Cancer is defined by several characteristics that include overproliferation, escaping apoptosis and migration outside of the respective cellular niche^{1,2}. In cells outside of their niches, apoptosis is induced through homeostatic controls. These homeostatic controls can only be escaped through a malignant transformation with genetic and epigenetic alterations³. Genetic and epigenetic alterations often affect signalling pathways that control cellular growth, division, apoptosis, differentiation, and motility. Altered signalling pathways may also affect non-malignant cells of the tumour microenvironment (TME), for example pathways that regulate angiogenesis and inflammation³. The recruitment of inflammatory factors and angiogenesis is controlled by the PI3K/AKT signalling pathway^{4,5} among others. The PI3K/AKT signalling pathway is one of the pathways most frequently affected by mutations driving cancer progression⁶. Mutations aberrantly activating the PI3K/AKT pathway may be present in several genes that include PI3KCA, phosphatases Phosphatase and tensin homolog deleted on chromosome ten (PTEN), AKT, TSC1, and mTOR⁷. Several strategies to inhibit the tumour promoting nodes of PI3K/AKT signalling networks were developed. The PI3K inhibitor idelalisib and the BTK inhibitor ibrutinib are the most prominent examples of clinically approved inhibitors while *e.g.* for BTK two more have been approved by the FDA^{8,9}. The recent advancements in the clinics by applying PI3K/AKT pathway inhibitors have improved clinical parameters such as overall survival (OS). The current challenges consist of a better understanding of cancer type specificity, appropriate combination treatments and beneficial patient stratification. Patient stratification as well as in-depth analysis of the signalling network interconnections have therefore come into focus of current research^{3,8,10}.

1.2 The tumour microenvironment is a hallmark of cancer

In the next generation of *the hallmarks of cancer* the TME was considered crucial for tumour formation². As the tumour develops in a complex surrounding tissue, three types of cells have been associated with cancer progression: infiltrating immune cells, angiogenic vascular cells, and cancer-associated fibroblasts (CAFs)¹¹. CAFs contribute to the establishment of a tumour-

promoting environment, while normal fibroblasts contribute to tumour suppression¹². The tumour-promoting environment also consists of a milieu of chronic inflammation. Chronic inflammation drives mesenchymal stem cell transition towards CAF formation¹³. Stromal fibroblasts and other tumour-promoting cells of the TME deliver proliferative signals, induce angiogenesis¹⁴ and metabolic reprogramming, activate or facilitate migration, and assist in evading growth suppressors and immune destruction¹¹. While the immune control of cancer cells is evaded, CAFs further support the tumour-promoting niche of chronic inflammation through NF- κ B signalling¹⁵. The signalling pathways involved in TME interaction have moved into research focus to find new therapeutic options.

1.3 Chronic Lymphocytic Leukaemia, an exceptional malignancy

CLL is in many respects an exceptional malignancy. CLL cells remain in cell cycle arrest while circulating in the peripheral blood. The clinical course is highly variable including patients subgroups that do not require therapy and remain without further symptoms for decades while other subgroups suffer from rapid progression and high risk¹⁶.

1.3.1 Epidemiology, diagnosis and clinical characteristics

In the Western world, CLL has the highest diagnosis rate among adult leukaemia with an incidence of 4.1 per 100 000 inhabitants¹⁷. This leukaemia usually affects the elderly, the median age at diagnosis being 72 years¹⁸, and the initial disease development may occur over a long period of time^{19,20}. During the disease development, blood count of CD5+ CD19+ B-cells increases as well as the presence of these cells in secondary lymphoid tissue⁹. At diagnosis, blood count surpasses 5×10^9 /L CLL cells²¹ with characteristically small cells in the blood smear. These CLL cells are CD19, CD20, CD23, and CD5 positive²²⁻²⁴. The disease staging follows the Rai (0, I/II, and III/IV) or Binet (A, B and C) systems. Both systems group the patients into low, intermediate, and high risk according to the levels of lymphocytosis, lymphadenopathy, anaemia, and thrombocytopenia^{25,26}. Additional biomarkers of prognostic value include ZAP70, CD38, CD49d which are associated to poorer outcome²⁷⁻³⁰. The most prominent patient stratification is based on the degree of somatic mutation in the immunoglobulin (Ig) heavy chain gene (IGHV). IGHV unmutated CLL (U-CLL) is associated with more aggressive CLL and lower overall median survival^{27,31,32} than CLL with >2%

germline difference IGHV (mutated IGHV, M-CLL). M-CLL also differs from U-CLL in the underlying genetic lesions, clonal evolution, epigenetic dysregulation and hyperactive signalling pathways³³⁻³⁵. These differences have led to the assumption that U-CLL and M-CLL cells relate to a different cell type as cell of origin³⁶. The different characteristics of U-CLL and M-CLL cells also span to the kind of interactions established with the cells of the TME^{33,37}.

1.3.2 The development and function of normal B-cells

The B-cell formation is initiated in the bone marrow (BM). In the BM, hematopoietic stem cells (HSCs) differentiate into common lymphoid progenitor cells³⁸. The lymphoid progenitor cells undergo epigenetical changes that lead to differentiation into the B or T cell lineage^{39,40}. In the B-cell lineage, a chronologically tightly controlled process of Ig H and L chain loci rearrangements further transition the pro-B-cells to pre-B-cells⁴¹. In pre-B-cells, a complete and unique B-cell receptor (BCR) locus is assembled^{42,43}. Of the resulting expressed BCRs, 50%-75% are specific to self-antigens^{44,45}. A self-reactive BCR leads to further receptor editing^{46,47}, anergy⁴⁸, induction of apoptosis^{49,50} or exclusion from follicular niches⁵¹. Immature B-cells migrate from BM to B-cell follicles in secondary lymphoid tissue, i.e. lymph nodes (LNs) and spleen. In the lymphoid tissue, antigen binding leads to B-cell activation. Activated B-cells engage into a coordinated crosstalk with different cell types to form the germinal center (GC)^{52,53}. Within these GCs, stromal cells produce gradients of CXCR4 and CXCR5 that establish the GC light and dark zones⁵⁴. In the GC dark zone, B-cells undergo proliferation at a rate unparalleled in human tissue and diversify the immunoglobulin variable region (IgV) genes by somatic hypermutation. Together, hypermutation and proliferation lead to an extreme differentiation of the BCR, thereby generating mutant clones increasing the antigen recognition repertoire by several magnitudes covering very variable affinities for the immunizing antigen. The affinity of GC-derived B-cells increases, a phenomenon called affinity maturation. Affinity maturation is possible through an effective selection process that excludes inferior or self-reactive IgV mutants in the GC light zone through positive selection of and thereby proliferative outcompetition by high-affinity BCRs. High-affinity BCR-expressing B-cells are fast and effectively produced in the specialized GC microenvironment where rapid movement and close interaction of different cell types is facilitated^{52,53}. The activated B-cells undergo several iterative rounds of further mutation and affinity selection and differentiate into memory B-cells⁵² or antibody-secreting plasma cells^{55,56}. These memory B-cells and plasma cells may also have switched Ig classes, *i.e.* replacing IgM and IgD with IgA, IgG or IgE⁵⁷. Further BCR

recruitment is not necessary to maintain memory B-cells. Mature B-cells including follicular (B-2) and marginal zone B-cells in the secondary lymphoid tissue almost exclusively originate from the GC reaction and belong to the adaptive immune system⁵⁸. In the peritoneal and pleural cavities, described as part of the innate immune system, and independent from T cell interaction, another type of mature B-cells was found. These so-called B-1 cells are CD5+. The CD5+ non-malignant B-cells detected in human PB are still poorly understood in terms of their origin and function⁵⁹.

1.3.3 CLL origin and development

As mentioned in the section 1.3.1, U-CLL and M-CLL appear to develop from different cells of origin³⁶ which has not been finally resolved as the exact normal B-cell counterpart remains under controversial debate⁶⁰⁻⁶². Initial genetic lesions that result in CLL formation were observed in HSCs⁶³ and in multipotent progenitor cells of CLL patients⁶⁴. As CLL cells express CD5 on the cell surface, the hypothesis arose that the B-1 cell lineage harbours the cell of origin⁶⁵. But the cell of origin, according to recent findings based on transcriptomics and BCR signalling analysis, may rather be derived from a marginal zone B-cell^{66,67}. Marginal zone B-cells can express either mutated or unmutated IGHV. The proportion of IGHV-mutated and –unmutated marginal zone B-cells differs between immunisation status and different anatomic sites⁶⁸ reaching 70%-80% mutated IGHV in the spleen⁶⁹. In the spleen, the marginal zone is located at the outermost layer of the white pulp. Outside the white pulp, marginal zone-like tissues exist in tonsil subepithelial regions, dome regions of Peyer patches, and in the subcapsular part of LNs⁷⁰. Marginal zone B-cells are characterised by IgM^{high} IgD^{low} expression and respond to bacterial polysaccharides independently from T cells^{71,72}. Independent from antigen encounter are also occasional IGHV mutations and class switch recombinations occurring in marginal zone B-cells^{73,74}. These CD27+ marginal zone B-cells have also been observed to share a similar gene expression profile with CLL cells, irrespective of the IGHV mutation status^{36,67}. The IGHV mutation status was associated to different DNA methylation patterns. Analysing the DNA methylation patterns, U-CLL cells were observed to cluster with CD5+ naïve B-cells and M-CLL cells with memory B-cells³⁵. CLL cells may also derive from a continuum of B-cell developmental stages, another DNA methylation analysis indicated⁷⁵. The development of CLL from a non-malignant B-cell occurs through a multistep process of genetic and epigenetic alterations, clonal selection and expansion, escape from homeostatic controls, and microenvironmental survival and proliferation signals. A precursor of CLL is the monoclonal B-cell lymphocytosis that may remain for years before CLL symptoms develop²⁰. The malignant transformation of CLL appears to be supported by antigen-driven

selective pressure as both U-CLL and M-CLL express highly restricted and biased BCR repertoires⁷⁶⁻⁷⁸. Stereotyped BCRs can be found in approximately one third of CLL patients, reflecting similar genetic, epigenetic and clinical characteristics^{76,77,79}. Characteristically, U-CLL BCRs show low affinity and poly-reactivity or self-reactivity while M-CLL BCRs are usually oligo- or mono-reactive, likely high affine to exogenous antigens^{37,80}.

1.3.4 Genetic alterations

CLL is a malignancy that sporadically develops in elderly patients. The few patients with familial predisposition usually bear one or several mutations in over 40 CLL-associated genetic loci. While these loci are located in open chromatin, their role in CLL pathogenesis is poorly understood⁸¹⁻⁸³. Using whole-exome and whole-genome sequencing, the genetic landscape of CLL has been studied extensively. The main genetic alterations, unlike in other cancer entities, do not appear to be restricted to a small set of driver mutations but span a broad range of genes^{19,84,85}. In M-CLL there are on average slightly more somatic mutations detected than in U-CLL but CLL in general has a lower mutational burden when compared to solid tumours or other haematological malignancies^{6,19,84}. The combination of genetic and epigenetic alterations with chromosomal aberrations, tumour suppressor inactivation, oncogene hyperactivation, and dysregulated miRNA expression allows further predictive and prognostic patient stratification^{9,16}. Patient stratification has been proposed to follow a hierarchical model based on chromosomal abnormalities. Cytogenetic alterations are detectable in approximately 80% of CLL patients⁸⁶. With >50% of CLL cases, the deletion 13q14.3 displays the most frequent genetic lesion and at the same time predicts a favourable course of the disease^{86,87}. The 13q14.3 deletion affects the loci of the DLEU2-mir-15a/16-1 cluster which control apoptosis and genes of the cell cycle control^{88,89}. The second-most frequent lesion is the deletion 11q. Deletion 11q is present in <20% of CLL patients and associated to resistance to chemotherapy and overall poor prognosis. The poor prognosis derives from frequently associated alterations of ataxia telangiectasia mutated (ATM), a crucial factor in the DNA repair machinery. Loss of a functional DNA damage response through genetic loss or dysfunction of ATM or TP53 leads to genomic instability^{86,90}. Another lesion affecting approximately 15% of CLL patients is trisomy 12. Trisomy 12 is associated with a higher risk of developing Richter transformation. Richter transformation is the pathogenesis of a high-grade lymphoma in CLL or small lymphocytic lymphoma^{91,92}. CLL with trisomy 12 is also more likely to develop secondary tumours but the full mechanism on how trisomy 12 drives CLL pathogenesis is still to be resolved^{93,94}. Another prominent lesion is the deletion of 17p, found in <10% of CLL

patients. Deletion 17p involves TP53 inactivation. TP53 inactivation as mentioned before causes genomic instability^{19,86}.

Genomic instability can also be caused by somatic mutations, *e.g.* again involving TP53 and ATM pathways. Other pathways commonly affected by somatic mutations in CLL are RNA splicing, chromatin modification, Notch signalling, inflammatory response, and B-cell activity pathways^{19,84,85,95}. Some somatic mutations are of prognostic value, *e.g.* mutations affecting NOTCH1 or SF3B1⁹⁶. SF3B1 but also TP53 mutations also serve as predictive markers for disease progression. During disease progression and after initial treatment success, subclones bearing such driver mutations expand and require treatment strategy changes^{9,19}.

1.3.5 Treatment

Treatment of CLL is only initiated when an active, symptomatic disease is diagnosed. An early stage disease without symptoms is monitored without treatment as treatment does not provide any benefit except for (rapidly) progressing disease^{9,24}. When disease progression or symptoms are diagnosed, treatment is initiated. Treatment options depend on the age, patient fitness and a set of prognostic factors^{9,97}. Younger patients may benefit from allogeneic stem cell transplantation, the only to-date therapy considered curative but challenged by limited donor availability, graft-versus-host disease and immunosuppression^{98,99}. For decades, standard treatment for CLL was the application of alkylating agents with chlorambucil being the gold standard^{9,100}. Compared to chlorambucil, treatment with the purine analogue fludarabine resulted in more remissions and more complete responses (CRs) without improving overall survival (OS)¹⁰¹. The treatment of several B-cell malignancies including CLL was significantly improved when, in 1998, CD20-targeting antibodies became available^{102,103}. CD20 is a membrane protein expressed in mature B-cells where its function is yet to be investigated though suspected to act as a calcium channel¹⁰⁴. The combination of anti-CD20 therapy with chemotherapeutics has led to the introduction of chemoimmunotherapy to CLL treatment improving both OS and progression-free survival (PFS) and thereby the combination of fludarabine, cyclophosphamide, and rituximab became the new first-line therapy standard in a large subset of patients^{9,105}. Another subset of patients, including active disease U-CLL as well as Binet C or Rai III-IV, benefit from more recently developed BCR inhibitors as front-line therapy and relapsed or refractory patients in second line^{9,24}. The importance of the BCR in CLL pathogenesis is explained in section 1.3.6. In short, the treatment in CLL was revolutionised by small molecule inhibitors of BCR signalling pathway kinases^{16,106}. The most prominent kinases are Bruton's tyrosine kinase (BTK) and Phosphatidylinositol-3 kinase delta

(PI3Kd), being inhibited *e.g.* by the compounds ibrutinib and idelalisib, respectively^{107,108}. Another small molecule inhibitor, venetoclax, targets B-cell lymphoma 2 (BCL-2)¹⁰⁹ and has been approved for second-line treatment of relapsed and refractory CLL, showing improved response rates especially in del(17) patients^{110,111}. During the last years, also immunotherapies and immune checkpoint inhibitors were tested in clinical trials^{112–114}. While the clinical trials using CAR T cells against CD19 led to durable remissions¹¹⁴, immune checkpoints as single agents failed to improve therapy outcome¹¹². The therapy outcome of combination treatments of immune checkpoint blockade with kinase inhibitors is investigated currently or has been recently (NCT03331198, NCT02329847, NCT02332980). In general, the largest efforts in current clinical trials are made to determine the benefit of fixed duration combination therapies compared to monotherapies especially in the light of BCR signalling inhibitors, such as BTK inhibitors. BTK and BCL2 inhibitor double-refractory disease is still in urgent need of alternative therapies.

1.3.6 BCR signalling

In B-cells, proliferation, selection and differentiation are promoted upon BCR antigen engagement¹¹⁵. The antigen is engaged through specific Ig and Ig-a/Ig-b heterodimer that compose the BCR. BCR binding to the antigen leads to the cytoplasmic phosphorylation of immunoreceptor tyrosine-based activation motifs of the Ig-a/Ig-b tails¹¹⁶. This phosphorylation of the Ig-a/Ig-b tails, BCR oligomerisation and microcluster growth initiate the signalling cascade via docking and protein phosphorylation of the Spleen tyrosine kinase (SYK)^{117,118}, Phospholipase C, gamma 2 (PLC γ 2) and Phosphoinositide 3-kinase (PI3K)¹¹⁹. Phosphorylated SYK, PLC γ 2, and PI3K signal to calcium mobilization¹²⁰ and membrane localization of Protein kinase B (AKT) and Bruton's tyrosine kinase (BTK)^{121–123}. AKT and BTK amplify the signal towards the transcription factors NF- κ B and NFAT^{124,125} and activate the extracellular signal-related kinases 1 and 2 (ERK1/2) pathway¹²⁶. In CLL cells, the BCR pathway was the most prominently activated in samples from lymphatic tissue, the site of CLL cell proliferation^{127,128}, and was associated with poor prognosis¹²⁹. Poor prognosis subgroups are also associated with unmutated IGHV status, thus classified based on BCR characteristics. As mentioned in section 1.3.3, U-CLL and M-CLL show different levels of affinity and specificity^{37,80,130}. The specificity of BCRs is in many cases identical among unrelated CLL patients^{131,132}, indicating that these stereotype BCRs recognise common antigen(s). It is a common trait in many malignant cell types that excessive proliferation is linked to hyperactive PI3K/AKT signalling³. In CLL, the BCR signalling pathway is a central factor in the

pathomechanism^{133,134} and has thus become one of the main targets in CLL treatment^{106,108,135,136}. CLL treatment has benefited from this approach through the development of new therapeutics inhibiting BCR-related kinases such as BTK^{107,136}, PI3K¹⁰⁸, LYN¹³⁷, or SYK^{138,139}. Successful inhibition of the BCR leads to LN and spleen shrinkage as well as initial lymphocytosis in the PB. This lymphocytosis is most likely a result of the mobilisation of CLL cells from the secondary lymphoid organs to the periphery^{140–142}. Despite the great benefit of these kinase inhibitors, resistances and other counter indications still produce challenges for the treatment of CLL^{143–146}. These challenges can be tackled by different approaches. One approach is the further characterisation of available inhibitors in terms of optimal sequencing and combination strategies⁹. Combination strategies promise great potential as the inhibition of several signalling nodes synergistically silences BCR signalling and cannot be circumvented in healthy B-cells or CLL cells regardless of IGHV subgroup (Figure 1)¹⁰. Resistance to treatment may occur through clonal evolution¹⁴⁵, microenvironmental protection¹⁴³ or mutations in the targeted kinase or downstream effector genes^{144,146}. Thus, alternative strategies are required for refractory CLL cases. Advances in targeting the opponents of kinases, phosphatases^{147,148}, have raised the interest in these effectors with mainly inhibitory function.

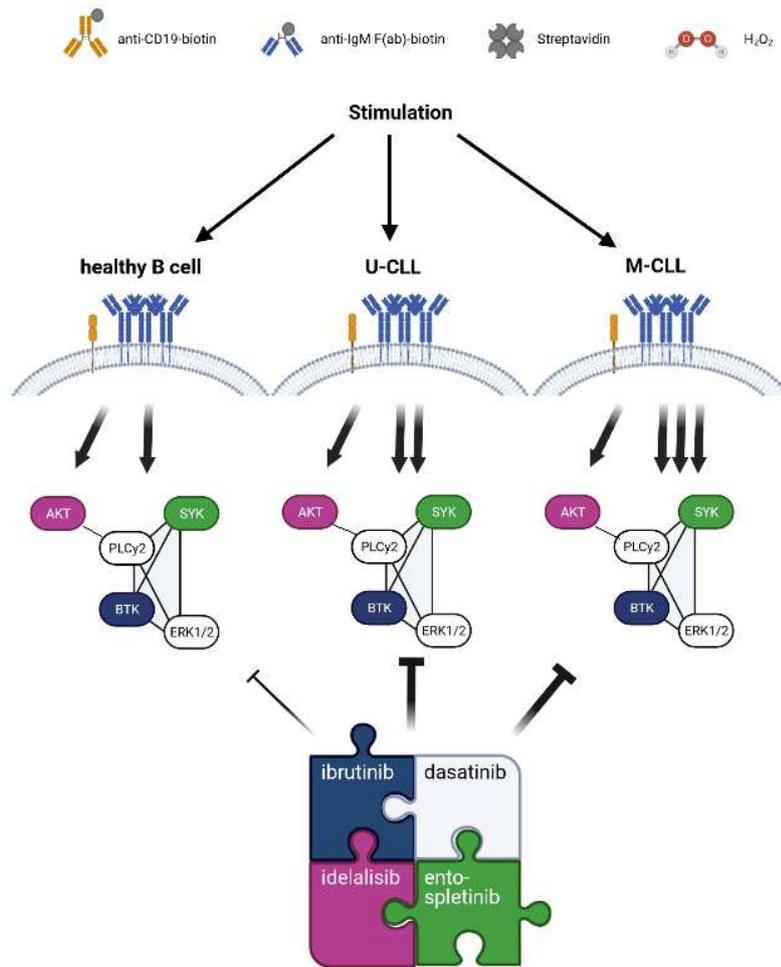


Figure 1 The BCR signaling pathway in healthy B-cells as well as U-CLL and M-CLL cells remains stable with or without BCR kinase inhibitor treatment. The stimulation with crosslinking of α -CD19 and α -IgM antibodies through biotin-streptavidin interaction resulted in different degrees of BCR pathway activation between healthy B-cells, U-CLL and M-CLL cells. Different kinase inhibitor combinations reduced the phosphorylation levels of BCR pathway components beyond additive effects. The graph was taken and modified from Wolf, Maus, Persicke et al. 2022 originally created by myself¹⁰.

1.3.7 Inositol phosphatases

The central intracellular second messenger molecule in the BCR signalling pathway is phosphatidylinositol-3,4,5-triphosphate (PIP₃). PIP₃ is released from PI3K by phosphorylating phosphatidylinositol-4,5-bisphosphate (PI(4,5)P₂). PI(4,5)P₂ is an abundant molecule at the plasma membrane and locally enriched at the Ig tails in quiescent B-cells^{149,150}. In quiescent B-cells there is no PIP₃ detectable. PIP₃ recruits AKT and BTK to the plasma membrane where phosphorylation occurs to initiate downstream signalling cascades. This phosphorylation is controlled by the

degradation of PIP₃ through inositol phosphatases. The inositol phosphatases PTEN and Src homology domain 2-containing inositol-5'-phosphatase 1 (SHIP1) are activated by membrane localisation^{151,152}. While PTEN and SHIP1 share the common substrate, PIP₃, there is no sequential or structural homology. PTEN catalyses the dephosphorylation of protein tyrosine residues and additionally functions as a phosphatidylinositol 3,4,5-triphosphate 3-phosphatase¹⁵³. SHIP1 is an inositol tetrakisphosphate and phosphatidylinositol 3,4,5-triphosphate 5-phosphatase^{154,155}. Thus, PTEN directly reverses PI3K activity to generate PI(4,5)P₂, while SHIP1 catalyses the conversion of PIP₃ to phosphatidylinositol-3,4-bisphosphate (PI(3,4)P₂)¹⁵⁶. PI(3,4)P₂ in contrast to PI(4,5)P₂ is a dynamic second messenger binding a different set of proteins, thereby recruiting further downstream effectors¹⁵⁷.

1.3.7.1 PTEN

PTEN is a *bona fide* tumour suppressor being deleted or mutated in a manifold of malignant entities^{158–160}. While in many cancer entities, *PTEN* is one of the most frequently mutated genes, the second most after *TP53* considering all cancer types¹⁶¹, some entities are not found to be associated to a loss of *PTEN*^{160,162}. A loss of PTEN generally leads to hyperactivated, constitutive AKT signalling¹⁵⁸. While hyperactivated AKT signalling is crucial in the development of CLL, mutations in the *PTEN* locus are observed rarely if at all^{162,163}. About 20% of CLL cases though do show a loss of heterozygosity in the genetic region of the *PTEN* locus at 10q23.3, while the gene itself is not affected by these mutations¹⁶². Without inactivating mutations, the loss of control of AKT phosphorylation in CLL cells by PTEN was found to be caused by transcriptional, translational and spatial repression^{162–164}. Transcriptional repression has already been linked to poor prognosis¹⁶⁵. Independent from PTEN expression levels, its subcellular localisation to the CLL cell nucleus may induce apoptosis. The induction of apoptosis was also reported to be independent from the TP53 mutation status¹⁶⁴. While PTEN also functions as a protein phosphatase, its tumour suppressor function is associated to the inositol phosphatase activity, removing the 3' phosphate of phosphoinositides¹⁶⁶, thereby antagonising PI3K activity. The phosphatase activity of PTEN is reversibly inactivated by H₂O₂¹⁶⁷.

1.3.7.2 SHIP1

Another antagonist of PI3K is a 5'-inositol phosphatase: SHIP1. Similar to PTEN, SHIP1 cleaves PIP₃, a messenger molecule in the cell membrane. The cleavage produces phosphatidylinositol PI(3,4)P₂. SHIP1 does not only function through its phosphatase activity. It was shown to function as scaffold protein interfering with signalling cascades of the MAPK and other pathways^{168,169}. SHIP1 is crucial in B-cell development¹⁷⁰ and together with PTEN, these phosphatases are thought to cooperatively suppress the malignant transformation of B-cells¹⁷¹. The suppression of excessive BCR-mediated activation is achieved through the conversion of PIP₃ to PI(3,4)P₂, thereby antagonising the membrane localisation of BCR signalling components, *e.g.* BTK^{172,173} or AKT¹⁷⁴. But the role of SHIP1 in the formation and maintenance of malignant B-cells is ambiguous. Both tumour suppressor^{175,176} and pro-proliferative^{177,178} characteristics have been reported. Counterintuitively, SHIP1 inhibition has also been reported to trigger apoptosis in hematopoietic cancer cells¹⁷⁹. The ambiguous role of SHIP appears to be due to the 5' phosphatase activity as PI(3,4)P₂ is capable if not required to enhance AKT phosphorylation and hence activation of the PI3K/AKT pathway^{177,178}. In another report, SHIP1 was shown to inhibit AKT activation in B-cells¹⁷⁵ and SHIP1 mutations found in leukaemia associated to impaired enzymatic activity led to increased activation of the PI3K/AKT pathway¹⁷⁶. SHIP1 has served as target in the pioneering development of phosphatase activators in therapies of multiple myeloma^{148,180} *inter alia*^{181,182}. Interestingly, in myeloma cells PTEN but not SHIP1/2 suppresses the PI3K/AKT pathway¹⁸³. The SHIP1 activators are pelorol derivatives¹⁸⁴ and the most recent generation has entered preclinical evaluation in several B-cell malignancies¹⁸⁵. With this recent preclinical advances, the role of SHIP1 and its targetability in CLL became one focus of this thesis project.

1.3.8 The TME in CLL

In response to infection, normal B-cells interact with the microenvironment. The microenvironment facilitates antigen presentation, differentiation and maturation, and antibody secretion^{127,186}. Different cell types interact with B-cells, including mesenchymal stromal cells, endothelial cells, fibroblasts, neutrophils, monocytes, macrophages, dendritic cells, T cells and NK cells. These different cell types enter a crosstalk with B-cells through adhesion molecules, endocrine processes, and ligand-receptor interactions. These interactions are not yet fully elucidated^{187,188}. In CLL, genetic lesions and epigenetic reprogramming predispose malignant B-

cells to increased life spans and proliferation advantage *in vivo*. *In vitro*, CLL cells undergo apoptosis within hours to days. The apoptosis is most likely initiated as pro-survival factors from the microenvironment are no longer present¹⁸⁷. Factors contributing to CLL survival, proliferation and migration are cytokines, chemokines, proangiogenic factors, and components of the extracellular matrix. The survival and proliferation signals provided by the microenvironment can be mimicked *in vitro* by co-culture with BM stromal cells^{189,190}. These BM stromal cells interact with CLL cells through adhesion molecules. Adhesion molecule types include integrins, vascular cell adhesion molecule 1 (VCAM1), VEGF and others^{191–194}. Stromal cells also release growth factors signalling on the CXCR4-CXCL12 axis. This interaction protects CLL cells from spontaneous as well as drug-induced apoptosis^{189–191,195,196}. Though, apoptosis measurements in co-culture studies have to be considered with care as confounding phagocytosis has recently been reported¹⁹⁷. The interaction between CLL cells and stromal cells leads to a change in the expression pattern of both cell types. One such change in expression affects the C-C motif chemokine ligand 3 (CCL3) and 4 (CCL4) that recruit other types of lymphocytes, *e.g.* T cells^{198,199}. The region of close interaction with the microenvironment, the pseudofollicles of secondary lymphoid tissue, were identified as the site of CLL cell proliferation *in vivo*^{127,200}. CLL cells in lymphoid tissue have an upregulated expression of BCR-related and NF- κ B and NFAT target genes compared to CLL cells in other tissue, *i.e.* PB and BM¹²⁷. In PB, nurse-like cells could be extracted that protected CLL cells from spontaneous apoptosis in a similar manner as stromal cells²⁰¹. The interaction of CLL cells to cells of the microenvironment reshapes the tissue organisation as well as cellular function. T cell function is affected by IL-10 and TGF- β release as well as PD-L1 surface expression, rendering T cells incapable of controlling excessive CLL cell proliferation^{202–204}.

1.4 The impact of shear stress on lymphocytes

Throughout the entire human body, cell surfaces interact with watery extracellular milieu. The extracellular fluid impacts on the cell surface. The most relevant mechanical impact on the cell surface is known as shear stress. Shear stress is a mechanical force applied in parallel to the respective surface. The SI unit for shear stress is Pascal (Pa) while in biological conditions, dynes/cm² is used with 1 Pa = 10 dyn/cm². Shear stress on the cell surface induces mechanotransduction processes. These mechanotransduction processes impact on cellular function and activation by cell morphology modulation, induction of proliferation or differentiation, altering metabolic pathways and extracellular matrix formation^{205,206}. Especially in

the development of endothelial cells in the blood, shear stress plays an important role and is the highest in the arteries reaching 15 dyn/cm² in physiological conditions or >80 dyn/cm² in hypertension patients^{207–209}. While the effects of shear stress on endothelial cells are well studied in the vascular system^{207,210}, the effects on circulating cells in blood, lymph and intercellular fluid are not completely elucidated, yet. Between these body fluids, lymphocytes are able to migrate from one to another^{211–213}. In these different physiological environments, very different levels of shear stress apply to the lymphocytes. Different levels of shear stress are also found within the respective body fluid, *e.g.* areas of lower or higher shear stress within the LNs^{214–216}. Responsible for changing levels of shear stress is also the lymph nodal vasomotion. Lymph nodal vasomotion is regulated through prostanoids²¹⁷. The changing shear stress regulates calcium signalling, ATP metabolism, and subsequent pathways also affecting the permeability of lymphoid stromal cells^{215,218,219}. Leukocytes leaving the lymphoid microenvironment, re-circulating to the blood stream with high shear stress, in general show a lower level of cellular activation. The lower activation levels are indicated by the loss of pseudopods and the reduction in integrin surface expression^{220,221}. An example of shear stress-dependent integrin surface expression in B-cells is CD49d. CD49d is an interactor of the vascular cell adhesion molecule 1 (VCAM-1) which is expressed by endothelial cells^{222,223}. The upregulation of CD49d is associated to increased transendothelial migration capacity²²⁴ and to the escape from apoptosis of CLL cells^{191,225}. CLL cells are primed for the transendothelial migration under shear stress by increased expression of CD62L, CXCR4, CD5, and CD69²²⁴. CD62L is a selectin that tethers the initial adhesion to endothelial cell walls through its ligand PNA²²⁶. The receptor of stromal cell-derived factor 1, CXCR4, is responsible for the chemotaxis towards the protective microenvironment²²⁷. In the case of CD69, upregulation was also observed when cells were brought into close contact with the protective microenvironment and was found to predict CLL prognosis^{127,228}. Thus, CLL cells exhibit phenotypical differences under shear stress conditions^{224,229}.

1.4.1 CLL and cellular adhesion

Survival and proliferation of B-cells and CLL cells are dependent on the interaction with a supportive microenvironment. In the microenvironment of the LNs, CLL has the highest rate of proliferation¹²⁸. The microenvironment can *in vitro* be mimicked by co-culture with marrow stromal cells^{189–191,230}, follicular dendritic cells²³¹ and nurse-like cells²⁰¹. Without supportive co-culture, CLL cells undergo spontaneous apoptosis *in vitro*. *In vivo*, the development of resistance

to treatment can in part be explained by microenvironmental protection of CLL cells^{143,232–234}, in addition to clonal evolution^{145,146}. The activity of the BCR antagonists PTEN and SHIP1 impact on B-cell adhesion, motility and migration^{235–239}. CLL cell adhesion in lymphoid tissue decreases when BCR signalling inhibitors are applied. In fact, the treatment success of these inhibitors of BCR signalling factors is associated with lymphocytosis during the first weeks after initial drug administration^{240,241}. It is thus the BCR signalling that is crucial in the retention of CLL cells in the supportive microenvironment through the adhesion onto stromal cells²⁴².

2 Aims of thesis

My PhD project aimed to shed light onto the mechanisms and dynamics involved in phosphatase activity in the BCR signalling. In particular, I measured the quantity and quality of the inhibitory or modulating effect of PTEN and SHIP1 on key components of the BCR signalling pathway including the messenger lipid PIP₃. Therefore, I performed phospho-flow cytometry analysis of cell lines with modified phosphatase expression and primary CLL cells. Furthermore, I investigated methods to measure quantity and quality of CLL cell to stromal cell interactions. This work might result in a significant impact on *ibrutinib* or other kinase inhibitor treatment strategies that might include phosphatase agonists or antagonists.

2.1 Involvement of PTEN and SHIP1 in BCR signalling

The biochemical function of both PTEN and SHIP1 differs in catalysing the removal of phosphate residues at the positions 3 or 5, respectively. The removal of either phosphate residue renders the phosphatidyl-inositol molecule to interact with other signalling molecules than uncatalysed PIP₃. While research had focused on the function of the resulting signalling molecules PI(3,4)P₂ and PI(4,5)P₂, the dynamics of PTEN and SHIP1-catalysed inhibition of stimulated BCR signalling has not been exhaustively addressed. Here, I shed light on the control of BCR dynamics by these two phosphatases PTEN and SHIP1.

2.2 BCR signalling and adhesion of CLL cells to cells of the protective microenvironment

The adhesion of CLL cells to cells of secondary lymphoid tissue is believed to be crucial for CLL cell survival and proliferation. The mechanisms of CLL cell retention and re-adherence is not yet understood. As the recent advancements in targeting CLL cells in their protective niche produced promising but ultimately short-falling clinical results, the further understanding of molecular mechanisms causing CLL cells to remain or re-enter the protective lymphoid tissue is necessary in the search for additional targets in improved treatments. With this project, the hypothesis is tested that the BCR is tightly interlinked to the adhesive capacity of CLL cells. Furthermore, the

role of SHIP1 was closely investigated to evaluate its potential as one of the future targets in CLL therapy. To make future evaluation of molecular mechanisms and targets adequately measurable, an adhesion under flow assay was optimised and established mimicking the protective lymphoid tissue niche.

3 Materials and Methods

3.1 Materials

3.1.1 Human Samples

Peripheral blood samples from CLL patients were obtained after informed consent and according to the guidelines of the University Hospital of Ulm Ethics Committee, the Ethics Committee of the University of Heidelberg, and the Declaration of Helsinki. Patients with CLL were diagnosed following the World Health Organization (WHO) classification criteria²¹. Clinical information of the patients whose cells were analysed in this work are summarised in Table 1. Healthy donor PBMCs were thankfully received from the Blutbank (bloodbank) of the University Hospital of Heidelberg. B-cells of healthy control PBMCs were extracted for further experiments.

Table 1 Clinical data of CLL patients and healthy controls.

Patient ID	VH status	Gender	FISH karyotype
B448	mutated (V4-34, productive; 93,59%)	male	normal
B510	mutated (V3-23 productive: 88,89%)	female	13q deletion
B342	mutated (V1-3 productive;93.6%)	female	13q deletion
B457	mutated (V4-30.4 productive: 81,48%)	female	normal
B505	mutated (V3-7, productive; 97,22%)	female	13q deletion
B480	mutated V3-23; productive, 92,16%)	male	13q deletion
B501	NA	male	normal

B454	mutated (V4-34: 91,78%)	male	13q deletion
B444	unmutated (V3-9, productive, 100%)	male	normal
B343	unmutated (V3-21, productive;100%)	female	13q deletion
B464	unmutated (V1-69, productive; 100%)	male	13q deletion
Healthy controls	not tested	unknown	not tested

3.1.2 Cell lines

Table 2 Cell lines

Name	Experiment/ Purpose	Supplier	Reference
HG3	Flow cytometry, Flow assay, Western Blot	DSMZ	Lanemo Myhrinder et al. ²⁴³
HS-5	Flow assay	ATCC	Roeklein, Torok-Storb ²⁴⁴
LCL-WEI	Flow cytometry, Western Blot	DSMZ	NA
JEKO-1	Flow cytometry, Western Blot	DSMZ	Drexler, Macleod ²⁴⁵
PGA-1	Flow cytometry, Western Blot	DSMZ	Lewin et al ²⁴⁶
CII	Flow cytometry	Amsterdam University Medical Center	Fialkow et al. ²⁴⁷

3.1.3 Antibodies

Table 3 Flow cytometry antibodies

Name	Experiment/ Purpose	Supplier	Reference
Akt (pS473)	Flow cytometry	Becton Dickinson	560343
Biotin F(ab') Goat anti-human IgM	Stimulation	Jackson Immuno Research	109-066-006
BTK	Flow cytometry	Becton Dickinson	558528
BTK (pY551)/ITK (pY511)	Flow cytometry	Becton Dickinson	558129
CD19	Flow cytometry	Becton Dickinson	560728
CD20	Flow cytometry	Becton Dickinson	561171
CD5	Flow cytometry	Becton Dickinson	341109
ERK1/2 (pT202/pY204)	Flow cytometry	Becton Dickinson	562644
F(ab') Goat anti-human IgG	Stimulation	Jackson Immuno Research	109-006-006
F(ab') Goat anti-human IgM	Stimulation	Jackson Immuno Research	109-006-129
IgG2a, k Isotype Control	Flow cytometry	Becton Dickinson	555576
IgG2a, k Isotype Control	Flow cytometry	Becton Dickinson	555748
IgG1, k Isotype Control	Flow cytometry	Becton Dickinson	555574
IgG2a, k Isotype Control	Flow cytometry	Becton Dickinson	560167
IgG1, k Isotype Control	Flow cytometry	Becton Dickinson	560373
IgG1, k Isotype Control	Flow cytometry	Becton Dickinson	556652
IgG2a, k Isotype Control	Flow cytometry	Becton Dickinson	557872
IgG1, k Isotype Control	Flow cytometry	Becton Dickinson	558020

IgG2a, k Isotype Control	Flow cytometry	Becton Dickinson	580894
IgM	Flow cytometry	Becton Dickinson	555782
PLCy2(pY759)	Flow cytometry	Becton Dickinson	558507
PTEN Monoclonal Antibody (1B8)	Flow cytometry	Life Technologies	MA515560
SHIP1	Flow cytometry	Biolegends	656604
Zap70 (pY319)/Syk (pY352)	Flow cytometry	Becton Dickinson	561458

Table 4 Western Blot antibodies

Name	Experiment/ Purpose	Supplier	Reference
GAPDH	Western Blot	ThermoFisher Scientific	PA1-987
PTEN	Western Blot	Cell Signaling Technology	9552
SHIP1	Western Blot	ThermoFisher Scientific	MA1-10450

3.1.4 Chemicals and other reagents

Table 5 Chemicals and reagents

Name	Experiment/ Purpose	Supplier	Reference
Dimethylsulfoxid (DMSO)	Cryopreservation	Sigma Aldrich, Steinheim	41639
Dulbecco's Phosphate Buffered Saline (PBS)	Miscellaneous	Sigma Aldrich, Steinheim	D8537
Ethanol	Miscellaneous	Fisher Scientific, Schwerte	10342652
Fetal Bovine Serum (FBS)	Miscellaneous	Sigma Aldrich, Steinheim	F7524

GeneRuler 1 kb Plus DNA Ladder	PCR	Fisher Scientific Oy, Vantaa	11581625
Gibco L-Glutamine (200 mM)	Cell culture	Thermo Fisher Scientific, Waltham	25030024
Gibco Penicillin/Streptomycin (10,000 U/mL)	Cell culture	Thermo Fisher Scientific, Waltham	15140122
PKH26 Green Fluorescent Cell Linker	Flow assay	VWR International	SAFSMIDI26
PKH26 Red Fluorescent Cell Linker	Flow assay	VWR International	SAFSMIDI26
Plerixafor	Flow assay	Biozol Diagnostica, Eching	APE-A23025-5.1
RPMI 1640	Cell culture	Sigma Aldrich, Steinheim	R8758

3.1.5 Buffers and solutions

Table 6 Buffers and solutions

Name	Experiment/ Purpose	Composition/Supplier	Reference
HEPES	Flow assay	Life Technologies	15630056
Biocoll	PBMC isolation	Biochrom	BS.L6115
FACS buffer	Flow cytometry	PBS + 1%BSA + 0.1% NaN ₃	NA
Freezing media	Cryopreservation	90% FBS + 10% DMSO	NA
RIPA	Protein extraction	10 mM TRIS-HCl (pH 7.4), 1 mM EDTA, 1% NP-40, 0.1% Sodium Deoxycholate, 0.1% SDS, 140 mM NaCl, 10 mM NaF, 1 mM PSMF,	NA

Running buffer	Western Blot	25 mM TRIS-HCl, 192 mM glycine, 1% SDS; pH 8.3	NA
Transfer buffer	Western Blot	25 mM TRIS-HCl, 192 mM glycine, 20% MeOH, 0.1% SDS	NA

3.1.6 Kits

Table 7 Kits

Name	Experiment/ Purpose	Supplier	Reference
Lightning Link APC/Cy7 Labeling Kit	Flow cytometry	Biozol Diagnostica, Eching	INB-765-0005
PIP3 Mass ELISA Kit	Inositol phosphate assay	Echelon Biosciences	K-2500S
PI(3,4)P2 Mass ELISA Kit	Inositol phosphate assay	Echelon Biosciences	K-3800
PI(4,5)P2 Mass ELISA Kit	Inositol phosphate assay	Echelon Biosciences	K-4500

3.1.7 Consumables

Table 8 Consumables

Name	Experiment/ Purpose	Supplier	Reference
96-Well U Bottom Plate	BCR stimulation / Miscellaneous	Techno Plastic Products (TPP), Trasadingen	TPP92197
Hose clamp	Flow assay	neoLab Migge GmbH, Heidelberg	KL-1423

Injection port	Flow assay	IMPROMEDIFORM, Lüdenscheid	MF1542
T connector	Flow assay	IMPROMEDIFORM, Lüdenscheid	MF4701
μ-Slide 0.8 ibiTreat	Flow assay	ibidi GmbH, Gräfelfing	80196
X100 Spritze 5ML Luer Zentriert	Flow assay	Fisher Scientific/Terumo, Illkirch (France)	11760465
Perfusion Set White	Flow assay	ibidi GmbH, Gräfelfing	10963
Elbow Luer Connector Male	Flow assay	ibidi GmbH, Gräfelfing	10802
Cell scraper 237 mm	Flow assay, miscellaneous	Kisker Biotech	330097
Einmalspritzen 2 ml Luer	Flow assay	Fisher Scientific/Terumo	12798
Spritzenvorsatzfilter	Flow assay	Neolab Migge	101263153
Cell culture flask TC T75 Suspen.	Cell culture	Sarstedt AG	83.3911.502
Electroporation cuvette	siRNA knock-down	Sigma-Aldrich Chemie, Taufkirchen	Z706086-50EA
LLG-Trockenperlen	Flow assay	Faust Lab Science	NA

3.1.8 Equipment and devices

Table 9 Equipment and devices

Name	Experiment/ Purpose	Supplier
Zeiss		
ibidi pump		
BD FACSCanto II	Flow Cytometry Staining	BD (Becton, Dickinson & Company), Franklin Lakes
BD LSRFortessaTM	Flow Cytometry Staining	BD (Becton, Dickinson & Company), Franklin Lakes

Cell Culture Safety Cabinet, Herasafe KS	Cell culture	Thermo Fisher Scientific, Waltham
Centrifuge 5810 R	Miscellaneous	Eppendorf, Hamburg
Centrifuge Heraeus Fresco 17	Miscellaneous	Thermo Fisher Scientific, Waltham
Heracell 150i Incubator	Cell culture	Thermo Fisher Scientific, Waltham
Heracell 240i Incubator	Cell culture	Thermo Fisher Scientific, Waltham
Mithras plate reader	ELISA	MITRAS
PIPETMAN L Multichannel P8x200L, 20-200 µL	Miscellaneous	Gilson, Middleton
Pipettes (2 µL, 20 µL, 100 µL, 200 µL, 1000 µL)	Miscellaneous	Gilson, Middleton
Vi-CELL XR 2.03	Cell counting	Beckman Coulter Inc., Brea
Vortex Mixer Neolab 7-2020	Miscellaneous	neoLab Migge, Heidelberg
Water Bath Julabo SW-20C	Miscellaneous	Julabo, Seelbach

3.1.9 Software

Table 10 Software

Name	Experiment/ Purpose	Supplier	Version/reference
BD FACSDivaTM	Flow cytometry data acquisition	BD (Becton, Dickinson & Company), Franklin Lakec	3149011B
FlowJo	Flow cytometry analysis	BD (Becton, Dickinson & Company), Franklin Lakes	X 10.0.7
ImageJ	Flow Assay/ picture processing	NIH	1.52 and previous versions
Microsoft Excel	Miscellaneous	Microsoft, Redmond	2016
Microsoft PowerPoint	Miscellaneous	Microsoft, Redmond	2016
Microsoft Word	Miscellaneous	Microsoft, Redmond	2016
SigmaPlot			14.0
ibidi flow			NA
Zotero	Bibliography management		6
Zeiss ZEN	Microscopy	Zeiss Group	NA

3.2 Methods

3.2.1 Human tissue processing and conservation

Peripheral blood samples of CLL patients were separated by Ficoll-Paque sedimentation (GE-Healthcare, GE17-1440-02). The PBMCs were resuspended in heat-inactivated fetal bovine serum (FBS; Thermo Fisher Scientific, F7524) containing 10% dimethyl sulfoxide (DMSO, Sigma-Aldrich, D4540) and cryopreserved. Buffy coats from healthy donors were obtained from the German Red Cross in accordance with the Declaration of Helsinki. These buffy coats from healthy donors were enriched for CD19-positive cells via negative magnetic enrichment using EasySep™ Human B-cell Enrichment Kit (Stemcell Technologies, Vancouver, Canada). Samples of isolated PBMCs and CD19-sorted cells were analysed by flow cytometry using anti-CD5-FITC and anti-CD19-APC antibodies (BD Biosciences). If not otherwise indicated, all samples were cryopreserved in liquid nitrogen until further handling.

3.2.2 Cell culture, treatment and siRNA transfection

After thawing, cells were cultured in Roswell Park Memorial Institute (RPMI 1640, Sigma-Aldrich, R8758) medium with 10% FBS and 1% Penicillin/Streptomycin (1000 U/ml, Life Technologies, Darmstadt, Germany).

PBMCs were isolated from blood samples by density centrifugation in Biocoll. Primary cells from PBMCs were either used freshly or after thawing in case stored after isolation from the donors. After thawing, cells were rolled in RPMI 1640 medium for 2 h for recovery. After recovery or when used freshly, cells were cultured in RPMI 1640 medium supplemented with anti-IgM and anti-CD19 30 min prior to fixation. When H₂O₂ (3.3 mM) was added, the stimulation was performed at 28 min, 21 min, 15 min, 10 min, 6 min, and 3 min before fixation. After fixation in 2% PFA, cells were permeabilised and prepared for flow cytometry as published¹⁰ (**Figure 2**).

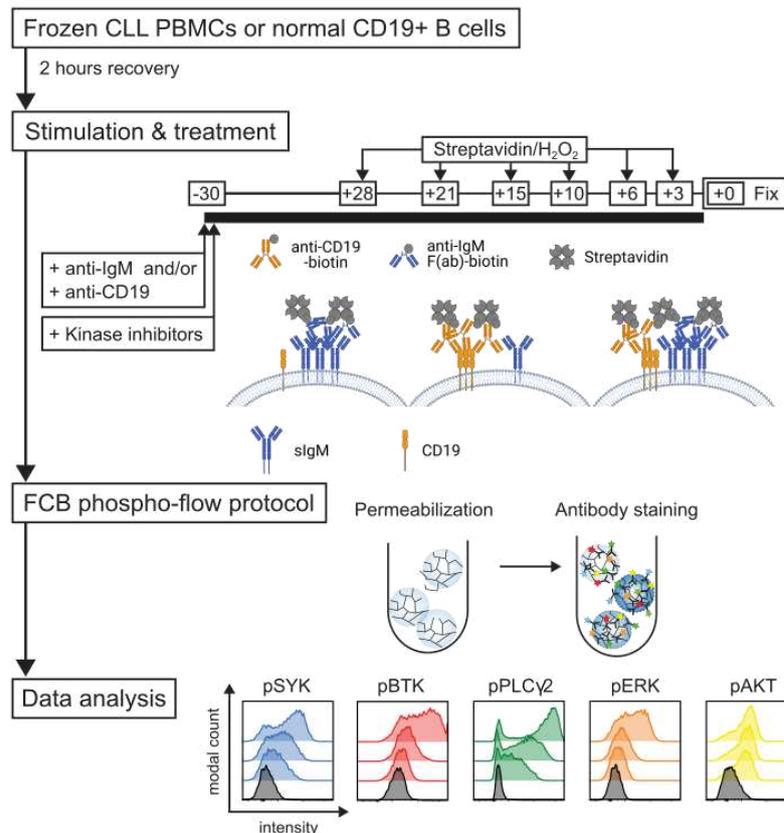


Figure 2 Preparation, measurement and analysis of CLL and B-cells, modified after Wolf, Maus, Persicke et al. 2022¹⁰.

3.2.3 Inositol phosphate assay

Cells were harvested and lysed according to the manufacturer's instructions. The incubation and reading plates were prepared accordingly. In short, cells were harvested by centrifugation and mixed and incubated with cold 0.5 M TCA. The collected pellet was further processed at room temperature and washed with 5% TCA, 1 mM EDTA buffer. The lipids in the lysate were separated with methanol (MeOH): chloroform (CHCl₃) [2:1] (neutral lipids), and with MeOH:CHCl₃: 12 N HCl (80:40:1; acidic lipids). The acidic lipids were phase separated with CHCl₃ and 0.1 N HCl, and dried in a vacuum dryer. For detection, the extracted phosphatidyl inositol (PI)-derivates were brought into aqueous solution and incubated with the detector protein. Once incubated with the detector protein, the samples were transferred to the respectively PIP₃, PI(3,4)P₂, or PI(4,5)P₂ coated plate. The signal was measured using a plate reader at 450 nm.

3.2.4 Flow Cytometry analysis

Single-cell suspensions were treated with equal amounts of pre-warmed 4% paraformaldehyde solution (PFA) to reach a final concentration of 2% PFA. The PFA was pre-warmed to 37 °C just before application. After centrifugation at 300 g, cells were resuspended in PBS and washed twice. The cells were then permeabilised with -20 °C-cold methanol (MeOH) for 30 min in the dark. The MeOH was removed by centrifugation and cells washed in FACS buffer. The immunostaining with antibodies against cytosolic and cell surface proteins (Table 3) was applied in FACS buffer containing 0.1% sodium azide (NaN₃).

3.2.4.1 Data acquisition and analysis

The cells' fluorescence levels were assessed using the BD LSRFortessa™ (BD Biosciences) flow cytometer with the running and pre-analysis software FACSDiva™. The full data analysis was done using the FlowJo X 10.0.7 software (FlowJo). Single staining samples were used to compensate fluorophore spectral overlaps. The spectral overlap compensation and sample analysis was controlled with fluorescence minus one (FMO) controls.

3.2.5 Flow assay

To elucidate the mechanisms involved in CLL and B-cell adhesion, I optimised an adhesion and shear stress assay to mimic the physiological conditions of the lymphoid tissue. In this assay, a layer of stromal cells was seeded into a microscopy slide chamber (μ slide) and left to adhere for 24 h. The inside of the chamber was coated with collagen or cell culture-optimised agents (ibiTreat, ibidi GmbH, Martinsried) to facilitate adherence. This slide was connected to tubing of defined length and diameter. The tubing was itself connected to two reservoirs filled with cell culture medium (RPMI with 10% FCS and 10 mM HEPES). By applying defined pressure, alternating to one or the other reservoir, and alternating opening and closing of valves, steady and controlled shear stress was applied to the layer of stromal cells. Lymphocytes were injected into the system through an injection port leading onto the layer of stromal cells in the channel slide. After an initial adhesion phase of 30 min, increasing shear stress was applied over time and during constant microscopy imaging. Cell adhesion was measured as a function of image area occupied by

lymphocytes and normalised to the initial area occupied before either drug administration or start of shear stress. Two of these systems could run in parallel imaging. Images were exported to subsequent data analysis.

3.2.5.1 Staining and cell preparation

Primary CLL cells, healthy B-cells or immortal cells were stained with PKH26 red or PKH26 green according to manufacturer's instructions. In short, thawed or fresh cells were washed in PBS, centrifuged at 400 x g and washed in diluent (Diluent C, Sigma Aldrich) before resuspension in diluent. The resuspended cells were quickly mixed with equal amounts of 2x staining solution (4×10^{-6} M). The staining procedure was stopped with equal amounts of FCS after 2 min. Before insertion into the flow chamber, cells were centrifuged and resuspended in RPMI with 10% FCS media. The cell suspension was injected into the system with syringes through injection ports directly upstream of the flow chamber.

3.2.5.2 Microscopy and data acquisition

Microscopy images were taken using the Cell Observer[®] microscope (Zeiss). The microscope was built into a heating chamber with CO₂ supply. Two hours prior to image acquisition, the heating chamber was warmed up to 37 °C. CO₂ supply was activated to 5% at the inlet right before image acquisition. For image acquisition, two chamber slides were mounted onto a plate-holder and 10 parallel positions were selected for imaging in each slide. Images were taken every 5 min.

3.2.6 Statistical analysis

Statistical tests were calculated using the SigmaPlot software (Systat Software). The Mann-Whitney U test was applied to determine the significance of the differences between two groups, and one-way analysis of variance (ANOVA) was used for more than two groups. In the case of flow assay microscopy image quantifications, a linear function was calculated for each shear stress level and only corresponding levels compared as two groups. For all statistical analyses, the confidence interval was set to 95% (p-value <0.05) below which the null hypothesis could be rejected.

4 Results

4.1 BCR stimulation causes long-term changes in downstream factor phosphorylation

Both CLL cells and PB B-cells respond to BCR stimulation. The BCR stimulation dynamics vary largely between B-cells and the IGHV-classified subgroups of CLL cells^{10,248,249}. To understand the mechanisms leading to the phenotypical changes between anergic and activated CLL cells, healthy B-cells, M-CLL and U-CLL cells were subjected to anti-IgM, anti-CD19 and/or H₂O₂ treatment. The level of activation was measured by phospho-flow²⁵⁰ of BCR downstream effectors SYK, AKT, BTK, PLCy2, and ERK1/2. Additionally, SHIP1 and PTEN expression levels were measured, thereby complementing the measurements of BCR signalling upon stimulation^{248,249}.

Stimulation effects were tested in B-cell-derived cell lines including malignant origins JEKO-1, PGA-1, MEC1, and LCL-WEI. The phosphorylation levels of BTK and AKT represented BCR downstream pathway activation^{10,121–123}. Phosphorylation levels were assessed by phospho-flow cytometry (Figure 3A). Considering cell lines as representatives of single biological samples, the statistical power of cell line-derived signalling measurements was limited but subpopulations of stimulated cells showed a visible shift in phospho-BTK and phospho-AKT levels (Figure 3A-C). Throughout all tested cell lines, AKT phosphorylation after 10 min of H₂O₂-stimulation compared to unstimulated control was not significant ($p=0.1951$), while BTK phosphorylation was significantly different ($p=0.0387$).

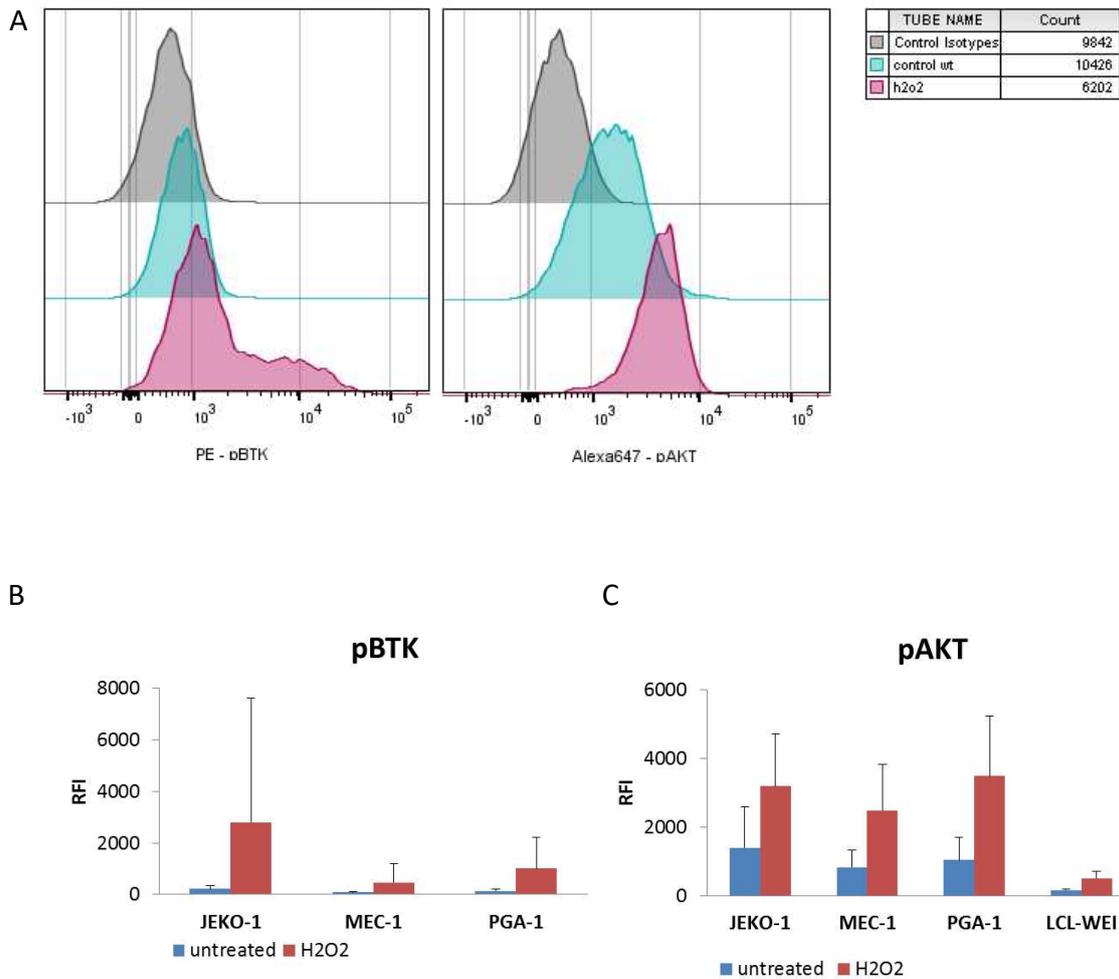


Figure 3 Intracellular levels of phospho-BTK and phospho-AKT. (A) Representative flow cytometry analysis of pBTK and pAKT in JEKO-1 cells; (B) pBTK and (C) pAKT levels in malignant or lymphoid B-cell-derived cell lines.

When mapping the levels of SHIP1, PTEN, phospho-BTK, phospho-S6, phospho-ERK1/2, and phospho-PLC γ 2 across several time points after stimulation (0 min, 3 min, 6 min, 15 min, 28 min, 120 min, 360 min) in a t-distributed stochastic neighbor embedding (tSNE), thus reducing these factors to two dimensions, the cell populations clustered into subgroups with similar phosphorylation and expressions patterns, respectively. Cluster analysis based on single contributor comparisons revealed that low SHIP1 expression was found in these cell subpopulations that showed the highest increase in BTK and PLC γ 2 phosphorylation. PTEN levels were less variable between subgroups and did not show correlations to stimulation-dependent phosphorylation levels (Figure 4).

As phosphorylation levels upon stimulation had previously been assessed only in time points up to 28 min¹⁰, the question arose whether stimulation has a longer effect on the BCR signalling

landscape. Thus, I determined BCR signalling pathway activation levels in primary CLL cells up to 360 min after H₂O₂ treatment. The phosphorylation levels of AKT, BTK, ERK1/2, PLCy2, and SYK were determined at time points of 3 min, 6 min, 10 min, 15 min, 21 min, 28 min, 120 min, and 360 min in M-CLL patient samples. Four distinct stimulation patterns were applied and normalised to unstimulated control. AKT, after an initial peak in phosphorylation levels, showed decreased phosphorylation levels six hours after stimulation. While, as reported¹⁰, initial phosphorylation patterns of BTK, ERK1/2, PLCy2, and SYK were similar though timely distinct, long-term phosphorylation levels differed whether increased or decreased compared to the pre-stimulation levels. BTK showed low but increased, ERK1/2 continuously higher phosphorylation levels six hours after stimulation, whereas PLCy2 phosphorylation levels returned to the pre-stimulation levels. SYK phosphorylation levels were seen to depend on stimulation condition, with H₂O₂ only leading to a decrease in long-term phosphorylation in contrast to anti-IgM and anti-CD19-assisted stimulation with H₂O₂ that maintained the phosphorylation levels on higher levels (

Figure 5).

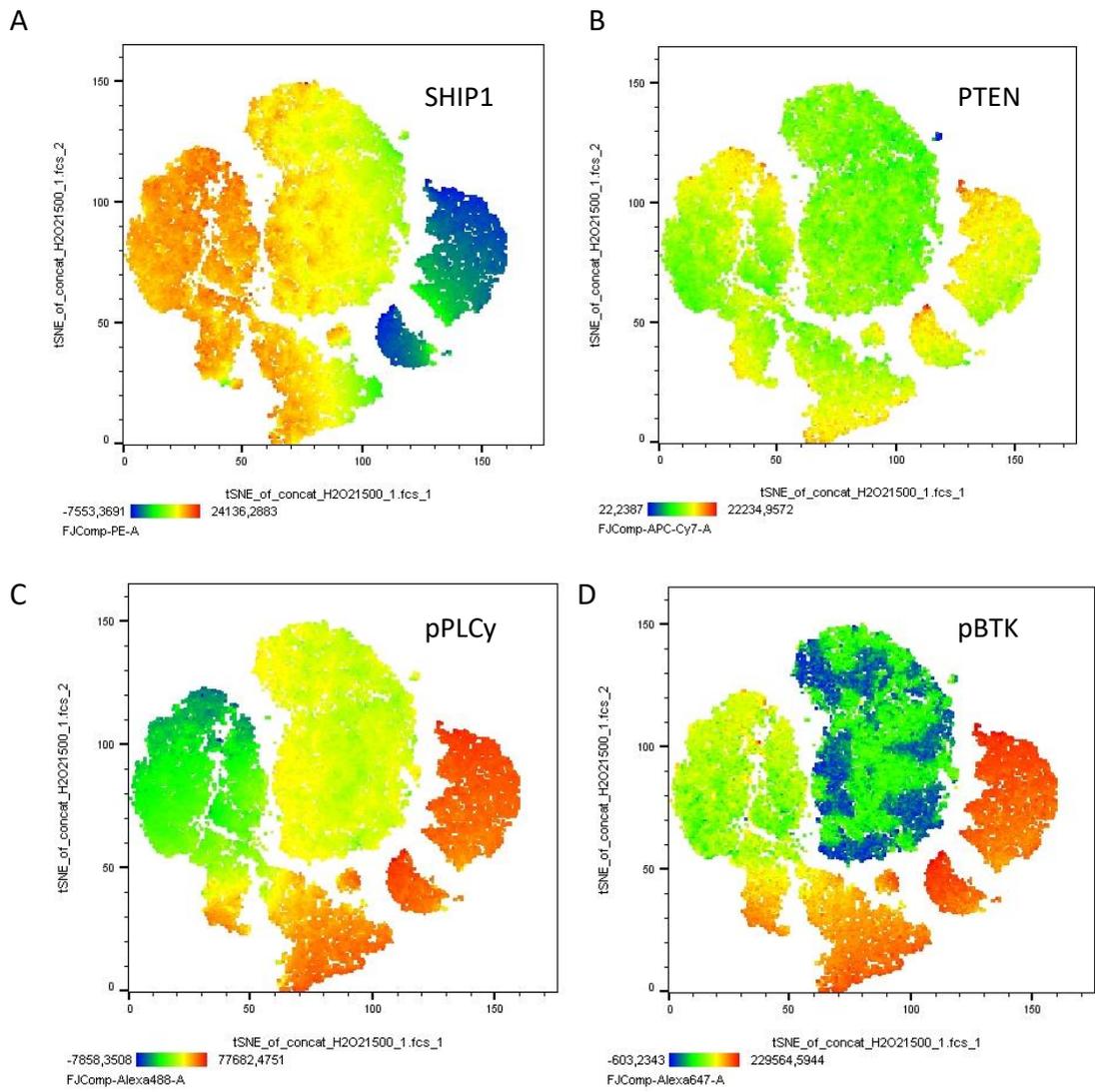


Figure 4 Expression and phosphorylation levels of BCR signalling pathway factors. (A) SHIP1; (B) PTEN; (C) phospho-PLCy; and (D) phospho-BTK levels indicated in tSNE of cell populations after H₂O₂ stimulation.

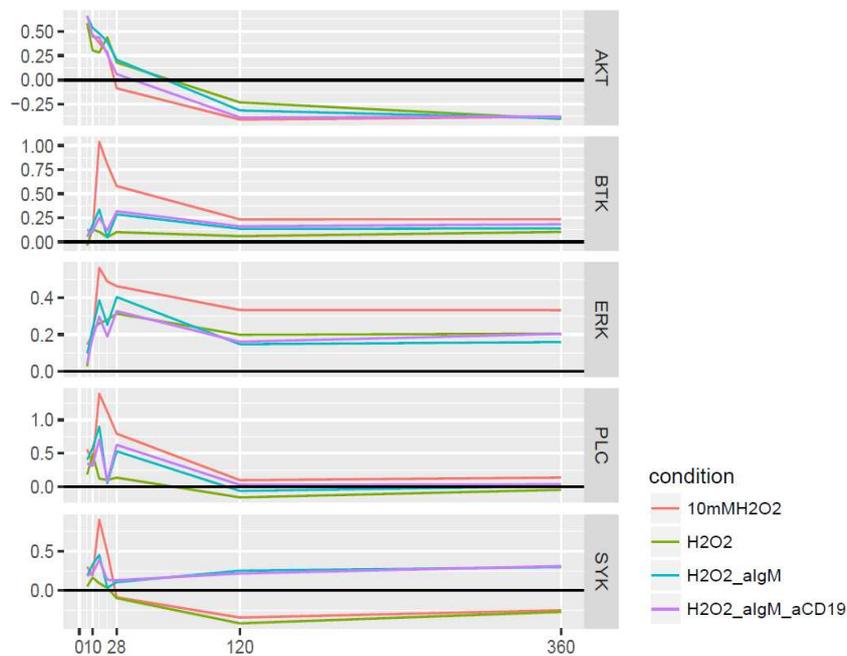


Figure 5 Average phosphorylation levels of BCR signaling pathway components AKT, BTK, ERK1/2, PLCy2, and SYK under different stimulation conditions, normalised to the unstimulated control, joint data analysis with Carsten Maus.

4.2 BCR signalling is controlled by phosphatases

After observing that signaling intensities correlated with H₂O₂ levels (

Figure 5), the activity of the BCR pathway was sought to be validated. As one of the upstream events in BCR signaling, PI3K is activated upon BCR and CD19 clustering²⁵¹. PI3K phosphorylates PI(4,5)P₂ into PIP₃, the key messenger molecule of the BCR pathway. PTEN is the natural antagonist of PI3K signaling by reversing the phosphorylation from PIP₃ to PI(4,5)P₂, while SHIP1 dephosphorylates PIP₃ at position 5, producing PI(3,4)P₂. PI(3,4)P₂ was reported to have crucial messenger functions in the AKT signaling pathway¹⁷⁷. To quantitatively measure cellular levels of these messenger molecules, ELISAs were performed. PI(4,5)P₂ is the most abundant PI in cells^{252,253} despite subcellular, local membrane cluster concentration differences¹⁵⁰. PI(4,5)P₂ was thus aimed to be measured as a normalisation control. As PI(4,5)P₂ is an abundant, highly conserved metabolite within the animal kingdom, the specificity of the currently available antibodies is insufficient and tests on defined PI(4,5)P₂ concentrations (standard curve) failed to deliver signals over the required detection range (Figure 6A). PI(3,4)P₂ and PIP₃ could be measured between 1 pmol and 1000 pmol and between 0.01 pmol to 1000 pmol, respectively (Figure 6B,C). As normalization to PI(4,5)P₂ was impossible, samples were normalized to the initial cell number. Interestingly, H₂O₂ stimulation alone did not result in the detection of PIP₃ in HG3 cells at any

measured time point and did not significantly elevate PI(3,4)P₂ levels. Anti-IgM/anti-CD19-assisted stimulation did result in elevated PI(3,4)P₂ (Figure 7A) and PIP₃ (Figure 7B) levels as soon as five minutes after stimulation initiation. Thus, the combined stimulation of H₂O₂ and anti-IgM/anti-CD19 was able to activate BCR signaling the CLL-derived cell lines.

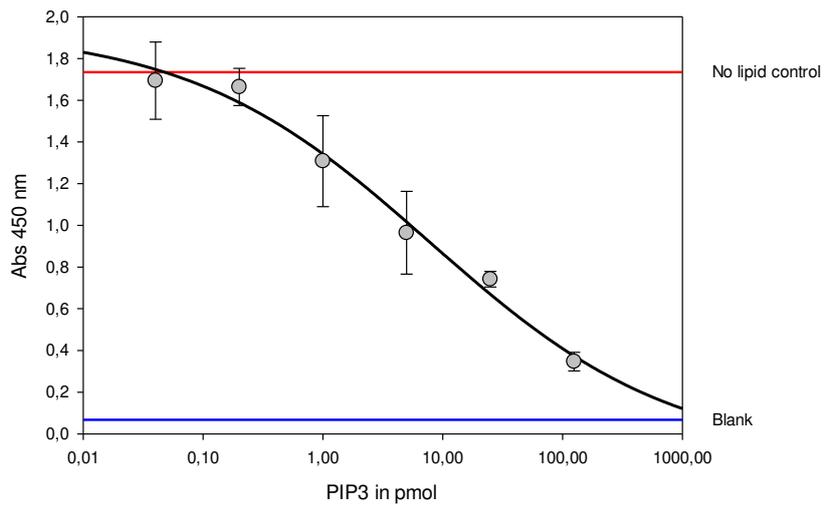
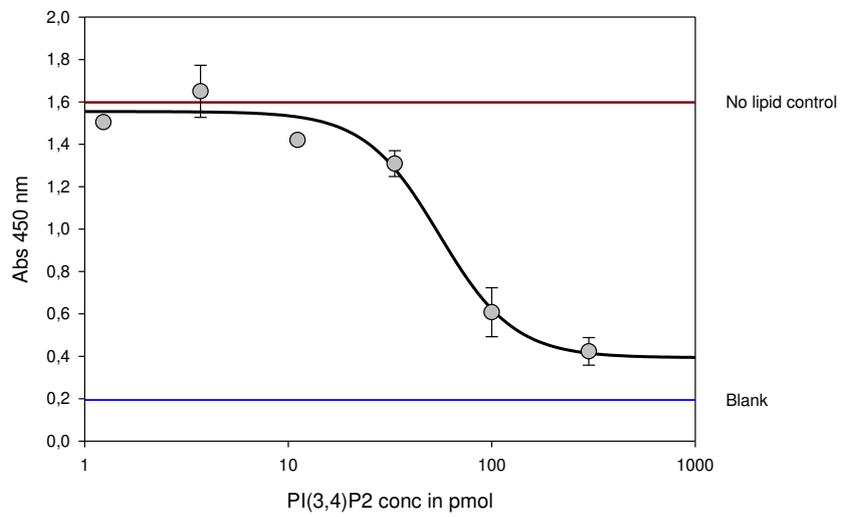
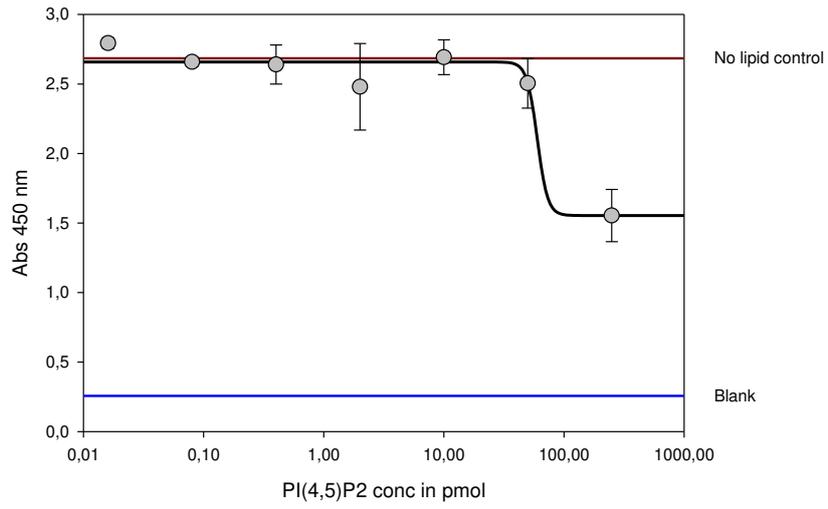


Figure 6 Assay validation for the detection of PI(3,4)P₂ (top), PI(4,5)P₂ (centre), and PIP₃ (bottom).

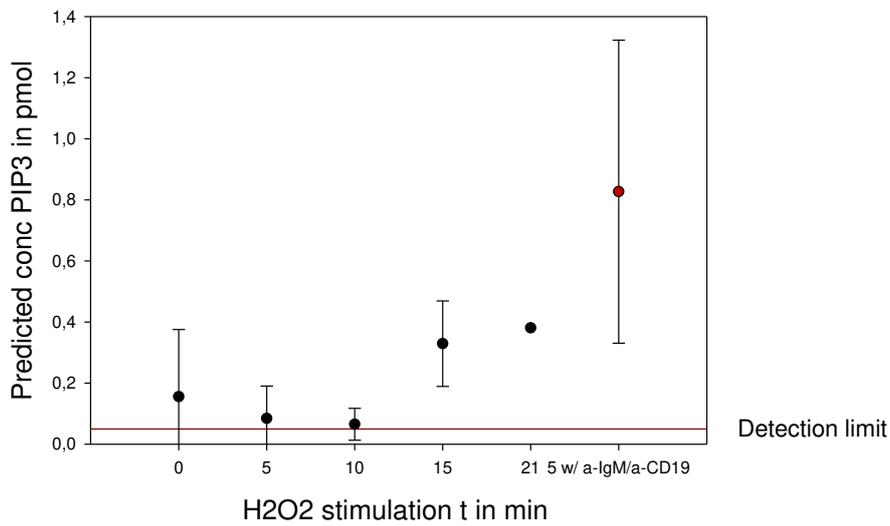
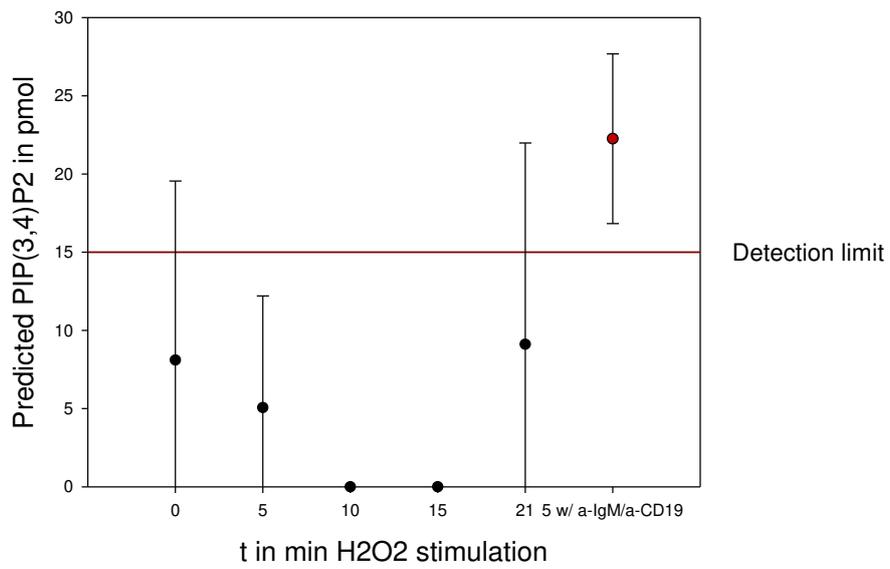


Figure 7 PI(3,4)P₂ (top) and PIP₃ (bottom) levels in HG3 cells after H₂O₂ stimulation alone or in combination with anti-IgM and anti-CD19 at indicated time points.

4.2.1 Minor correlation found between phosphatase expression and regulation of targeting miRNAs in CLL

First, transcriptional and translational dysregulation of phosphatases were investigated. MiRNA target site prediction²⁵⁴ revealed 16 miRNAs with conserved target motifs inside the PTEN transcript while only one was detected for the major INPP5D (SHIP1) transcript isoform. Based on previous publications and RNAseq data availability^{163,255,256}, a selection of miRNAs for expression analysis in CLL was made. The correlation between the expression of these miRNAs with the expression of phosphatases INPP5D (SHIP1), PTEN, PTPN6 (SHP1) or PTPN11 (SHP2) were determined (Figure 8A-D). Only one passenger miRNA showed significant negative correlation to the target phosphatase guide strand, miR-186* to PTPN11 (SHP2). Despite miR-155 being frequently reported to drive cancer and found to be responsible for SHIP1 downregulation²⁵⁷⁻²⁵⁹, the RNAseq data of 56 CLL patients did not reveal a negative correlation. MiR-155 expression in this patient cohort was highly homogeneous (Figure 8E) while SHIP1 expression followed normal distribution (Figure 8F).

Secondly, the correlation between SHIP1 and PTEN expression was analysed. No significant co-expression patterns were found using microarray data (data provided by Johannes Bloehdorn, University Hospital Ulm). The microarray data indicated that PTEN and SHIP1 are not co-controlled on transcriptional level.

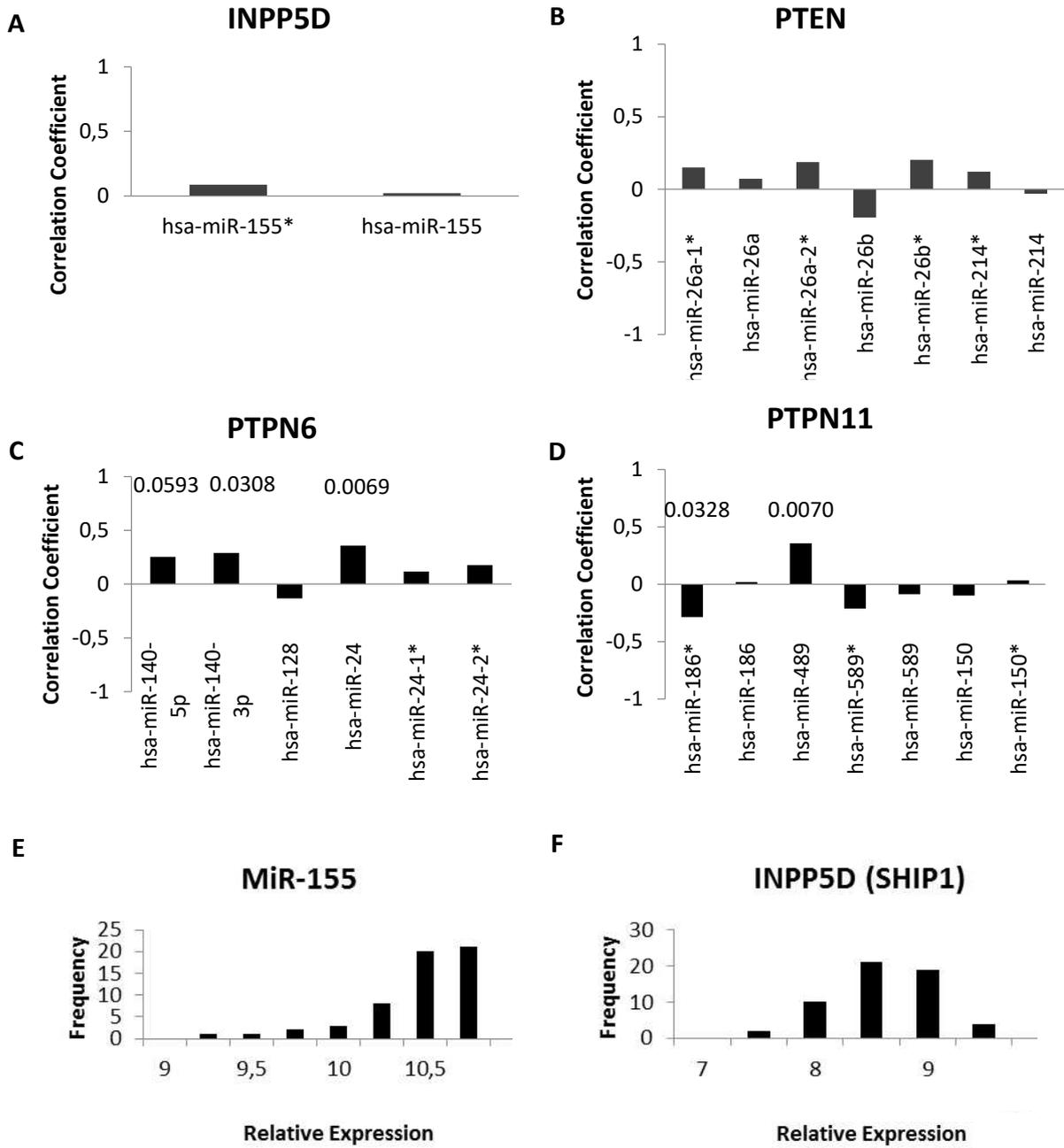


Figure 8 Phosphatases in the BCR signaling pathway and their predicted and reported targeting miRNAs. (A) INPP5D is the coding gene for SHIP1, the transcript is targeted by miR-155; (B) PTEN-targeting, (C) PTPN6 or SHP1-targeting, and (D) PTPN11 or SHP2-targeting miRNAs expression; (E) distribution of miR-155 expression among CLL patients, only CLL cells; (F) distribution of INPP5D transcript levels among CLL patients, only CLL cells.

4.2.2 SHIP1 and PTEN regulate BCR signalling in distinct patterns

As SHIP1 in contrast to PTEN is not directly inhibited by H₂O₂ treatment^{260–262}, I sought to determine the additional effect of the knock-down of the respective phosphatases on key signalling components. First, I discovered that SHIP1 levels differed between CLL- and other B-cell-derived cell lines while PTEN levels appeared to be consistent (Figure 9A). The knock-down through siRNA electroporation was successful but could not eliminate PTEN levels completely (Figure 9B). Interestingly, the knock-down of PTEN resulted in reduced electroporation-induced apoptosis compared to PBS-electroporated control (Figure 9C,D). Measuring phospho-AKT and phospho-PLC γ 2 levels before and after the beforementioned H₂O₂-assisted stimulation in cells with SHIP1 or PTEN or no knock-down, I determined the impact of these phosphatases on stimulation-dependent BCR signalling. Treatment of cells with the PI3K inhibitor idelalisib resulted in decreased AKT and PLC γ 2 phosphorylation upon stimulation and served as control. The knock-down of SHIP1 could increase stimulation-induced BCR signalling consistently while PTEN knock-down had no significant effect on phosphorylation levels (Figure 9E,F).

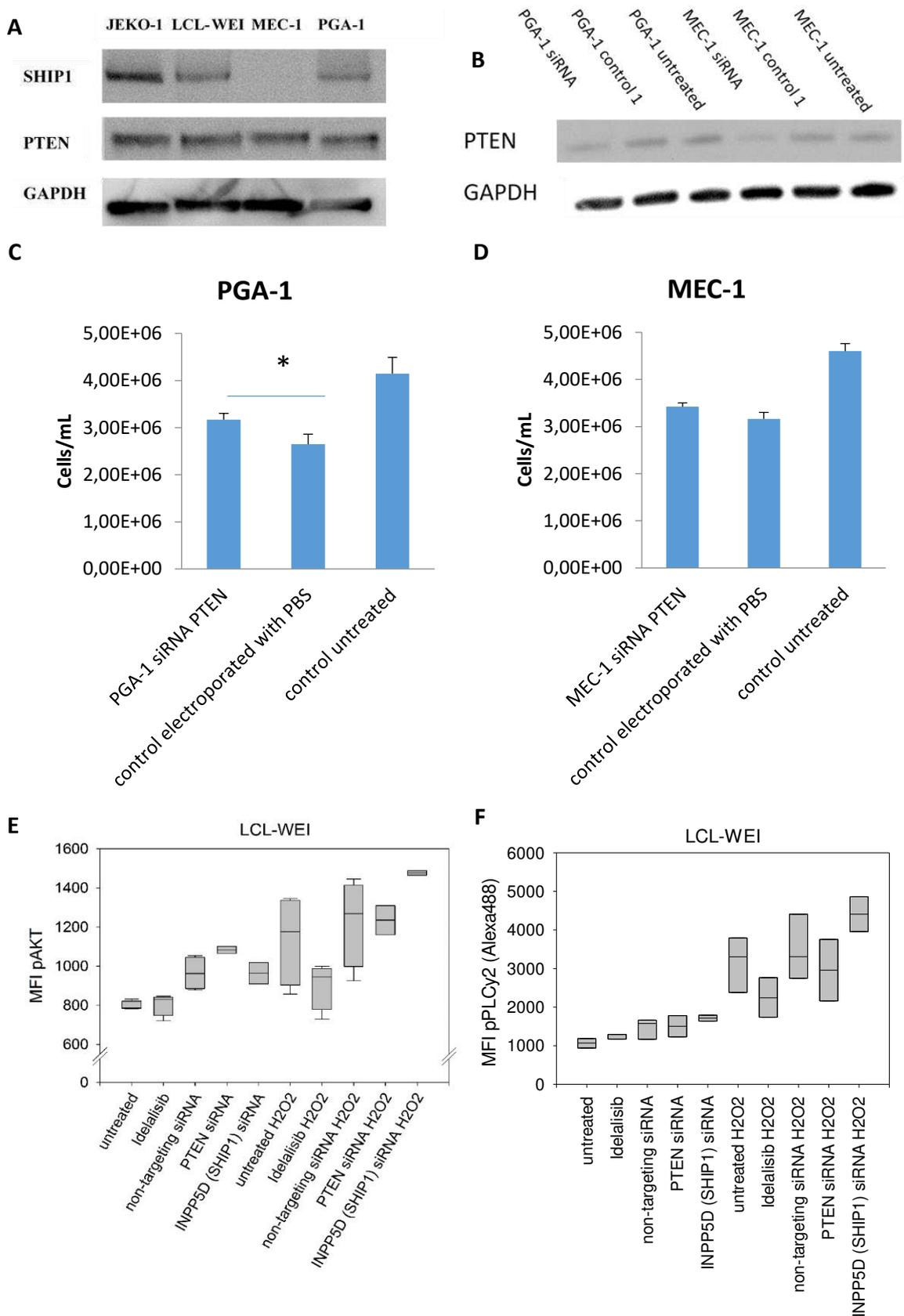


Figure 9 SiRNA knock-down of PTEN and SHIP1. (A) B cell-/CLL-derived cell lines exhibit different levels of endogenous SHIP1 while PTEN levels did not differ between cell lines (representative image); (B) siRNA could reduce but not eliminate PTEN protein levels; (C) PGA-1 and (D) MEC-1) CLL cell line viability after PTEN knock-down; (E) MFI Alexa647 pAKT and (F) MFI Alexa488-pPLCy2 in LCL-WEI cells.

During the last two decades, SHIP1 came into the focus as both tumour suppressor and oncogene²⁶³. The research focus has led to the screening for potential inhibitors¹⁷⁹ and activators^{147,148,264,265}. The inhibitor 3AC¹⁷⁹ and the agonist AQX-MN100¹⁸⁴ are well characterised for the use in experimental practice. AQX-MN100 was reported to reduce CLL cell viability. In the CLL cell line HG3 the reduction of cell viability could be observed at higher concentrations (Figure 10A). Strikingly, AQX-MN100 was able to reduce phosphorylation levels upon stimulation of several BCR components that were found independent in the BCR signalling model¹⁰. The inhibition of SHIP1 activity by 3AC led to dose-dependent increased phosphorylation of AKT and PLCy2. When 3AC was combined with idelalisib, the increase in AKT and PLCy2 phosphorylation was nearly abolished. The abolished phosphorylation increase indicated that the SHIP1 inhibition effects were dependent on the PI3K pathway activation. The combination of AQX-MN100 and idelalisib reduced the AKT and PLCy2 phosphorylation levels to the levels of the unstimulated normalisation control (Figure 10B).

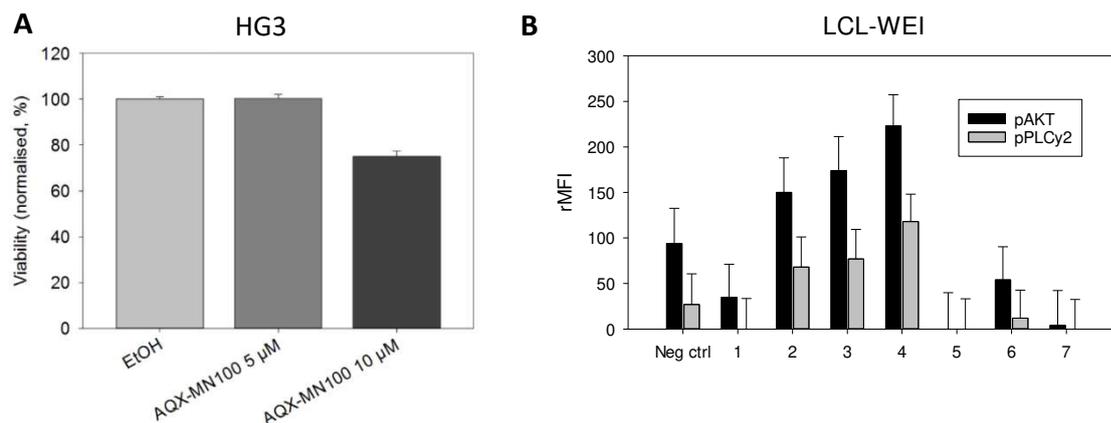


Figure 10 SHIP1 activator and inhibitor treatment, (A) Viability measured by 7-AAD and Annexin V staining in flow cytometry, (B) relative MFIs antibodies against of phospho-AKT and phospho-PLCy2 under different activator and inhibitor treatments: neg ctrl: 0.3% EtOH; 1 – 30 μ M AQX-MN100; 2 – 1 μ M 3AC; 3 – 5 μ M 3AC; 4 – 10 μ M 3AC; 5 – 10 μ M AQX-MN100 + 1 μ M idelalisib; 6 – 5 μ M 3AC + 1 μ M idelalisib; 7 – 1 μ M idelalisib.

4.3 CLL cells adhere to stromal cells under shear stress conditions

The BM and lymphoid tissue protect CLL cells from apoptosis and promote CLL proliferation²⁶⁶. Whether CLL proliferation is partially driven by leukemic stem cells or B-cell malignancies generally consist of uniform, mature clones is yet under debate. To shed light onto the re-circulating capacity of CLL cells, the ability of PB CLL cells to adhere to stromal cells or coated surfaces under physiological shear stress conditions was tested. Physiological shear stress conditions in a practical

experimental set-up were reached by modifying an available pump system^{267,268}. In this assay, a layer of stromal cells was seeded into a microscopy slide chamber. This slide was connected to tubing of defined length and diameter. PKH26-stained lymphocytes were injected directly onto the layer of stromal cells in the channel slide. After an initial adhesion phase, increasing shear stress was applied over time and during constant microscopy imaging. Cell adhesion was measured as a function of image area occupied by lymphocytes and normalised to the initial area occupied before either drug administration or start of shear stress. Two of these systems could run in parallel imaging. Despite its low throughput character, subsequent image analysis was performed on thousands of time-lapse images delivering high content data and allowed downstream assays to distinguish between adherent and non-adherent cell populations. In the system, previously stained stromal cell line HS-5 or human mesenchymal stem cells (hMSCs) were seeded into microscopy channel slides (μ -slides). After adherence and approximately 50% confluence, differently stained CLL cells were injected into the channel. CLL cells were allowed to adhere and located in close proximity to HS-5 cells or hMSCs (Figure 11A). The adhesion of CLL cells to different standard cell culture coatings was tested in comparison to the adhesion onto stromal cells. Similar as all other tested coatings, standard cell culture coating (ibiTreat[®]) resulted in very low attachment of CLL cells under shear stress. CLL cells covered an almost 10-fold larger area on stromal cells seeded on ibiTreat, compared to the coated, stromal cell-free control (Figure 11B). Thus, a fraction of PB CLL cells had the ability to adhere onto stromal cells while the standard coating of adherent cell culture did not result in CLL cell retention or re-adherence. The proximity and the effect of the shear stress on stromal and CLL cells was assessed using independent cell stainings (Figure 11C,D).

The small size (250 mm²) of the channel slides and the technical set-up challenged downstream approaches with low cell numbers after detachment from the channel slide. An optimised flow cytometry protocol allowed to compare adherent from non-adherent fractions. Strikingly, in the CLL cell line HG3, the adherent cell fraction was composed of significantly fewer SHIP1+ cells than the non-adherent fraction. This difference increased over time under shear stress. Thus, only SHIP1 low or negative cells were able to remain attached to stromal cells under long and high exposure to shear stress (Figure 11E). While this observation was not significant in primary CLL samples, the paired comparisons showed a tendency of samples with larger SHIP1+ fractions to split into SHIP1+ non-adherent and SHIP1- adherent populations (Figure 11F). The adherent, non-adherent and not shear stress-challenged cell populations were further observed to express different levels of PTEN. Strikingly, the adherent cell population expressed the highest PTEN levels. PTEN levels were lowest in the non-adherent CLL cells. This difference that adherent CLL cells

express higher PTEN levels was also observed when the BCR signalling was inhibited by the PI3K inhibitor idelalisib (Figure 12). To summarise, the two phosphatases PTEN and SHIP1 showed reciprocal expression patterns in adherent versus non-adherent fractions of CLL cells that were previously extracted from PB.

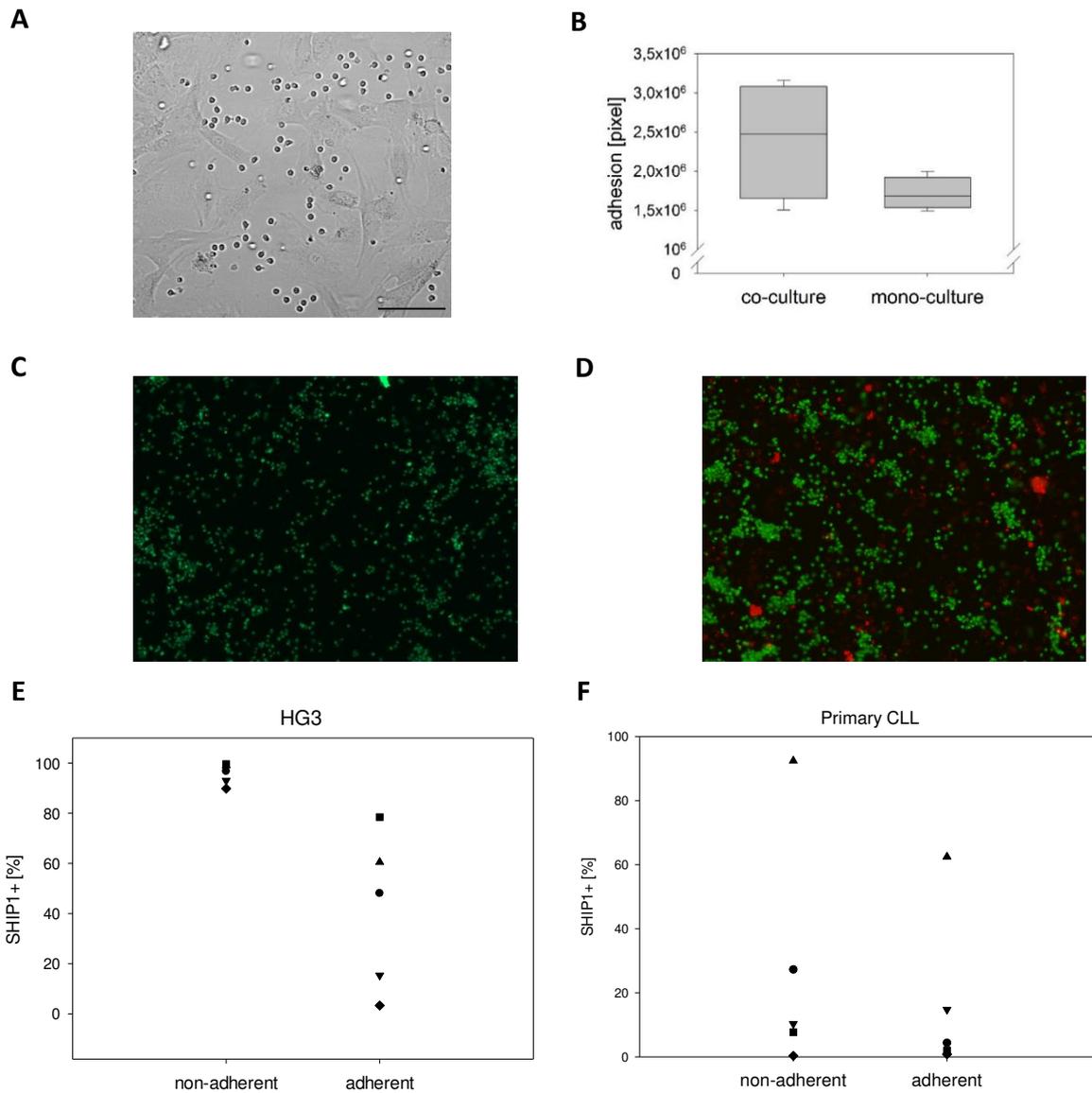


Figure 11 CLL cell adhesion under shear stress; (A) CLL cells co-localise with MSCs and remain attached under flow conditions; (B) CLL cell attachment compared between co-culture with HS-5 cells and mono-culture; (C) staining of CLL cells for quantification; (D) merge with HS-5 cell staining.

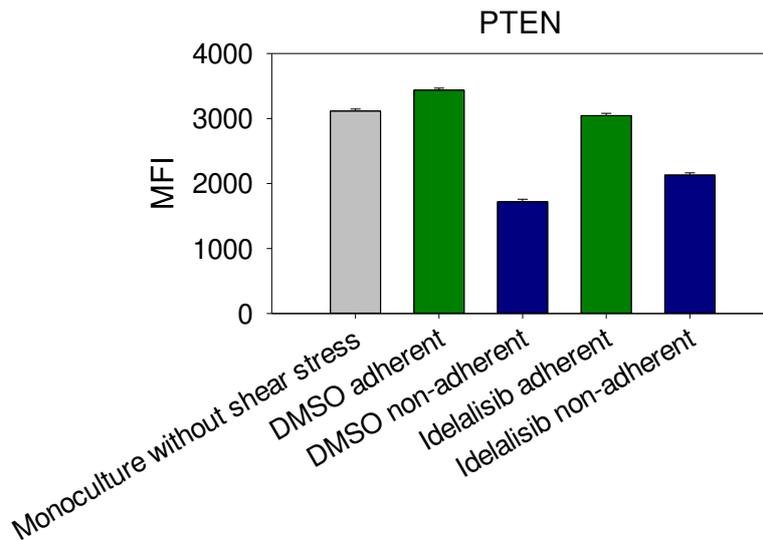


Figure 12 PTEN levels in CLL populations under flow.

4.4 The BCR signalling is associated to CLL cell adhesion onto stromal cells

To elucidate the mechanisms involved in CLL adhesion, the adherent and non-adherent fractions of HG-3 cells after six hours of increasing shear stress were separated and stained for phospho-flow of SYK, AKT and BTK. The phosphorylation levels of SYK, AKT and BTK were increased in the adherent fraction compared to the non-adherent fraction (Figure 13A). Thus, adherent CLL cells displayed a higher level of BCR activation. Next, primary CLL cells were pre-treated with anti-IgM antibodies to stimulate BCR signalling and compared to solvent control (Figure 13B,C). Interestingly, a larger M-CLL cell fraction adhered to stromal cells under shear stress above 0.15 dyn/cm² when pre-treated with a-IgM (Figure 13B). In contrast, U-CLL cells did not differ in the number of cells adherent to stromal cells when treated with a-IgM (Figure 13C). During no shear stress or shear stress below 0.15 dyn/cm², more CLL cells of both U-CLL and M-CLL (re-)adhered to stromal cells than detached from stromal cells. This observation was independent of the a-IgM treatment (Figure 13). U-CLL and M-CLL also differed in the adhesion response to PI3K inhibition. To test the impact of the PI3K inhibitor idelalisib on CLL adhesion, CLL cells were injected without prior inhibitor treatment into the pump system. After 20 min of low shear stress, idelalisib or solvent control was injected into the flow media. For U-CLL cells, PI3K treatment resulted in a minor reduction in adherent cell numbers (Figure 13D).

Similar to PI3K inhibition, BTK inhibition by ibrutinib resulted in a reduction of adherent cells in M-CLL (Figure 14A) but caused almost no additional loss of adherence under shear stress in U-CLL (Figure 14B). The effect of ibrutinib was observable within 20 min after drug application when

0.2 dyn/cm² shear stress was applied. When high shear stress of 0.8 dyn/cm² was applied, more cells lost adhesion but a fraction of CLL cells remained attached to stromal cells (Figure 14A,B). The effect of ibrutinib on CLL adhesion was stronger when the cells were incubated with ibrutinib before shear stress was applied but after initial co-culture incubation (Figure 14C). To confirm the reproducibility of observations within and between individual experiments, the correlation of replicates was determined and compared. Most samples and most technical replicates showed a strong correlation in the time-resolved change of adherent cell numbers. The correlation between technical and biological replicates proved the reproducibility of measurements inside the individual channel slides and between different channel slides (Figure 14D).

SHIP1 is an antagonist of PI3K¹⁷⁵. Inhibitors and activators of SHIP1 have entered clinical research that also includes B-cell malignancies^{179,181,264}. To test the role of SHIP1 activity in CLL cell adhesion, the SHIP1 inhibitor 3AC and the SHIP1 agonist AQX-MN100 were tested in the adhesion assay. The inhibition of SHIP1 by 3AC led to no loss of adherence under shear stress below 0.2 dyn/cm² and an increased retention of CLL cells on stromal cells under higher shear stress levels (Figure 15A). The activation of SHIP1 activity by AQX-MN100 reduced the number of CLL cells adherent under shear stress. The loss of adherence was observed from the lowest shear stress of 0.1 dyn/cm² and became more pronounced with 0.15 dyn/cm² and 0.2 dyn/cm² while higher shear stress did not increase the difference in cellular adhesion between treated and untreated samples. After more than 24 h of high shear stress, less than 10% of initially attached AQX-MN100-treated CLL cells remained bound to the stromal cells while more than 10% remained attached in the solvent control. Both control and AQX-MN100 treated conditions led to a continuous loss of adhesion under high shear stress without detaching all CLL cells in the measured time range. The reduced cell numbers were also observed in U-CLL samples (Figure 15B). Thus, the BCR in general and SHIP1 in particular impact the ability of CLL cells to adhere onto cells of their microenvironment.

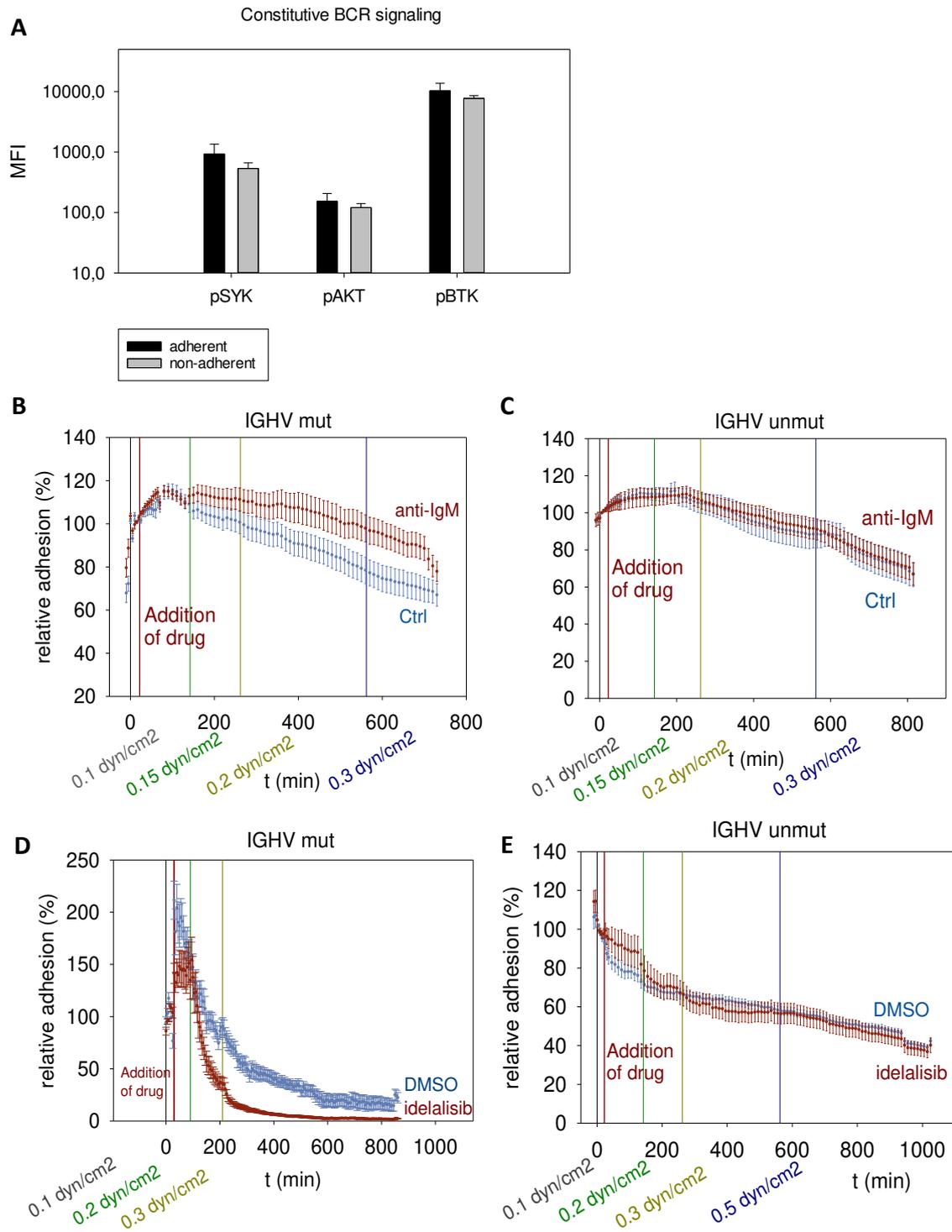


Figure 13 BCR signalling components affect CLL cell adherence capacity; (A) phosphorylation levels of BCR components in adherent and non-adherent fractions of CLL; (B) adherent and non-adherent CLL cells were measured for phosphorylation of BCR signalling pathway components by flow cytometry; (B) M-CLL and (C) U-CLL cells were treated with anti-IgM during shear stress application; (D) M-CLL and (E) U-CLL cells were treated with the PI3K inhibitor idelalisib; the time of the addition of drug is indicated by a red vertical line, increases in shear stress by colour-coded vertical lines.

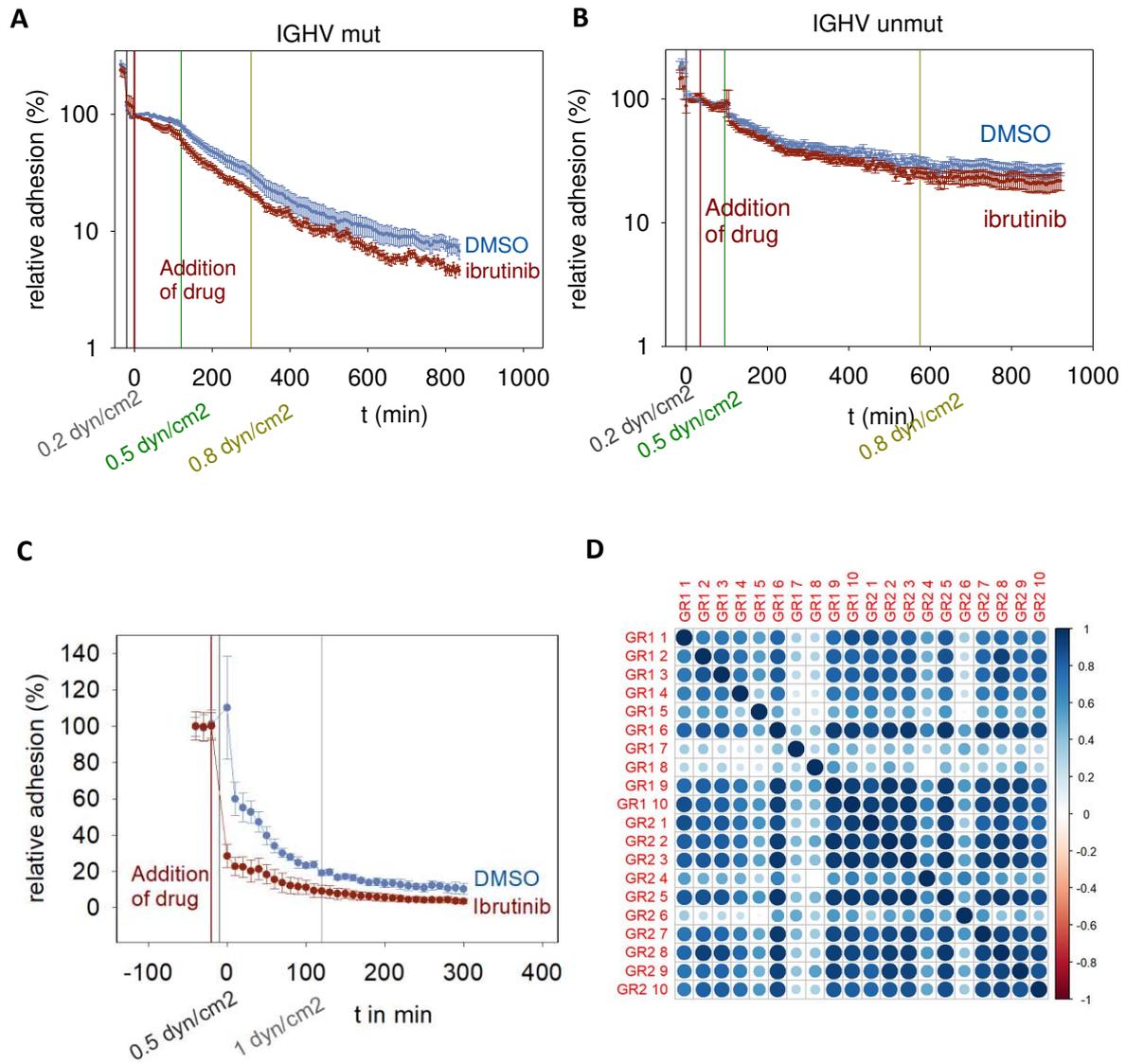


Figure 14 Validation of BCR involvement in CLL cell attachment; (A) M-CLL and (B) U-CLL cells were treated with ibrutinib during shear stress application; (C) M-CLL cells were treated with ibrutinib before start of flow; (D) intra-assay validation through correlation of technical replicates, graph D contains joint data analysis with Dominic Edelmann.

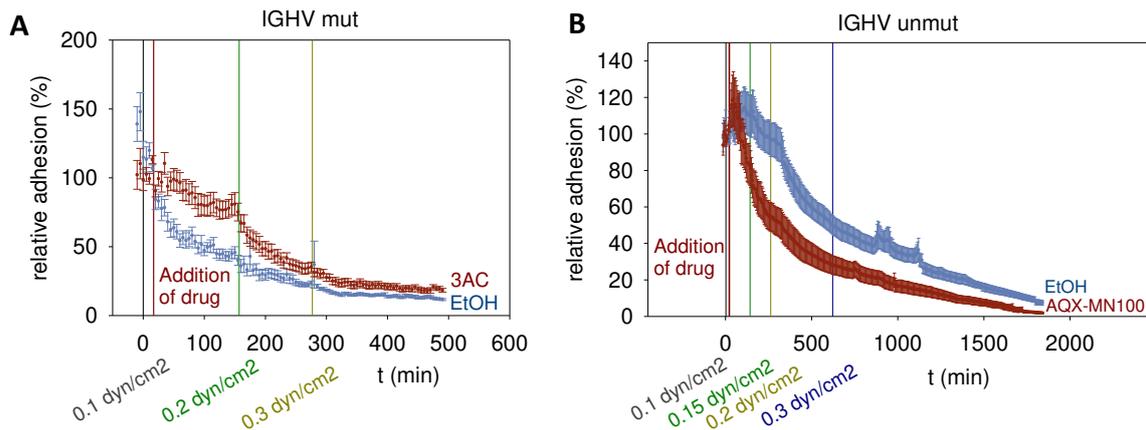


Figure 15 SHIP1 activity determines adherence of M-CLL and U-CLL cells; (A) M-CLL treated with SHIP1 inhibitor 3AC; (B) U-CLL cells treated with SHIP1 agonist.

4.5 VHL bridges BCR signalling to adhesion molecules

To complete the picture of BCR-dependent adhesion of CLL cells onto cells of their microenvironment, a set of inhibitors and blocking antibodies were used to determine the link between the intracellular signalling machinery and the surface-bound adhesion-mediating receptors, integrins or other molecules. First, the role of CXCR4 was investigated. CXCR4 was reported to mediate CLL-retention in lymphoid tissue²⁴². In the co-culture system, in the shear stress range from 0.5 dyn/cm² to 0.7 dyn/cm² (HG3; Figure 16A) or 0.1 dyn/cm² to 0.3 dyn/cm² (primary CLL cells; Figure 16B), there was no impact of CXCR4 inhibition observed (Figure 16). Among the candidates to be involved in CLL cell interaction with the lymphoid microenvironment was the vHL protein. Three knock-out cell lines were received from Michaela Reichenzeller, University Hospital of Ulm. The complete knock-out lines D3 and D5 as well as the knock-out of the long isoform only, D7. Complete (Figure 17A,B) as well as the knock-out of the long isoform (Figure 17C) reduced the adherence of CLL cells to stromal cells under shear stress. The D3 cell lines showed an increased ability to adhere onto stromal cells under low shear stress of 0.1 dyn/cm² with a similar adhesion loss under higher shear stress of 0.2 dyn/cm² compared to wildtype HG3 cells (Figure 17A). The full knock-out cell line D5 had similar adhesion capabilities as wild type under low shear stress but continued to increase cellular adhesion under higher shear stress while wild type cells lost adhesion or remained stably attached (Figure 17B). The vHL long isoform knock-out differed from wild type cells in the reduced loss of adhesion under higher shear stress (Figure 17C).

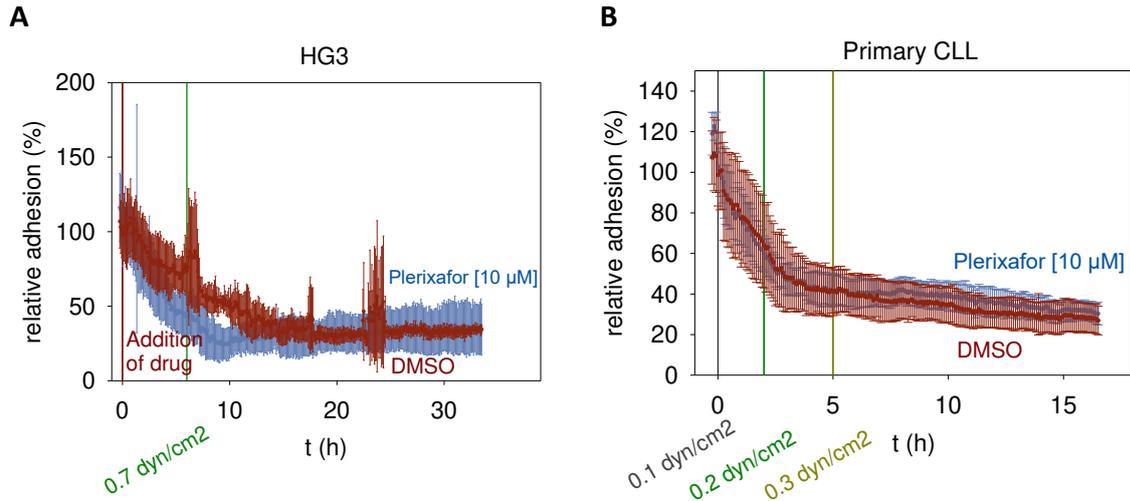


Figure 16 Plerixafor as inhibitor of CXCR4; (A) HG3 cells and (B) primary CLL cells treated with plerixafor.

All in all, the link between BCR signalling and CLL cell adhesion remains insufficiently characterised and appeared to be connected to the vHL protein, thus the HIF1a/VEGF pathway. SHIP1 plays an important role in inhibiting the adhesion of B lymphocytes to cells of the supportive microenvironment and is reduced and/or inactivated in adherent CLL cells. The established co-culture adhesion under flow assay could determine the effect of signaling factors and/or (small) molecules on CLL cell adhesion to cells of the lymphoid or BM microenvironment.

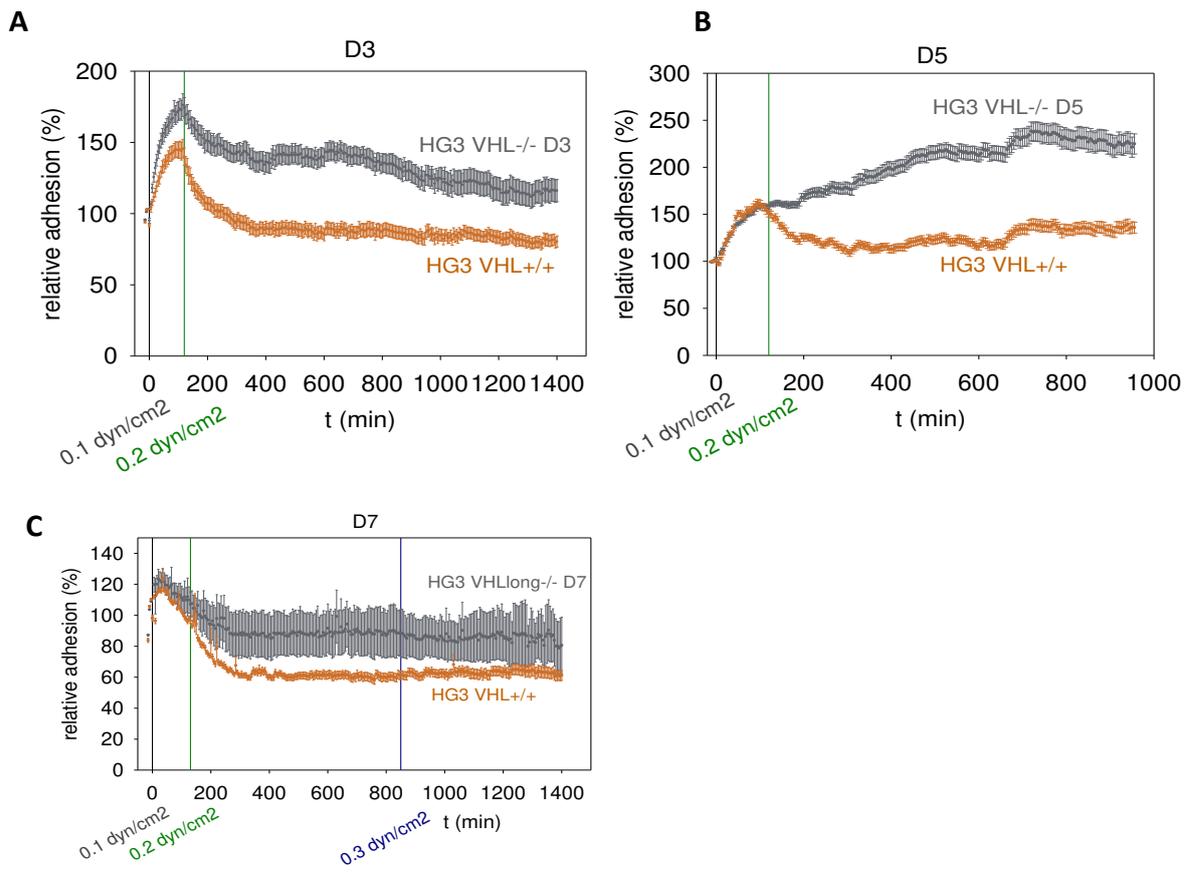


Figure 17 The impact of vHL on CLL adherence; (A) full knock-out clone D3; (B) full knock-out clone D5; (C) long isoform knock-out D7.

5 Discussion

The dysregulation of signalling pathways was early on identified as a hallmark of cancer¹. In cancer, the cellular response is neither adequate to nor independent of the respective external stimuli. The phosphorylation of signalling effectors can be hyperactive or hyper-reactive through dysregulated kinase activity. The serial events following the hyperactive signalling pathway lead to the translation of oncogenic target genes by the respective transcription factors. In B-cells, the BCR signalling pathway regulates differentiation, survival, proliferation, and antibody production^{115,269,270}. The hyperactive BCR signalling in malignant B-cells through PI3K and AKT leads to dysregulated expression of NFATC and NF-KB target genes^{125,127,271}. During the last decade, BCR signalling pathway-targeting therapies have revolutionised CLL treatment^{108,136}. Strikingly, increased lymphocytosis is associated to treatment success²⁴⁰. This lymphocytosis was seen to derive from the loss of BCR-dependent adhesion of CLL cells to stromal cells²⁴². Still, the link between BCR signalling and adhesion remains poorly understood. In the light of the recent advancements in targeting SHIP1^{181,264}, the antagonist of PI3K signalling¹⁷⁵, SHIP1 might complete the therapy regimen against BCR-driven CLL.

The role of inositol phosphatases in BCR signalling, CLL pathogenesis and ultimately, in CLL cell adhesion onto cells of the protective niche was investigated thoroughly in the present work. The in-depth quantitative and qualitative characterisation of BCR signalling components under stimulation¹⁰ paved the way for the analysis of the contribution of SHIP1. SHIP1 negatively regulated the BCR signalling and likewise SHIP1 activity negatively impacted on CLL cell adhesion. The complete connection between BCR/SHIP1 and responsible adhesion molecules still has to be drawn. The clinical need for novel therapies²⁷² should drive further research on CLL adhesion mechanisms and SHIP1 targeting.

5.1 The BCR is the key activating receptor in CLL

With anti-IgM and H₂O₂ treatment the activation of the BCR signalling could be mimicked in the cultured CLL cells (Figure 2). The BCR activation caused changes in the phosphorylation landscape of signalling factors going beyond the presence of H₂O₂ in the cells or culture media or cytosol²⁷³. The alteration of the phosphorylation landscape indicated that the BCR activation changed the overall activation state of CLL cells. In healthy B-cells, BCR signalling leads to differentiation,

supports survival and proliferation, and regulates antibody production^{115,269,270}. In CLL cells, active BCR signalling is essential for the malignant pathogenesis^{133,134,274}. Though, the initial malignant transformation can rarely be associated with BCR signalling pathway mutations¹⁹. The BCR signalling inhibition reduces the differences in prognosis between IGHV-mutation status-dependent patient subgroups^{136,137}. Though in the phospho-flow experiments, the BCR signalling activity patterns differed between IGHV subgroups¹⁰.

When detecting co-dependencies between signalling factors, SHIP1 expression was associated with lower phosphorylation levels of PLCy2 and BTK. BTK and PLCy2 phosphorylation seemed not to be dependent on PTEN levels (Figure 4A-D). The observations of co-dependencies between BCR signalling factors and PTEN and SHIP1 expression was based on H₂O₂ and anti-IgM stimulation. The PIP₃ measurements indicated that H₂O₂ stimulation alone was not causing PIP₃ levels to rise (Figure 7). PIP₃ levels rose when anti-IgM was applied to CLL cells. Still, H₂O₂ alone was able to increase the phosphorylation of BCR signalling factors (Figure 3). Interestingly, a low degree of sensitivity to H₂O₂-dependent stimulation had been associated to more aggressive disease²⁷⁵.

5.2 Both SHIP1 and PTEN control BCR signalling but under different stimulation states

As mentioned, SHIP1 but not PTEN expression was associated to lower BTK and PLCy2 phosphorylation upon stimulation in single-cell resolved phospho-flow (Figure 4A-D). That association underlined the role of SHIP1 to antagonise activation-dependent phosphorylation^{175,276,277}. PTEN but not SHIP1 was reported to be reversibly inhibited by H₂O₂. Thus, in an H₂O₂-dependent stimulation, the PTEN inhibition may play an important role in the control of BCR signalling^{275,278}. The knock-down experiments confirmed the role of PTEN in constitutive BCR signalling but could not show an effect on stimulatability (Figure 9). Taken into consideration that knock-down experiments are generally and were also here conducted between 48 h to 72 h post nucleofection²⁷⁹, the long-term effects of PTEN deficiency might not have been observed. PTEN deficiency was reported to be associated with loss of BCR expression. PTEN upregulation was associated with increased BCR selectivity through IgD BCR formation²⁸⁰. Thus, these results indicate the crucial role of PTEN in overall BCR expression and may explain the underrepresentation of PTEN mutations in CLL compared to other malignant entities^{162,163}. The lack of significant SHIP1 mutations in the genetic CLL landscape might be explained by the crucial role of its PIP₃ degradation product PI(3,4)P₂ in the complete membrane-recruitment and

activation of AKT¹⁷⁷. Through the degradation of PIP₃, SHIP1 regulates the amplitude of BCR signalling. By overlaying SHIP1 expression in combined pools of stimulated cells, it became evident that SHIP1 antagonised the activation-dependent phosphorylation (Figure 4). The high variability in regards to SHIP1 expression between CLL patients and the association of low SHIP1 expression to worse clinical parameters confirm the regulating role of SHIP1 in BCR signalling (Figure 11 and ²⁸¹). To further investigate the mechanisms of SHIP1 dysfunction or dysregulated expression in-depth transcriptional analysis will be necessary. The miRNAs that were predicted to target PTEN or SHIP1 showed neither positive nor negative correlation between the miRNA and phosphatase transcript quantifications (Figure 8). This missing correlation directs to the findings that PTEN and/or SHIP1 are regulated on protein level, *e.g.* by phosphorylation^{281–285} or subcellular localisation^{286–288}. The post-translational modifications of PTEN and SHIP1 are dysregulated in CLL cells and thus, the role of BCR control is reduced or lost^{164,281}. Apart from translational and post-translational dysregulation, also transcriptional dysfunction at the PTEN locus was found to interfere with BCR control through loss of PTEN expression¹⁶². It is thus evident that the dysregulation of PTEN and/or SHIP1 lead to loss of BCR signalling control, either in constitutive or stimulation-dependent signalling, respectively.

5.3 CLL cells remain activated during adhesion to cells of the microenvironment

It is widely accepted that the TME plays a crucial role in CLL pathogenesis^{187,188,289,290}. The microenvironmental conditions can directly impact on treatment outcome. *E.g.* T-cell dysfunction was generally observed in CLL²⁰² but anti-PD-1/anti-PD-L1 treatment was found to not be beneficial in refractory or relapsed CLL²⁹¹. Thus, in refractory or relapsed CLL, an immunosuppressive TME likely causes the treatment failure²⁹². During CLL pathogenesis, a crosstalk between malignant cells and cells of the microenvironment lead to changes in the cell signalling activity and the surface molecule composition^{187,289,290,293}. The surface molecule composition decides about the quality and duration of direct contact between CLL and cells of the microenvironment. Direct interaction between stromal cells and CLL cells is indispensable for CLL cell survival and proliferation^{294,295}. The survival and proliferation signals are triggered upon binding of CLL cells to integrins, to the B-cell activating factor (BAFF), and to a proliferation-inducing ligand (APRIL)²⁹³. Besides, cancer-reprogrammed stromal cells, including endothelial cells and fibroblasts, co-evolve with CLL. This co-evolution leads to promoting survival and proliferation and produces a *cold* TME²⁸⁹. The spatial organisation, the immune subset functionality within the

TME and other mechanisms induced or supported by stromal cells influence B-cell malignancy progression and aggressiveness^{289,296}.

In the LN, the main TME of CLL, lymphocytes generally enter through afferent lymphatics of lymphatic endothelial cells or through peripheral circulation along high endothelial venules. The homing is dependent on integrin and adhesion molecule surface expression as well as chemokines and chemokine receptor levels²⁸⁹. Furthermore, the LN stromal cells play an immunomodulatory role, *e.g.* by priming T cells²⁹⁷, BM stromal cells also assist in B-cell differentiation^{298,299}. The LN as TME of CLL is characterised by differential composition and expression patterns compared to healthy LNs^{296,300}. In CLL LNs, *e.g.* higher ratios of α SMA⁺ mesenchymal cells were detected compared to control LNs³⁰⁰ and the level of angiogenesis, directed by an angiogenic switch in stromal cells³⁰¹, has a prognostic value in CLL diagnosis^{302–304}.

Also in a CLL mouse model, the co-development of progressive CLL and supporting stromal cells was described³⁰⁵. CLL cells secrete extracellular vesicles that change the phenotype of stromal cells into the cancer-associated fibroblasts phenotype^{306,307}.

By disrupting the contact between CLL cells and stromal cells, therapeutic options arise, *e.g.* in rituximab resistance CLL cases, blocking VLA-4, a crucial CLL cell integrin, restores vulnerability to treatment¹⁹⁶. This therapeutic option and others targeting the stroma niche are currently under investigation^{196,308–310}.

The importance of adhesion in CLL cell survival is underlined by the lymphocytosis upon BCR inhibition treatment being a positive prognostic marker^{240,241}. As both PTEN and SHIP1 activity have direct impact on BCR signalling (Figure 9), the question for their function in CLL cell adhesion arose. The role of PTEN and SHIP1 in the control of leukocyte adhesion and migration was extensively described for neutrophils. There, SHIP1 expression was found to be associated with reduced adhesion³¹¹. In the adhesion under flow experiments, SHIP1 inhibition led to a larger fraction of CLL cells adhering to stromal cells under shear stress. Thus, SHIP1 activity is present in at least a fraction of peripheral CLL cells limiting their capacity to home to the lymphoid tissue. Another fraction of peripheral CLL cells has already regained adhesive capacity and is able to remain attached on stromal cells even under physiological shear stress. This fraction represents the CLL cells at the state before migrating from the periphery to the lymphoid tissue. In contrast, inducing the activity of SHIP1 led to reduced adhesion of CLL cells on stromal cells. This reduced adhesion indicated that SHIP1 was expressed in the adherent cells but inactive. Thus, not expression levels but post-translational modifications and localisation regulate SHIP1 activity. This observation, by using the agonist AQX-MN100, opened the possibility for therapeutical

intervention using SHIP1 as a future target. As the differences in adherent fractions remained under different shear stress levels, the density of surface adhesion molecules may play an additional role in determining the adhesive capacity of CLL cell fractions.

5.4 Integrins of the HIF1a/VHL axis mediate adhesion but not CXCR4

Integrins mediate the direct interactions and adhesion between CLL cells and stromal cells. The subset of β 1- and β 2-integrins were found to mediate spontaneous and drug-induced apoptosis evasion by CLL cells through physical contact to endothelial stroma cells³¹². Besides integrins, chemokine receptors were also reported to play a role in lymphocyte adhesion^{313–315}. *E.g.* CCR7 was observed to induce lymphoma cell homing³¹⁵. Interestingly, Plerixafor, a CXCR4 inhibitor, inhibited adhesion in MCL³¹⁶ but not in my CLL adhesion model (Figure 16).

Through gene expression profiling comparing mono- and stromal co-cultured CLL cells, VHL was found downregulated in the stroma co-cultured fraction³¹⁷. As VHL was previously shown to influence adhesive capacity of cancer cells under selective substrate and surface molecule compositions^{318–320}. Interestingly, VHL and SHIP1 were both reported to be targeted by miR-155^{259,321}.

The adhesion experiments indicated that VHL plays an important role in regulating CLL adhesion. The knock-out of VHL increased the adhesive capacity of HG3 cells to stromal and among HG3 cells. The connection between VHL and SHIP1 needs to be further elucidated by addressing signalling linking factors and the target integrins and surface molecules as well as influences on transcriptional patterns.

5.5 SHIP1 as a target in CLL therapy

The development of small molecules targeting phosphatases is still far behind of the multitude of kinase inhibitors^{322,323}. A SHIP1 inhibitor, 3AC, was first shown to upregulate the immunoregulatory capacity of myeloid cells¹⁷⁹. While the adhesion assay indicated SHIP1 activation to be beneficial for CLL treatment through loss of adhesion, SHIP1 inhibition was also reported to induce apoptosis of cancer or haematological malignant cells^{169,179,324}. With the sea sponge extract-derived pelorol, it was first shown that SHIP1 could be enzymatically activated¹⁴⁷.

The further synthesis and pre-clinical testing of SHIP1-activating compounds^{147,148,182} led to first clinical trials^{181,325} and further focus on SHIP1 in CLL treatment¹⁸⁵. The current developments are especially interesting in the light of potential combination treatments to reduce potential paths of resistance development. Through the scientific analysis of the circuit topology of the BCR signalling pathway with modelled interconnectivity between the signalling factors, I provided a tool to understand potential paths for the success of combination treatments¹⁰. Thus, SHIP1 is a promising target to be evaluated in future combination treatments in CLL therapy.

5.6 Conclusion

The described work aimed to comprehensively elaborate the stimulation-dependent regulation of the BCR signalling pathway by PTEN and SHIP1 and link the activity of PTEN and SHIP1 to the adhesion capacity of CLL cells. For this reason, an optimised flow assay was set up and adhesion under shear stress measured in combination with flow cytometry analysis of signalling factors. The central finding is the involvement of SHIP1 in the inhibition of BCR-dependent adhesion of CLL cells to cells of the TME. Through distinct PIP₃ degradation, PTEN and SHIP1 impact on BCR regulation through different pathways, thereby being responsible for distinct control mechanisms.

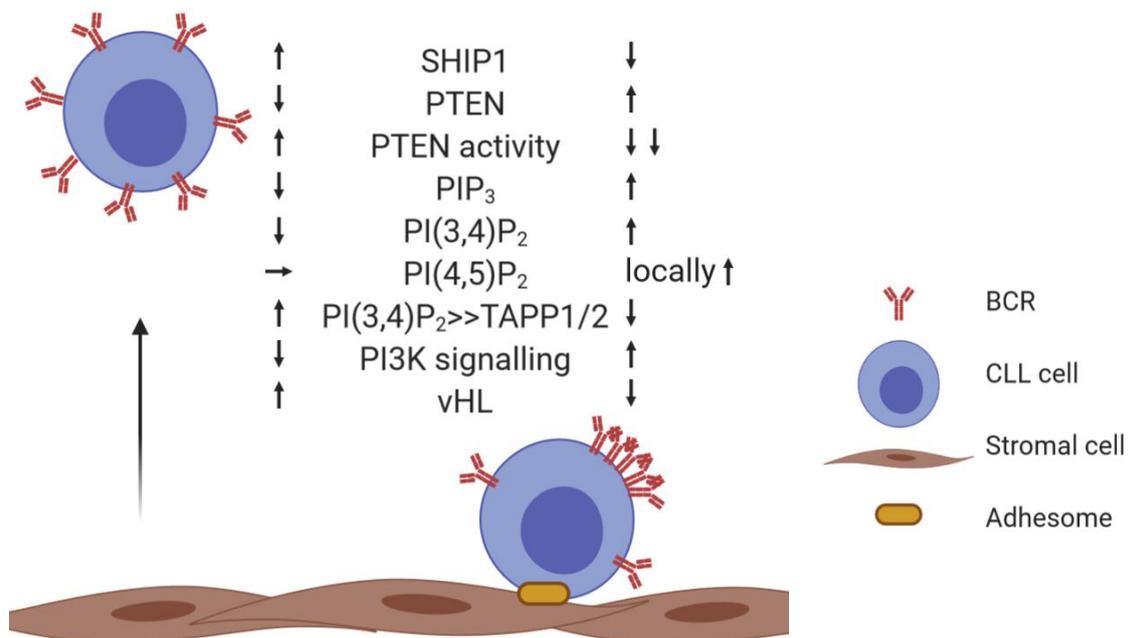


Figure 18 Signalling factors involved in CLL adherence.

Thus, the next steps include the further analysis of surface molecules of adherent and non-adherent CLL fractions and subsequent inhibition or blocking approaches. The knowledge gained from this project expands the pool of potential targets (Figure 18) for future combination treatments, especially in the light of SHIP1 being the first inositol phosphatase targeted by a biologically active agonist which has already been tested in clinical trials.

6 List of publications

Wolf, C.* , Maus, C.* , **Persicke, M.R.***, Filarsky, K., Tausch, E., Schneider, C., Döhner, H., Stilgenbauer, S., Lichter, P., Höfer, T. & Mertens, D. (2022) Modeling the B-cell receptor signaling on single cell level reveals a stable network circuit topology between non-malignant B-cells and chronic lymphocytic leukemia cells and between untreated cells and cells treated with kinase inhibitors. International Journal of Cancer.

*These authors contributed equally

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